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Evolution of static charge density wave order, amplitude mode dynamics, and suppression of Kohn anomalies at the hysteretic transition in math xmlns="http://www.w3.org/1998/Math/MathML">msub>mr ow>mi>EuTe/mi>/mrow>mn>4/mn>/msub>/math> Ranjana Rathore, Abhishek Pathak, Mayanak K. Gupta, Ranjan Mittal, Ruta Kulkarni, A. Thamizhavel, Himanshu Singhal, Ayman H. Said, and Dipanshu Bansal Phys. Rev. B **107**, 024101 — Published 4 January 2023 DOI: 10.1103/PhysRevB.107.024101

1	Evolution of static charge-density-wave order, amplitude mode
2	dynamics, and suppression of Kohn anomalies on hysteretic
3	${\bf transition \ in \ EuTe}_4$
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Abstract

Charge-density-wave (CDW) induces periodic spatial modulation of the charge density that is commensurate or incommensurate with the host lattice periodicity, and leads to partial or complete electronic bandgap opening at the Fermi level $(E_{\rm F})$. The recent finding of unconventional hysteresis within the CDW phase of EuTe₄, not observable in other rare-earth tellurides RTe_n (n = 2, 3), has highlighted the role of the relative phase of CDW distortion in weakly coupled Te layers. However, detailed structural and dynamical characterization of CDW distortion on the hysteretic transition is lacking. Here we report on the static CDW order, dynamics of the amplitude mode, and their evolution on the hysteretic transition using meV resolution elastic and inelastic x-ray scattering. We discover previously unidentified multiple commensurate and incommensurate CDW wavevectors \mathbf{q}_{CDW} along all three crystallographic axes. Importantly, we find that the previously reported b-axis CDW peak is coupled with the interlayer CDW phase and consequently co-occurs with the doubling of the unit cell along the *c*-axis. We confirm the presence of the competing a-axis CDW order but found it to be four orders of magnitude weaker than the b-axis CDW. Furthermore, we observe multiple Kohn anomalies at \mathbf{q}_{CDW} driven by Fermi surface nesting and hidden nesting, confirming earlier reports based on electronic and lattice susceptibility simulations. The amplitude mode and Kohn anomalies are found to suppress on unconventional hysteretic transition, suggesting the presence of non-degenerate metastable states, which we identify from the x-ray scattering measurements and simulations.

CDW is ubiquitous in rare-earth tellurides family, $R \text{Te}_n$ (n = 2, 3, and 4), with unstable 19 20 square-net Te planes undergoing planar distortion forming Te trimers below the transition ²¹ temperature $T_{\rm CDW}$ (see Fig. 1).¹⁻¹⁶ Despite the commonality of the planar distortion for the $_{22}$ entire family,¹⁷ critical distinctions exist for different n, thus leading to vastly different prop-²³ erties. First distinction is in the crystal structure, where for n = 2, one square-net Te plane ²⁴ (Te monolayer) is sandwiched between two corrugated (*R*Te) planes, i.e., $(RTe)_2 - \underline{Te} - \cdots, \overset{2,3}{2}$ ²⁵ whereas for n = 3, two adjacent Te planes (Te bilayer) alternate with two (*R*Te) planes, ²⁶ i.e., $(RTe)_2 - \overline{\overline{Te}} - \overline{\overline{Te}} - \cdots + \frac{4}{7}$ (see Supplementary Material (SM) Fig. S1,¹⁸ see, also, refer-²⁷ ences 19–27 therein). Here underline and overline imply Te atoms in monolayer and bilayer, ²⁸ respectively. In contrast to both the n = 2 and 3 series, in the recently synthesized n = 4²⁹ compound EuTe₄, the Te monolayer and bilayer separated by (EuTe) plane co-exists, i.e., $_{30}$ (EuTe) $-\underline{\text{Te}}-(\text{EuTe})-\overline{\overline{\text{Te}}}-\overline{\overline{\text{Te}}}-\cdots$ (see Fig. 1).¹⁴ Because of the co-existence, the planar dis-³¹ tortion of the Te monolayer and two Te layers in a bilayer can have different phases, ϕ_1 , ϕ_2 , ³² and ϕ_3 , thus introducing an additional degree of freedom $\phi = \phi_1 - \phi'$ in EuTe₄ ($\phi' = \phi_2 - \phi_3$). ³³ The metastable degenerate three-dimensional domain structures for $\phi = 0$ and π (keeping $_{34} \phi' = \pi$) are proposed to be separated by a large energy barrier of the order of eV and the root $_{35}$ cause of unconventional hysteresis loop spanning ${\sim}400\,{\rm K}$ in EuTe₄.¹⁵ Here the transition is $_{36}$ referred to as unconventional hysteretic as the hysteresis loop occurs well below $T_{\rm CDW}$ owing $_{37}$ to CDW distortion phase change with no change in CDW wavevector \mathbf{q}_{CDW} .¹⁵

The second distinction is in the valency of rare-earth ions. In the n = 2 and 3 series, Ris trivalent, which fills the Te p orbitals within the RTe plane and donates an extra electron to partially fill Te p orbitals in monolayer or bilayer planes. On the other hand, due to the divalency of R in n = 4, no electron transfer occurs between the RTe plane and Te monoevent or bilayers.¹⁵ Thirdly, the Fermi surface is fully gapped for n = 4,^{15,28} but remnant metallic pockets are present for n = 3.^{5,6}. The charge neutrality of Te planes and lack of available free arriers possibly set the energy scale of Te monolayer and bilayer coupling, thus controlling the ϕ and the domain structure.¹⁵

⁴⁶ Another contentious point in the literature is the origin of CDW.^{29–31} For example, in mul-⁴⁷ tiple RTe₃ compounds, based on angle-resolved photoemission spectroscopy (ARPES) mea-⁴⁸ surements, CDW is found to originate from (imperfect) Fermi surface nesting (FSN).⁶ On the ⁴⁹ other hand, inelastic x-ray scattering (IXS) measurements of TbTe₃ and DyTe₃^{9,10} showed ⁵⁰ wavevector-dependent electron-phonon interaction (EPI) induced softening of a phonon ⁵¹ branch at \mathbf{q}_{CDW} , a markedly different mechanism than FSN. In comparison, for $R\text{Te}_2$, ⁵² ARPES and diffraction measurements have pointed towards FSN driven CDW.^{2,3} Similar ⁵³ to $R\text{Te}_2$ and a few $R\text{Te}_3$, using explicit simulations of electron and lattice susceptibility of ⁵⁴ EuTe₄, imperfect FSN combined with hidden nesting owing to linearly dispersing bands near ⁵⁵ the Fermi energy ($E_{\rm F}$) is found to be the origin of CDW.^{14,16} ARPES measurements recently ⁵⁶ confirmed the presence of imperfect FSN.²⁸ But to unequivocally confirm the FSN and hid-⁵⁷ den nesting driven CDW, we must observe multiple Kohn anomalies at $\mathbf{q}_{\rm CDW}^{16,29,32}$ instead ⁵⁸ of a single phonon branch.^{9,10,33} CDW driven by other mechanisms such as strong electron ⁵⁹ correlations^{30,34,35} and large electronic density-of-states (EDOS) at $E_{\rm F}$ in high-symmetry ⁶⁰ structures^{36,37} can be safely excluded as both strong correlations and large EDOS at $E_{\rm F}$ are ⁶¹ absent.¹⁶

Besides the observation of Kohn anomalies, whether they are induced by imperfect FSN 62 ⁶³ and hidden nesting or wavevector-dependent EPI, it is critical to understand the evolution 64 of Kohn anomalies and amplitude mode on unconventional hysteresis. The amplitude mode ⁶⁵ corresponds to oscillations of the CDW order parameter. Here the observed unconventional ⁶⁶ hysteresis is to be differentiated with hysteresis due to incommensurate to commensurate ⁶⁷ CDW or metal-to-CDW transition as discussed in Ref. 15. Hence, a priori, we have lit-⁶⁸ the knowledge of whether the Kohn anomalies and amplitude mode will remain the same, ⁶⁹ suppress, or strengthen in the metastable states. Such evolution can further show how the ⁷⁰ static CDW order influences lattice dynamics. Moreover, as reported earlier, ¹⁶ both a and ⁷¹ b axes CDWs compete with each other, but due to the larger strength of the electronic $_{72}$ instability, long-range CDW order is established along the b axis. However in literature, the ⁷³ long-range ordering of the competing axes is observed for some of the RTe_3 compounds (R =⁷⁴ Dy, Ho, Er, and Tm)⁷ on further cooling or on photoexcitation.¹² Hence, the investigation ⁷⁵ of competing *a*-axis CDW on hysteresis is necessary to identify (dis)similarities with other 76 rare-earth tellurides.

In this combined experimental and simulation study, we report both the long-range static 78 CDW order and associated Kohn anomalies in EuTe₄ to elucidate the above-raised questions 79 about long-range CDW order, the origin of CDW, the evolution of Kohn anomalies, and 80 competing *a*-axis CDW on hysteresis. Using single-crystal elastic x-ray scattering (EXS) 81 [to be differentiated from single-crystal x-ray diffraction (XRD)], we identify previously 82 unknown multiple \mathbf{q}_{CDW} along all three axes. The experimental observation of multiple ⁸³ Kohn anomalies at \mathbf{q}_{CDW} using IXS confirms that the *b*-axis CDW is driven by FSN and ⁸⁴ hidden nesting, hence confirming the previous simulation proposition. Notably, we find that ⁸⁵ the Kohn anomalies along the *b*-axis are suppressed and the intensity of the competing *a*-axis ⁸⁶ CDW nearly vanishes on unconventional hysteretic transition (300 K \rightarrow 30 K \rightarrow 50 K \rightarrow 300 K). ⁸⁷ We further discover the metastable states that possibly control the unconventional hysteretic ⁸⁸ transition.

89 CDW transition

EuTe₄ crystallizes in the orthorhombic structure (*Pmmn*) in the unmodulated state.¹⁴ ⁹¹ Previous studies^{14,15} did not measure the $T_{\rm CDW}$ but estimated it to be above the mean-field ⁹² temperature of 646 K.¹⁵ Using small single-crystal of EuTe₄, we measured the heat flow up ⁹³ to 850 K (see SM for details) and found $T_{\rm CDW}$ on heating and cooling cycles to be ~726 and ⁹⁴ 652 K, respectively (see Fig. 1d). Below $T_{\rm CDW}$, EuTe₄ undergoes an unconventional hysteresis ⁹⁵ extending from 50 to 400 K, as evident from XRD, resistivity, and ARPES measurements.¹⁵ ⁹⁶ We did not observe the onset of unconventional hysteresis in the heat flow measurements ⁹⁷ near 400 K, either on heating or cooling cycles, possibly due to a small change in the heat ⁹⁸ capacity. Nevertheless, we measured similar changes as reported in Ref. 15 corresponding ⁹⁹ to the unconventional hysteresis in the EXS intensity as discussed below.

100 Evolution of static CDW order

101 CDW along the b-axis

First, let us distinguish the EXS from XRD measurements. In XRD, the energy bandwidth of the incoming beam and detected beam is large, and the measured intensity includes the integration of the elastic and inelastic signals within the energy bandwidth, which is typically on the order of a few eVs. On the other hand, EXS uses a highly monochromatized beam ($\Delta E=1$ meV), and the detection system includes high-resolution analyzers; the overall renergy resolution of the instrument is ~1.3 to 1.5 meV. Here the detected intensity is the elastic scattering within the instrument energy resolution. Hence, EXS, as opposed to the XRD signal, allows us to distinguish between the intensity arising from long-range static ordering and low-energy phonons. This distinction allowed us to delineate long-range ordering ¹¹¹ along the competing a-axis, as discussed later in the text.

Figure 2a shows the EXS scan along [4, K, 0] to identify the CDW peaks corresponding 112 ¹¹³ to the trimer formation in Te mono- and bilayer below $T_{\rm CDW}$. All [H, K, L] notations in ¹¹⁴ the text correspond to the unmodulated state structure. Consistent with previous single-¹¹⁵ crystal XRD measurements, we observe a CDW peak at $\mathbf{q}_{\text{CDW1}}^{K} = 0.643(1) \text{ r.l.u. at } 300 \text{ K.}$ ¹¹⁶ In addition, we observe higher-order $(2^{nd} \text{ and } 4^{th} \text{ order})$ CDW peaks in the same scan as marked by arrows in the figure. The same plot is shown on the logarithmic scale in panel (b), 117 where we further mark the higher-order peaks up to 10^{th} order. Higher-order peak positions 118 ¹¹⁹ allow us precisely determine the $\mathbf{q}_{\text{CDW1}}^{K}$ and affirm its incommensurate nature. In panel (a), we also show the peak intensity evolution as we scan through the unconventional hysteresis 120 ¹²¹ loop by following the $300 \text{ K} \rightarrow 30 \text{ K} \rightarrow 50 \text{ K} \rightarrow 300 \text{ K}$ thermal cycle. Consistent with previous ¹²² single-crystal XRD measurements,¹⁵ the peak intensity at $\mathbf{q}_{\text{CDW1}}^{K}$ increases nearly 1.7 times $_{123}$ at 300 K on thermal cycling. On comparison, the intensity and mosaic of the nearby (4,0,0)¹²⁴ Bragg peak remained the same on thermal cycling (see SM Fig. S3a). The observed increase 125 of the peak intensity at $\mathbf{q}_{\text{CDW1}}^{K}$ could be due to an increase in correlation length ξ , CDW distortion amplitude $Q_{\rm CDW}$ of mono- and bilayers (i.e., Te-Te distance in the trimers), or 126 ¹²⁷ relative phase of distortions (ϕ and ϕ'). The ξ can directly be calculated from the spatial ¹²⁸ spread of the CDW peak in the reciprocal space. However, the width of $\mathbf{q}_{\text{CDW1}}^{K}$ is limited by ¹²⁹ our instrument resolution and step size, which put a lower limit of ~ 400 Å on ξ . We will $_{130}$ discuss the $Q_{\rm CDW}$ and relative phase, and their implications on the intensity later in the 131 text.

Next, we focus on the observation of two more CDW peaks along K, which so far have remained elusive. Figure 2c shows the EXS scan along [0, K, -0.5]. We observe a central peak at (0, 2, -0.5) flanked by multiple satellite peaks, as marked by the arrows. Since the intensity of satellite peaks appears at a periodic K interval and decreases away from the central peak, they are higher-order CDW peaks. Hence, we can assign the $\mathbf{q}_{\text{CDW2}}^{K}$ to be is 0.035(5) r.l.u. The appearance of the CDW peaks at $\mathbf{q}_{\text{CDW2}}^{K}$ for L = -0.5 further indicates that $\mathbf{q}_{\text{CDW2}}^{K}$ co-occurs with the doubling of the unit cell along the *c*-axis. We will discuss the doubling along the *c*-axis later in the text along with the *c*-axis CDW. As shown in Fig. 2d, the EXS scan along [0, K, -1] revealed an additional CDW along K. We observe at a Bragg peak at (0, 2, -0.5), the intensity does not decrease monotonically away from (0, 2, -1) ¹⁴³ 1), which suggests them to be higher-order CDW peaks. Based on their periodic appearance ¹⁴⁴ and intensity variation away from (0, 2, -1), we identify \mathbf{q}_{CDW3}^{K} to be 0.965(5) r.l.u. Here the ¹⁴⁵ second-order CDW peaks, i.e., $(0, 2\mathbf{q}_{CDW3}^{K}, -1)$ and $(0, 4 - 2\mathbf{q}_{CDW3}^{K}, -1)$, are stronger than ¹⁴⁶ the first-order peaks, i.e., $(0, 1 + \mathbf{q}_{CDW3}^{K}, -1)$ and $(0, 3 - \mathbf{q}_{CDW3}^{K}, -1)$, as they are satellites ¹⁴⁷ of relatively intense Bragg peaks [(0, 0, -1) and (0, 4, -1)] compared to the first-order peaks ¹⁴⁸ that are satellites of weak Bragg peaks [(0, 1, -1) and (0, 3, -1)]. We note that \mathbf{q}_{CDW2}^{K} and ¹⁴⁹ \mathbf{q}_{CDW3}^{K} may appear to be the same or related as they can be expressed as $\mathbf{q}_{CDW2}^{K} = 1 - \mathbf{q}_{CDW3}^{K}$ ¹⁵⁰ within the error bars; however, we could not find evidence from the measured data for ¹⁵¹ them to be related; hence we denote them independently. We emphasize that the periodic ¹⁵² appearance of CDW peaks on either side of a central peak in multiple Brillouin zones rules ¹⁵³ out two or more flakes or multiple scattering contributing to the measured intensity.

CDW along the c-axis

Figure 3a shows the EXS scan along [0, 4, L]. We observe a CDW peak at $\mathbf{q}_{\text{CDW1}}^{L} =$ 156 0.50(1) r.l.u. at 300 K, as marked by the red arrow. Surprisingly, on thermal cycling to 157 50 K. (i.e., 300 K \rightarrow 30 K \rightarrow 50 K), the peak at $\mathbf{q}_{\text{CDW1}}^{L}$ loses intensity and becomes broad. On 158 further heating to 300 K, it regains its intensity but is much narrower. We quantify ξ by 159 fitting the peak with the Gaussian function.³⁸ Here $\xi = 1/\pi/\text{FWHM}$, and FWHM is the 160 full-width-half-maximum of the Gaussian fitting. The ξ is found to initially decrease from 161 72 Å at 300 K to 44 Å at 50 K, and then increase to 106 Å on heating back to 300 K.

The peak at $\mathbf{q}_{\text{CDW1}}^{L}$ corresponds to a doubling of the unit cell along the *c*-axis. This dou-162 ¹⁶³ bling can be due to the out-of-phase displacement of Te mono- and/or bilayers in the adjacent $_{164} \text{ unit cell, i.e., (EuTe)} - \underline{\underline{\text{Te}}} - (\underline{\text{EuTe}}) - \overline{\overline{\overline{\text{Te}}}} - \overline{\overline{\overline{\text{Te}}}} - \overline{\overline{\overline{\text{Te}}}} - \overline{\overline{\text{Te}}} - (\underline{\text{EuTe}}) - \underline{\underline{\text{Te}}} - (\underline{\text{EuTe}}) - \overline{\overline{\text{Te}}} - \overline{\overline{\text{T$ or equivalently phase shift β along the b-axis in Te mono- and/or bilayer in the adjacent unit 165 cell (see Fig. 4a). Here underline and overline implies Te atoms in monolayer and bilayer, \uparrow and \downarrow indicate the phase of the distortion, and \vdots separate the two adjacent unit cells. For 167 example, the above configuration indicates that the unit cell is doubled along the c-axis due 168 to out-of-phase distortion of the Te monolayer in the adjacent unit cells. From measured 169 ¹⁷⁰ values of ξ , it is apparent that the extent of correlation of such displacements decreases ¹⁷¹ on cooling, leading to a decrease in the measured intensity at 50 K. Similarly, the rise in ¹⁷² intensity on heating back to 300 K is due to the increase in ξ .

¹⁷³ Next, we focus on three more CDW peaks along L. Figure 3b shows the EXS scan along ¹⁷⁴ [0, 3, L] spanning multiple Brillouin zones. In all Brillouin zones, we observe \mathbf{q}_{CDW1}^{L} (marked ¹⁷⁵ by the red arrows), and also a repeated pattern of intensity emanating corresponding to ¹⁷⁶ $\mathbf{q}_{CDW2}^{L} = 0.071(5)$ r.l.u., as marked by the black arrows. Similarly, as shown in Fig. 3(c,d), ¹⁷⁷ we observe two more CDWs at $\mathbf{q}_{CDW3}^{L} = 0.045(5)$ and $\mathbf{q}_{CDW4}^{L} = 0.10(1)$ along [0, 2, L] and ¹⁷⁸ [0, 4, L], respectively (marked by the black arrows). In all of these scans, the CDW peaks ¹⁷⁹ corresponding to \mathbf{q}_{CDW1}^{L} are visible. None of these CDW peaks were reported in the earlier ¹⁸⁰ studies.^{14,15}

In addition to the above CDW peaks, we also observe peaks corresponding to the combi-181 ¹⁸² nation of b- and c-axes CDWs. Figure 4b shows one such EXS scan along [0, K, -3.5]. The 183 peak at K = 2.714 r.l.u. corresponds to a combination of $\mathbf{q}_{\text{CDW1}}^{K}$ and $\mathbf{q}_{\text{CDW1}}^{L}$. We identify ¹⁸⁴ the peak to be a satellite of the (0, 4, -4) Bragg peak such that the peak position in terms 185 of $\mathbf{q}_{\text{CDW1}}^{K}$ and $\mathbf{q}_{\text{CDW1}}^{L}$ can be written as $-(0, 4-2\mathbf{q}_{\text{CDW1}}^{K}, -4+\mathbf{q}_{\text{CDW1}}^{L})$. Similarly, we identify ¹⁸⁶ the peak at K = 2.678 to be a combination of two first order CDW peaks along K (i.e., ¹⁸⁷ $\mathbf{q}_{\text{CDW1}}^{K}$ and $\mathbf{q}_{\text{CDW2}}^{K}$) and a first-order peak along L (i.e., $\mathbf{q}_{\text{CDW1}}^{L}$) such that the peak position 188 can be expressed as $(0, 2+\mathbf{q}_{\text{CDW1}}^{K}+\mathbf{q}_{\text{CDW2}}^{K}, -4+\mathbf{q}_{\text{CDW1}}^{L})$. The remaining satellite peaks are ¹⁸⁹ labeled in the figure. The observation of such peaks has critical implications. For example, ¹⁹⁰ if we pick the peak at K = 2.714, it implies that trimer formation (i.e., $\mathbf{q}_{\text{CDW1}}^{K}$) co-occurs ¹⁹¹ with doubling of the *c*-axis (i.e., $\mathbf{q}_{\text{CDW1}}^{L}$), and are not independent. Figure 4a shows one representative mix distortion where Te-Te trimers in the bilayer are phase-shifted by β along 192 the *b*-axis in the adjacent unit cells (see across the black dotted line in the figure). Later 193 ¹⁹⁴ in the text, we will discuss more on this from the system energy minimization perspective ¹⁹⁵ using simulations.

CDW along the a-axis

Figure 5a shows the EXS scan along [H, 0, 0] to identify if a long-range order is also established along the competing *a*-axis. We observe a peak at $\mathbf{q}_{\text{CDW}}^{H} = 0.604(5) \text{ r.l.u.}$, although lished along the competing *a*-axis. We observe a peak at $\mathbf{q}_{\text{CDW}}^{H} = 0.604(5) \text{ r.l.u.}$, although list is substantially weaker in the intensity (~60 counts for $\mathbf{q}_{\text{CDW}}^{H}$ as opposed to ~3.5×10⁵ counts $\mathbf{q}_{\text{CDW1}}^{K}$ per 5 seconds) and have much smaller $\xi \sim 50 \text{ Å}$ at 300 K. It implies, besides the shorter correlation length, Q_{CDW} of competing *a*-axis CDW is significantly smaller than the 202 *b*-axis. On thermal cycling (300 K \rightarrow 30 K \rightarrow 50 K \rightarrow 300 K), the peak intensity at $\mathbf{q}_{\text{CDW}}^{H}$ drops ²⁰³ by a factor of four, essentially indicative of suppression of long-range CDW order along the ²⁰⁴ a-axis. Another interesting observations is the position of $\mathbf{q}_{\text{CDW}}^{H}$, which is different from ²⁰⁵ $\mathbf{q}_{\text{CDW1}}^{K}$, in spite of similar lattice parameters (a = 4.512 Å and b = 4.635 Å). If we compare 206 the experimental values of $\mathbf{q}_{\text{CDW1}}^{K}$ and $\mathbf{q}_{\text{CDW}}^{H}$ with the density functional theory simula-²⁰⁷ tions in the unmodulated structure of Pathak *et al.*,¹⁶ $\mathbf{q}_{\text{CDW1}}^{K} = 0.65 \text{ r.l.u.}$ agrees well with the measured value [0.643(1)], but simulated $\mathbf{q}_{\text{CDW}}^{H} = 0.67$ differs from our measurements, 208 which may suggest renormalization of the electronic bands along H due to the presence of 209 ²¹⁰ $\mathbf{q}_{\text{CDW1}}^{K}$. However, due to the unavailability of evidence of band renormalization along H ²¹¹ from ARPES measurements,^{15,28} we should exercise caution in the interpretation. We note that two peaks at H = 2.30 and 2.57 r.l.u. were also observed while scanning along [H, 0, 0] $_{213}$ (2 < H < 3, see Fig. 5b), but despite measurements in multiple Brillouin zones (no peak ²¹⁴ was observed while scanning between 3 < H < 4), we could not find evidence of them to $_{215}$ be related to $\mathbf{q}_{\text{CDW}}^{H}$ via any higher-order CDW peaks. Hence, we could not find their origin 216 and do not label them.

217 Observation of multiple Kohn anomalies at q_{CDW}

218 After establishing the static CDW order along all three axes, we now focus on its phonon ²¹⁹ dynamics. Recently, using electron and lattice susceptibility calculations in the unmodulated structure, Pathak *et al.* predicted multiple Kohn anomalies at $\mathbf{q}_{\text{CDW1}}^{K}$ owing to the FSN and 220 hidden nesting.¹⁶ Fundamentally, as described in the seminal paper by W. Kohn,³⁹ the 221 Kohn anomaly emerges due to sudden change in electron screening across \mathbf{q}_{CDW} , which 222 consequently alters the interatomic forces and lead to strong perturbation of phonons at 223 \mathbf{q}_{CDW} . The perturbation is visible as a dip or a kink in the phonon dispersion. Kohn 224 $_{225}$ anomaly induced only by FSN is localized at \mathbf{q}_{CDW} , for example, as observed for ZrTe₃ ²²⁶ and (3,3) carbon nanotubes.^{40,41} However, if electronic bands linearly disperse near $E_{\rm F}$, $_{227}$ then energy states above and below $E_{\rm F}$ also contribute to the electronic instability (i.e., ²²⁸ hidden nesting) and consequently to the Kohn anomaly.^{16,29,32} The Kohn anomaly here is ²²⁹ not localized and follows the distinct power law dependence, as theoretically derived for the ²³⁰ linearly dispersing bands in Weyl semimetals and experimentally observed for TaP.⁴²

Kohn anomalies in EuTe₄ at $\mathbf{q}_{\text{CDW1}}^{K}$ emerge above T_{CDW} in the unmodulated structure.¹⁶ ²³² The condensation of transverse acoustic branch at $\mathbf{q}_{\text{CDW1}}^{K}$ (shown as negative frequency in ²³³ SM Fig. S8a) induces a static CDW order below $T_{\rm CDW}$. However, the signature of the Kohn ²³⁴ anomaly in other phonon branches at $\mathbf{q}_{\text{CDW1}}^{K}$ will be observable below T_{CDW} . On subse-²³⁵ quent cooling, acoustic and amplitude modes appear at $\mathbf{q}_{\text{CDW1}}^{K}$, as qualitatively illustrated ²³⁶ in SM Fig. S9. The eigenvectors of the amplitude mode correspond to the CDW distortion. ²³⁷ Figure 6a shows the measured phonon energies along [4, K, 0] (0 < K < 1) using IXS at 300 K at HERIX beamline (see SM for experimental details, and SM Fig. S4 and S5 for raw 238 data). The [4, K, 0] direction selectively probes *a*-polarized phonons propagating along K, 239 eigenvectors of which overlap with the CDW distortion enabling Te trimer formation.¹⁶ As 240 expected, we observe the amplitude mode at $\sim 4.5 \text{ meV}$ (see dispersion at low energies), and 241 a Kohn anomaly in the optic branch near $\sim 11 \text{ meV}$ at $\mathbf{q}_{\text{CDW1}}^{K} = 0.643(1) \text{ r.l.u.}$ (marked by 242 ²⁴³ the light green line).

If the electron screening suddenly changes at \mathbf{q}_{CDW} due to FSN and hidden nesting, not 244 ²⁴⁵ only the phonons enabling the static CDW order (or having the same polarization as CDW distortion), but other phonon branches (b- and c-polarized) at \mathbf{q}_{CDW} must also harbor Kohn anomalies.^{16,29,32} Recently, using electronic and lattice susceptibility simulations and inelastic neutron scattering measurements, multiple phonon Kohn anomalies were observed at \mathbf{q}_{CDW} in α -U.³² To confirm multiple Kohn anomalies at $\mathbf{q}_{\text{CDW1}}^{K}$ in EuTe₄, we first measured phonon energies along [0, K, 0] (3 < K < 4, see Fig. 6b). The [0, K, 0] direction selectively probes b-250 polarized phonons propagating along K, i.e., longitudinal acoustic and optic phonons. Kohn 251 anomalies at $\mathbf{q}_{\text{CDW1}}^{K}$ are visible from the measured dispersions. Similarly, we also measured 252 $_{253}$ phonon energies along [0, K, 10] that selectively probes the c-polarized phonons propagating $_{254}$ along K, i.e., transverse acoustic and optic phonons (see SM Fig. S6). Kohn anomaly can be 255 observed in both acoustic and optics branches $\mathbf{q}_{\text{CDW1}}^{K}$. Hence, from the measured data for $_{256}$ a-, b-, and c-polarized branches propagating along K, we confirm the presence of multiple 257 Kohn anomalies in EuTe₄ at $\mathbf{q}_{\text{CDW1}}^{K}$, as earlier also shown to occur using lattice dynamical ²⁵⁸ susceptibility simulations.¹⁶

Next, we focus on the Kohn anomalies along the competing H direction. As discussed earlier, the static CDW order distortion along the *a*-axis is much weaker than the *b*-axis. Hence, a priori, it is not clear if the Kohn anomaly will be visible along H. However, simulations in the unmodulated structure predict lattice instability at $\mathbf{q}_{\text{CDW}}^{H}$ (see SM Fig. S8c). We measured the phonon energies along [H, 4, 0] direction to selectively probe *b*-polarized phonons propagating along H, i.e., transverse acoustic and optic phonons. As one can ob²⁶⁵ serve from Fig. 7a, the Kohn anomaly is visible in the acoustic branch at $\mathbf{q}_{\text{CDW}}^{H}$. Since the ²⁶⁶ Kohn anomalies along H are also driven by similar FSN and hidden nesting, to confirm mul-²⁶⁷ tiple Kohn anomalies along H, we measured along [H, 0, 0] direction that selectively probes ²⁶⁸ *a*-polarized phonons propagating along H, i.e., longitudinal acoustic and optic phonons (see ²⁶⁹ SM Fig. S7). The Kohn anomaly along [H, 0, 0] is evident at $\mathbf{q}_{\text{CDW}}^{H}$.

270 Lattice dynamics evolution on unconventional hysteretic transition

After mapping the amplitude mode and Kohn anomalies along a- and b-axes, we now 271 ²⁷² investigate their evolution on unconventional hysteretic transition. Figure 6c shows measured phonon energies at 300 K along [4, K, 0] following the 300 K \rightarrow 30 K \rightarrow 300 K thermal 273 cycle (see SM Fig. S5 for raw data). As one can observe, the large dip corresponding to the 274 amplitude mode in panel (a) at $\mathbf{q}_{\text{CDW1}}^{K}$ has suppressed on thermal cycling. The suppression 275 of dip could be due to phonon renormalization from changes in atomic positions (for exam-276 ple, amplitude $Q_{\rm CDW}$ and relative phase of Te mono- and bilayer, i.e., ϕ and ϕ') or trivially 277 related to expected phonon softening/stiffening on first-order CDW phase transition. We 278 $_{279}$ note that the CDW transition here is first-order in nature, as evident from different $T_{\rm CDW}$ on heating and cooling (see Fig. 1d). We discuss below both scenarios. 280

First, we discuss the expected phonon softening/stiffening on first-order CDW phase 281 transition, qualitatively illustrated in SM Fig. S9. As described earlier, the [4, K, 0] direction 282 probes Te-Te trimer distortion that induces the CDW transition along the b-axis. Above 283 ²⁸⁴ $T_{\rm CDW}$, the phonon energy extracted from IXS scans along [4, K, 0] will have a minima at ²⁸⁵ $\mathbf{q}_{\text{CDW1}}^{K}$. The phonon energy at $\mathbf{q}_{\text{CDW1}}^{K}$ will continue to decrease on cooling from $T > T_{\text{CDW}}$ $_{266}$ to $T_{\rm CDW}$ and dropping to zero at $T_{\rm CDW}$, thus leading to a CDW peak. On cooling below $_{\rm 287}$ $T_{\rm CDW}^{cooling},$ acoustic phonons will emerge from the CDW peak along with the amplitude mode. ²⁸⁸ We did observe weak acoustic phonon intensity emanating from $\mathbf{q}_{\text{CDW1}}^{K}$ (see SM Fig. S5). Since the amplitude mode is indicative of oscillations in the CDW order parameter, its 289 energy will continuously increase on cooling below $T_{\rm CDW}^{cooling}$. This expected trend is observed 290 across phase transitions in several materials.⁴³ On subsequent heating, the amplitude mode 291 energy will drop to zero at $T_{\text{CDW}}^{heating}$, as shown in SM Fig. S9d. 292

²⁹³ If the above-described scenario of first-order phase transition is applicable for the sup-²⁹⁴ pression of the dip in the amplitude mode observed in Fig. 6c, then the Kohn anomalies in $_{295}$ b- and c-polarized branches (i.e., along [0, K, 0] and [0, K, 10]) must not exhibit the suppres-²⁹⁶ sion. This is because phonon eigenvectors of b- and c-polarizations are different from CDW ²⁹⁷ distortion at $\mathbf{q}_{\text{CDW1}}^{K}$ and they do not condense (i.e., drop to zero energy) at $\mathbf{q}_{\text{CDW1}}^{K}$ below $T_{\rm CDW}^{cooling}$. Hence, to confirm the origin of suppression, we measured phonon energies along 298 $_{299}$ [0, K, 0] on thermal cycling (see Fig. 6d). As one can observe, similar to the suppression in the [4, K, 0] direction, the Kohn anomaly is also suppressed at $\mathbf{q}_{\text{CDW1}}^{K}$. Thus, the above mea-300 surements and observations suggest that the renormalization of phonons on thermal cycling 301 must be due to a change in $Q_{\rm CDW}$ or relative phases ϕ and ϕ' , and is not a consequence of 302 the first-order CDW phase transition. The role of Q_{CDW} or ϕ and ϕ' is further supported 303 by the measurements of the Kohn anomaly in the competing H axis on thermal cycling 304 (i.e., along the [H, 4, 0] direction). Here the Kohn anomaly essentially remains the same (see 305 ₃₀₆ Fig. 7b), as mono- and bilayer distortions being perpendicular to the measured polarization $_{307}$ do not affect the Kohn anomaly. We note that IXS measurements were attempted at $600\,\mathrm{K}$ 308 and above under vacuum; however, the sample was not stable. We observed a continuous ³⁰⁹ decrease of Bragg peak intensity over several hours, suggesting possible evaporation.

310 Metastable states of unconventional hysteretic transition

Next, we focus on understanding the relative phase difference of mono- and bilayer dis-311 tortions, ϕ and ϕ' . Note that in the below discussion, we make inferences from the measured 312 data and DFT simulations, and the arguments are by no means conclusive. Firstly, the sup-313 pression of the Kohn anomaly on thermal cycling suggests that the two metastable states 314 at 300 K are possibly not degenerate. If two metastable states were degenerate, then we 315 would have observed the similar lattice dynamics; hence ruling out configurations where 316 different values of ϕ and ϕ' lead to degenerate states on thermal cycling, for example, degen-317 $erate \ states \ (EuTe) - \underline{Te} \uparrow - (EuTe) - \overline{\overline{Te} \uparrow} - \overline{\overline{Te} \downarrow} - \vdots (EuTe) - \underline{Te} \downarrow - (EuTe) - \overline{\overline{Te} \uparrow} - \overline{\overline{Te} \downarrow} - \cdots$ 318 and $(EuTe) - \underline{Te} \downarrow - (EuTe) - \overline{\overline{Te} \uparrow} - \overline{\overline{Te} \downarrow} - \vdots (EuTe) - \underline{Te} \uparrow - (EuTe) - \overline{\overline{Te} \uparrow} - \overline{\overline{Te} \downarrow} - \cdots$ 319

Secondly, the intensity ratios of the (4,0,0) Bragg peak to the $(4,\mathbf{q}_{\text{CDW1}}^{K},0)$ CDW peak at 300 K, measured using EXS measurements are ~29.2 on cooling and ~17.5 on heating cycle. We simulated several configurations of in-phase and out-of-phase distortions as shown are right in Fig. 8 and obtained the (a) ~36.2, (b) ~537.9, (c) ~122.1, and (d) ~ 78.0 as intensity are ratios. If we reduce the distortion amplitude of monolayers to zero in panels (a) and (d), the intensity ratios change to ~10.7 and ~245.0, respectively. From the above intensity ratios, we can exclude the configurations of panels (b,c,d) as they substantially exceed the measured ratios. The configuration shown in panel (a) remains one possible arrangement of atoms in the CDW state. The preference for the configuration shown in panel (a) is not surprising, as the similar distortion pattern of the bilayer was also reported for RTe₃ compounds (R =Ce, Pr, Nd).⁴ We note that the configuration shown in panels (b) and (d) are equivalent to scenarios I(B) and I(A), respectively, proposed in Ref. 15.

³³² Thirdly, as evident from the appearance of the CDW peak at $(0,4-2\mathbf{q}_{\text{CDW1}}^{K}, -4+\mathbf{q}_{\text{CDW1}}^{L})$ ³³³ (see Fig. 4b), Te trimers forms simultaneously with doubling of the unit cell along the *c*-axis. ³³⁴ However, it is unclear whether the doubling is due to the out-of-phase displacement of Te ³³⁵ mono- and/or bilayers in the adjacent unit cell or equivalently phase shift β along the *b*-axis ³³⁶ in Te mono- and/or bilayer in the adjacent unit cell. The latter configuration is the same as ³³⁷ Fig. 8a and was reported to occur on cooling to 80 K by Wu *et al.*¹⁴ To identify the doubling ³³⁸ distortion, we simulated both the scenarios and found both are of same energy separated by ³³⁹ less than 0.1 meV/atom.

Hence, based on the above experiments and simulations, we propose that the unconven-340 ³⁴¹ tional hysteretic transition is between the following two states: (i) the configuration shown ³⁴² in Fig. 8a on cooling and (ii) the same configuration but with reduced monolayer distortion ³⁴³ on heating. In both configurations, Te bilayer trimers in the adjacent unit cells are phaseshifted along the *b*-axis, as shown in Fig. 4a. As described earlier, the reduction in monolayer 344 distortion leads to the decreased intensity ratio of the Bragg peak to the CDW peak, thus 345 consistent with the measured EXS data. We further calculated the energy barrier of the 346 transition between the two metastable states using nudge elastic band (NEB) simulations and but did not observe any notable barrier (Fig. 8e) that is not compatible with the value 348 obtained from the resistivity relaxation time $(\geq 1 \text{ eV})$.¹⁵ If we observe SM Fig. S3, after ther-349 $_{350}$ mal cycling, the tails of the (4,0,0) and (0,4,0) Bragg peaks are broad due to either strain or ³⁵¹ multiple domains having different CDW distortion amplitudes leading to a spread in lattice ³⁵² parameters. Consequently, as also suggested by Lv *et al.*,¹⁵ it is likely strain and/or multiple ³⁵³ domains control the flipping kinetics and barrier of the unconventional hysteresis.

In summary, using EXS and IXS measurements combined with DFT simulations, we 355 tracked the evolution of static CDW order on unconventional hysteretic transition in EuTe₄. 356 Multiple CDW ordering wavevectors along all three crystallographic axes are identified by 357 extensive mapping in multiple Brillouin zones. We found a weak CDW order in the com-358 peting *a*-axis, which further weakens (nearly disappears) on thermal cycling. Moreover, we 359 observed multiple Kohn anomalies at $\mathbf{q}_{\text{CDW1}}^{K}$, thus confirming that FSN and hidden nesting induce the long-range CDW order and Kohn anomalies. The amplitude mode and Kohn 361 anomalies are suppressed on thermal cycling, suggesting the presence of two metastable 362 ³⁶³ non-degenerate states. We further identify the two metastable states driving the unconventional hysteresis; however, further experimental evidence is necessary to confirm them. Our 364 ³⁶⁵ study highlights the necessity of EXS and IXS to measure several orders of magnitude weak ₃₆₆ static CDW order (for example, $I_{\mathbf{q}_{\text{CDW}}^{H}}/I_{400} \sim 10^{6}$), higher-order CDW peaks for precise $_{367}$ determination of \mathbf{q}_{CDW} , distinguish static CDW order from low-energy phonon contribution $_{368}$ at \mathbf{q}_{CDW} , identify Kohn anomalies in multiple branches, and unambiguously determine the 369 origin of CDW.

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FIG. 1. (a) Unmodulated crystal structure of $EuTe_4$ with Te-Te bonds in Te monolayer and bilayer forming a nearly square pattern. (b) Same as panel (a) but in the *b-c* plane. (c) Te-Te trimer formation in the monolayer and bilayer in the CDW state. (d) Heat flow in a single-crystal of $EuTe_4$ of mass~5 mg measured using differential scanning calorimetery under Argon purging. Arrows mark the transitions on heating and cooling.



FIG. 2. (a) EXS mapping of CDW state along [4, K, 0] at 300 K, on cooling to 50 K, and after re-heating back to 300 K (thermal cycling 300 K \rightarrow 30 K \rightarrow 50 K \rightarrow 300 K), showing CDW peak corresponding to $\mathbf{q}_{\text{CDW1}}^{K} = 0.643(1) \text{ r.l.u.}$ Two higher-order CDW peaks at K = 0.714 and 0.572 $(2^{nd} \text{ and } 4^{th} \text{ order})$ corresponding to same $\mathbf{q}_{\text{CDW1}}^{K}$ are also marked by arrows. Intensity represents the number of EXS photon counts per 5 seconds. Errors bars are from counting statistics (\sqrt{N}) . (b) Same as panel (a), but counts shown on a logarithmic scale to highlight CDW peaks up to 10^{th} order. (c,d) CDW state along [0, K, -0.5] and [0, K, -1] showing long-range order corresponding to $\mathbf{q}_{\text{CDW2}}^{K} = 0.035(5)$ and $\mathbf{q}_{\text{CDW3}}^{K} = 0.965(5) \text{ r.l.u.}$ Note that the non-labelled peak appearing between $\mathbf{q}_{\text{CDW1}}^{K}$ and $-2 + 4\mathbf{q}_{\text{CDW1}}^{K}$ in panel (b) is from CDW distortion $\mathbf{q}_{\text{CDW1}}^{K} - \mathbf{q}_{\text{CDW2}}^{K}$. Similarly, the peak between $\mathbf{q}_{\text{CDW1}}^{K}$ and $2 - 2\mathbf{q}_{\text{CDW1}}^{K}$ is from $\mathbf{q}_{\text{CDW1}}^{K} + \mathbf{q}_{\text{CDW2}}^{K}$.



FIG. 3. (a) EXS mapping of CDW state along [0, 4, L] at 300 K, on cooling to 50 K, and after re-heating back to 300 K (thermal cycling 300 K \rightarrow 30 K \rightarrow 50 K \rightarrow 300 K), showing CDW peaks corresponding to $\mathbf{q}_{\text{CDW1}}^{L} = 0.50(1) \text{ r.l.u.}$ Arrows mark the correlation length ξ at different T. Intensity represents the number of EXS photon counts per 5 seconds. Errors bars are from counting statistics (\sqrt{N}). (b,c,d) CDW state along [0,3,L], [0,2,L], and [0,4,L] showing long-range order corresponding to $\mathbf{q}_{\text{CDW2}}^{L} = 0.071(5)$, $\mathbf{q}_{\text{CDW3}}^{L} = 0.045(5)$, and $\mathbf{q}_{\text{CDW4}}^{K} = 0.10(1) \text{ r.l.u.}$ in multiple Brillouin zones at 300 K. $\mathbf{q}_{\text{CDW1}}^{L}$ is marked by red arrows in panels (b-d), while black arrows in respective panels mark other CDW peaks.



FIG. 4. (a) Phase-shift β of Te trimers in the bilayer along the *b*-axis in the adjacent unit cells leading to doubling of the unit cell along the *c*-axis. The red double-headed arrow shows the *b*-axis phase shift across the black dotted line. In the upper unit cell, ϕ is 0 and ϕ' is π . (b) EXS mapping along [0, K, -3.5] at 300 K showing CDW peaks corresponding to the combined CDW distortion along the *b*-axis ($\mathbf{q}_{\text{CDW1}}^{K}$ and $\mathbf{q}_{\text{CDW2}}^{K}$) and doubling of unit cell along the *c*-axis ($\mathbf{q}_{\text{CDW1}}^{L}$). Superscripts on top of numerals (either K or L) indicate the axes. Intensity represents the number of EXS photon counts per 5 seconds. Errors bars are from counting statistics (\sqrt{N}). The non-labelled peaks in panel (b) at K = 2.573, 2.322, and 2.392 can be expressed as (0, $2+\mathbf{q}_{\text{CDW1}}^{K}-2\mathbf{q}_{\text{CDW2}}^{K}, -4+\mathbf{q}_{\text{CDW1}}^{L}$), (0, $3-\mathbf{q}_{\text{CDW1}}^{K}-\mathbf{q}_{\text{CDW2}}^{K}, -4+\mathbf{q}_{\text{CDW1}}^{L}$), and (0, $3-\mathbf{q}_{\text{CDW1}}^{K}+\mathbf{q}_{\text{CDW2}}^{K}$, $-4+\mathbf{q}_{\text{CDW1}}^{L}$), respectively.



FIG. 5. (a) EXS mapping of CDW state along [H, 0, 0] (4 < H < 5) at 300 K, on cooling to 50 K, and after re-heating back to 300 K (thermal cycling 300 K \rightarrow 30 K \rightarrow 50 K \rightarrow 300 K), showing CDW peak corresponding to $\mathbf{q}_{\text{CDW}}^{H} = 0.604(5) \text{ r.l.u.}$ Intensity represents the number of EXS photon counts per 5 seconds. Errors bars are from counting statistics (\sqrt{N}). (b) Observation of additional peaks along [H, 0, 0] (2 < H < 3) at H = 2.30 and 2.57 r.l.u.



FIG. 6. (a) Phonon dispersion along [4, K, 0] (*a*-polarized excitations propagating along K) at 300 K showing amplitude mode (near ~4.5 meV) and Kohn anomaly (in optic branch) at \mathbf{q}_{CDW1}^{K} (light green vertical strip). Error bars, wherever visible, are one standard deviation on either side of the marker from the fitting of the damped harmonic oscillator (see SM section B1). (b) Same as panel (a) but along [0, K, 0] (*b*-polarized excitations propagating along K), showing Kohn anomalies at $4 \cdot \mathbf{q}_{CDW1}^{K} = 3.357 \text{ r.l.u.}$ (c,d) Same as panels (a) and (b) but after the thermal cycling $300 \text{ K} \rightarrow 30 \text{ K} \rightarrow 300 \text{ K}$ to access the other metastable state. Light red and green color lines above the markers are guides to the eye following the lattice dynamical susceptibility simulations (see SM section C3). Data points at \mathbf{q}_{CDW1}^{K} or in close vicinity are not shown as strong CDW peak intensity saturates the entire energy scan, and phonon intensity is not visible.



FIG. 7. (a) Phonon dispersion along [H, 4, 0] (*b*-polarized excitations propagating along H) at 300 K showing Kohn anomaly at $\mathbf{q}_{\text{CDW}}^{H}$ (light green vertical strip). Error bars, wherever visible, are one standard deviation on either side of the marker from the fitting of the damped harmonic oscillator (see Supplementary Materials). (b) Same as panel (a) but after the thermal cycling $300 \text{ K} \rightarrow 30 \text{ K} \rightarrow 300 \text{ K}$ to access the other metastable state.



FIG. 8. (a-d) Different configurations of $EuTe_4$ structure with in- and out-of-phase displacements of mono- and bilayer along with doubling of the unit cell along the c-axis. The blue plane separates the two adjacent unit cells. (e) Change in energy in the NEB simulations between the configuration shown in panel (a) (corresponding to -1 on the x-axis), gradually decreasing the monolayer distortion to zero (corresponding to 0 on the x-axis), and then increasing the monolayer distortion to another side (corresponding to 1 on the x-axis).