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Temperature-driven changes in the Fermi surface of graphite

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We report on temperature-dependent size and anisotropy of the Fermi pockets in graphite revealed by magnetotransport measurements. The magnetoresistances obtained in fields along the *c*-axis obey an extended Kohler's rule, with the carrier density following prediction of a temperaturedependent Fermi energy, indicating a change in the Fermi pocket size with temperature. The angledependent magnetoresistivities at a given temperature exhibit a scaling behavior. The scaling factor that reflects the anisotropy of the Fermi surface is also found to vary with temperature. Our results demonstrate that temperature-driven changes in Fermi surface can be ubiquitous and need to be considered in understanding the temperature-dependent carrier density and magnetoresistance anisotropy in semimetals.

I. INTRODUCTION

The Fermi surface, defined in reciprocal space as the surface of Fermi energy $E_{\rm F}$ separating the occupied electron states from unoccupied ones at zero temperature, is at the very heart of our understanding of the electronic properties of metallic states [1]. For example, anisotropic magnetoresistances of materials can arise from non-spheric Fermi surfaces [2-5]. Changes in the Fermi surface topology, i.e., the Lifshitz transition, can evoke superconductivity in a semimetal under pressure [6]. In the absence of a structural symmetry breaking and/or a magnetic phase transition, the Fermi surface in a conventional metal does not change appreciably with temperature T, since $E_{\rm F}$ is typically much larger than $k_{\rm B}T$ [7]. On the other hand, one of the interesting phenomena revealed in recent research is the temperature-driven Lifshitz transition [7-27], indicative of a temperature-induced reconstruction of the Fermi surface, discovered in the type-II Weyl semimetal WTe₂ [7]. Here, we report on the temperature dependence of the Fermi surface in the *absence* of a Lifshitz transition in graphite and demonstrate that temperature-driven changes in the Fermi surface may be ubiquitous in a semimetal, independent of a Lifshitz transition.

While angle-resolved photoemission spectroscopy (ARPES) remains a powerful technique in uncovering a temperature-induced Lifshitz transition [8-13], the conventional magnetotransport approach [15-27] is another method to study Lifshitz transitions [18-20,23-27]. As demonstrated recently in the nodal line semimetal ZrSiSe [18], a Lifshitz transition can be inferred from the anomalies in the temperature dependence of the carrier density n(T) and/or mobility $\mu(T)$ by analyzing the measured magnetoresistance using a two-band model.

Here, we conduct magnetotransport investigations on semimetal graphite which exhibits no Lifshitz transition, i.e., without anomalies in n(T) and/or $\mu(T)$. We chose graphite for the following reasons: (1) its low carrier density (~10¹⁸ cm⁻³) [28-31] allows temperature-induced

change in $E_{\rm F}$ to be discerned from the temperature dependence of the carrier density [28]; (2) graphite is a two-band system, with the possibility to avoid the complexities associated with typical multi-band semimetals. Our results show that temperature-driven changes in the Fermi surface can be reflected in the temperature dependence of the carrier density [28] and magnetoresistance anisotropy [32]. In particular, magnetotransport results reveal both the temperature-induced shift of the Fermi level and change in the anisotropy of the Fermi surface in graphite. Our results demonstrate that temperature-induced changes in the Fermi surface may be expected in semimetals without a Lifshitz transition. They also indicate that temperature-induced changes in the Fermi surface changes in the Fermi surface need to be considered in understanding the temperature behavior of a semimetal, such as its temperature-dependent carrier density and the anisotropy of the magnetoresistances.

We first investigate the magnetoresistances obtained in magnetic fields along the *c*-axis of the crystal and at various temperatures. We find that they follow an extended Kohler's rule [33]:

$$MR = f[H/(n_T \rho_0)] \tag{1}$$

where $MR = [\rho_{xx}(H) - \rho_0)/\rho_0]$ is the magnetoresistance, n_T is the thermal factor representing the temperature dependence of the carrier density, $\rho_{xx}(H)$ and ρ_0 are the longitudinal resistivities at a magnetic field *H* and zero field at a given temperature, respectively. The good scaling of the data to the extended Kohler's rule suggests that the size of the graphite's Fermi pockets changes with temperature. We further probe the angle dependence of the magnetoresistivities at a given temperature and find it can be scaled as [32]

$$\rho_{xx}(H,\theta) = \rho_{xx}(\varepsilon_{\theta}H) \tag{2}$$

where $\varepsilon_{\theta} = (\cos^2 \theta + \sin^2 \theta / \gamma^2)^{1/2}$, with θ being the magnetic field angle with respect to *c*-axis of the crystal. The scaling factor γ varies from $\gamma = 56$ at T = 2 K to $\gamma = 20$ at T = 300 K. Since γ

is associated with the anisotropy of the effective mass [32], its change with temperature evinces a temperature-dependent anisotropy of the Fermi surface.

II. MATERIALS AND METHODS

We measured samples that were mechanically exfoliated out of a natural graphite crystal purchased from NGS Trading & Consulting GmbH, Germany [34]. Electric contacts with well-defined separations and locations were achieved using photolithography followed by evaporation deposition of 300-500 nm thick Au layer with a 5 nm thick Ti adhesion layer (see Fig.S1 [35] for an image of the sample from which the reported data were obtained). DC four-probe resistive measurements were carried out in a Quantum Design PPMS-9 using a constant current mode ($I = 100 \mu$ A). Angular dependencies of the resistance were obtained by placing the sample on a precision, stepper-controlled rotator with an angular resolution of 0.05°. The magnetic field is always perpendicular to the current *I* which flows in the *ab* plane of the crystal.

III. RESULTS AND DISCUSSION

Figure 1(a) (in logarithmic scale) and Fig.S2 (in linear scale) [35] present the typical magnetic field dependence of the longitudinal resistivity $\rho_{xx}(H)$ at various temperatures. At T = 300 K, $\rho_{xx}(H)$ can be well described as $\rho_{xx}(H) \sim H^{\alpha}$ with $\alpha = 1.8$. With decreasing temperature, α becomes smaller at high fields. The occurrence of linear behavior at T < 50 K may reflect that the system is at the quantum limit [36-39], though the oscillations in the magnetoresistivity may originate from other mechanisms besides Shubnikov–de Haas effect [36,37,39] (see Fig.S3 [35] for additional experimental results and its caption for more discussion). Figure 1(b) shows the temperature dependence of the longitudinal resistivity $\rho_{xx}(T)$ at a few fixed magnetic fields, which are constructed from the measured $\rho_{xx}(H)$ curves at fixed temperatures to avoid nonequilibrium

temperature effects. At zero field, the sample shows the expected metallic behavior with a residual resistivity ratio $rrr \approx 14.3$. Similar to those reported in Ref.31, the curves at H = 0.04 T, 0.08 T, and 0.2 T exhibit the typical 'turn-on' temperature behavior often observed in topological semimetals, i.e., $\rho_{xx}(T)$ changes from metallic to semiconducting-like behavior with decreasing temperature [31]. At $H \ge 0.6$ T, the curves show pure semiconducting-like behavior, which is barely seen in both topological and trivial semimetals. Another notable finding is the magnetoresistance at room temperature, with a remarkable value of $MR \approx 10^4$ % at H = 9 T (see Fig.2(a) and Fig.2(d)). As shown in Figs.2(b) and 2(e), the MRs also violate the Kohler's rule.

It is challenging to determine carrier densities in semimetals using transport measurements. Typically, they are derived by fitting the magnetoresistivities/magnetoconductivities with a twoband model [18-20,23-27]. This approach can be unreliable, since most semimetals have multiple bands. For example, the magnetoresistance of ZrSiSe was found to follow Kohler's rule [39] that is valid for systems with constant carrier densities, even though the existence of a Lifshitz transition in this material is inferred from anomalies in n(T) using a two-band model analysis [18].

Graphite is a two-band system with one electron-pocket and two hole-pockets [28]. Thus, its magnetoresistivities were expected to follow the two-band model. Indeed, $\rho_{xx}(H)$ curves along with the Hall magentoresisitivity $\rho_{xy}(H)$ curves at high temperatures (T > 120 K) can be described with the two-band model, as shown in Fig.S4(a) [35] for those at T = 300 K. However, deviations between the fitting and experimental curves are noticeable. In fact, $\rho_{xx} \sim H^{1.8}$ relationship shown in the inset of Fig.S2 [35] for the experimental $\rho_{xx}(H)$ curve obtained at T = 300 K differs from that ($\rho_{xx} \sim H^2$) expected for a compensated two-band system. The resulting carrier densities n_e and n_h , though being close to the theoretical values, have weaker temperature dependences than the predicted one (Fig.S4(c)) [35]. The reason can be ascribed to the distribution of the carrier mass,

resulting a magnetic field dependence of the power α in the $\rho_{xx} \sim H^{\alpha}$ relationship [28]. At T < 120 K the deviations between the fitting and experimental curves become more pronounced with decreasing temperature, as shown in Fig.S4(b) [35] for those at T = 104 K. For T < 70 K, the two-band model fails completely, probably due to quantum effects [36-39]. That is, fittings of the magnetoresistivity data with the two-band model is not a reliable method to quantitively determine the carrier density and mobility in graphite.

On the other hand, the extended Kohler's rule Eq. (1) can reveal the temperature dependence of the carrier density if the densities and mobilities in different bands have the same/similar temperature dependences [33], which is the case in graphite as shown in Fig.S4 [35]. We find that both $\rho_{xx}(H)$ and $\rho_{xx}(T)$ obey Eq.1 (see Fig.S5) [35], i.e., $MR = f[H/(n_T \rho_0)]$ [33], with pronounced temperature dependence of n_{T} . This is not a surprise, since the carrier density in graphite at zero temperature n_0 (~10¹⁸ cm⁻³) is very close to that of TaP, in which the extended Kohler's rule was established [33]. The derived temperature dependence of the carrier density n_T (see Fig.S6 [35]) is also similar to that of TaP: it decreases with temperature at T > 50 K and saturates at lower temperatures. We find that such a n_T can be quantitatively described theoretically [28], considering the region where the lattice scattering is dominant. The consistency of the experimental results with theory can be seen from the extended Kohler's rule plots of $\rho_{xx}(H)$ and $\rho_{xx}(T)$ using the theoretical value of n_T , as presented in Figs.2(c) and 2(f), where n_T is obtained by normalizing the theoretical temperature-dependent carrier densities to that at T = 300 K (see Fig.S6 [35]). Since n_T was calculated with a temperature-dependent Fermi energy $E_{\rm F}$ (see inset of Fig.S6 [35]), the scaling behavior shown in Figs.2(c) and 2(f) infers possible temperature-induced change in the size of the Fermi pockets.

The above finding led us to probe the temperature effects on the shape of the Fermi surface. Revealing the temperature-driven change in the shape of the Fermi surface can also provide additional support to the claim of temperature-dependent size. The shape of the Fermi surface is reflected in the effective mass m^* of the charge carriers, which governs the magnetoresistivity through the carrier mobility $\mu (\sim 1/m^*)$ [32]. Thus, we experimentally determined the anisotropy of m^* by measuring the magnetoresistivity at a given temperature with varying magnetic field orientations θ (definition is shown in the inset of Fig.3(c)). Figure 3(a) shows $\rho_{xx}(H)$ curves obtained at T = 300 K and at various angles θ . They clearly show that the magnetoresistivity is anisotropic, with the smallest values at H/(ab), i.e., $\theta = 90^{\circ}$. Following the procedures in Ref.[32], we re-plot the data as $\rho_{xx} \sim \varepsilon_{\theta} H$ in Fig.3(b) with the resulting ε_{θ} shown in Fig.3(c). The collapse of all curves for $\theta \neq 0^{\circ}$ onto the curve at $\theta = 0^{\circ}$ in Fig.3(b) follows the scaling behavior of Eq.2, i.e., $\rho_{xx}(H,\theta) = \rho_{xx}(\varepsilon_{\theta}H)$, with $\varepsilon_{\theta} = (\cos^2\theta + \sin^2\theta/\gamma^2)^{1/2}$ and $\gamma = 20$ as shown in Fig.3(c). The observed anisotropy of the magnetoresistivity and scaling behavior can be further confirmed by measuring the angle dependence of the magnetoresistivity $\rho_{xx}(\theta)$ at a particular magnetic field value H. Here, data is taken at a constant magnetic field while rotating the sample with respect to the external magnetic field, as demonstrated by $\rho_{xx}(\theta)$ curves obtained at various fields and their scaling in Figs.S7(a) and S7(b) [35], respectively.

The temperature effects on the shape of the Fermi surface can be inferred from the temperature dependence of γ , which represents the anisotropy of the effective mass m^* for an ellipsoidal Fermi surface [32]. To reveal γ 's temperature behavior, we repeated the measurements of $\rho_{xx}(H)$ and $\rho_{xx}(\theta)$ and the associated analysis procedures for T = 300 K at other temperatures. As examples, we present $\rho_{xx}(H)$ data and their scaling analysis for T = 5 K in Fig.S8 while Fig.S9 [35] shows $\rho_{xx}(\theta)$ data obtained at this temperature and the corresponding scaling. They clearly exhibit the

high anisotropy of the magnetoresistivities and excellent scaling with Eq.2. The derived γ shows pronounced temperature dependence, with $\gamma = 55$ at T = 5 K. The temperature dependence of γ is presented in Fig.4 and exhibits a smooth decrease with increasing temperature, ranging from $\gamma =$ 56 at T = 2 K to $\gamma = 20$ at T = 300 K. Interestingly, Figure 4 also shows a strong correlation between the γ 's temperature dependence and that of the magnetoresistance *MR*, though the overlap of $\gamma(T)$ and *MR*(*T*) may be coincidental.

Since the electron and hole bands in graphite have different densities of states, the temperature-driven shift of the Fermi level is caused by the requirement of compensation of $n_e = n_h$ at all temperatures, where n_e and n_h are the electron and hole density, respectively [28]. Pronounced temperature-effects on the Fermi surface occur because the Fermi level is close to the bottom of the conduction band and the top of the valence band, i.e., the sizes of the electron and hole pockets are small. On the other hand, a typical semimetal has more than two-bands and the total density of the electrons and holes at zero temperature may differ from each other [33]. However, the changes in the electron and hole density induced by temperature must be equal, i.e., $\Delta n_e = \Delta n_h$, which can result in a temperature-dependent Fermi level if the density of states of the electron and hole bands are not the same. That is, it may be not uncommon to observe a temperature-induced shift of the Fermi level, i.e., change in the size of the Fermi pockets in semimetals. Lifshitz transition occurs when a shift of the Fermi level eventually leads to a change in the Fermi surface topology, e.g., disappearance and/or emergence of a Fermi pocket [7]. If the carrier density is low, the change in Fermi level can have a significant impact on the temperature dependence of the carrier density. In this case, the size change of the Fermi pockets may be deduced from n_T in the extended Kohler's rule of magnetoresistance.

In probing the role of the quasi-2D band on the occurrence of the extremely large magnetoresistance, a temperature-dependent magnetoresistance anisotropy was first discovered in the type-II Weyl semimetal WTe₂ [32]. This phenomenon was later observed in both topological and trivial semimetals [15,32,41-45]. In a semimetal with multiple anisotropic Fermi pockets, such a phenomenon could occur if the temperature dependences of the carrier mobilities of different Fermi pockets are not identical. On the other hand, our results from graphite indicate that such a temperature-dependent magnetoresistance anisotropy can be the direct outcome of a temperature-dependent anisotropy of the Fermi surface. That is, we can use temperature-dependent anisotropy of magnetoresistance, which can be obtained through convenient and widely available transport measurements, to search for possible temperature-driven changes in the anisotropy of the Fermi surface in a semimetal.

IV. CONCLUSIONS

In summary, we probed temperature effects on the Fermi surface of graphite using magnetotransport measurements. Temperature-induced size change of the Fermi pockets is inferred from the temperature dependence of the carrier density derived from the extended Kohler's rule plots of the magnetoresistances, obtained in a given magnetic field orientation and at various temperatures. Temperature-driven change in the anisotropy of the Fermi pockets is revealed from the temperature-dependent anisotropy of the magnetoresistance. Our results show that temperature-induced changes in the Fermi surface may be expected in semimetals. They also indicate that temperature-induced changes in the Fermi surface need to be considered in understanding the temperature behavior of a semimetal, such as the temperature-dependent carrier density and the anisotropy of the magnetoresistances. This work further demonstrates that the widely available magnetotransport measurements can be used to detect temperature effects on the

Fermi surface of a semimetal through the temperature dependence of the magnetoresistance anisotropy and the carrier density, with the latter being highly relevant in systems with low carrier density.

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Figure captions

- **FIG.1.** (color online) Magnetoresistivities of graphite. (a) Magnetic field dependence $\rho_{xx}(H)$ measured at various temperatures. For clarity we plot only a portion of the $\rho_{xx}(H)$ curves taken at temperatures from T = 2 K to 120 K at intervals of 2 K; 123K to 180 K at intervals of 3K; 184 K to 200 K at intervals of 4 K, and from 205 K to 300 K at intervals of 5 K. (b) Temperature dependence $\rho_{xx}(T)$ constructed from $\rho_{xx}(H)$ data. For clarity we present $\rho_{xx}(T)$ curves at magnetic fields of H = 0 T, 0.02 T, 0.04 T, 0.08 T, 0.2 T, 0.6 T, 1.4 T, 2.6 T, 4.2 T, 6.2 T and 9 T (from bottom to top). The data were taken in magnetic field parallel to the *c*-axis of the crystal.
- **FIG.2.** (color online) Extended Kohler's rule of the magnetoresistance. (a) and (d), Magnetic field and temperature dependences of the *MR* derived from data in Figs.1(a) and 1(b),

respectively. (b) and (e), Kohler's rule plots of the data in (a) and (d), respectively. (c) and (f), Extended Kohler's rule plots of the *MR* curves in (a) and (d), respectively. To be comparable with the scaling results in Fig.S6, theoretical values of n_T were calculated by normalizing the temperature-dependent carrier densities in Fig.S6 to that at T = 300 K, i.e., $n_T = 1$ at T = 300 K. Symbols in Fig.2(a)-2(c) are the same as those in Fig.1(a) while the same symbols as those in Fig.1(b) are used for Fig.2(d)-2(f) (see legends in Figs.2(c) and 2(f), respectively). Dashed red lines in Fig.2(c) and 2(f) represent a power-law relationship of $MR \sim H^{\alpha}$ with $\alpha = 1.8$.

- FIG.3. (color online) Anisotropy of the magnetoresistivity in graphite. (a) ρ_{xx}(H) curves at various angles θ obtained at T = 300 K. (b) Data in (a) re-plotted with H scaled by a factor ε_θ.
 (c) Angle dependence of the scaling factor ε_θ. Symbols are experimental data and the solid line is a fit with ε_θ = (cos²θ + sin²θ/γ²)^{1/2} and γ = 20. A schematic in the inset of (c) shows the definition of angle θ.
- **FIG.4.** (color online) Temperature dependences of the derived anisotropy factor γ (red open circles) and the magnetoresistance *MR* at *H* = 0.2 T (solid line).



Figure 1







Figure 3



