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1                   **Control of magnetic anisotropy by epitaxial strain**  
2                   **in n-type ferromagnetic semiconductor (In,Fe)Sb**

3  
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14

15   **ABSTRACT**

16       We report the strain dependence of magnetic anisotropy in *n*-type ferromagnetic  
17 semiconductor (FMS) (In,Fe)Sb thin films grown on different buffer layers; ranging from an InSb  
18 buffer layer that induces in-plane tensile strain, to AlSb, GaSb, and InAs buffer layers that induce  
19 an increasing order of in-plane compressive strain. Using ferromagnetic resonance (FMR)  
20 measurements and theoretical fittings, we show that the magneto-crystalline anisotropy constant  
21 ( $K_i$ ) changes its sign, corresponding to a change in its preference for in-plane magnetization easy  
22 axis to a perpendicular magnetization easy axis, when the epitaxial strain is changed from tensile  
23 to compressive. Meanwhile, the shape anisotropy constant ( $K_{sh}$ ), which favors an in-plane  
24 magnetization easy axis has larger contribution over  $K_i$ . Thus, the effective magnetic anisotropy  
25 ( $K_{eff} = K_i + K_{sh}$ ) results in in-plane magnetic anisotropy in all our (In,Fe)Sb thin films. Our study  
26 presents the first observation of FMR in the *n*-type FMS (In,Fe)Sb at different temperatures and  
27 under various strain conditions. We discuss the origin of the strain-dependent magnetization  
28 anisotropy of (In,Fe)Sb with the help of a band structure model while taking *p-d* hybridization into  
29 account.

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## 32 I. INTRODUCTION

33 Ferromagnetic semiconductors (FMSs), exhibiting both the properties of ferromagnets and  
34 semiconductors, are an attractive choice of materials for developing semiconductor-based  
35 spintronics devices [1-3]. One of the major driving motivations is their good compatibility with  
36 the current semiconductor technology, particularly with the III-V semiconductor family. FMSs  
37 provide new functions that are difficult to realize in metallic ferromagnets such as electrical control  
38 of magnetization [3] and band engineering of magnetic structures to form p-n junctions or low-  
39 dimensional quantum structures [2]. FMSs are also potentially better candidates than their metallic  
40 counterparts for performing spin injection into semiconductor channels without suffering from the  
41 problem of conductivity mismatch [4]. This advantage omits the necessity of introducing a tunnel  
42 barrier at the FMS / semiconductor interface, and thus lowers the parasitic resistances, promisingly  
43 leading to higher spin-valve magnetoresistance in spin-based MOSFETs [5].

44 For about two decades, there have been extensive studies on the various properties of Mn-  
45 doped FMSs, especially prototypical (Ga,Mn)As [6-8], from new devices such as spin-diodes  
46 [9,10], magnetic tunnel junctions [11,12], planar Hall effect devices [13], to new functionalities  
47 such as spin-pumping [14] and electrical spin injection [15-18]. However, (In,Mn)As and  
48 (Ga,Mn)As exhibit low Curie temperature ( $T_C \sim 90\text{K}$  [19] and  $200\text{K}$  [20] respectively) and only  
49  $p$ -type carriers. These drawbacks significantly hinder the applications of these Mn-doped FMSs,  
50 especially those to be used at room temperature.

51 To solve these problems, a new family of III-V ferromagnetic semiconductors wherein iron  
52 (Fe) is used as the magnetic dopant has been developed [21-32]. These materials include  $p$ -type  
53 (Ga,Fe)Sb [21,22,24,27],  $n$ -type (In,Fe)Sb [23,24,26,27,29],  $n$ -type (In,Fe)As [24,25,30,31], and  
54 insulating (Al,Fe)Sb [28]. (Ga,Fe)Sb and (In,Fe)Sb exhibit  $T_C$  as high as  $340\text{K}$  [22] and  $385\text{K}$

55 [29], respectively, while (In,Fe)As thin films grown on off-cut substrates also show  
56 ferromagnetism above room temperature (300 K) [33]. (In,Fe)As is the first n-type FMS where a  
57 large spontaneous spin splitting energy (30 – 50 meV) in the conduction band has been observed  
58 [31,32]. Therefore, these Fe-doped FMSs can potentially overcome the longstanding problems in  
59 FMSs, paving ways towards practical semiconductor-based spintronics devices.

60 With new FMSs available, it is imperative to clarify their magnetic anisotropy for fundamental  
61 understanding and device applications. Magnetic anisotropy is a crucial parameter of a  
62 ferromagnetic material to minimize the power consumption in fundamental operations including  
63 magnetization reversal. Spintronics devices, such as magnetic tunnel junctions [34-37], spin-  
64 diodes [38,39] and spin-transistors [40,41], require FMSs with magnetic anisotropy that is both  
65 strong, for maintaining stable magnetized orientations, and highly controllable, for implementing  
66 efficient magnetization switching. In the past, the magnetic anisotropy of Mn-doped FMSs [42-  
67 51] was studied intensively by varying the strain and the hole concentration. For Fe-doped FMSs,  
68 studies on the magnetic anisotropy of *p*-type (Ga,Fe)Sb [52-54] were reported recently. However,  
69 there has been no such study for *n*-type FMSs, except for ref. [29] which was not comprehensive.  
70 It is expected that *n*-type FMSs exhibit weaker magnetic anisotropy than their *p*-type counterparts  
71 because the conduction band is generally more isotropic than the valence band. In this paper, we  
72 report the first systematic investigation of the magnetic anisotropy of an *n*-type FMS, (In,Fe)Sb  
73 (Fe concentration: 15%,  $T_C = 260 - 310$  K), at high temperatures (300 K and 150 K). We study the  
74 effect of epitaxial strain on the magnetic anisotropy of (In,Fe)Sb using ferromagnetic resonance  
75 (FMR) measurements, and determined the anisotropy constants.

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77

## 78 II. SAMPLE GROWTH AND CHARACTERIZATION

79 We grew four samples, namely sample A – D, of  $n$ -type FMS ( $\text{In}_{0.85}\text{Fe}_{0.15}\text{Sb}$ ) with an Fe  
80 concentration fixed at 15% on semi-insulating GaAs(001) substrates by low-temperature  
81 molecular-beam epitaxy (LT-MBE). As shown in Fig. 1(a) – (d), the samples (A – D) comprise a  
82 15-nm-thick (In,Fe)Sb thin film grown on four types of buffer layers: InSb, AlSb, GaSb and InAs,  
83 respectively, which induce in-plane tensile strain (InSb) and compressive strain (AlSb, GaSb and  
84 InAs) to the (In,Fe)Sb films.

85 In all the samples, we first grew a 100-nm-thick GaAs layer on S.I. GaAs substrate at a  
86 substrate temperature  $T_S = 550^\circ\text{C}$ . After that, for sample A, B and D we grew a 10-nm-thick AlAs  
87 layer at the same  $T_S$ . Next, we grew a 100-nm-thick AlSb layer at  $T_S = 470^\circ\text{C}$ . For sample A (D),  
88 we grew a 100-nm-thick InSb layer at  $T_S = 400^\circ\text{C}$  (400-nm-thick InAs at  $T_S = 470^\circ\text{C}$  for sample  
89 D) on top of the AlSb layer. For sample C, after the growth of GaAs, a 200-nm-thick GaSb layer  
90 was grown directly on GaAs at  $T_S = 470^\circ\text{C}$ . Finally, a 15-nm-thick ( $\text{In}_{0.85}\text{Fe}_{0.15}\text{Sb}$ ) layer was grown  
91 on the top of the buffer layers with a growth rate of  $0.5\ \mu\text{m}/\text{h}$  at  $T_S = 240^\circ\text{C}$ . The growth process  
92 was monitored *in situ* using reflection high-energy electron diffraction (RHEED), which are shown  
93 in the lower panels of Fig. 1 (a) – (d). The (In,Fe)Sb thin films show relatively bright and streaky  
94 RHEED patterns, thereby indicating good two-dimensional growth of zinc-blende crystal  
95 structures in all the samples.

96 Next, we estimate the lattice constants of the (In,Fe)Sb layers in samples A – D by using  $\omega -$   
97  $2\theta$  measurements of X-ray diffraction (XRD), whose results are plotted in Fig. 2 (a) – (d). All the  
98 samples show a sharp GaAs (004) peak and an AlSb (004) peak (except sample C). In samples B,  
99 C and D, the (In,Fe)Sb (004) peak is clearly visible, while in sample A, there is a broad peak

100 comprising both the (In,Fe)Sb (004) peak and the InSb (004) peak. From the peak positions, we  
 101 estimate the intrinsic lattice constants of (In,Fe)Sb ( $a_{\text{InFeSb}}$ ) and of the buffer layer ( $a_{\text{buffer}}$ ) (see  
 102 section 1 in Supplemental Material [55]). We define the epitaxial strain as  $\varepsilon =$   
 103  $\frac{a_{\text{InFeSb}} - a_{\text{buffer}}}{a_{\text{InFeSb}}} \times 100$  (%). The XRD results indicate compressive strain in sample B (AlSb,  $\varepsilon : +2.3$   
 104 %), C (GaSb,  $\varepsilon : +2.98\%$ ), and D (InAs,  $\varepsilon : +3.54\%$ ), and tensile strain in sample A (InSb,  $\varepsilon :$   
 105  $-1.3\%$ ). Thus, we can vary the epitaxial strain induced in (In,Fe)Sb by choosing appropriate buffer  
 106 layers.

107 Then, we characterized the magnetic properties of the (In,Fe)Sb thin films using magnetic  
 108 circular dichroism (MCD) spectroscopy and superconducting quantum interference device  
 109 (SQUID) magnetometry. To confirm that the ferromagnetism in the samples arises only from  
 110 (In,Fe)Sb, we measure the MCD spectra of the samples. The MCD intensity can be expressed as  
 111  $(90/\pi)[(R_+ - R_-)/(R_+ + R_-)] \propto \Delta E(1/R)(dR/dE)$ , where  $R$  is the reflectivity and  $R_+$  and  $R_-$  are the  
 112 reflectivities for right ( $\sigma^+$ ) and left ( $\sigma^-$ ) circularly polarized light, respectively,  $E$  is the photon  
 113 energy, and  $\Delta E$  is the Zeeman splitting energy which is proportional to the magnetization  $M$ . As  
 114 the MCD intensity is  $\propto \Delta E(1/R)(dR/dE)$ , it probes the spin-polarized band structure of the  
 115 material. The MCD spectrum of an intrinsic ferromagnetic semiconductor is expected to show the  
 116 spectral features of the host material along with enhanced peaks at critical points (optical energies).  
 117 On the other hand, the MCD results of a semiconductor with second-phase metallic precipitates  
 118 typically shows a broad spectrum without any particular strongly enhanced peaks at the critical  
 119 optical energies of the host material. The MCD spectra (at 5 K) are normalized by their intensity  
 120 at  $E_1$  ( $\sim 1.96 - 2.20$  eV) of the samples. Next, as shown in Fig. 3, these normalized plots are scaled  
 121 to the MCD intensity values at 1T (for all samples). The normalized MCD spectra measured under  
 122 different magnetic fields (0.2, 0.5 and 1 T) almost completely overlap on one spectrum in the

123 whole photon-energy range, indicating that the MCD spectra come from the intrinsic (In,Fe)Sb  
124 and not from second-phase precipitations. This is because magnetization of a second ferromagnetic  
125 phase, if any, would respond to the magnetic field differently from that of (In,Fe)Sb. We also see  
126 a good agreement in the normalized  $MCD - H$  characteristics measured at three photon energies  
127 [a ( $E_1 = 1.96 - 2.09$  eV), b ( $E_1 + \Delta_1 = 2.5$  eV), and c ( $2.76 - 3$  eV)] shown in Fig. S2 of Supplemental  
128 Material [55]. The slight deviations in the spectra may be due to local nanoscale Fe concentration  
129 fluctuations in the ferromagnetic (In,Fe)Sb layer, which is induced by spinodal decomposition.  
130 MCD spectra confirmed the single-phase intrinsic ferromagnetism of (In<sub>0.85</sub>Fe<sub>0.15</sub>)Sb in all the  
131 samples.

132 SQUID magnetometry is utilized to estimate the Curie temperature ( $T_C$ ) as well as to obtain  
133 saturation magnetization ( $M_S$ ) values of all samples. From the temperature dependence of  
134 magnetization ( $M - T$  curves) described in section 4 in Supplemental Material [55], the  $T_C$  for all  
135 the samples was estimated by Curie-Weiss plots. These results confirm the ferromagnetic order at  
136 room temperature in the (In,Fe)Sb thin films of samples A and B, whereas for samples C and D,  
137  $T_C$  was found to be lower than room temperature (260 – 270 K). The saturation magnetization  
138 values of the samples were extracted from the magnetic field dependence of magnetization ( $M -$   
139  $H$ ) curves of (In<sub>0.85</sub>Fe<sub>0.15</sub>)Sb at 300 K and 150 K, with the magnetic field  $H$  applied along the in-  
140 plane [110] axis (black solid circles), as shown in Fig. 4. We will use the saturation magnetization  
141 values measured in all the samples later for the estimation of the magnetic anisotropy constants at  
142 both 300 K and 150 K.

143

144

### 145 III. METHODOLOGY AND FITTING MODEL

146 We performed FMR measurements using JEOL electron spin resonance (ESR) spectrometer.  
147 For our measurements, we use 3 mm  $\times$  1 mm samples, with the  $[\bar{1}10]$  direction along the longer  
148 side and the  $[110]$  direction along the shorter side. Then, we put the sample on a quartz rod and  
149 placed it at the center of the microwave cavity where the  $TE_{011}$  resonance mode exists with a  
150 microwave frequency of 9.134 GHz. In our FMR measurements, a magnetic field ( $h$ ) of the  
151 microwave radio frequency (rf) is applied along the  $[\bar{1}10]$  direction. The direction of the dc  
152 magnetic field  $\mathbf{H}$  is rotated from the  $[001]$  to the  $[110]$  axis and defined by  $\theta_H$ , which is the angle  
153 of  $\mathbf{H}$  with respect to the  $[001]$  axis (that is perpendicular to the film plane). The crystallographic  
154 axes of the sample are illustrated in the inset in Fig. 5. We then measured FMR signals by sweeping  
155 the dc magnetic field  $\mathbf{H}$  from 0 to 0.5 T and obtained the first derivative of the microwave  
156 absorption spectrum. We note that FMR peaks were superimposed by background signals, which  
157 were detected by performing FMR measurement without any sample on a quartz rod. These  
158 background signals were later subtracted from the raw data [52]. For magnetic anisotropy  
159 measurements, we rotate  $\mathbf{H}$  from the in-plane direction ( $\mathbf{H} // [110]$ ,  $\theta_H = 90^\circ$ ) to the perpendicular  
160 direction ( $\mathbf{H} // [001]$ ,  $\theta_H = 0^\circ$ ). Since sample C and D do not show ferromagnetic behavior at 300  
161 K, only the FMR measurement results at 150 K are shown in this study. All the measurements were  
162 carried out with a microwave power of 200 mW at 300 K and 150 K.

163 We used the derivatives of Lorentzian curves to obtain the resonant field  $\mu_0 H_R$ . As for the  
164 fitting of the curves of the angular dependence of  $\mu_0 H_R$ , we used the following equations as in the  
165 case of (Ga,Fe)Sb [52]:



166 
$$E = E_{\text{eff}} + E_{\text{Zeeman}} = -K_{\text{eff}} \cos^2 \theta_M - M_S \mu_0 H \cos(\theta_H - \theta_M), \quad (1)$$

167 Here,  $E$  denotes the free energy density of the material comprising the effective magnetic  
 168 anisotropic energy  $E_{\text{eff}}$  and Zeeman energy  $E_{\text{Zeeman}}$ .  $E_{\text{eff}}$  is defined as the sum of the magneto-  
 169 crystalline ( $E_i$ ) anisotropy and shape ( $E_{\text{sh}}$ ) magnetic anisotropy energy ( $E_{\text{eff}} = E_i + E_{\text{sh}}$ ). Then, we  
 170 define:

171 
$$K_{\text{sh}} = -\frac{1}{2} \mu_0 M_S^2, \quad (2)$$

172 
$$K_i = \frac{\mu_0 M_S H_i}{2}; \text{ where, } M_{\text{eff}} = M_S - H_i, \quad (3)$$

173 Here,  $\mu_0$ ,  $M_S$ ,  $H_i$ , and  $M_{\text{eff}}$  represent the vacuum permeability constant, saturation  
 174 magnetization, magneto-crystalline anisotropy field, and effective magnetization.  $K_i$ ,  $K_{\text{sh}}$ , and  $K_{\text{eff}}$   
 175 ( $=K_i + K_{\text{sh}}$ ) are the magnetic anisotropy constants corresponding to  $E_i$ ,  $E_{\text{sh}}$ , and  $E_{\text{eff}}$ . By definition,  
 176 a positive value for the constants indicates preference for perpendicular magnetization and a  
 177 negative value indicates preference for in-plane magnetization [61,62].

178 The fitting equations for the angular dependence of the resonant field are given as

179 
$$\left(\frac{\omega}{\gamma}\right)^2 = \left[ \mu_0 H_R \cos(\theta_H - \theta_M) - \mu_0 (M_S - H_i) \cos^2 \theta_M \right] \times \left[ \mu_0 H_R \cos(\theta_H - \theta_M) - \mu_0 (M_S - H_i) \cos 2\theta_M \right], \quad (4)$$

180 
$$\mu_0 H_R = \frac{\mu_0 (M_S - H_i) \sin(2\theta_M)}{2 \sin(\theta_M - \theta_H)}, \quad (5)$$

181 where  $\omega$ ,  $\gamma$ ,  $\mu_0 H_R$ ,  $\theta_H$  and  $\theta_M$  stand for the angular frequency of magnetization precession,  
 182 gyromagnetic ratio, resonance field, out-of-plane applied magnetic field angle (angle between the  
 183 applied magnetic field and [001] axis of the sample) and out-of-plane magnetization angle.

184 Equations (4) and (5) are obtained by subjecting the free energy density  $E$  of the material (Eq.  
 185 (1)) to the Smit-Beljers' relation [63,64] and resonance conditions ( $\partial E / \partial \theta_M = 0$ ;  $\partial E / \partial$   
 186  $\phi_M = 0$ ;  $\phi_M$  denotes the in-plane magnetization angle which is not used here). Here, the  $g$ -factor is  
 187 included in the  $\gamma$  term, which stands for the gyromagnetic ratio ( $\gamma = g\mu_B/\hbar$ ), where  $\mu_B$  and  $\hbar$  are the  
 188 Bohr magneton and reduced Planck's constant, respectively. We assumed that  $E_i$  depends only on  
 189 the out of plane magnetic-field angle ( $\theta_H$ ), because the in-plane magnetic-field angle ( $\phi_H$ )  
 190 dependence of FMR was nearly isotropic in all the samples. From the data curves and fitting  
 191 equations (4) and (5), we obtained the fitting parameters  $M_{\text{eff}}$  and  $g$ . These are used in finding the  
 192 values of  $\theta_M$  and  $\mu_0 H_R$ . Saturation magnetization ( $M_S$ ) values are obtained from the SQUID  
 193 measurements (Fig. 4) and the anisotropy constants  $K_i$ ,  $K_{\text{sh}}$ , and  $K_{\text{eff}}$  are estimated.

#### 194 IV. RESULTS

195 The FMR spectra of (In,Fe)Sb in samples A – D are shown in Fig. 5 (a) – (f). The measurements  
 196 were carried out at 300 K and at 150 K. Here,  $\theta_H = 0^\circ$  and  $90^\circ$  correspond to the cases of  $\mathbf{H} // [001]$   
 197 (perpendicular) and  $\mathbf{H} // [110]$  (in-plane), respectively. This is the first observation of FMR in  $n$ -  
 198 *type* FMSs at room temperature (300 K). In all the samples, the resonant magnetic field  $\mu_0 H_R$  is  
 199 smaller in magnitude when  $\theta_H = 90^\circ$  than when  $\theta_H = 0^\circ$ , indicating that the magnetization easy axis  
 200 is in-plane in all the samples. However, we see that the difference  $\Delta(\mu_0 H_R)$  in  $\mu_0 H_R$  between  $\theta_H =$   
 201  $0^\circ$  and  $90^\circ$  varies largely between the samples as shown in Fig. 5. This suggests that magneto-  
 202 crystalline anisotropy is affected by the epitaxial strain effect induced in the (In,Fe)Sb layer by its  
 203 buffer layer underneath. We can clearly observe that sample A, where a tensile strain is applied to  
 204 (In,Fe)Sb, shows the largest  $\Delta(\mu_0 H_R)$ . By driving the strain towards compressive strain from

205 sample A to D,  $\Delta(\mu_0 H_R)$  is reduced by about 7.6 times;  $\Delta(\mu_0 H_R)$  is 78 mT in sample A and 10.25  
206 mT in sample D, as shown in Fig. 6 (d) .

207 Table I and II show the saturation magnetization ( $M_S$ ), anisotropy field ( $H_i$ ), effective  
208 magnetization ( $M_{\text{eff}}$ ) and  $g$ -factor values obtained from the fitting of FMR-angular dependence  
209 curves at 300 K and 150 K, respectively. The anisotropy constants  $K_i$ ,  $K_{\text{sh}}$ , and  $K_{\text{eff}}$  are estimated  
210 from equations (2) and (3). The trends of these constants for all the samples at 150 K are shown in  
211 Fig. 6 (a) – (c). We find that  $K_{\text{eff}}$  in all the samples are negative (by definition of the equation in  
212 our model) as shown in Fig. 6 (c), indicating the preference for in-plane magnetization. However,  
213 as the compressive strain is increased, the magneto-crystalline anisotropy constant  $K_i$  becomes  
214 larger in magnitude as shown in Fig. 6 (a). This implies that the compressive strain induces the  
215 preference for perpendicular magnetization. This is also evident from the anisotropy field values  
216 ( $H_i$ ) given in Table II, indicating that as the in-plane compressive strain on the ferromagnetic film  
217 increases, the magnitude of  $H_i$  increases. It is important to note that at 150 K, the negative  $K_{\text{sh}}$  has  
218 a much larger magnitude than  $K_i$ , resulting in the net magnetic anisotropy of in-plane in nature, as  
219 clearly illustrated by the negative values of  $K_{\text{eff}}$  in Fig. 6 (c). At 300 K, on the other hand, the  
220 magneto-crystalline anisotropy constant  $K_i$  shows a sign change from positive to negative (shown  
221 in Fig. 6 (a)) when we change the epitaxial strain induced in (In,Fe)Sb from compressive (AlSb  
222 buffer) to tensile (InSb buffer) strain. However,  $K_{\text{eff}}$  values are negative in Fig. 6 (c), indicating  
223 that the net preference for the direction of magnetization is still in-plane for both samples. This is  
224 because the contribution of shape anisotropy, which induces in-plane magnetization, is stronger  
225 than the magneto-crystalline anisotropy. The magnitude of the shape anisotropy constant is always  
226 larger in magnitude than the magneto-crystalline component, as shown in Fig. 6 (a) and (b).

## 227 V. DISCUSSION

228 From the results described above, it is found that the application of compressive strain to the  
229 ferromagnetic (In,Fe)Sb film results in the preference of  $K_i$  for perpendicular magnetization. This  
230 is clearly indicated by the increasing positive values of the magneto-crystalline anisotropy constant  
231  $K_i$  with increasing compressive strain (Fig. 6 (a)). This preference is reduced or changed to in-  
232 plane magnetization upon changing the strain towards tensile. The magneto-crystalline anisotropy  
233 is generally attributed to spin-orbit interaction, which couples the spin moments to the anisotropy  
234 from orbital moments [65,66]. The dependence of the easy magnetization axis on the strain thus  
235 may be attributed to the change in orbital moment anisotropy of the occupied  $d$ -orbitals, as we will  
236 discuss hereafter. In our discussion, we denote the  $z$ -axis along the [001] direction of the sample  
237 and  $x$ - and  $y$ -axes along the in-plane direction. We consider that if electrons occupy  $d$ -orbitals  
238 having a  $z$ -component, their spins are likely to favor the  $z$  direction (perpendicular magnetization),  
239 as observed in the case of Co [67]. Similarly, the in-plane spin direction is energetically favorable  
240 when the electrons occupy  $xy$ -based  $d$ -orbitals. The easy magnetization direction is then  
241 determined by the competition between the in-plane ( $m_0^{\parallel}$ ) and perpendicular ( $m_0^{\perp}$ ) spin moments,  
242 which is quantified as the magneto-crystalline energy  $\Delta_{so} \propto (m_0^{\perp} - m_0^{\parallel})$  [66,67]. In the case of  
243 (In,Fe)Sb, the ferromagnetic behavior is largely governed by short-range magnetic couplings  
244 between Fe spins in Fe-rich domains [23], particularly the interaction of the second nearest  $\text{Fe}^{3+}$   
245 moments [68] (distance around 1.5 times the lattice constant of the material).

246 It is also important to note that most of the Fe atoms should be in the isoelectronic  $\text{Fe}^{3+}$  states.  
247 Under a tetrahedral crystal field as shown by Fig. 7 (a), the  $d$ -orbitals [69,70] split into triply  
248 degenerate  $t$  orbitals ( $3d_{xy}, 3d_{yz}, 3d_{xz}$ ), which are higher in energy, and doubly degenerate  $e$   
249 orbitals ( $3d_{3z^2}, 3d_{x^2-y^2}$ ). Upon the application of strain, we expect that the degenerate  $d$  and  $e$

250 orbitals also split in energy because of the Jahn - Teller effect, as schematically shown in Fig. 7  
 251 (b) and (c). An in-plane compressive strain induces tensile strain in its perpendicular direction,  
 252 thus reducing the interaction of the  $z$ -based  $d$ -orbitals of the neighboring Fe atoms (along the  
 253 perpendicular direction). This causes the lowering of the  $3d_{xy}$ ,  $3d_{yz}$ ,  $3d_{xz}$  energy levels as shown  
 254 in Fig. 7 (b). On the other hand, the energy of the  $xy$ -based  $d$ -orbitals is lowered for the case of the  
 255 samples with in-plane tensile strain as shown by Fig. 7 (c). Upon the hybridization with the  $p$   
 256 ligands of Sb, the  $t_2$  levels form anti-bonding and bonding states. Meanwhile, the  $e$  levels do not  
 257 hybridize with the Sb ligands because of incompatibility of symmetry. In our model, we expect  
 258 that the Fermi level lies in the Fe-impurity band that is close to the conduction band bottom,  
 259 resulting in partial occupation of electrons in the majority-spin anti-bonding band ( $t_{2a\uparrow}$ ) and the  
 260 conduction band bottom. As shown in Fig. 7 (b) and (c), depending on the type of strain, majority-  
 261 spin electrons occupy different types of  $d$ -orbitals near the Fermi level: In compressive strain  
 262 majority-spin electrons occupy the  $z$ -based ( $xz$  and  $yz$ )  $d$ -orbitals, while in tensile strain they  
 263 occupy the  $xy$ -based orbitals. Also, for the charge neutrality condition to hold, the number of  
 264 electrons occupying the conduction band bottom changes correspondingly. This may be the reason  
 265 why we do not observe a perfect  $5 \mu_B$  magnetic moment in compressive ( $3.2 \mu_B$ ) or tensile ( $2.6 \mu_B$ )  
 266 strain samples. We note that the contribution to the magnetic anisotropy of the  $s$ -orbital electrons  
 267 occupying the conduction band, which is mostly isotropic, is small. Therefore, under compressive  
 268 strain the electrons in the  $z$ -based orbital moments have dominant contribution, resulting in  $\Delta_{so} > 0$ ,  
 269 favoring perpendicular magnetization, whereas for tensile strain the electrons in the  $xy$ -based  
 270 orbital moments have larger contribution, thus exhibiting preference for in-plane magnetization.  
 271 Therefore, our microscopic scenario can explain how strain modifies the preference for  
 272 magnetization directions.

273 Finally, we note that the strain dependence of the magnetic anisotropy in (In,Fe)Sb is similar  
 274 to that in (Ga,Fe)Sb [52] but opposite to that in (Ga,Mn)As [44]. When we compare the magnetic  
 275 anisotropy in the Fe-doped and the Mn-doped FMSs, there are two main differences: (i) The  
 276 magnitude of the magneto-crystalline anisotropy constant  $K_i$  of (Ga,Mn)As is much larger [44]  
 277 about 40 times than that of the Fe-doped FMSs. (ii) On changing the strain from tensile to  
 278 compressive, the change in  $K_i$  favors in-plane magnetization in (Ga,Mn)As [44] but perpendicular  
 279 magnetization in (In,Fe)Sb and (Ga,Fe)Sb. To explain these different trends, it is important to  
 280 understand the electronic configurations of the Mn-doped and Fe-doped FMSs. The Mn dopant in  
 281 (Ga,Mn)As plays the role of both localized magnetic moment and an acceptor, supplying a hole  
 282 that is weakly bound to the Mn atom. As a result, the Mn ions do not exhibit a pure  $\text{Mn}^{2+}$  ( $[\text{Ar}] 4s^0$   
 283  $3d^5$ ) state but rather a mixed state of  $\text{Mn}^{2+}$  and  $\text{Mn}^{3+}$ , where the  $\text{Mn}^{3+}$  comprises a  $\text{Mn}^{2+}$  ion and a  
 284 ligand hole ( $\underline{L}$ ), that is  $3d^5\underline{L}$ , as confirmed experimentally in ref. [71]. The total orbital angular  
 285 momentum  $\mathbf{L}$  of such a  $\text{Mn}^{3+}$  ( $3d^5 + \text{hole}$ ) deviates largely from zero ( $\mathbf{L}=0$  for a pure  $3d^5$   
 286 configuration). On the other hand, in Fe-doped FMSs, Fe atoms mainly substitute the group-III  
 287 atoms in the  $\text{Fe}^{3+}$  state with the  $[\text{Ar}] 4s^0 3d^5$  electronic configuration and supply no carrier. Thus,  
 288 the orbital angular momentum  $\mathbf{L}$  of the Fe ions is much smaller than that of the Mn ions, resulting  
 289 in a smaller atomic spin-orbit interaction ( $\propto \lambda \mathbf{L} \cdot \mathbf{S}$ , where  $\lambda$  is the spin-orbit coupling constant and  
 290  $\mathbf{S}$  is the spin angular momentum) in the Fe-doped FMSs than in the Mn-doped FMSs. This may  
 291 explain why the  $K_i$  values of (Ga,Mn)As are much larger than that in the Fe-doped FMSs.

292 Furthermore, the opposite dependence of  $K_i$  on the strain in the Mn and Fe-doped FMSs can  
 293 be attributed to different signs of  $\lambda$  in the Mn and Fe-doped FMSs. Based on the above discussion  
 294 of (Ga,Mn)As, the  $3d^5\underline{L}$  configuration can be considered as an electronic configuration between  
 295  $3d^4$  and  $3d^5$ , which means that orbital angular momentum  $\mathbf{L}$  of Mn is not zero. The configuration

296 can be assumed to be  $3d^{5-x}$  where  $0 < x < 1$ . Theoretically, the signs of the spin-orbit interaction  
297 constant for transition metal ions in  $3d^{5-x}$  (as for  $Mn^{2+}$  and  $Mn^{3+}$  states described above) and  $3d^6$   
298 (which is the case of  $Fe^{2+}$ ) configurations are positive and negative, respectively [72]. Considering  
299 that there is also a certain amount of Fe in the  $Fe^{2+}$  states ( $3d^6$  configuration) in the Fe-doped FMSs  
300 [73], the opposite signs of the spin-orbit interaction constants of the  $Mn^{3+}$  (described here) and  $Fe^{2+}$   
301 ions may result in the opposite trends of  $K_i$  observed in the Mn and Fe-doped FMSs. Future studies  
302 are definitely required to fully understand the underlying mechanism of the magnetic anisotropy  
303 in these FMSs.

304

## 305 VI. CONCLUSION

306 This study presents the first observation of ferromagnetic resonance in *n*-type ferromagnetic  
307 semiconductors and clarifies the strain dependent magnetic anisotropy of (In,Fe)Sb thin films.  
308 Ferromagnetic semiconductor ( $In_{0.85},Fe_{0.15}$ )Sb thin films (15 nm-thick) were grown by LT-MBE  
309 on different buffer layers (InSb, AlSb, GaSb and InAs), which induced different strains ranging  
310 from +3.54% (InAs: compressive) to -1.3% (InSb: tensile). From the ferromagnetic resonance  
311 measurements at both 300 K and 150 K, we found that by changing the epitaxial strain induced in  
312 the (In,Fe)Sb films from compressive to tensile, the magneto-crystalline anisotropy constant ( $K_i$ )  
313 can be changed; the magnetization direction preference is changed from perpendicular to in-plane.  
314 At both temperatures, the magnitude of the shape anisotropy constant ( $K_{sh}$ ) is larger than  $K_i$ , and  
315 thus the effective magnetic anisotropy and easy magnetization axis are in-plane in all the samples.  
316 Furthermore, we discussed a possible origin of such strain-dependent magnetic anisotropy in Fe-  
317 doped III-V ferromagnetic semiconductors based on a band structure model with *p-d* hybridization.

318

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533 **TABLE I.** Parameters used in the analysis of the angular dependence of FMR at 300 K: Strain  $\varepsilon$   
534 (%), saturation magnetization ( $\mu_0 M_S$ ), effective magnetization ( $\mu_0 M_{\text{eff}}$ ), anisotropy field ( $\mu_0 H_i$ ) and  
535  $g$ -factor.

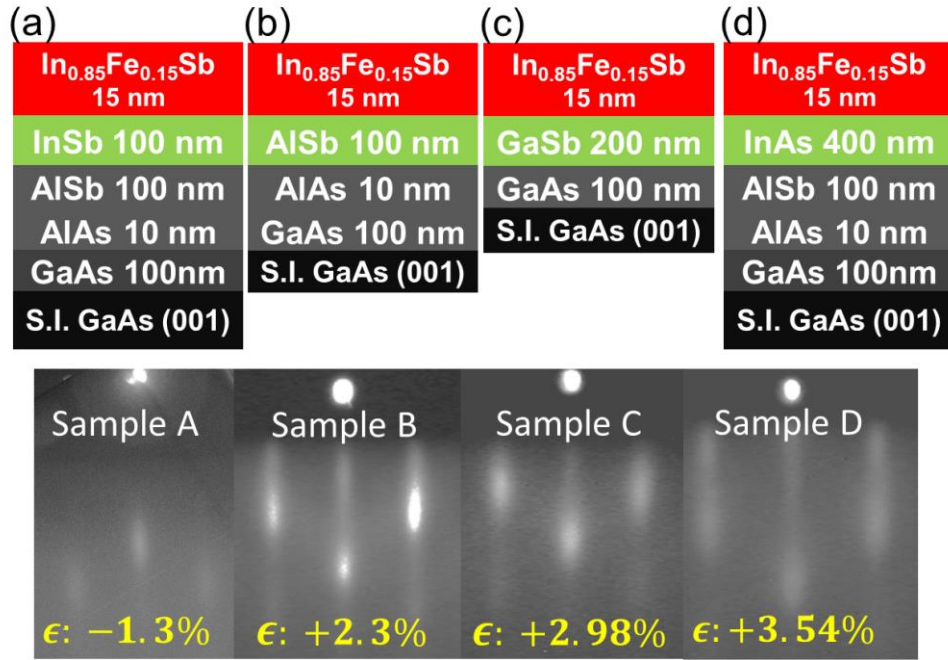
Sample, buffer layer	Strain $\varepsilon$ (%)	$\mu_0 M_S$ (mT)	$\mu_0 M_{\text{eff}}$ (mT)	$\mu_0 H_i$ (mT)	$g$ -factor
(A) InSb	-1.3	30.14	42	-11.86	$2.081 \pm 0.003$
(B) AlSb	+2.3	20.51	9.1	+11.41	$2.101 \pm 0.003$

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539 **TABLE II.** Parameters used in the analysis of the in angular dependence of FMR at 150 K: Strain  
540  $\varepsilon$  (%), saturation magnetization ( $\mu_0 M_S$ ), effective magnetization ( $\mu_0 M_{\text{eff}}$ ), anisotropy field ( $\mu_0 H_i$ ) and  
541  $g$ -factor.

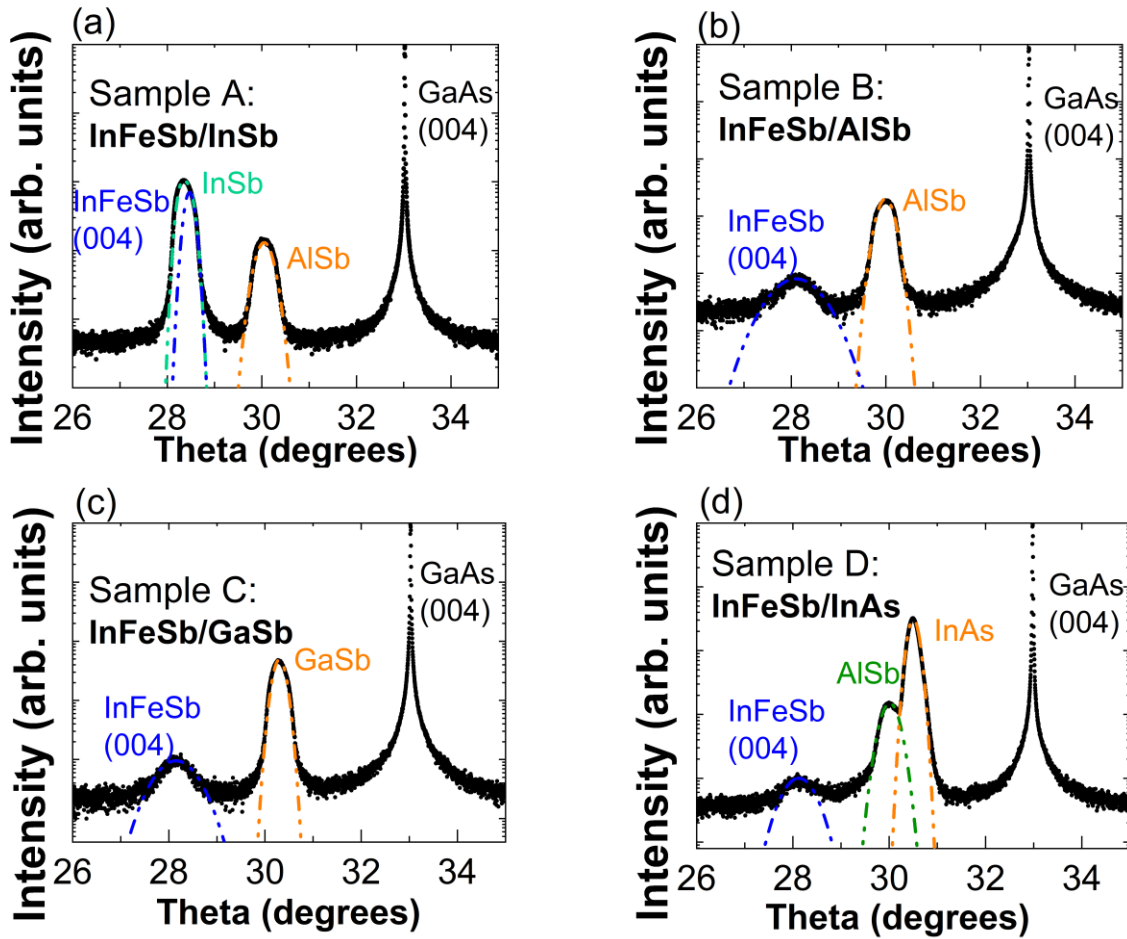
Sample, buffer layer	Strain $\varepsilon$ (%)	$\mu_0 M_S$ (mT)	$\mu_0 M_{\text{eff}}$ (mT)	$\mu_0 H_i$ (mT)	$g$ -factor
(A) InSb	-1.3	76.34	54	+22.34	$2.07 \pm 0.001$
(B) AlSb	+2.3	109.99	26	+83.99	$2.11 \pm 0.001$
(C) GaSb	+2.98	143.55	9.2	+134.35	$2.115 \pm 0.001$
(D) InAs	+3.54	158.91	7	+151.91	$2.11 \pm 0.002$

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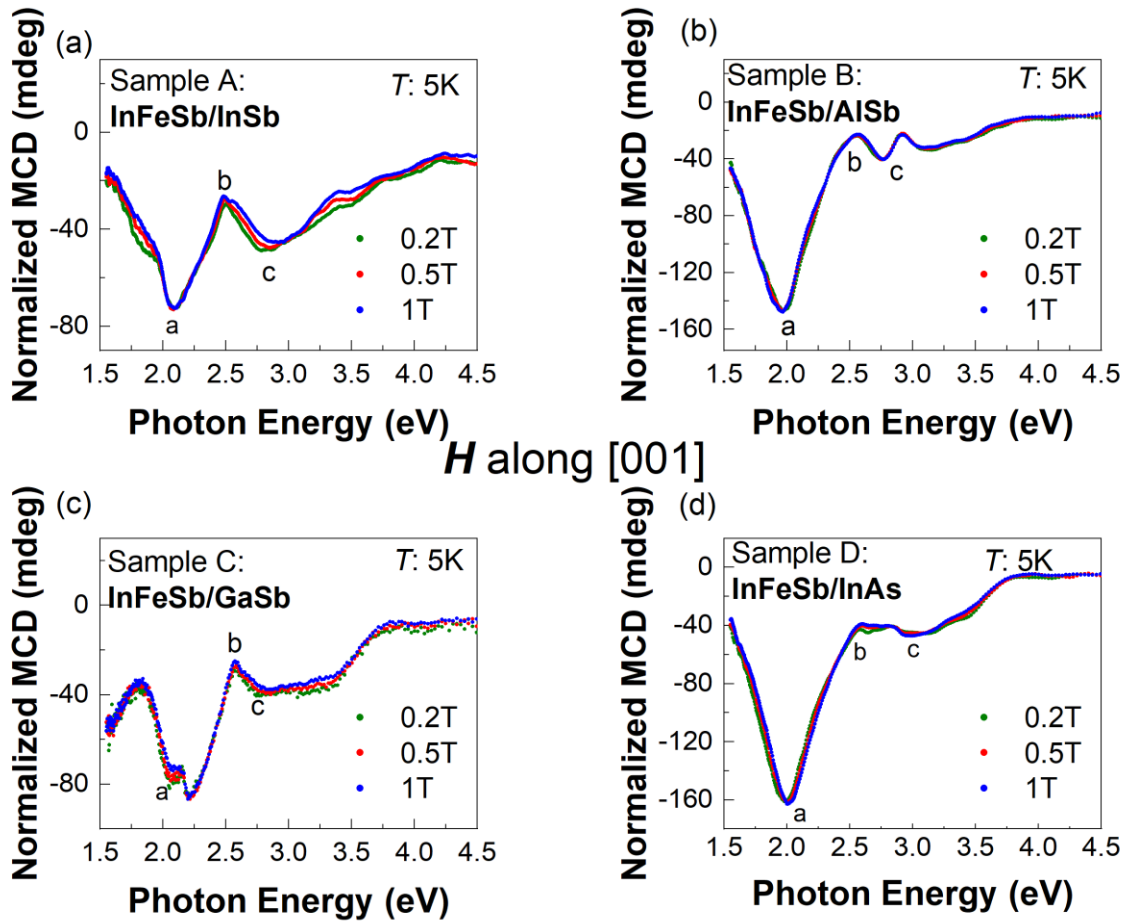
544 **FIG. 1** (Top view) (a) – (d) Schematic sample structures of (In<sub>0.85</sub>,Fe<sub>0.15</sub>)Sb grown on different  
 545 buffer layers on semi-insulating GaAs(001) substrates. (Bottom view) *In-situ* reflection high  
 546 energy electron diffraction (RHEED) patterns observed along the  $[\bar{1}10]$  axis of the (In,Fe)Sb thin  
 547 films, together with the corresponding strain values  $\epsilon$ , are shown. Positive (negative)  $\epsilon$  values  
 548 indicate compressive (tensile) strain induced in the ferromagnetic (In,Fe)Sb films.



549

550

551 **FIG. 2** (a) – (d) X-ray diffraction curves ( $\omega - 2\theta$  scans) of sample A – D. The blue dotted line  
 552 (Gaussian fitting) indicates the peak of (In,Fe)Sb. Other colored lines (Gaussian fitting) indicate  
 553 the peaks of InSb, AlSb, GaSb and InAs buffer layers. All the samples were grown on semi-  
 554 insulating GaAs substrates.



555

556 **FIG. 3** Normalized MCD spectra (scaled to the MCD intensity values at 1 T) of the four samples

557 of (In,Fe)Sb grown on different buffers, measured at 5K under various magnetic fields (0.2, 0.5

558 and 1 T) applied perpendicular to the film plane.

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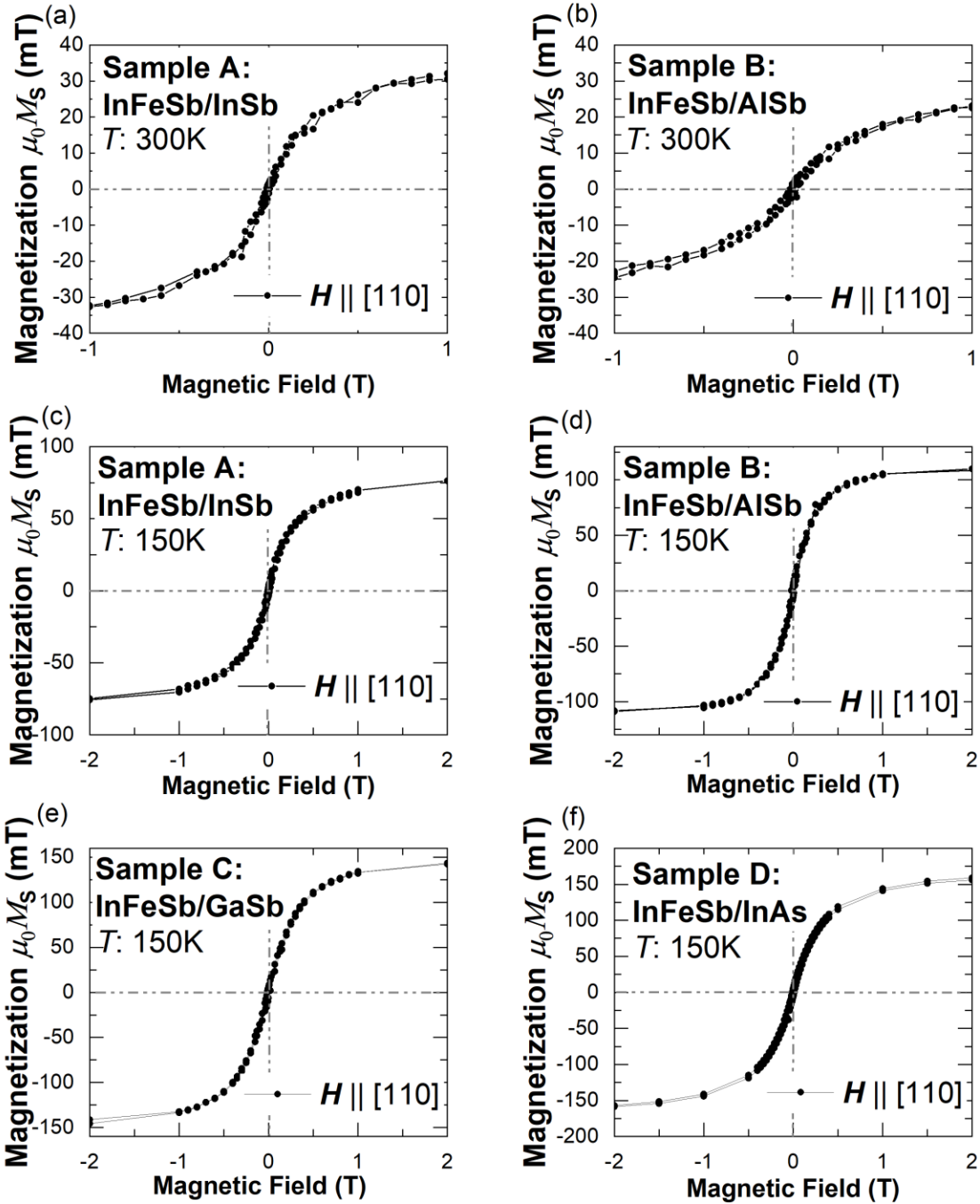
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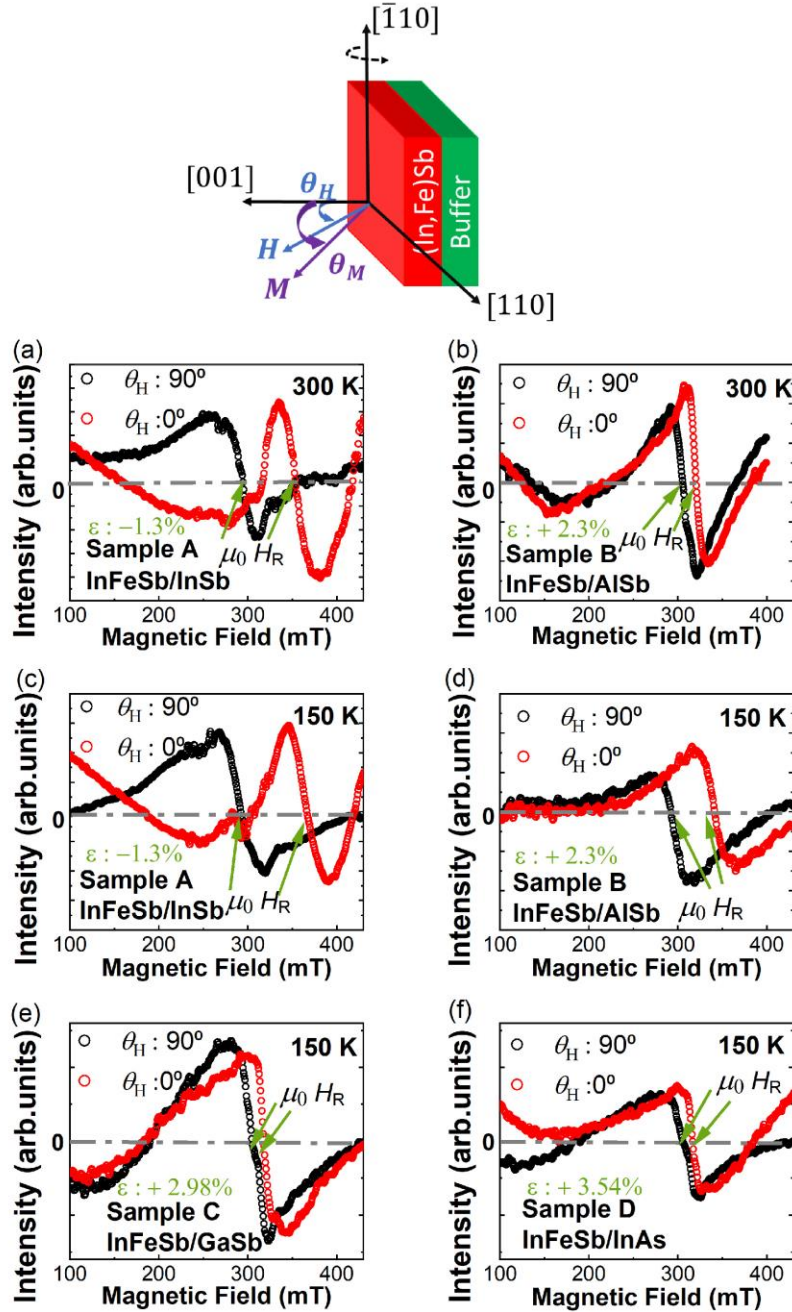
565 **FIG. 4** Magnetization vs. magnetic field ( $M-H$ ) curves for sample A – D. (a) – (b)  $M-H$  curves

566 for samples A and B measured at 300 K. (c) – (f)  $M-H$  curves for samples A – D measured at

567 150 K. The magnetic field is applied along the [110] direction in the film plane of the sample.

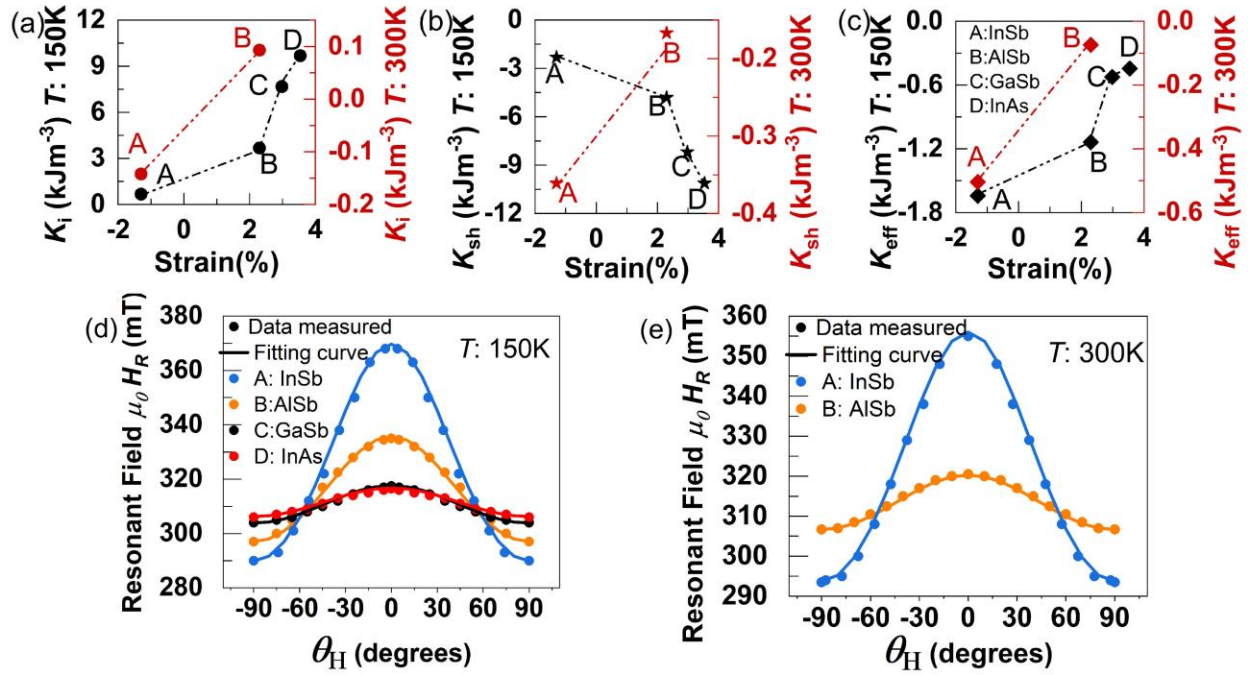
568 From these data, saturation magnetization values are extracted to estimate the magnetic anisotropy

569 constants.



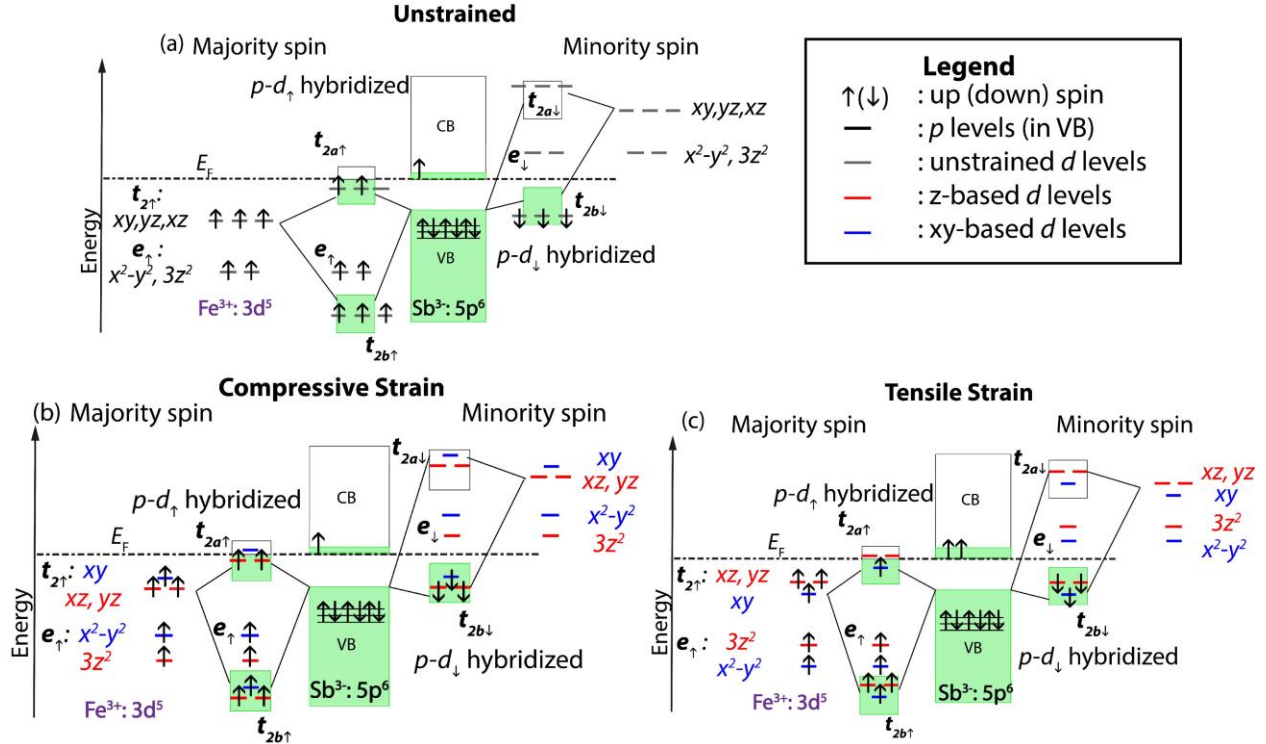
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571 **FIG. 5** Ferromagnetic resonance (FMR) spectra of  $(\text{In}_{0.85}\text{Fe}_{0.15})\text{Sb}$  thin films grown on (a) InSb  
 572 (sample A) and (b) AlSb (sample B) at 300K. FMR spectra of  $(\text{In}_{0.85}\text{Fe}_{0.15})\text{Sb}$  thin films grown on  
 573 (c) InSb (sample A), (d) AlSb (sample B), (e) GaSb (sample C), and (f) InAs (sample D) at 150K.  
 574 Top view shows the applied magnetic field ( $H$ ) and magnetization ( $M$ ) directions and definitions  
 575 of their angles ( $\theta_H$  and  $\theta_M$ ) used in Eqs. (4) and (5).



576

577 **FIG. 6** Effect of epitaxial strain on the magnetic anisotropy constants: (a) Magneto-crystalline  
 578 anisotropy constant  $K_i$ , (b) shape anisotropy constant  $K_{sh}$  and (c) effective magnetic anisotropy  
 579 constant  $K_{eff}$  ( $= K_i + K_{sh}$ ) estimated from the data at 150 K (black, left axis) and 300 K (red, right  
 580 axis). (d) and (e) Dependence of the resonant magnetic field  $\mu_0 H_R$  on  $\theta_H$ .



581  
 582 **FIG. 7** Schematic illustration of the distribution of electrons (spins) in different  $d$ -orbitals for  
 583 differently strained (In,Fe)Sb samples. CB and VB denote the conduction band and valence band  
 584 of (In,Fe)Sb. The dotted line is the Fermi-level ( $E_F$ ) position assumed in this model. The  $t_2$  ( $d$ )  
 585 orbitals from Fe hybridize with the Sb ligand  $p$ -orbitals forming anti-bonding ( $a$ ) and bonding ( $b$ )  
 586 states. Even after hybridization, we expect the energy differences within the  $t_2$  levels to persist in  
 587 the anti-bonding ( $t_{2a}$ ) and bonding states ( $t_{2b}$ ). (a) Electron distribution and energy levels of  $d$ -  
 588 orbitals in the unstrained case. (b) and (c) show the electron distribution and  $d$ -orbital energy levels  
 589 in the compressive and tensile strain cases of (In,Fe)Sb. The effect of strain causes distortion in  
 590 the energy levels of  $t_2$  and  $e$  orbitals. The difference in the electron occupation of the  $t_{2a\uparrow}$  between  
 591 the compressive- and tensile-strained (In,Fe)Sb thin films causes the preference of  $K_i$  for  
 592 perpendicular and in-plane magnetic anisotropy, respectively. This may also cause different  
 593 electron (spin) occupation in the conduction band, leading to the different magnetic moment per  
 594 Fe atom.