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M. Lee, M. C. Cassidy, C. Ramanathan, and C. M. Marcus Phys. Rev. B 84, 035304 — Published 20 July 2011 DOI: 10.1103/PhysRevB.84.035304

Decay of nuclear hyperpolarization in silicon microparticles

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We investigate the low-field relaxation of nuclear hyperpolarization in undoped and highly doped silicon microparticles at room temperature following removal from high field. For nominally undoped particles, two relaxation time scales are identified for ambient fields above 0.2 mT. The slower, $T_{1,s}$, is roughly independent of ambient field; the faster, $T_{1,f}$, decreases with increasing ambient field. A model in which nuclear spin relaxation occurs at the particle surface via a two-electron mechanism is shown to be in good agreement with the experimental data, particularly the field-independence of $T_{1,s}$. For boron-doped particles, a single relaxation time scale is observed. This suggests that for doped particles, mobile carriers and bulk ionized acceptor sites, rather than paramagnetic surface states, are the dominant relaxation mechanisms. Relaxation times for the undoped particles are not affected by tumbling in a liquid solution.

I. INTRODUCTION

Silicon has long been a staple of the microelectronics industry and so has been the subject of intense materials research. In recent years, nanoscale silicon has attracted attention due to its unique electronic and optical properties,¹⁻³ and as a potential agent for medical imaging and drug delivery.⁴⁻⁶ Spin phenomena in silicon has been investigated using nuclear magnetic resonance (NMR) for over a half-century,⁷⁻¹⁴ while material properties and fabrication has continually developed. For instance, the nuclear spin-lattice relaxation time, T_1 , provides information about dopants and impurities.¹¹⁻¹³ Notably, the low natural abundance (4.7%) of spin-1/2 ²⁹Si nuclei in a lattice of zero spin nuclei leads to T_1 of many hours^{6,13} and coherence times up to tens of seconds¹⁴ in undoped bulk crystalline silicon.

These remarkable NMR properties have stimulated interest in silicon as a platform for solid-state quantum computing,^{15–17} and as a long-lived imaging agent for hyperpolarized magnetic resonance imaging (MRI).⁶ In particular, there have been renewed efforts to understand dynamic nuclear polarization (DNP), a process where saturation or pumping of paramagnetic impurity states by microwave fields or optical illumination can lead to nuclear spin polarizations orders of magnitude larger than thermal equilibrium polarizations.^{18–22} Enhancing polarization by these methods is commonly referred to as hyperpolarization.

Application of silicon as a hyperpolarized imaging agent for MRI requires an understanding nuclear spin relaxation over a broad range of applied fields, from high fields where polarization is induced and imaged, to low fields where the agent is transferred from the polarizer and administered. Previous detailed studies have focussed on low-temperatures, investigating the dependence of T_1 on applied magnetic field, doping^{23–25} and strain.²⁶ It was found that T_1 increased with applied magnetic field, which was explained in terms of the field dependence of electron-nuclear dipole-dipole interaction. The nuclear T_1 was also shown to scale inversely with the concentration of mobile carriers.^{12,13} In silicon nanoparticles, the observed increase in nuclear T_1 with increasing particle size was attributed to diffusion-mediated relaxation via defects at the particle surface.⁶ Recent measurements have shown that the relaxation and coherence times of ³¹P donor-bound electron spin are dramatically reduced near the silicon surface due to P_b defects, in comparison to known values in the bulk.²⁷ Studies of electrically⁹ and optically¹⁰ detected hyperpolarization of ³¹P donor nuclear spins near the silicon surface, however, do not consider effects of the surface on nuclear spin relaxation.

In this paper, we investigate the decay of hyperpolarization of ²⁹Si nuclei in silicon microparticles at room temperature and low ambient magnetic fields. The hyperpolarization for this experiment was induced by waiting for nuclear spins to fully relax to thermal equilibrium in a high-field, room temperature polarizing environment, prior to the placement of sample in the low-field depolarizing environment. Thus the sample was kept at room temperature at all times, ensuring that the applied magnetic field, not sample temperature, was responsible for all measured effects on low-field nuclear spin relaxation that are reported here.

We find the decay is bi-exponential, with a slow time scale that is independent of ambient field, and a fast time scale that shows only a modest decrease with increasing ambient field. We develop a model of nuclear spin relaxation in silicon particles that takes into account the heterogeneous makeup of the sample, with direct nuclear relaxation occurring only near the surface, while nuclei in the particle core are relaxed indirectly by spin diffusion. This model extends a previous spin diffusion model,¹⁸ which predicts T_1 increasing with the square of the particle diameter. We find that the weak magnetic field dependence observed experimentally is inconsistent with a simple extended spin-diffusion model based on relaxation on individual bound electrons. However, by generalizing the model further to include nuclear spin relaxation mediated by pairs of dipolar-coupled electrons, the essentially field-independent relaxation is recovered by the model.^{30,33–35} The experimental methods presented here, as well as the use of magnetic field dependence of nuclear T_1 as a probe for testing the relative importance of these different model processes, have precedent in a similar investigation performed on diamond and its ¹³C nuclear spin relaxation.^{30–32}

II. SAMPLES AND MEASUREMENTS

Microparticles made from nominally undoped and boron-doped ball-milled Si wafers were investigated. As shown previously⁶, undoped Si microparticles have very long high-field relaxation times $(T_1 \sim 10^4 \text{ s})$, relevant for hyperpolarized MRI. Undoped samples were produced by ball-milling high-resistivity (> $10 \,\mathrm{k\Omega \cdot cm}$) float-zone Si wafers (Silicon Quest International) followed by size separation by centrifugal sedimentation, yielding a mean particle diameter of 5 μ m.⁶ Boron-doped Si microparticles with doping density $\sim 5 \times 10^{18} \text{ cm}^{-3}$ have much faster nuclear spin relaxation, $T_1 \sim 10^2$ s at high magnetic field. The boron-doped microparticles were produced by ball-milling Czochralski-grown Si wafers (Virginia Semiconductor, resistivity 0.01-0.02 Ω ·cm) followed by size separation, yielding a mean particle diameter on the order of 10 μ m. Except where noted, samples consisted of a 0.1 mL teflon tube filled with particles. All NMR measurements were carried out at $B_0 = 2.9 \text{ T}$ using a custom-built probe and spectrometer.

Polarization at 2.9 T following a saturation sequence of sixteen $\pi/2$ pulses was measured as a function of time, $t_{\rm pol}$, using a Carr-Purcell-Meiboom-Gill (CPMG) sequence^{36–38}, $(\pi/2)_X - [t/2 - (\pi)_Y - t/2 - \text{echo}]^n$ with t = 1 ms and n = 400. After each data point, the sample was re-saturated and the measurement repeated. As seen in Figs. 1(a) and 4(a), the build-up of polarization is well described by a single exponential function of $t_{\rm pol}$ for both undoped and doped samples.

Depolarization at low ambient fields was measured after first polarizing at 2.9 T for 8 h (~ $3T_1$ at 2.9 T), then raising the probe out of the magnet bore to a position where ambient (or holding) fields of 0.2 mT, 6 mT, 130 mT, and 300 mT had been previously calibrated using a Lakeshore 460 gaussmeter. In addition, a nominal zero-field measurement used a commercial zero-gauss chamber (Lakeshore 4060) with ambient field below 1 μ T. Following depolarization in ambient field, the sample was returned to field center (2.9 T) and the remaining nuclear polarization was measured using the CPMG sequence described above. The transit time between positions was ~5 s, fast compared to T_1 but slow compared to nuclear Larmor times. The sample was fully repolarized following each data point.

In addition to NMR measurements, room temperature electron spin resonance (ESR) measurements were carried out to characterize electronic defects and carriers of the two sample types. The ESR spectrum of the undoped sample showed a single peak at B = 324 mT measured at ESR frequency f = 9.099 GHz, corresponding to a g-factor centered at 2.006, with a linewidth of 0.47 mT. The ESR spectrum of the boron-doped sample showed a single peak at B = 336 mT measured at ESR frequency f = 9.444 GHz, again corresponding to a g-factor of 2.006. A g-factor of 2.006 is consistent with reported values for P_b defects at the Si-SiO₂ interface, and is not consistent with g-factors of other common dopants or oxide states.^{39,40}

For each sample, an estimate of the electron spin concentration on the particle surface was made by taking ESR spectra with a piece of phosphorus-doped silicon wafer $(0.01 - 0.1 \ \Omega \cdot cm)$ also inserted in the spectrometer cavity. The addition of the wafer piece resulted in the appearance of additional ESR signal due to the ntype doping. Comparing the peak areas and using the known doping level of the wafer piece yielded an order-ofmagnitude estimate of the mean volume density of defect spins, which was $\sim 10^{18}$ cm⁻³ in both samples. Assuming a surface-to-volume ratio of 300 nm-radius spheres, this mean volume density corresponds to a surface defect concentration of 10^{13} cm⁻². Transmission electron micrographs reveal an oxide layer of 2-3 nm at the surface of the ball milled particle. The relevant Pb defects presumably reside at the interface between the Si and this oxide layer.

A. Undoped Microparticles: Measurements

Polarization and depolarization data for the undoped microparticles are shown in Fig. 1. Polarization is well described by a simple exponential, $P = P_{0,B_0} (1 - e^{-t_{\text{pol}}/T_1})$, with best-fit value $T_1 = 8200 \pm 600$ s, consistent with previous measurements.⁶ Equilibrium polarization is small, $P_{0,B_0} = \tanh[(\hbar \gamma_n B_0)/(2k_B T)] = 2 \times 10^{-6}$. Here, k_B is Boltzmann constant, T = 300 K is room temperature, and $\gamma_n = 5.31 \times 10^7 \, s^{-1} \, T^{-1}$ is the nuclear gyromagnetic ratio for ²⁹Si.

In contrast to the build-up of polarization at 2.9 T, depolarization at low ambient fields, B_{dep} , decays with two distinct time scales, which we characterize using a bi-exponential form,

$$P = P_{0,B_{dep}} + (P_{0,B_0} - P_{0,B_{dep}})$$
(1)

$$\times \left((1 - \alpha)e^{-t_{dep}/T_{1,f}} + \alpha e^{-t_{dep}/T_{1,s}} \right),$$

where $T_{1,f}$ and $T_{1,s}$ are fast and slow relaxation times, and α is the fraction of spins whose polarization decays slowly. Best-fit values of α , $T_{1,f}$ and $T_{1,s}$ are shown in Table I. Note that the slow relaxation time depends very weakly on $B_{\rm dep}$, with $T_{1,s} \sim 1.4 \cdot 1.5 \times 10^3$ s in all cases. In contrast, fast relaxation becomes somewhat faster (shorter $T_{1,f}$) with increasing $B_{\rm dep}$, while the fraction of slow relaxers increases.



Figure 1: Relaxation of nuclear spin polarization of undoped silicon particles. (a) Recovery of spin polarization following saturation at $B_0 = 2.9$ T. (b) Decay of nuclear magnetization at $B_{dep} = 0.2$ mT (circles), 6 mT (open squares), and 300 mT (triangles), after polarization at 2.9 T, and same data for particles suspended in methanol at 6 mT (filled squares). Curves show best fits to Eq. 1. No signal was observed after 1 second for data taken in the zero-field chamber (< 1 μ T).

Inside the zero-gauss chamber the residual ambient magnetic field is specified to be below the few- μ T scale, much weaker than the typical nuclear dipolar field, $B_{n,dd} \sim \mu_0 \mu_n / (4\pi a^3) = 0.08 \text{ mT}$, where $a \sim 4 \text{ Å}$ is the mean separation between randomly distributed ²⁹Si nuclei. At such extremely low fields, spin transitions will occur that are forbidden when $B > B_n$ by the conservation of nuclear Zeeman energy, and nuclear spin relaxation is as fast as decoherence.⁴¹ As expected, we find that in the zero-gauss chamber nuclear polarization decays very quickly, with no visible signal for $t_{dep} > 1 \text{ s}$.

To investigate the effect of microparticle tumbling on depolarization, a fluid mixture of one part Si microparticles and four parts methanol by weight was compared to a sample of packed dry powder. Depolarization times at 6 mT ambient field showed no significant difference in relaxation times.

B. Undoped Microparticles: Model

We interpret NMR and ESR data for the undoped microparticles within a model shown schematically in Fig. 2, comprising nuclear dipolar diffusion in a core region and relaxation via paramagnetic sites in a shell region near the Si/SiO_2 interface. In undoped silicon, direct cou-

Table I: Exponential weights and relaxation times fitting to experimental data. $T_{1,f}$ and $T_{1,s}$ are fast and slow relaxation times with which two additive components of the spin polarization decay. α is the relative amplitude of the component which decays at $T_{1,s}$.

pling of ²⁹Si nuclear spins to phonons is weak^{11,33}, and dipolar coupling to paramagnetic impurities and defects typically dominates nuclear spin relaxation. Within our model, the bi-exponential form of Eq. 1 reflects the existence of two populations of nuclear spins within each microparticle: a fraction α , located in the core of the particle, has a long spin relaxation time $T_{1,s}$ mediated by nuclear spin diffusion; the remaining fraction $(1 - \alpha)$, located within a shell near the Si/SiO₂ interface, has a short relaxation time $T_{1,f}$ mediated by electron-nuclear spin interaction associated with paramagnetic centers at the Si-SiO₂ interface.

For $B_{\rm dep} > B_{\rm n,dd}$, the nuclear dipolar spin diffusion rate is independent of magnetic field,²² and is well described by a diffusion constant $D = Wa^2 \sim a^2/(50 T_2)$, where W is the probability of a flip-flop transition between nuclei due to dipole-dipole interaction, and T_2 is the nuclear decoherence time.³³ This is consistent with our observation that $T_{1,\rm s}$ is roughly independent of $B_{\rm dep}$ across a broad range of values.

The thickness of the shell region is set by the nuclear spin diffusion radius, $\beta = (C/D)^{1/4}$, where C is a constant describing the nature of the dipolar interaction oc-



Figure 2: Model showing the spin reservoirs and relaxation pathways in undoped silicon particles. Nuclei in the core region of the particle relax by transferring their magnetization to the nuclei in the shell region, nearer to the surface, by spin diffusion. The nuclei near the surface can relax quickly due to strong dipolar coupling to paramagnetic defects at the Si/SiO_2 interface.

curring between the nuclei and electrons. Nuclei situated at a distance r from the electrons at the particle surface may relax through a dipolar interaction with the electrons at a rate $\propto C/r^{6}$.³³

We consider two physical mechanisms of relaxation in shell region, one in which each nuclear spin interacts with a single electron spin, and the other in which each nuclear spin interacts with a pair of electron spins. The twoelectron model captures a crucial physical mechanism by allowing energy matching between electron-spin-pair flipflops and nuclear spins flips in a magnetic field, leading to efficient nuclear relaxation with weak dependence on magnetic field.

Modeling nuclear spin relaxation as arising from interaction with individual paramagetic centers is appropriate for sparsely distributed impurities. This regime has been studied experimentally, for instance, in diamagnetic crystals such as $\text{LiF}^{42,43}$ and CaF_2 .⁴⁴ In this case, the orientation-averaged dipole interaction strength is given by

$$C_{(1e)} = \frac{3}{10} \frac{\hbar^2 \gamma_e^2}{T_{1e} B_{\rm dep}^2},$$
(2)

where γ_e is the gyromagnetic ratio of the electron and T_{1e} the electron spin-lattice relaxation time.³³ This model yields a magnetic field dependence of diffusion-limited relaxation $(R \gg \beta)$ at least as strong as $T_{1,s} \propto B_{dep}^{1/2}$ because the diffusion radius β and relaxation rate \propto C depend explicitly on field, even when D is field independent.^{33,44,45} This prediction is incompatible with our experimental results, which show a much weaker field dependence.

In light of this inconsistency, we consider a model of nuclear relaxation at the particle surface that includes three-spin processes involving pairs of interacting electrons, which gives

$$C_{(2e)} = \frac{3}{10} \frac{\hbar^2 \gamma_e^2}{B_{\rm dep}^2} \frac{B_{\rm dep}^2 \gamma_n^2 T_{2e}}{1 + B_{\rm dep}^2 \gamma_n^2 T_{2e}^2} \int_{-\infty}^{\infty} \frac{g(\omega)g(\omega - \omega_n)}{g(0)} \,\mathrm{d}\omega,$$
(3)

where T_{2e} is the electron spin-spin coupling and $g(\omega)$ is the normalized electron absorption lineshape function.^{30,34} This model has been previously applied to systems with more concentrated paramagnetic impurities, including $La_2Mg_3(NO_3)_{12} \cdot 24H_2O^{46,47}$ and more recently ¹³C nuclear spin relaxation in diamond.^{30,31} This model accounts for flip-flop transitions between nearby electron pairs, occurring on a time scale $T_{2e} \ll T_{1e}$, which provide the fluctuating magnetic field that can flip nuclear spins. That is, when the dipolar coupling of electron pairs matches the nuclear Zeeman energy, a threespin interaction can occur that exchanges the spins of the two electrons while flipping a nuclear spin.⁴⁸ This process depends on the density of transitions between electronic dipolar energy states that match the nuclear Zeeman energy. For a Lorentzian electron lineshape, the integral in



Figure 3: Experimental slow (black circle) and fast (black triangle) relaxation times, $T_{1,s}$ and $T_{1,f}$, from Table I, for bi-exponential nuclear spin relaxation, along with simulation results for a model that includes either one-electron or two-electron processes.

(3), which describes the probability of finding two electrons within the ESR line differing in frequency by ω_n , can be replaced by $2/(4 + \omega_n^2 T_{2e}^2)$. For low $B_{\rm dep}$, such that $\gamma_n B_{\rm dep} < \gamma_e B_{\rm e,dd} \sim T_{2,\rm e}^{-1}$, where $B_{\rm e,dd}$ is the electronic dipole field felt by a typical nucleus, the density of nuclear-spin-flip transitions will be independent of $B_{\rm dep}$, hence the rate of direct nuclear spin relaxation by this process will also be independent of $B_{\rm dep}$.

We infer an upper bound for $B_{\rm e,dd}$ from the measured ESR linewidth of 0.47 mT, which gives $\gamma_n^{-1}\gamma_e B_{\rm e,dd} \sim$ 1.7 T for the scale of $B_{\rm dep}$ below which nuclear T_1 should be roughly field independent within this threespin model. The low end of the field-independent range is set by the nuclear dipolar field $B_{\rm n,dd} \sim 0.08$ mT. This range is consistent with the experimental observation that $T_{1,\rm s}$ is roughly field independent from 0.2 mT to 300 mT. Weak field dependences of $T_{1,\rm f}$ and α are likely due to the weak field dependence of T_{1e} .

Very close to each paramagnetic impurity, nuclear spin diffusion is suppressed, creating a barrier to diffusion of radius $b = a(\hbar \gamma_e^2 B_{\rm dep}/\gamma_n 2k_{\rm B}T)^{1/4}$, due to gradients in the nuclear Larmor frequency.³³ This effect is included in the simulations (described below), but because $\beta \gg b$ it only weakly affects overall relaxation times.

We have simulated nuclear spin relaxation as a function of ambient field for a spherical silicon microparticle with native ²⁹Si concentration and paramagnetic sites at the surface. For both one and two electron processes, the average polarization $P(t_{dep})$ is approximately bi-exponential, similar to the experimental data. Fits to the relaxation curves gave $T_{1,s}$ and $T_{1,f}$ values shown in Fig. 3. The particle diameter was taken to be 700 nm, smaller than the actual mean particle size. This compensated the spherical assumption, which gave too small of a surface where defects reside. Other parameters in the model were nuclear T_2 of 10 ms (and corresponding nuclear spin diffusion constant 0.3 nm²/s), electron T_{1e} of 200 ns, and electron T_{2e} of 25 ns. Figure 3 shows the striking contrast in field dependence between the two models, and that the three-spin process compares relatively well with experiment.

C. Results for Boron-doped Microparticles

Nuclear polarization and depolarization of borondoped Si microparticles are shown in Fig. 4. Both $P(t_{pol})$ and $P(t_{dep})$ are well fit by single-exponential relaxation curves with time constants T_1 that increases with increasing ambient field. The reduction of T_1 by 1-2 orders of magnitude in the doped case reflects the dominant contribution of nuclear relaxation from mobile carriers,¹² as well as from unionized dopants distributed uniformly through the particle instead of just at the surface (Fig. 5), both bypassing the relatively slow nuclear spin diffusion process. The measured T_1 in the microparticles is consistent with previous reports of the nuclear relaxation rate due to mobile holes in bulk p-type silicon doped to similar concentrations¹³, and so we therefore conclude that the dominate nuclear relaxation mechanisms are the same as in these bulk systems.

The contribution of unionized dopants and mobile carriers can be expressed as an additional relaxation rate that is proportional to the relative strength of each interaction weighted by the relative fractions of ionized and unionized dopants ($\sim 5\%$ ionized at room temperature). For free carriers this interaction is independent of magnetic field,¹² while for unionized dopants a magnetic field dependence will be present due to the paramagnetic nature of the bound hole. However, this dependence will be small due to the high doping concentration of the sample. For the specified dopant density, the average distance between dopants is ~ 2.5 nm and the Bohr radius $\sim 1.3 \text{ nm}^{49}$, both an order of magnitude less than the diffusion radius for both single electron and two-electron processes across the range of ambient fields under study. It is likely that this is the source of the small magnetic field dependence seen in Fig. 4(b).

III. CONCLUSION

We have investigated the relaxation of polarization of natural-abundance ²⁹Si nuclei in undoped and doped silicon microparticle samples as a function of ambient magnetic fields at room temperature. In undoped micronscale particles, the decay of nuclear polarization from a hyperpolarized value was measured from microtesla to 0.3 T. For fields stronger than the nuclear dipolar field, a bi-exponential decay of nuclear magnetization was observed, with a fast component of ~ 10² s that depends weakly on field and a slow component of ~ 10⁴ s that



Figure 4: Nuclear spin lattice relaxation in boron-doped silicon microparticles. (a) Recovery of spin polarization after saturation at $B_0 = 2.9$ T. (b) Decay of nuclear magnetization at $B_{\rm dep} = 6$ mT (open square), and 130 mT (triangle), after polarization at 2.9 T. Error bars in (a) are displayed but smaller than the data points shown.



Figure 5: Rate model showing dominant nuclear relaxation pathways of direct relaxation on mobile holes and short diffusion to unionized B (i.e., bound holes) throughout the bulk of the particle. Long distance spin diffusion to surface impurities is relatively slow and direct coupling of the nuclei to the lattice is weak.

is roughly field independent. The relative amplitude of the slowly decaying component increases with the magnetic field, but only slightly. Bi-exponential relaxation suggests the presence of two nuclear spin baths distinguished by their proximity to paramagnetic impurities at the particle surface. The timescales of nuclear spin relaxation are largely independent of ambient field B_{dep} from 0.2 to 300 mT. This independence from B_{dep} is quantitatively consistent with a model of nuclear spin relaxation dominated by a three-spin mechanism in which flip-flop transitions of two electrons at the nuclear Larmor frequency flip a nuclear spin. In highly doped silicon, simple exponential relaxation with a faster T_1 of order a few hundred seconds is observed.

These results can be compared with similar experiments performed in diamond, another material with dilute (1.1 %) spin-1/2 nuclei among a majority of zero-spin nuclei in an fcc lattice.^{28–30}. Measurement of the nuclear T_1 in natural diamond as a function of magnetic field indicate a contribution of three-spin processes to ¹³C spin relaxation.³⁰ Other investigations of nuclear spin relaxation in ¹³C-enriched diamond³¹ and in nanocrystalline diamond³² have shown that the relaxation of nuclear magnetization was not well described by a simple exponential approach to equilibrium. The NMR studies in nanocrystalline diamond revealed that the particles consist of a crystalline core and a surrounding shell. All these observations in diamond are similar to our results in silicon microparticles.

Finally, we comment that multi-hour and fieldindependent nuclear relaxation times for a substantial fraction of the nuclear spin population, including for particles in a fluid suspension, is important for for the use of silicon microparticles as a hyperpolarized MRI imaging agent.

Acknowledgments

We thank David Cory for generous access to the ESR spectrometer. We acknowledge support from the National Science Foundation under NSF-0702295, the BISH Program (CBET-0933015), and the Harvard NSF Nanoscale Science and Engineering Center. Fabrication used the Harvard Center for Nanoscale Systems (CNS), an NSF National Nanotechnology Infrastructure Network (NNIN) site (ECS 0335765). ML acknowledges support from Samsung.

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