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# The Influence Of Elastic Deformations On The Supersolid Transition

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We study within the Ginzburg-Landau (GL) theory of phase transitions how elastic deformations in a supersolid lead to local changes in the supersolid transition temperature. The GL theory is mapped onto a Schrödinger-type equation with an effective potential that depends on local dilatatory strain. The effective potential is attractive for local contraction and repulsive for local expansion. Different types of elastic deformations are studied. We find that a contraction (expansion) of the medium that may be brought about by either externally applied or internal strain leads to a higher (lower) transition temperature as compared to the unstrained medium. In addition, we investigate edge dislocations and illustrate that the local transition temperature may be increased in the immediate vicinity of the dislocation core. Our analysis is not limited to supersolidity. Similar strain effects should also play a role in superconductors.

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## I. INTRODUCTION

Superfluids flow without resistance. The existence of superfluidity raised the possibility of supersolids<sup>1,2</sup>—solids in which superfluidity can occur without disrupting crystalline order. Long ago, Chester<sup>2</sup> theoretically demonstrated the possible existence of a supersolid. If supersolids exist, a natural contender would be solid helium.<sup>3</sup> Recent torsional oscillator experiments<sup>4</sup> on solid <sup>4</sup>He pointed to supersolid type features and have led to a flurry of activity. In the simplest explanation of the experiments<sup>4</sup> a portion of the medium becomes a superfluid at low temperatures that decouples from the measurement apparatus and the moment of inertia associated with the normal part of the system is reduced. Such a “Non Classical Rotational Inertia” (NCRI) effect is known to exist in superfluid liquid helium<sup>5,6</sup> which was probed with similar techniques.<sup>6,7</sup> Experimental results suggest the absence of superfluid features in ideal crystals with no grain boundaries.<sup>8</sup> Currently, it is not clear if an NCRI lies at the core of the recent experimental findings in solid <sup>4</sup>He. For instance, the required condensate fraction adduced from a simple NCRI-only explanation does not simply conform with thermodynamic measurements.<sup>9</sup> Rittner and Reppy<sup>10</sup> discovered that the putative supersolid type feature is acutely sensitive to the quench rate for solidifying the liquid. Aoki, Keiderling, and Kojima reported rich hysteresis and memory effects<sup>11</sup> similar to those occurring in glasses.<sup>12</sup>

Relaxational dynamics in torsional oscillators has also been reported by the Cornell group of Davis.<sup>13</sup> The torsional oscillator findings can arise from material characteristics alone.<sup>14–21</sup> In particular, the thermodynamics and transient dynamics of distributed processes in amorphous or general non-equilibrated solids can currently fit<sup>9,14</sup> observed results. Indeed, later numerical results point towards such a possibility.<sup>22</sup> Notably, recent exper-

imental results<sup>13</sup> agree with an earlier suggested theory concerning such transient dynamics.<sup>14</sup> The presence of non-uniformity in <sup>4</sup>He is also suggested by a criterion comparing the change in dissipation vs. relative period shift in torsion oscillator.<sup>16</sup> It may well be that these glassy and superfluid effects are present in solid helium.<sup>23</sup> An interesting question concerns the coupling between elastic defects such as dislocations and superfluid type features.<sup>24</sup> The coupling of the supersolid transition to impurities was discussed in Ref. 25. The coupling between superfluidity and elasticity in supersolids and how this may lead to a strain-dependent critical temperature was discussed in Refs. 26,27.

Supersolids constitute a fascinating state of matter and appear in a host of systems. The viable existence of supersolid phase is not confined to solid helium. Other contenders for the supersolid state include cold atoms in a confining optical lattice.<sup>28</sup> There has been much work examining supersolidity in spin systems as well, see for example, Ref. 29.

This article focuses on the coupling between nanoscale structure and supersolidity.<sup>26,27</sup> As is well appreciated, elastic strain may fundamentally affect local and mesoscopic electronic, magnetic and structural properties. There is ample evidence for significant coupling amongst the electronic degrees of freedom with the lattice distortions in cuprates, manganites, and ferroelectrics.<sup>30,31</sup> The central thesis of this work is that elastic distortions may alter the supersolid behavior. As we will elaborate later on, in, e.g., a cylindrical torsional oscillator geometry in which the boundary of the solid is elastically deformed so that it undergoes a supersolid transition at a higher temperature than the bulk, a fraction of the boundary will become a supersolid leading to a partial decoupling of the bulk from the torsional oscillator chassis and a consequent reduction in the period.

In this work, we will employ a Ginzburg-Landau (GL)

theory to study the influence of elastic strain on supersolidity. As we will show, the Euler-Lagrange equations for the GL free energy result in an effective Schrödinger type equation. We find the lattice distortion acts as an effective potential for the supersolid order parameter. Solving the resulting effective Schrödinger-type equation, we find our main results: (1) a contraction (expansion) of the lattice edges leads to an increase (decrease) in the local supersolid transition temperature; (2) elastic defects, such as dislocations, lead to similar effects.

Although our motivation is the analysis of supersolids, all of our calculations within the GL framework *are identical* for non-uniform elastically strained superconductors<sup>31,32</sup> and lead to the same general conclusions, which we will derive in this work. The case of uniformly strained superconductors has been investigated in detail in myriad experiments, starting from Ref. 33 and many works since.<sup>34</sup> It was found in these works that uniform hydrostatic pressure can increase the superconducting transition temperature. The influence of pressure on the superconducting temperature has also been investigated in numerous theoretical treatments, e.g., Refs. 35,36. Our GL formulation and Schrödinger-type equation give rise to an increase of the superconducting transition temperature under applied pressure.

The outline of the paper is as follows: in Section II, we set up the general GL framework for our investigations. We illustrate the connection between the Euler-Lagrange equation and the Schrödinger equation. In the sections thereafter, we focus on particular lattice distortion profiles to determine the change in the local supersolid transition temperature. In Section III, we examine the influence of a boundary edge contraction, and in section IV, we study the opposite case of a boundary edge expansion. In section V, we analyze the case of an edge dislocation. We summarize our findings in section VI.

## II. GENERAL FRAMEWORK

We study the GL free energy density

$$F(\vec{r}) = a(T)|\psi|^2 + \frac{1}{2}b|\psi|^4 + c|\nabla\psi|^2 + \lambda(\vec{r})|\psi|^2, \quad (1)$$

where  $T$  is the temperature,  $b$  and  $c$  being positive constants,  $\psi$  the (complex) supersolid order parameter, and  $\lambda(\vec{r})$  a position dependent function that captures the coupling of the order parameter to elastic strain as we elaborate on below. The prefactor  $b$  in Eq. (1) is positive and depends only on the density of the crystal, as well as on defect densities.<sup>37</sup> For temperatures  $T < T_c$ , the coefficient  $a(T)$  is negative enabling a non-zero  $\psi$  to minimize the free energy.<sup>38</sup> The condition  $a(T_c) = 0$  determines the transition temperature  $T = T_c$  below which supersolidity onsets.<sup>37</sup> The third term in Eq. (1) relates the free energy with the magnitude of the gradient of  $\psi$ , as in a domain wall.<sup>38</sup> The difference between the free energy of a normal crystal and a displaced crystal appears in the

last term. For a crystal whose constituents  $i$  undergo a distortion from an ideal unperturbed configuration  $\vec{R}$  to a shifted configuration  $\vec{R}'$  due to the application of strain, we set  $\vec{u}_i = \vec{R}'_i - \vec{R}_i$  and take the continuum limit wherein we replace  $i$  by the continuous coordinate  $\vec{r}$ . In the up and coming, the Greek indices  $\gamma, \delta$  will denote the spatial components (e.g.,  $u_{\gamma=1,2,3}$  will denote the Cartesian components of the displacement  $\vec{u}$  at site  $\vec{r}$ ). In general, a linear coupling of the form  $a_{\gamma\delta}u_{\gamma\delta}|\psi|^2$  is allowed between the linear order strain tensor  $u_{\gamma\delta} = \frac{1}{2}(\partial_\gamma u_\delta + \partial_\delta u_\gamma)$  (where  $\vec{u}$  is the elastic displacement)<sup>39</sup> and the supersolid order parameter  $\psi$ .<sup>26,40</sup> In what follows, we will consider, for simplicity, the case in which the displacement occurs only along one Cartesian direction. Allowing for general displacements does not change our conclusions. For unidirectional displacements, the coefficient of the last term in Eq. (1) can be expressed as a dilatory strain

$$\lambda(\vec{r}) = d\vec{\nabla} \cdot \vec{u}(\vec{r}), \quad (2)$$

where  $d$  is a positive constant and  $\vec{u}(\vec{r})$  is the displacement field. The sign of  $d$  is chosen such that the free energy of Eq. (1) is lowered on introducing vacancies. The vacancy density scales with  $[-(\vec{\nabla} \cdot \vec{u})]$  (whereas the interstitial density scales with  $[(\vec{\nabla} \cdot \vec{u})]$ ). Ions in the vicinity of a vacancy will have an inward displacement towards its location whereas ions in the vicinity of an interstitial will be pushed outwards. Eq. (2) and the free energy are functions of the strain tensor and thus symmetric under spatial reflections under which  $\vec{r} \rightarrow -\vec{r}$  and  $\vec{u} \rightarrow -\vec{u}$ . In bulk linear elasticity, the local strains scale, as in Hooke's law, as the pressure divided by the elastic moduli. In the following sections, we will consider the strain fields associated with various cases.

### A. Local strain coupling in supersolids

It follows from Eq. (2) that the last term in Eq. (1) is a general isotropic coupling between the strain and the supersolid (superconducting) order parameter. In the cases that we will examine the displacement  $\vec{u}$  will occur along one Cartesian direction ( $\vec{u}$  will have only one component). Furthermore, in the first two cases that we will detail below (contraction and expansion along an edge), this displacement field will vary only along one Cartesian direction and will be uniform along all other orthogonal directions. Consequently, the coupling  $\lambda$  will depend only on one Cartesian direction:  $\lambda = \lambda(z)$ . In the last case discussed in this work, that of an edge dislocation, the displacement field (and consequently the coupling  $\lambda$ ) will depend on two directions.

To find the ground state of such crystal, we want to minimize the free energy. The variational derivative of  $F$  with respect to  $\psi^*$  leads to the Euler-Lagrange equation

$$\frac{\delta F}{\delta \psi^*} = a(T)\psi + b|\psi|^2\psi - c\nabla^2\psi + \lambda(\vec{r})\psi = 0, \quad (3)$$

with an identical (complex conjugated) equation for  $\delta F/\delta\psi = 0$ . In situations in which a weakly first- or second-order supersolid transition occurs, we may, in the vicinity of the transition (where  $\psi$  is small) omit the cubic term in Eq. (3),<sup>42</sup> and the variational equation may be recast as

$$-c\nabla^2\psi + \lambda(\vec{r})\psi = -a(T)\psi. \quad (4)$$

Eq. (4) is a Schrödinger type equation with  $c = \hbar^2/2m$  and  $a(T) = -E$  with  $E$  the energy and  $m$  a mass. Solving for the eigenvalue  $E = -a(T)$  enables us to extract the transition temperature. Generally, a shift in the transition temperature results from the coupling to the elastic displacements.

The gradients of  $\vec{u}$  as embodied in  $\lambda(\vec{r})$  take on the role of a potential energy in the effective quantum problem for the “wavefunction”  $\psi$ . We briefly comment that the case of *uniform pressure* corresponds to a constant strain  $\vec{\nabla} \cdot \vec{u}$  and thus to a constant effective potential  $\lambda(\vec{r}) = \text{const.}$  Applied to the analysis to be presented below for more complicated cases, such a uniform shift of the potential energy (and thus to the eigenvalues  $E$ ) leads to a constant shift in the value of  $a(T)$  at the transition point. As  $a(T)$  is monotonic in temperature, for  $d > 0$  ( $d < 0$ ), this leads to an increase (decrease) in the transition temperature for a uniform contraction ( $\vec{\nabla} \cdot \vec{u} < 0$ ) as it may indeed occur under uniform applied pressure in superconductors for which for an increase or decrease of the superconducting  $T_c$  appear for different systems.<sup>33,34</sup> The case of a spatially uniform dilatatory stress is a particular simple limiting form of the more general non-uniform elastic deformations that we discuss in this work.

In the remainder of this work, we will examine the solutions of Eq. (4) for various non-uniform elastic displacements  $\vec{u}$ . In particular, we will examine the strain fields associated with a contraction of the sample boundaries, an expansion of a boundary edge, and the strain profile associated with an edge dislocation.

### B. Local strain coupling in superconductors

As noted earlier, our GL theory of Eq. (1) also describes a (singlet) superconductor with an order parameter  $\psi$  in the presence of elastic strains. In a charged crystal (of unit cell volume when undeformed) under applied or internal elastic strains the electric field couples to the local charge density, which deviates from that of the undeformed crystal by an amount  $[-(\vec{\nabla} \cdot \vec{u})]$  and whose volume trivially scales as  $(1 + \vec{\nabla} \cdot \vec{u})$ . Such an interaction is known in the literature as electro-elastic or acousto-optical coupling. It is quite natural to see that for superconductors the Coulomb potential plays the role of the coupling parameter  $\lambda(\vec{r}) \rightarrow e^*\phi(\vec{r})$  with  $\phi$  the electrostatic potential and  $e^*$  an effective charge.<sup>24,41</sup>

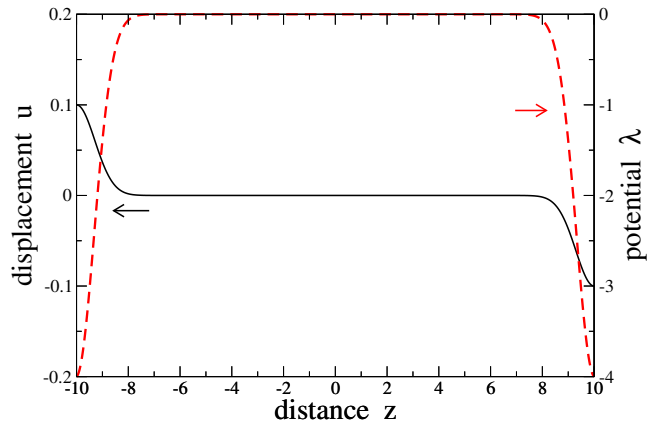


Figure 1: (Color online.) The displacement corresponding to a contraction near the edges. For clearly illustrating the contraction, we depict large displacements. In this sketch, the displacement (black solid) is given by Eq. (5) with  $L = 20$ ,  $u_0 = 0.1$ ,  $d = 1$ , and  $k = 1$  where the lattice constant set to unity. The effective potential (red dashed) is given by Eq. (6). The large value of the displacement  $u_0$  is chosen to vividly illustrate the contraction.

### III. CONTRACTION OF BOUNDARY EDGE

Consider a crystal with a side of length  $L$  along one of the Cartesian directions (the coordinate values corresponding to this side are in the range  $L/2 \geq z \geq -L/2$ ). We consider a contraction in which near the two edges, the lattice sites are most displaced from their equilibrium positions, see Fig. 1. Such a contraction may, e.g., be brought about by applying stress (along opposite directions) on the two edges of the system. Alternatively, a shock wave or generation of coherent phonon propagation by ultrafast pump-probe spectroscopy may be used to create local density modulations resulting in nonuniform strain near the edges of the sample.<sup>43</sup> Albeit trivial, we mention in passing that all such contractions (including more uniform ones such as those brought about by thermal contractions at low temperatures) will lead to a reduced moment of inertia about the  $z = 0$  axis. As we will now show, a displacement in the vicinity of the edges triggers a change in the local supersolid transition temperature. Following our earlier discussions in subsection II B, similar results will apply *mutatis mutandis* to the local transition temperatures in superconductors. A displacement field describing a contraction along the  $z$  direction is given by

$$u_z = \begin{cases} u_0[e^{-(z+L/2)^2/k^2} - e^{-(z-L/2)^2/k^2}] & \text{for } |z| \leq L/2 \\ 0 & \text{for } |z| > L/2 \end{cases}. \quad (5)$$

The displacement thus occurs in some finite region of scale  $k$  about the edges.  $u_0$  is the maximum displacement, and with no displacement along the  $x$  or  $y$  directions,  $u_x = u_y = 0$ .

The corresponding effective potential of Eq. (2) is given

by

$$\lambda = \frac{2u_0d}{k^2} \left[ \left( z - \frac{L}{2} \right) e^{-(z-\frac{L}{2})^2/k^2} - \left( z + \frac{L}{2} \right) e^{-(z+\frac{L}{2})^2/k^2} \right]. \quad (6)$$

For  $|z| > L/2$  (points outside the crystal), the supersolid order parameter  $\psi = 0$  and in Eq. (4) the effective potential  $\lambda = \infty$ . For small deformations, this attractive potential leads to the appearance of a weak bound state. For  $z > 0$  with  $L/2 \gg (L/2 - z) \gg k$ , the effective potential tends to zero, and the bound state wavefunction is of the form  $\psi \sim \exp[\kappa(z - L/2)]$ . A similar form is attained near the point  $z = -L/2$ . The value of  $\kappa$  and thus of the bound state energy  $E = -\kappa^2$  can be computed in the standard way by integrating the Schrödinger equation once in a region of width  $\epsilon$  across the point  $z = L/2$  in an extension of the problem to  $z > L/2$  in which the potential is symmetrized about the point  $z = L/2$ .

Such an attractive potential leads to the appearance of a weak bound state with the bound state wavefunction  $\psi \sim \exp[\kappa(z - L/2)]$ , where

$$-2\kappa = \left[ \frac{d\psi}{dz} \right]_{L/2-\epsilon/2}^{L/2+\epsilon/2} = \frac{1}{c} \int_{L/2-\epsilon}^{L/2+\epsilon} \lambda(z) dz. \quad (7)$$

Specifically, the minima of Eq. (6) are for  $L \gg k$ , approximately, given by  $z = \mp L/2 \pm k/\sqrt{2}$ . We may then approximate the potential of Eq. (6) by

$$\lambda = -du_0 \left[ \delta\left[z - \left(\frac{L}{2} - \frac{k}{\sqrt{2}}\right)\right] + \delta\left[z + \left(\frac{L}{2} - \frac{k}{\sqrt{2}}\right)\right] \right]. \quad (8)$$

For  $L \gg c/(du_0)$  we may solve the problem as that of two decoupled delta function potentials with exponentially small corrections. The standard solution to the delta function potential leads to a bound state energy

$$E = -\frac{d^2u_0^2}{4c}. \quad (9)$$

We will now employ the value of  $E$  to determine a change in the transition temperature. Within the GL theory,  $a(T) \simeq \alpha(T - T_c^0)$  near the transition temperature, where  $T_c^0$  is the unaltered transition temperature and  $\alpha > 0$  is a constant. Writing  $a + E = \alpha(T - T_c^{eff})$  where  $T_c^{eff}$  is the effective transition temperature, we have

$$T_c^{eff} = T_c^0 + \Delta T_c, \quad (10)$$

with

$$\Delta T_c = \frac{d^2u_0^2}{4\alpha c}. \quad (11)$$

In other words, the region near the contracted edges has a higher transition temperature into the supersolid state than the bulk. Generally, the maximal displacement in Eqs. (5) and (14) can be of order  $u_0 \sim 0.1$  lattice constants as set by the Lindemann criterion of melting in most materials (or of  $u_0 \sim 0.2$  in solid  $^4\text{He}$  and potentially other quantum solids).<sup>44</sup> In Eqs. (1) and (2), the

parameters  $c, d = \mathcal{O}(1)$ . We estimate a small enhancement of the transition temperature in the surface region. For parameters  $\alpha = 1/T_c^0, d = 1, u_0 = 0.1$ , and  $c = 1$ , we find from Eq. (11) a small enhancement compared to the bulk transition,  $\Delta T_c = 2.5 \times 10^{-3} T_c^0$ .

The effect of this shifted transition temperature is that, when a sample of contracted  $^4\text{He}$  is cooled down, the region near the edges would turn into supersolid at a higher temperature than the bulk of the crystal. Perusing the localized form of the supersolid order parameter  $\psi$  and Eqs. (7) and (9), we see that  $\psi$  drops exponentially away with the boundary with a penetration depth  $\ell = 1/\kappa = 2c/(du_0)$ . Combined with our previous estimates for parameters in Eq. (11), we find that the penetration depth  $\ell \sim 20$  lattice constants.

We now expand on the relation between the local value of the supersolid order parameter  $\psi$  and the local effective transition temperature. Eq. (4) holds for all locations  $-L/2 \leq z \leq L/2$  (and trivially, of course, on any local segment within this region). We earlier solved Eq. (4) to find a *surface supersolid* wherein the supersolid order parameter decays exponentially with a decay distance  $\ell$  away from the boundary points  $z = \pm L/2$ . Thus, deep within the bulk, the supersolid order parameter was zero. We may examine Eq. (4) locally (with a local effective potential  $\lambda(z)$ ) in order to see when we may attain a finite supersolid order parameter  $\psi(z)$  at general locations  $z$  away from the boundary.

As the displacement only occurs near the edges, and since the change in transition temperature is the result of the displacement, it is reasonable to assume that the change in transition temperature can only be detected in the region near the edges. For the region inside the crystal (far from the edge), the transition temperature should remain unaltered. Based on the observation, the transition temperature as a function of the  $z$ -axis (the axis parallel to the length of the crystal) could be described as

$$T_c^{eff}(z) = T_c^0 + f(z) \frac{d^2u_0^2}{4\alpha c}, \quad (12)$$

where  $f(z)$  is a function that rapidly varies from 1 at the boundaries  $z = \pm L/2$  to zero for positions removed from the boundaries. An example is provided by

$$f(z) = e^{-(z-L/2)^2/k^2} + e^{-(z+L/2)^2/k^2} \quad (13)$$

when  $L \gg k$ .

A plot of  $T_c^{eff}(z)$  is depicted in Fig. 4. A large value of the displacement  $u_0$  is chosen to illustrate the effects of a contraction as in Fig. 1.

Thus far, we discussed the system with open boundary conditions. In an analogous fashion we can analyze the system with periodic boundary condition (a ring) for which a uniform contraction is performed (i.e., the ring shrinks in its diameter). In this case, a state  $\psi$  which is constant everywhere on the ring experiences an energy change of size  $E = -2du_0/L$  with  $u_0/L$  denoting the uniform inward dilation along the chain. This

leads to an elevation of the transition temperature by  $\Delta T_c = 2du_0/(L\alpha)$ .

It is clear that any contraction will elevate the local  $T_c$ . This is so as  $\lambda < 0$  by comparison to the undistorted lattice. Thus, for any contraction and within any state the energy  $\langle \psi | (-c\nabla^2 + \lambda) | \psi \rangle$  is lower than that in its undeformed counterpart (with  $\lambda = 0$ ). By our analysis above, a lower value of the energy translates into a change  $\Delta T_c > 0$ .

Returning to the NCRI<sup>4-7</sup> briefly discussed in the introduction, if the entire sample is rotating before the transition to a supersolid phase occurs, at some temperature higher than the normal transition to supersolid of bulk helium, but low enough to make the edges become supersolid, the supersolid component in the edges will partially decouple from the bulk rotation. This situation is depicted schematically in Fig. 2.

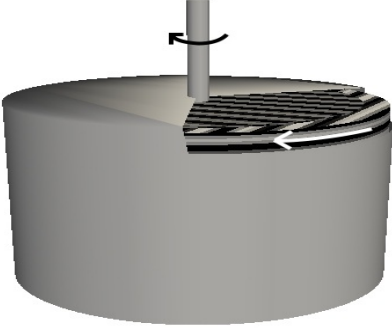


Figure 2: Under compression of the edges of the torsional oscillator, the rim attains a supersolid component at a higher temperature than the bulk does. On cooling down to this temperature, the supersolid fraction of the rim partially decouples from the bulk and outer chassis.

#### IV. EXPANSION OF EDGE BOUNDARIES

The situation of the expansion near the edge boundaries is schematically shown in Fig. 3. As in the case of contraction, this may be physically brought about by applying opposite stresses (e.g., shear stresses) on the two boundaries of the system. In an annular geometry similar to that in Fig. 2, an expansion may result by a difference in pressures between the inner and outer parts of the cylinder. A typical displacement field  $\vec{u}$  is, in this case, given by

$$u_z = \begin{cases} u_0 [e^{-(z-L/2)^2/k^2} - e^{-(z+L/2)^2/k^2}] & \text{for } |z| \leq L/2 \\ 0 & \text{for } |z| > L/2 \end{cases}, \quad (14)$$

and  $u_x = u_y = 0$ . The variational equations give rise to a Schrödinger equation. The sign of  $\lambda$  is flipped relative to the case of the contraction. In this case,  $\lambda$  is everywhere positive reflecting a repulsive effective potential.

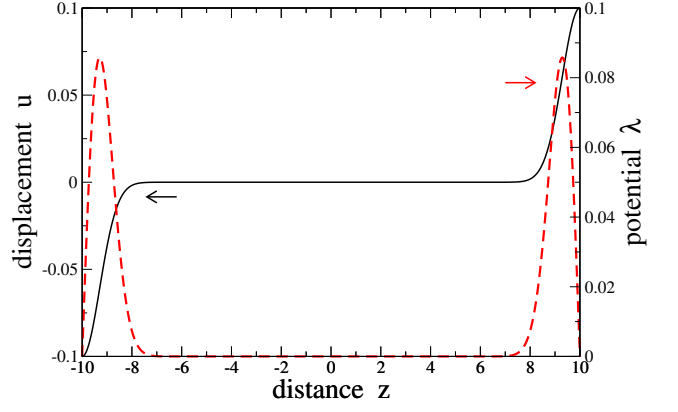


Figure 3: (Color online.) The displacement field corresponding to an expansion near the edges. Plotted is the displacement field (black solid) given by Eq. (14) with  $L = 20$ ,  $u_0 = 0.1$  and  $d = k = 1$ . The effective potential (red dashed) is given by Eq. (17). The large displacement highlights the expansion near the boundaries.

This difference in sign gives rise to an important difference between expansion and contraction. In the case of expansion, the effective potential displays two peaks instead of two wells. In the presence of the two peaks, the problem reduces to that of a particle in an infinite potential well model. The wavefunction for the unperturbed ground state is now given by

$$\psi = \sqrt{\frac{2}{L}} \cos\left(\frac{\pi}{L}z\right). \quad (15)$$

The energy of such a bound state in a box of size  $L$  is

$$E = \frac{\pi^2 c}{L^2}. \quad (16)$$

Now, consider the perturbed state with the potential given by  $\lambda = d\vec{\nabla} \cdot \vec{u}$  which reads

$$\lambda = \frac{2du_0}{k^2} \left[ \left(z + \frac{L}{2}\right) e^{-(z+\frac{L}{2})^2/k^2} - \left(z - \frac{L}{2}\right) e^{-(z-\frac{L}{2})^2/k^2} \right]. \quad (17)$$

As in our discussion of contractions in Section III, we may approximate  $\lambda$  near its maxima by delta functions. When  $L \gg k$ , the maxima occur at  $z = \mp \frac{L}{2} \pm \frac{k}{\sqrt{2}}$ . Similar to Eq. (8) yet with opposite sign, we explicitly approximate  $\lambda$  as

$$\lambda = du_0 \left[ \delta\left[z - \left(\frac{L}{2} - \frac{k}{\sqrt{2}}\right)\right] + \delta\left[z + \left(\frac{L}{2} - \frac{k}{\sqrt{2}}\right)\right] \right]. \quad (18)$$

The first order approximation to the perturbed ground state energy trivially yields

$$E' = E + \int_{-L/2}^{L/2} \psi^* \lambda \psi dz = E + \frac{4du_0}{L} \sin^2 \frac{k\pi}{L\sqrt{2}}. \quad (19)$$

Replicating the steps of Section III, we find that the effective transition temperature  $T_c^{eff}$  for the case of expansion is

$$T_c^{eff}(z) = T_c^0 - f(z) \left[ \frac{\pi^2 c}{\alpha L^2} + \frac{4du_0}{\alpha L} \sin^2 \frac{k\pi}{L\sqrt{2}} \right]. \quad (20)$$

In this case, as the system is cooled down, the faces would become supersolid after the bulk crystal. A plot is given in Fig. 4 for illustrative parameters  $u_0 = 0.1$ ,  $\alpha = c = d = k = 1$  and  $L = 20$ . As in our prior analysis of the contraction, the value of  $u_0$  in Fig. 3 is chosen to illustrate the elastic distortion associated with an expansion.

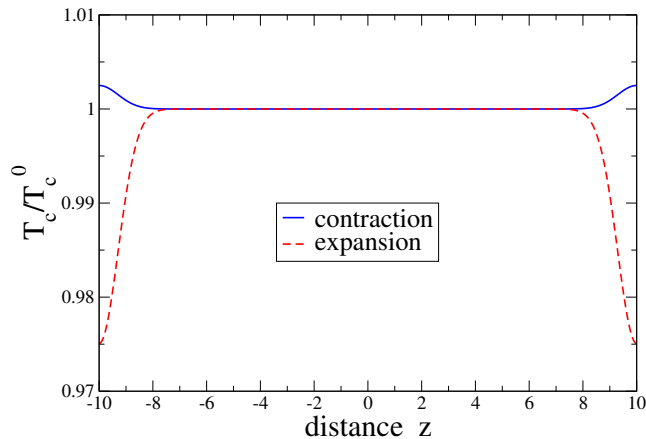


Figure 4: (Color online.) The effective transition temperature for an undeformed crystal is  $T_c^0$ . We show results of the local transition temperature  $T_c(z)$  for compression and expansion at its boundaries. We set the lattice constant to unity and use parameters  $u_0 = 0.1$ ,  $\alpha = c = d = k = 1$  and  $L = 20$ .

Along similar lines as was discussed at the end of Section III, a uniform expansion in a periodic ring will lower the transition temperature by  $2du_0/L$ .

It is worth highlighting the origin of the difference between the cases of edge contraction and expansion. Both cases have different divergences of the displacement field (and thus different local density profiles). The local mass or equivalently, the vacancy density is what couples to the supersolid order parameter. Note, in case of a superconductor it is the charge density that couples to the order parameter. Both the displacement field and the spatial gradient are odd under spatial reflection. In our case,  $\vec{\nabla} \cdot \vec{u}$  is even under spatial reflection (it reflects the scalar mass density) and the two cases are physically very different even though the spatial profile of the displacement fields in both cases are related by a minus sign (see Eqs. (5) and (14)).

Similar to our earlier discussion in Section III, any local expansion will have  $\lambda(\vec{r}) > 0$  and thus elevate the energy

$$\langle \psi | (-c\nabla^2 + \lambda) | \psi \rangle > \langle \psi | (-c\nabla^2) | \psi \rangle, \quad (21)$$

and lead to a local change in the transition temperature  $\Delta T_c < 0$  causing a suppression.

## V. DISLOCATIONS

Below, we will present calculations of the local transition temperature due to an edge dislocation using the formalism that we have employed thus far in this work. For a discussion of dislocations in the quantum arena see, e.g., Ref. 24. As further discussed in Ref. 24, dislocations can screen applied shear stress and lead to a finite *shear penetration depth*—an effect that may have experimental consequences including that in torsional oscillators as we will briefly remark later. An analysis analogous to ours below was done by Toner<sup>27</sup> who reached similar conclusions as we have. Some time after we first discussed this phenomenon,<sup>45</sup> Ref. 46 considered the problem of dislocation line filaments which become supersolid while the bulk is non-supersolid. This is markedly different from our perturbative approach where both the bulk and the dislocation core become supersolid at transition temperatures that differ by small amounts. The small change in the ordering temperature is imperative in our perturbative approach of linearly expanding  $a(T)$  in Eq. (1) about the bulk supersolid transition temperature and in neglecting the cubic terms in Eq. (3) when solving the effective Schrödinger type equation of Eq. (4).

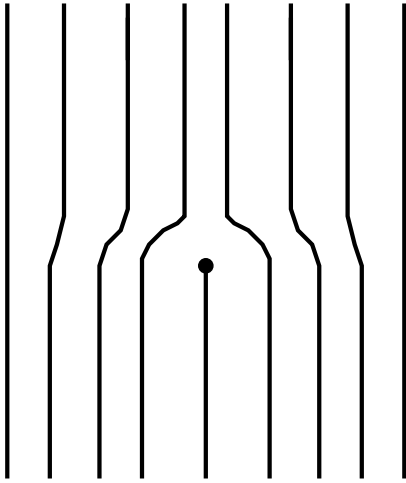
Many displacement fields can correspond to a given “Burgers vector”  $\vec{b}$  describing a dislocation. Each component of the Burgers vector is defined by a circuit integral around a dislocation core,<sup>39</sup>  $b_\gamma = (\oint_K d\vec{s} \cdot \vec{\nabla} u_\gamma)$ , for a large contour  $K$  around the dislocation core describing a dislocation. We will analyze one such particular set of displacement fields. All of these displacement fields are related to one another via a smooth deformation  $\vec{u} \rightarrow \vec{u} + \vec{v}$ . Here,  $\vec{v}$  is a non-singular vector field with a vanishing associated circulation:  $\oint_C d\vec{s} \cdot \vec{\nabla} v_\gamma = 0$  around any closed contour  $C$ . As we saw in the earlier sections, a smooth displacement field (corresponding to, e.g., a contraction or an expansion) can, on its own, raise or lower the effective local supersolid transition temperature. Thus, the effective change in  $T_c$ , which we turn to next, will generally depend on the detailed form of the displacement fields  $\vec{u}$  corresponding to a given dislocation. In what follows, we consider a particular minimal displacement field form that corresponds to symmetric unidirectional displacements about a lattice direction of an unstrained crystal. With  $x$  and  $y$  denoting the horizontal and vertical Cartesian directions, we consider a particular displacement field in Figs. 5(a) and 5(b) that corresponds to a dislocation with a Burgers vector  $\vec{b} = b\hat{e}_x$ . In what follows, the spatial extent of the dislocation core will be set by  $k$ .

The following displacement field describes such an edge dislocation

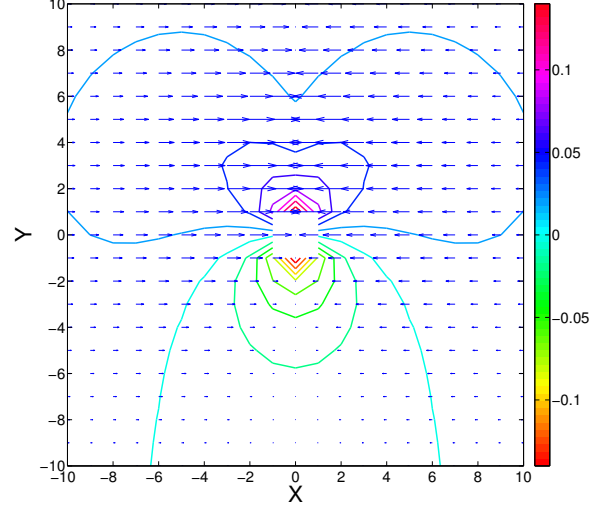
$$\vec{u}(\vec{r}) = -\frac{b}{2\pi} e^{-r^2/k^2} \text{sgn}(x) \cos^{-1} \left( \frac{-y}{r} \right) \hat{e}_x, \quad (22)$$

where  $\vec{r} = (x, y)$  and  $\text{sgn}(x)$  is the sign function of  $x$ , i.e.,  $\text{sgn}(x) = [2\theta(x) - 1]$  with  $\theta(x)$  the Heavyside function





(a) An edge dislocation



(b) The displacement field corresponding to an edge dislocation

Figure 5: (Color online.) A schematic of an edge dislocation. Shown at left (a), are rows of atoms. The presence of an edge dislocation is manifest in the appearance of a different number of vertical rows of atoms above and below the terminal dislocation point. The corresponding displacement field  $\vec{u}(\vec{r})$  of Eq. (22) with contour lines is shown at right (b). We set  $b = d = 1$  and  $k = 10$ .

and we employ the principal branch of  $\cos^{-1}$  (that with  $0 \leq \cos^{-1} w \leq \pi$ ). We re-iterate that many other dislocation displacement fields that share the same Burgers vector  $\vec{b}$  may be written down. In Eq. (22) the magnitude of the Burgers vector  $b$  cannot exceed the inter-atomic lattice spacing. Furthermore, realistically the radius over which the dislocation is unscreened, may be of order of  $k \sim 10$  lattice constants. Screening is induced by anti-dislocations [or, in the continuum, a background of an opposite dislocation (or Burgers vector) density]<sup>39</sup>. We may derive an effective potential from the displacement in the same way we did for the above two cases (Eq. (2)). In this case, an analytical solution to the Schrödinger equation is not possible and we will resort to variational estimates. In Figures 5(a) and 5(b) we plot the corresponding displacements. Using Eqs. (2) and (22) the effective potential energy becomes

$$\lambda(\vec{r}) = \frac{bd}{2\pi} e^{-r^2/k^2} \left[ \frac{2|x|}{k^2} \cos^{-1} \left( \frac{-y}{r} \right) + \frac{y}{r^2} - 2\pi\delta(x)\theta(y) \right]. \quad (23)$$

The regular part (without the delta function) is plotted in Fig. 6.

The Hamiltonian  $H = [-c\nabla^2 + \lambda]$  corresponds to the Schrödinger equation of Eq. (4). Along the half-line  $x = 0$  and  $y > 0$  there is a compression leading to an effectively attractive potential. Elsewhere in the top half-plane ( $y > 0$ ), there is an expansion. As evident in Figs. 5(a) and 5(b), in the upper half-plane the perfect lattice sites deviate away from the dislocation core less and less as  $|x|$  is increased (leading to a local expansion).

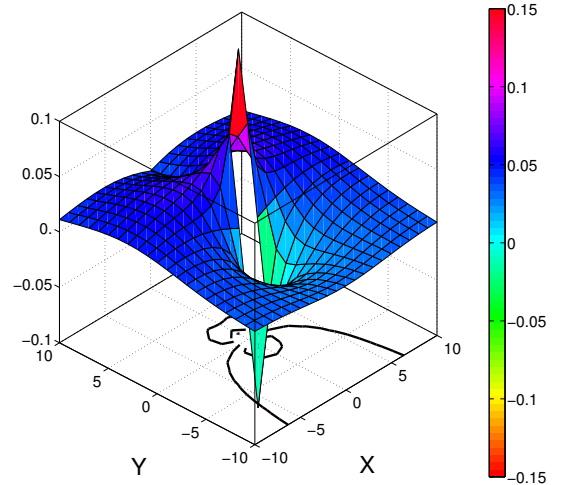


Figure 6: (Color online.) The regular part (without delta function) of the effective potential energy  $\lambda(\vec{r})$  of a single edge dislocation given in Eq. (23) corresponding to the displacement function of Eq. (22). We set  $b = d = 1$  and  $k = 10$ . The maximum regular strain is 0.158. A projection of the contour is shown in the  $xy$  plane.

In the lower half-plane ( $y < 0$ ), there is a region of local contraction near the inserted half-plane of atoms. Thus, we will find both an increase (contraction) or a decrease (expansion) in the effective transition temperatures, see



Fig. 7. We briefly comment on the transition temperature of the entire system or that of a region  $\Sigma$  that is centered about the dislocation core. Considering, e.g., the trivial variational wavefunction  $\psi_{var} = \text{const.}$ , it is seen that the corresponding variational energy

$$\begin{aligned} E_{var} &= \langle \psi_{var} | H | \psi_{var} \rangle \\ &= d \int_{\Sigma} d^2r (\vec{\nabla} \cdot \vec{u}) = d \int_{\partial\Sigma} ds \hat{n} \cdot \vec{u}, \end{aligned} \quad (24)$$

where  $\hat{n}$  is normal to the boundary  $\partial\Sigma$  of the surface  $\Sigma$  and Gauss' theorem has been invoked. Eq. (24) is the energy of a uniform state  $\psi$  in the case of a general elastic deformation field  $\vec{u}$ . In line with our earlier results, when the system is contracted, wherein  $\vec{\nabla} \cdot \vec{u} < 0$ , the energy of this state is negative. As by the variational theorem the variational ground state energy is an upper bound for the true ground state energy,  $E_{var} \geq E$ , and for  $d > 0$  the variational energy  $E_{var} < 0$ . We see that the energy of any system with contraction must be negative,  $E < 0$  and thus  $\Delta T_c > 0$ . Similarly, for an expansion,  $E_{var} > 0$  and thus  $\Delta T_c < 0$ . We reiterate that by Eq. (21), for  $d > 0$ , the energy of any state  $\psi$  under expansion is elevated relative to that of the system in the absence of deformations and thus  $\Delta T_c < 0$  under all expansions.

Returning to the problem of the dislocation, we trivially see that when  $\vec{u} \rightarrow 0$  on the boundary  $\partial\Sigma$ , the energy  $E_{var} = 0$  for the variational state  $\psi_{var} = \text{const.}$  The variational energy (with the above very bad variational ansatz of a uniform wavefunction) is an upper bound on the ground state energy. The energy can clearly be lowered and made negative by choosing other (non-uniform) states  $\psi$  which have large amplitudes at regions of the low effective potential and become small when the effective potential is high. For  $E < 0$ , the local  $T_c$  is enhanced by  $\Delta T_c > 0$  as shown in Fig. 7. A notable feature within the uniform variational state, evident in Fig. 7, is the presence of a long filamentary region with a considerably elevated  $T_c$ . We see directly from Fig. 5(b) that in the upper half-plane, i.e., above the dislocation core with  $y > 0$ , where the deformation field is largest, contraction occurs along the line  $x = 0$ . In the lower half-plane, we find regions of suppressed and enhanced transition temperatures similar to the upper half-plane, except that the region of contraction ( $T_c$  increase) occurs over a larger distance away from the inserted half-plane of atoms situated at  $x = 0$ . From this variational estimate we find that the local increase in transition temperature extends far beyond the core of the dislocation line and may be rather complex.

Throughout, we have assumed that the coupling constant  $d$  was positive. If  $d < 0$ , then the average change in transition temperature over a large region containing the dislocation core results in a suppression of  $T_c$ , that is,  $\Delta T_c < 0$ .

For an anti-dislocation with  $b \rightarrow (-b)$  in Eq. (22), the location of the regions of local compression and expansion is reversed by comparison to that of a dislocation. Thus, in the case of dislocation-anti-dislocation pairs with well

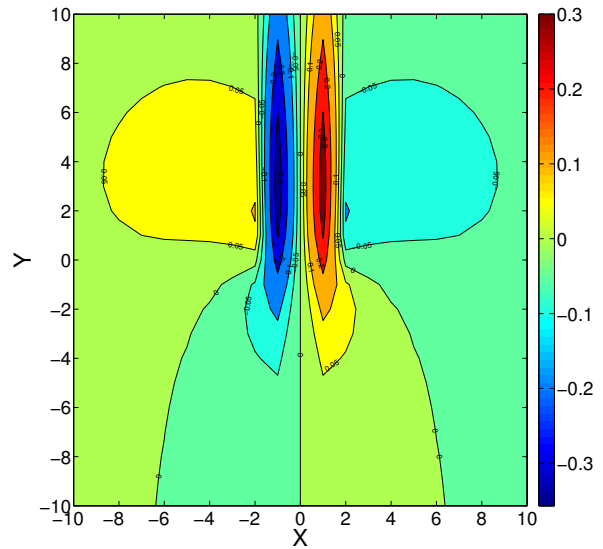


Figure 7: (Color online.) Contour map of the variational energy  $E_{var}$  as approximated by calculating a square path integral of unit-cell size around each lattice site. Negative regions correspond to an increase in the effective transition temperature  $T_c^{eff}$ , while positive regions signal a decrease in  $T_c^{eff}$  compared to the bulk value.

separated cores, irrespective of the sign of  $d$ , the average transition temperature will be elevated,  $\Delta T_c > 0$ . Depending on the sign of  $d$ , this will occur either next to either the dislocation core,  $d > 0$ , or the anti-dislocation core,  $d < 0$ .

## VI. CONCLUSIONS

In summary, we find that elastic deformations in supersolid lead to local changes in the transition temperature. For a positive coupling constant  $d$  in Eq. (2) we obtain the results:

1. Edge contraction increases the supersolid transition temperature at and near the edges.
2. Edge expansion decreases the supersolid transition temperature at and near the edges.
3. The local supersolid transition may be enhanced or suppressed near a dislocation core.

This implies the observation of interesting effects. For example, for edge contractions, we would find that, below a certain temperature that is higher than the supersolid transition temperature, a sample of supersolid would have its supersolid edges partially decouple from its bulk crystal. Of course, the effects of elastic deformations on the supersolid transition are not limited to the few selected cases studied here. For example, the same

physics applies to point defects like interstitials and vacancies, as well as to extended defects like grain boundaries and inclusions or voids. The above conclusions were based on the assumption of a positive coupling constant  $d$  in Eq. (2). Formally, for negative  $d$ , our conclusions would have been inverted- an expansion would enhance the local supersolid transition while a contraction would reduce the supersolid transition temperature.

Similar effects are found elsewhere in regions that locally expand or contract. In Ref. 24 it was shown how a dislocation condensate may generally enhance and trigger superfluid behavior via a Higgs type mechanism. In the context of torsional oscillator anomalies, we wish to briefly note that aside from dislocation dynamics<sup>14-21</sup> and an NCRI effect that may result from extended supersolid regions such as the ones that we find may accompany a dislocation core, yet another possible mechanism that will lead to a reduced torsional oscillator period is that of finite shear penetration depths<sup>24</sup> wherein dislocations screen applied external shear and thus lead to an effective reduced moment of inertia.

The presented GL approach of elastic deformations on the supersolid transition temperature is quite general. In fact, dislocation defects and lattice-mismatched interfaces in superconductors are known to create nonuniform strain and changes to the superconducting transition temperature, which have been studied extensively.<sup>47</sup> Thus, our calculations of the changes in the local transition temperature due to a nonuniform elastic strain coupling in a Ginzburg-Landau approach are not limited to supersolidity and may as well apply to superconductivity.

## VII. ACKNOWLEDGMENTS

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- $$z(\psi) = -\frac{L}{2} + \int_0^\psi \frac{d\tilde{\psi}}{\tilde{\psi}} \sqrt{-\frac{c}{a + b\tilde{\psi}^2 + \lambda(z)}}, \quad (25)$$
- for  $z < 0$ . Eq. (25) may be inverted to determine  $\psi(z)$  for negative  $z$ . For  $z > 0$ , in a system with displacements that are symmetric about  $z = 0$ ,  $\psi(z) = \psi(-z)$ .
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