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# Two-Dimensional Mn Structure on the GaN Growth Surface and

# Evidence for Room-Temperature Spin Ordering

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A class of striped superstructures with local hexagonal ordering has been obtained by depositing submonolayer Mn on the GaN(0001) surface. Combining scanning tunneling microcopy and first-principles theory, we find that Mn atoms incorporate into the surface and form a high-density two-dimensional  $Mn_xGa_{1-x}$  structure. The highly spin-polarized Mn d-electrons are found to dominate the surface electronic states. For the narrowest stripes, we calculate a row-wise antiferromagnetic ground state, which is observed in real-space at room temperature as a spin-induced asymmetry in the density of states. These two-dimensional magnetic structures on GaN can also be considered model systems for wide band-gap magnet/semiconductor spin injectors.

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#### I. INTRODUCTION

Two-dimensional (2D) crystalline materials, often demonstrating unique and exceptional properties not existing in the bulk, have gained tremendous interest in recent years because of their potential for revolutionizing current technology. While much research has focused on graphene, transition metal (TM) based 2D structures have also been a topic of hot interest since the interplay among exchange, spin-orbit, RKKY, and magnetostatic interactions at reduced dimension can result in novel electronic and spin structures. For example, a chiral spin spiral has been observed for a single Mn layer on W(110), 2D spin-glass ordering was reported for submonolayer Fe on InAs, and giant magnetic anisotropy has been studied in Fe<sub>50</sub>Pt<sub>50</sub> surface alloys.

Here we report a class of 2D striped structures obtained by depositing submonolayer Mn on the GaN(0001) surface. Using scanning tunneling microscopy (STM) and first-principles theory, an atomic structural model is discovered consisting of a high density 2D  $Mn_xGa_{1-x}$  surface layer with local  $\sqrt{3}\times\sqrt{3}$ -R30° ordering. The highly spin-polarized Mn d-electrons are shown to dominate the surface electronic density, which results in direct identification of Mn atomic sites within the 2D structures. In addition, an antiferromagnetic (AFM) spin alignment is observed for one of the phases due to a spin-induced asymmetry in the electronic structure.

Since Heusler type Mn-Ga alloys exhibit many magnetic phases ranging from ferromagnetic to ferrimagetic and antiferromagnetic, $^{5-8}$  we propose that the obtained structures form a model system for exploring crossovers between different 2D magnetic phases. Furthermore, the abrupt interface between the 2D layer and its substrate, along with the highly spin-polarized d-electrons, make this system of high interest for spin-injection into wide bandgap semiconductors.

#### II. EXPERIMENTS

Experiments are carried out in a ultra-high vacuum plasma-assisted molecular beam epitaxy (MBE)/STM chamber system. Commercially available MOCVD grown GaN(0001) wafers are solvent cleaned ex-situ then annealed in-situ at 650 °C under nitrogen-plasma. MBE GaN growth is carefully maintained at Ga rich conditions resulting in smooth spiral mode growth, while at the same time, avoiding Ga droplet formation. After growing ~100 nm of GaN, the substrate temperature is then lowered to ~250 °C for Mn deposition. The entire growth and deposition process is monitored by reflection high energy electron diffraction (RHEED). After Mn deposition, the samples are immediately transferred in-situ to the analysis chamber for RT-STM investigation. AC etched and electron-beam annealed W tips are used in this study. Tunneling conductance spectra are taken via a lock-in method where a small sinusoidal modulation voltage is added to the sample bias.

### III. RESULTS AND DISCUSSIONS

### A. Experimental observation of the stripe phases

Fig. 1 shows the RHEED evolution upon depositing up to 0.5 monolayer (ML) of Mn onto GaN(0001). Clear " $1\times1$ " ( $1+\frac{1}{6}$ ) streaks along [ $11\bar{2}0$ ] (see Fig. 1(b)) are observed before deposition, indicating the existence of fluidic Ga bilayer-covered terraces. <sup>9,10</sup> No apparent fractional streaks are found along [ $11\bar{2}0$ ] with Mn deposition. On the other hand, significant changes are observed along [ $11\bar{0}0$ ]. At lower than 0.3 ML coverage (see Fig. 1(c)), a split fractional streak slightly outside the  $\frac{2}{3}$  order position is observed. Approaching 0.5 ML coverage (see Fig. 1(d)), the split streak slowly merges and shifts toward the  $\frac{2}{3}$  position, together with the emergence of a weak  $\frac{1}{3}$  streak. An evolution of line-profile as a function of coverage is shown in Fig. 1(e). These clear fractional streaks indicate well-ordered superstructures developed on the GaN surface.

At lower than 0.3 ML Mn coverage, the surface is found to consist of stripe reconstructed terraces which occur in localized areas surrounded by a sea of featureless "1×1" terraces. Mn atoms evidently have a high mobility within the "1×1" surface, tending to aggregate into a few large, rather than many small, reconstructed areas. Fig. 2(a) shows a representative STM image of such reconstructed terraces. Two stripe phases along  $[1\bar{1}00]_{GaN}$  are clearly observed at this coverage, one with a narrower row-row spacing denoted as  $\alpha$ -phase, and the other with a wider row-row spacing denoted as  $\beta$ -phase. While the two phases are found to coexist at this coverage, here we only discuss details of the  $\alpha$ -phase since it represents the narrowest limit of the stripe class. Fig. 2(b) shows a zoom-in of the  $\alpha$ -phase with atomic resolution showing a clear zig-zag row structure. The unit cell (black box in Fig. 2(b)) for the  $\alpha$  phase is then determined to be 4a along  $[11\bar{2}0]$  and  $\sqrt{3}a$  along  $[1\bar{1}00]$ , a being the lattice constant of bulk GaN (3.189 Å).

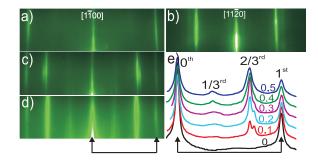


FIG. 1: RHEED evolution during Mn deposition. (a and b) starting GaN(0001)-"1 × 1" surface. (c and d) after depositing 0.3 ML and 0.5 ML of Mn, respectively. Taken along  $[1\bar{1}00]_{GaN}$ . (e) Stacked line profiles along  $[1\bar{1}00]$  (right half) as a function of Mn coverage.

Approaching 0.5 ML Mn coverage, the surface is mostly reconstructed showing wide stripes running along the three equivalent directions of  $<1\bar{1}00>$  (referred to as  $\gamma$ -phase). Fig. 2(c) shows an STM image of two neighboring reconstructed terraces with  $\gamma$ -phase domains  $120^{\circ}$  apart. The stripes are separated by sharp trench lines. A zoom-in is shown in Fig. 2(d) where a local  $\sqrt{3}\times\sqrt{3}$ -R30° structure is identified. In this particular case, each stripe consists of either 8 or 9 atomic rows, but stripes with other widths (4-6, >10) are also observed.

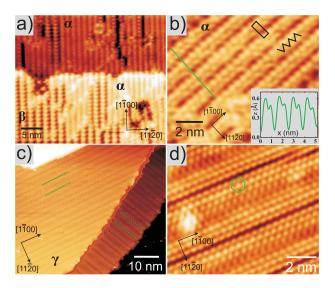


FIG. 2: a) Representative STM image showing coexistence of  $\alpha$ - and  $\beta$ -phase at  $\sim$ 0.2 ML Mn coverage. b) Zoom-in on  $\alpha$ -phase. Inset: Averaged line profile along green line. Both:  $V_s$ =-0.4 V and  $I_t$ =0.2 nA. (c) Neighboring terraces having  $\gamma$ -phase reconstructions. Green lines are for visual guidance. (d) zoom-in on the left terrace in (c). Both:  $V_s$ =-1.0 V and  $I_t$ =0.1 nA. Local contrast has been applied for better presentation in (a) and (c).

These observed stripe phases existing over a wide range of Mn coverage clearly form an important class of Mn-superstructures on the GaN surface. The class is defined by the common directionality as well as a common local geometry within the stripes. Given that the  $\alpha$ -phase is the lower limit of the stripe class with a domain width of 2 atomic rows, the  $\gamma$ -phase can then be seen as approaching the upper limit - a complete  $\sqrt{3} \times \sqrt{3}$ -R30° layer.

#### B. Computational details

To further unravel the atomic structures of these stripe phases, we have carried out calculations in the framework of periodic spin-polarized density functional theory as implemented in the PWscf code<sup>13</sup> with the generalized gradient approximation (GGA) and Vanderbilt ultra-soft pseudopotentials<sup>14</sup>. For the most stable configurations, DFT/GGA+U formalism is used with U=6 eV.  $4\times4\times1$  and  $2\times4\times1$  Monkhorst-Pack meshes are used to sample the Brillouin zone for the  $\sqrt{3}\times\sqrt{3}$ -R30° and  $4\times\sqrt{3}$  structures respectively. Kinetic energy cutoffs are chosen at 25 Ry (wavefunction) and 200 Ry (charge). A repeated slab geometry is employed, with each slab consisting of 4 GaN

double layers, one Ga bilayer plus Mn adatoms. The bottom surface is saturated with fractional pseudo H atoms. A  $\sim 10.0$  Å-thick vacuum separator is placed to avoid unwanted interaction between slabs. The bottom GaN double layer and pseudo H atoms are frozen while the other atoms are allowed to fully relax.

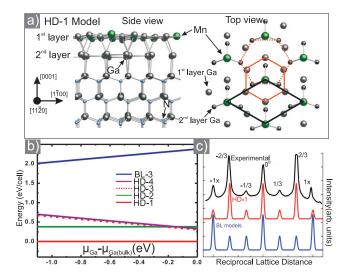


FIG. 3: a) side view and top view of HD-1 model. Black rhombus labels the surface unit cell. Orange hexagons (solid and dashed) labels the Ga configurations ( $1^{st}$  and  $2^{nd}$  layer, respectively) b) Relative formation energy calculated for 5 different models as a function of Ga chemical potential. c) Comparison of simulated RHEED intensity line profiles along [ $1\bar{1}00$ ] with experimental data.

## C. Atomic structure of the complete $\sqrt{3} \times \sqrt{3}$ -R30° layer

We begin our discussion with the upper limit - a fully completed  $\sqrt{3} \times \sqrt{3}$ -R30° structure. The simplest configuration to consider is one consisting of a  $\sqrt{3} \times \sqrt{3}$ -R30° arrangement of Mn adatoms on a Ga bilayer having GaN-bulk-like density; we refer to this model as BL-1. However, BL-1 is quickly ruled out since the Mn adatoms are found to be unstable, sinking into the Ga layer. We next consider a model (referred to as BL-2) in which Mn atoms replace Ga atoms in a  $\sqrt{3} \times \sqrt{3}$ -R30° arrangement within the first Ga layer (with GaN-bulk-like density), ejecting the Ga's into adatom positions. A similar model was proposed by Qi *et al.* for their reported honeycomb structure. <sup>11</sup> But surprisingly, we find that upon relaxation, the Ga adatoms sink into the MnGa layer, leading to a complete lateral atomic rearrangement.

The instability of such bulk-like/adatom models leads us to a new high-density and two dimensional model (refered to as HD-1), which is qualitatively different and found to be most stable. As shown in Fig. 3(a), the HD-1 model consists of Mn atoms in essentially the same plane as the first Ga layer (side view), and as seen in top view consists of one Mn and 3 Ga's per  $\sqrt{3}\times\sqrt{3}$ -R30° unit cell. This is equivalent to an average atomic density 1.33 times that of a bulk-like surface. To accommodate this high atomic density, Ga atoms in the first layer are drawn toward the incorporated Mn atoms and rearranged into a 30°-rotated and contracted configuration with respect to the underlying lattice. Mn sits on a near-bridge site with regard to the  $2^{nd}$  layer Ga atoms, which are buffering the 2D Mn-Ga layer from the GaN surface. The Ga-Ga and Ga-Mn spacings are calculated to be 2.6-2.8 Å which is comparable to surface Ga-Ga spacings in the contracted bi-layer model proposed for the Ga-rich GaN surface by Northrup *et al.* (2.76 Å).<sup>10</sup>

To compare the energetics of various models, relative formation energies are computed based on the definition by Northrup et al.<sup>12</sup> and plotted in Fig. 3(b) for 5 different competing models. Among these, HD-2 is similar to HD-1 as described above, except for a different Mn registry (atop site v.s. near bridge site for HD-1). We explored two additional variations of HD-1 by adding one extra Ga adatom per Mn to the surface, with the Ga either neighboring a Mn (HD-3) or neighboring only Ga atoms (HD-4). Furthermore, we also considered a variation of BL-2 in which the Ga adatom is removed (BL-3). But as can be clearly seen from Fig. 3(b), the lowest energy among all configurations, by at least 0.3 eV, corresponds to the HD-1 model.

Further support for the HD-1 model over the BL models comes from the RHEED intensity line profiles. Nominally a  $\sqrt{3} \times \sqrt{3}$ -R30° structure would produce an equal-intensity  $3 \times$  along [1 $\bar{1}$ 00] and  $1 \times$  along [11 $\bar{2}$ 0]. However with the inclusion of a multi-atom basis, intensity modulation will be introduced based on the well-known structure factor equation. Applying a kinematic approach, a comparison of computed line profiles is presented in Fig. 3(c) for various

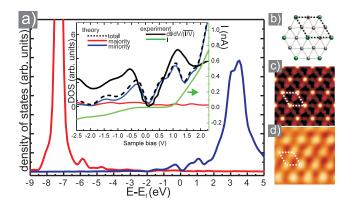


FIG. 4: a) Calculated spin-resolved local density of states (DOS) for Mn d-electrons with U=6eV. Inset: Amplified DOS compared against normalized tunneling conductance spectrum; Tunneling gap stabilized at -2 V, 0.45 nA; Lock-in modulation  $V_{mod}$ =20 mV, f=3.77 kHz. b)HD-1 model. c) calculated surface density plot for  $V_s$ =-0.1 V. d) zoom-in STM image,  $V_s$ =-0.1 V,  $I_t$ =0.1 nA.

models. We note that all the BL models produce an equal intensity  $3\times$ , in poor agreement with experiment; whereas the HD-1 model produces a pattern where the  $\frac{2}{3}$ -order streak is stronger than the  $\frac{1}{3}$ -order streak. Clearly, the HD-1 model results in a much better agreement with the RHEED observation.

To further explore the electronic properties of the  $\sqrt{3}\times\sqrt{3}$ -R30° structure, we applied a Hubbard-like localized U term to the density functional, a procedure known as DFT+U. This procedure is commonly used to correct for the delocalization inherent in the DFT method. In general, the U term results in a minute change in structure, but a significant change in the electronic properties. The first effect is a shifting of the majority and minority peaks outward from  $E_F$  toward larger energies. For example, the main majority peak shifts from  $\sim$ -2.3 eV for U = 0 eV (not shown) to  $\sim$ -7.2 eV for U = 6 eV (Fig. 4(a). The second effect is the creation of a a small dip near the Fermi level, shown more clearly in the inset to Fig. 4(a). The calculated density of states is compared directly to the normalized dI/dV spectrum, showing an excellent agreement.

Interestingly, while the tunneling conductance spectrum represents an average over multiple surface sites (including both Mn and Ga sites), the main features match very well with the Mn d-electron features from calculation. This implies that, although Mn and Ga atoms reside within essentially the same plane, Mn d-electrons dominate the tunneling current. This dominance can be directly visualized in Fig. 4(c), a plot of the surface electronic density 1.5 Å from the surface, integrating over an energy window from  $E_F$  to -0.1 eV below  $E_F$ . The density plot can be directly compared to the zoom-in STM image shown in Fig. 4(d) taken at  $V_s$ =-0.1 V, showing an excellent match. Thus we interpret the protrusions of the STM image to directly correspond to Mn atomic sites.

## D. Atomic structure of the $\alpha$ -phase and its spin ordering

We next consider the  $\alpha$ -phase in light of the HD-1 structure found for the  $\sqrt{3} \times \sqrt{3}$ -R30°. In fact, the  $\alpha$ -phase zig-zag row turns out to consist of a local  $\sqrt{3} \times \sqrt{3}$ -R30° structure as well, as seen in the  $4 \times \sqrt{3}$  model shown in Fig. 5(a). Not surprisingly, we find a high-density two-dimensional structure having Mn and Ga atoms residing in the same surface layer to be again most energetically favorable. Moreover, the Ga atoms in the vicinity of the zig-zag rows are in a contracted and rotated arrangement very similar to the HD-1 model. Within these regions, the Mn density is as high as for the HD-1 structure. On the other hand, the trenches between zig-zag rows are devoid of Mn atoms. Fig. 5(c) shows a surface density plot which is compared to a zoom-in STM image (Fig. 5(b)), showing that the prominent protrusions again correspond directly to the Mn sites.

Clearly then, the trench features separating the wide stripe domains ( $\gamma$ -phase) at higher coverage (Fig. 2(d)) can also be interpreted as regions devoid of Mn. The variation in domain width observed in the experiments (4-6, 8-9, and >10 atomic-row-wide) is then explained to be due to variations in the average Mn:Ga surface stoichiometry. As the Mn concentration is increased from that of the  $\alpha$ -phase (0.25 ML) toward that of a complete  $\sqrt{3} \times \sqrt{3}$ -R30° layer (0.33 ML), more Mn rows are packed into each domain, resulting in wider stripe width.

While the two Mn atoms in the  $4\times\sqrt{3}$  unit cell are structurally nearly identical, one may notice an asymmetry in the constant-current STM image, namely atom Mn<sub>1</sub> is shown brighter than atom Mn<sub>2</sub> by about 27%. This effect can be more clearly seen in the line profile inset in Fig.2(b). Seeking to explain this asymmetry, we carried out spin-polarized calculations for the  $4\times\sqrt{3}$  model, and found that a row-wise AFM configuration is energetically most

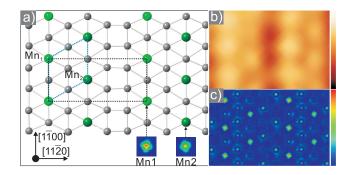


FIG. 5: a) Top view of  $4 \times \sqrt{3}$  model for the  $\alpha$ -phase. Green are surface Mn atoms and Gray are surface Ga atoms. b) zoom-in STM image taken at  $V_S$ =-0.4 V and  $I_t$ =0.1 nA. c) Surface density plot integrated over an energy window from the Fermi level to 0.4 eV below. Note the different electronic density for Mn<sub>1</sub> and Mn<sub>2</sub> atoms represented by different colors (zoom-in shown in a)).

favorable compared to non-magnetic and ferromagnetic states. In this AFM configuration,  $Mn_2$  spins are aligned antiparrallel with  $Mn_1$  spins. Surprisingly, we find a direct influence of the spin ordering on the spin-averaged electronic structure. A small shift in the majority density of states close to the Fermi level is found between  $Mn_1$  and  $Mn_2$ , which then results in an asymmetry in the local electronic density when integrated over a small energy window below the Fermi level. As shown more clearly in the zoom-in surface density plots in Fig. 5(a),  $Mn_1$  is calculated to possess a higher electronic density compared to  $Mn_2$ . The asymmetry in the electronic density amounts to about 25% for a bias voltage of -0.4 V, in excellent agreement with the experiment.

Finally, we note that the high-density  $\sqrt{3} \times \sqrt{3}$ -R30° Mn-Ga layer provides an ideal template for L1<sub>0</sub>-MnGa growth. The  $\sqrt{3} \times \sqrt{3}$ -R30° unit cell is almost identical to a 2×2 supercell on the L1<sub>0</sub>-MnGa(111) plane.<sup>5</sup> We therefore propose that the  $\sqrt{3} \times \sqrt{3}$ -R30° structure serves as an abrupt and perfect interface for MnGa epilayers on top of GaN(0001).

#### IV. CONCLUSIONS

To conclude, we have obtained a class of stripe phase 2D Mn structures by depositing submonolayer amount of Mn on the GaN(0001)-"1×1" surfaces at slightly elevated temperatures. Atomic models consisting of a 2D Mn-Ga surface layer in  $\sqrt{3} \times \sqrt{3}$ -R30° arrangements are derived from a combination of first-principles theory and STM observations. The surface Mn atomic sites are directly revealed by the protrusions in the STM images, due to the dominance of the Mn d-electrons on the surface electronic density. Furthermore, a row-wise AFM spin ordering, reflected as an asymmetry in the spin-averaged Mn electronic states, is observed in real-space for the least Mn-containing  $\alpha$ -phase. Varying the Mn concentration results in a change in the stripe width which can be expected to influence, possibly strongly, the spin ordering within the stripes. The obtained 2D structures therefore represent an intriguing magnetic system, as well as a promising material for studying spin injection into wide band-gap optoelectronic devices.

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