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1 Deformation of Zircon + MgO aggregates: Deviatoric Stress as a Control on 2 Deformation Mechanisms

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16 Abstract

17 Deformation behavior of multi-phase aggregates has great significance for materials designs
18 and understanding the dynamics of the Earth. Here we studied deformation of zircon (ZrSiO₄)-
19 MgO aggregates and found that the introduction of MgO reduced overall deviatoric stress in the
20 aggregates and thus controlled deformation mechanisms of zircon phase. Zircon primarily
21 deforms by a dominant {101}<10-1> slip; however, activity of zircon {100}<010> slip increases
22 with the introduction of increased ratio of MgO into the aggregates, as suggested by the
23 experiments and simulations. We also found both zircon and MgO in the aggregates remained
24 strong deformation texture, which is likely related to the symmetric variants of dominant slip

25 system of hard zircon phase. Our results help to clarify the discrepancies in previous studies and
26 understand which phase may dominate the seismic anisotropy in geosciences.

27

28 I. INTRODUCTION

29 Deformation behavior of multi-phase aggregates is a fundamental subject for both materials
30 science and Earth sciences. The industrial applications of designed composite materials require
31 the understanding of deformation behavior of multiphase aggregates. In geosciences, it is
32 believed that the seismic anisotropy in the Earth's interior is caused by the texture (lattice
33 preferred orientation) of minerals developed in the deformation process [1-5]. However, most
34 previous deformation studies have focused on single phases [1-5] and studies on multi-phase
35 minerals are less and in demand. In a few high pressure deformation studies on minerals such as
36 bridgmanite + magnesiowüstite aggregates [6,7] and NaMgF_3 perovskite + NaCl aggregates [8],
37 researchers have found that soft phases like magnesiowüstite and NaCl do not develop texture
38 and the hard phases like bridgmanite and perovskite develop deformation texture. Instead,
39 deformation on CaGeO_3 perovskite + MgO aggregates [9] and NaCl +MgO aggregates [10]
40 indicated that both hard and soft phases developed a texture. It thus brings confusions about
41 which phase would dominate the texture evolution of the whole system and whether the phase
42 symmetry has an effect on the texture of multiphase. These discrepancies indicate that
43 deformation of multi-phase aggregates and the underlying physics warrants further study.

44 Zircon (ZrSiO_4) is a ubiquitous mineral in Earth's crust and a key for geochronology and
45 record for impact events but its deformation behavior remains controversial [11-16]. Different
46 dominant slip systems of zircon including $\{100\}\langle 010\rangle$ [11,16], $\{100\}\langle 001\rangle$ [12,13], $(001)\langle 100\rangle$
47 [13,16], $\{110\}\langle 001\rangle$ [13] have been proposed. A recent diamond anvil cell (DAC) deformation
48 study [17] on zircon-type GdVO_4 suggested that GdVO_4 deforms by dominant $(001)\langle 100\rangle$ and

49 {112}<11-1> slip but it remains unclear whether zircon has the same slip activities. Hence an in-
50 situ deformation study on zircon is expected to resolve the debates on the dominant slip of zircon.

51 Here we choose pure zircon and zircon-MgO aggregates to study the slip systems of zircon
52 and deformation behavior of multiphase aggregates at high pressure. Zircon + MgO aggregates
53 represent a combination of tetragonal + cubic symmetries that has not been explored in previous
54 deformation studies. Additionally, MgO is a common refractory material in industries and the
55 second most abundant material in the Earth's lower mantle [18]. At room temperature MgO
56 deforms primarily by {110}<1-10> slip [19]. The good stability of MgO and the relatively
57 simple structures and symmetries of zircon and MgO make them good candidate minerals for
58 this multi-phase deformation study. By conducting diamond anvil cell (DAC) combined with
59 synchrotron radial X-ray diffraction (XRD) experiments, Elasto-ViscoPlastic Self-Consistent
60 (EVPSC) [20] simulations and transmission electron microscopy (TEM) characterization, we
61 investigated the deformation slips of zircon and the texture evolutions in a single and multi-
62 aggregates system. We show a new dominant slip of zircon which has not been reported before
63 and reveal the correlations between the phase friction of MgO and deformation mechanisms of
64 zircon. We also discussed how the dominant slip system of one phase influenced the texture
65 evolutions of another phase in a multi-aggregates system.

66

67 **II. METHODS**

68 Ground pure zircon powder sample and zircon-MgO mixtures were pre-compacted and
69 loaded into boron-kapton gaskets mounted in a DAC with 300 μm culet anvils, respectively. Pt
70 and MgO were used as pressure calibrants for pure zircon and zircon-MgO mixture deformation
71 experiments, respectively. No pressure medium was used to maximize the differential stress in
72 the chamber. High pressure synchrotron XRD measurements in radial geometry were performed

73 at Beamline 12.2.2 of the Advanced Light Source (ALS), Lawrence Berkeley National
74 Laboratory, USA. A monochromatic beam with an energy of 25 keV and 30 keV were used for
75 the deformation experiments of pure zircon and zircon+MgO mixtures, respectively. The
76 diffraction pattern was refined with the Rietveld method using the Materials Analysis Using
77 Diffraction (MAUD) software [21]. More details could be found in Supplementary Materials
78 [22-26]. The volume ratio of MgO was obtained from the XRD refinements.

79 The EVPSC code [20] is used to model our experimental data and twelve possible systems
80 including $\{100\}\langle 010\rangle$, $\{100\}\langle 001\rangle$, $\{100\}\langle 011\rangle$, $(001)\langle 110\rangle$, $(001)\langle 100\rangle$, $\{101\}\langle 10-1\rangle$,
81 $\{112\}\langle 11-1\rangle$, $\{112\}\langle 110\rangle$, $\{111\}\langle 1-10\rangle$, $\{110\}\langle 001\rangle$ slips and $\{112\}\langle 11-1\rangle$ twinning and
82 $\{101\}\langle 10-1\rangle$ twinning have been considered in the simulation. Due to the potential effects of the
83 reidite transformation on texture and $Q(hkl)$ evolution we only run simulations for $P < 25$ GPa to
84 minimize the effects of the phase transition. Parameters including the strain, compression rate
85 (strain rate) and critical resolved shear stress (CRSS) values of possible slip systems and twin
86 modes are adjusted to reproduce the experimental lattice strain and texture evolution as function
87 of pressure. More simulation details could be found in Supplementary Materials [22].

88 Ground pure zircon and the zircon-MgO mixture powder samples were pre-compacted and
89 loaded into steel gaskets mounted in a DAC with 300 μm culet anvils, respectively. Ruby was
90 used as the pressure calibrant and the highest pressure was increased to ~ 30 GPa to minimize
91 the effects of the phase transition. The post-deformed samples were thinned by focused ion beam
92 (FIB) and prepared for TEM characterization. The energy dispersive spectra (EDS) indicate that
93 the volume ratio of MgO is around 48% in the zircon-MgO mixture. Bright field imaging (BF)
94 and selected area diffraction (SAD) were performed on both pure sample and zircon-48%MgO
95 mixture by using a FEI Talos F200X TEM operating at 200 kV. BF and SAD were utilized to

96 examine the dislocation with different slip systems. More analysis could be found in
97 Supplementary Materials [22,27].

98

99 III. RESULTS AND DISCUSSIONS

100 We observed the zircon ($I4_1/amd$)-reidite ($I4_1/a$) phase transition starting around 20
101 GPa (Fig. S1), which was consistent with previous studies [28-30]. Deviatoric lattice strain $Q(hkl)$
102 and differential/deviatoric stress $t(hkl)$ of each lattice plane of zircon phase were obtained and
103 calculated. As shown in Fig. 1(a), the (220) planes and (200) planes exhibit the highest and
104 lowest flow strength among the four measured lattice planes in pure zircon. With an increased
105 volume ratio of MgO added to the mixture (Fig. 1(b-c)), the strength order of the different planes
106 of zircon changes. Specifically, in the 40% MgO sample $t(220)$ of zircon decreases to a similar
107 level as $t(211)$ (Fig. 1(c)). The overall stress levels decrease significantly with stresses in the
108 pure zircon sample being ~ twice as large as in zircon that is mixed with 40% MgO. The order of
109 $Q(hkl)$ and $t(hkl)$ is directly related to the activity of deformation mechanisms (twinning and
110 dislocations glide). Thus, this change in $t(hkl)$ order and relative magnitude may be related to
111 changes in dislocation or twin activity in the zircon phase.

112 We analyzed the texture evolution of zircon in the pure and mixed samples during
113 compression (Fig. 1(d)). As shown in the inverse pole figures (IPFs), in pure zircon a (001)
114 maxima develops at ~10 GPa and continues to strengthen during further compression (Fig. 1d).
115 In the zircon-25% MgO mixture, zircon first develops a weak (110) maxima that is then
116 overprinted by a (001) texture around ~ 13 GPa. In the zircon-40% MgO mixture, zircon initially
117 develops a stronger (110) maxima than the 25% sample, and this is gradually overprinted by an
118 (001) deformation texture. In the 40% MgO sample zircon does not fully develop a (001) texture

119 until ~38 GPa. Furthermore the texture strength of zircon is considerably lower in the 40% MgO
120 sample than in the pure zircon sample. The fact that the initial (110) texture becomes more
121 pronounced with higher volume fractions of MgO suggests that the addition of a secondary phase
122 has a significant effect on the development of deformation textures in the zircon phase.

123 The deformation texture change from (110) to (001) suggests a change in deformation
124 mechanism of zircon. We use the EVPSC method [20] which has been modified for high
125 pressure deformation [31] to simulate the $Q(hkl)$ values and deformation textures of zircon with
126 a range of possible slip and twinning systems including $\{100\}\langle 010\rangle$ [11,16], $\{100\}\langle 001\rangle$
127 [12,13], $\{001\}\langle 100\rangle$ [13,16], $\{110\}\langle 001\rangle$ [13] slip systems (see Supplementary Materials [22]).
128 We also include slip on $\{112\}\langle 11-1\rangle$ as has been suggested for zircon structured $GdVO_4$ [17]
129 and slip on $\{101\}\langle 10-1\rangle$ which is common in a wide range of tetragonal materials [32-35] and
130 has been proposed for high pressure deformation of stishovite [36]. We tried combinations of
131 these possible slip systems to finely tune the match to experimental textures and $Q(hkl)$ values
132 for pure zircon and zircon mixed with MgO. As shown in Fig. 2(a-c), the simulated $Q(hkl)$
133 values agree well with the experimental results. The simulated textures reproduce an intense (001)
134 maxima observed in pure zircon and zircon mixed with MgO (Fig. 2(d-f)). For pure zircon,
135 zircon-25% MgO and zircon-40% MgO, the simulated maximum texture magnitude is 3.5 m.r.d.
136 (at a 21% strain, ~24 GPa), 3.0 m.r.d. (at a 20% strain, ~25 GPa), 2.4 m.r.d (at a 17.5% strain, ~
137 25 GPa), respectively, which are comparable to the fitted value of 4.4. m.r.d. (at 24 GPa), 3.4
138 m.r.d (at 25 GPa), 2.6 m.r.d (at 26 GPa) obtained from the experiments. We also note that the
139 simulated texture evolution does not fully reproduce the (110) maxima that is observed in the
140 experimental data for the 40% MgO sample and to a lesser extent the 25% MgO sample (Fig.
141 1(d)). This may be due to the fact that EVPSC and self-consistent simulations in general do not
142 account for the effects of microstructure on texture and lattice strain evolution. Zircon is well

143 known to cleave on $\{110\}$ and it is likely that grinding results in platy grains that are flattened on
144 $\{110\}$. In the 40% MgO sample when zircon grains are fully surrounded by the softer MgO
145 phase, rigid grain rotation may occur resulting in the alignment of zircon grains with $\{110\}$
146 cleavage planes at high angles to compression. As we do not account for crystallographically
147 flattened grains in our simulations this may be why we fail to reproduce the initial development
148 of a (110) maxima in the experimental IPFs. The EVPSC simulations suggest the dominant
149 $\{101\}\langle 10\bar{1}\rangle$ slip to be most consistent with the experimental results (Fig. 2(g-i)). With the
150 increased volume ratio of MgO, the minor activity of $(001)\langle 100\rangle$ and $\{100\}\langle 001\rangle$ slip systems
151 weaken while the activity of $\{100\}\langle 010\rangle$ slip remarkably increases.

152 To reveal the physics behind we performed the TEM characterization on the post-deformed
153 samples recovered from high pressure experiments. As shown in Fig. 3(a), high densities of
154 dislocation lines perpendicular to the $\langle 10\bar{1}\rangle$ direction indexed by the SAD pattern were
155 observed in the pure sample, suggesting that the $\{101\}\langle 10\bar{1}\rangle$ slip could be dominant.
156 Remarkably, in the mixed sample another set of dislocations were also found to intersect with the
157 $\{101\}\langle 10\bar{1}\rangle$ slip with an angle of $\sim 60^\circ$ (Fig. 3(b)), which is corresponding to the intersection
158 angle between $\{101\}\langle 10\bar{1}\rangle$ and $\{100\}\langle 010\rangle$ slips when projected on the (111) plane. Hence,
159 the second set of dislocations were associated with the $\{100\}\langle 010\rangle$ slip (see Supplementary
160 Materials [22] and Fig. S3) and there were increased densities of $\{100\}\langle 010\rangle$ slips observed in
161 the mixed sample (Fig. 3(b)). These observations strongly support our results from the EVPSC
162 simulations and XRD experiments that $\{101\}\langle 10\bar{1}\rangle$ is the dominant slip in zircon whereas the
163 activity of $\{100\}\langle 010\rangle$ slip increases with the introduction of MgO into zircon sample. It is
164 worth noting that deformation bands were also observed in the TEM images, which indicated the
165 severe and heterogeneous deformation of the samples subjected to the large shear stress.

166 The origin of different deformation pathways in zircon should be the deviatoric stress
167 influenced by the introduction of softer MgO phase. As shown in Fig. 1(a-c), before structural
168 transition (< 20 GPa) the average differential / deviatoric stress of zircon gradually decreases
169 with the increase of MgO and $t(220)$ becomes comparable to $t(211)$ in zircon mixed with 40% of
170 MgO. This suggests that the overall shear stress imposed on zircon decreases and the stress field
171 in zircon redistributes because of the introduction of softer MgO phase. The change in stress
172 field may result in the modification of atomic densities in crystallographic planes. Generally the
173 crystallographic plane with a larger d -spacing exhibits a higher atomic density and is more
174 energetically favorable when the slip occurs. In this case the d -spacing of (100) plane is larger
175 than the (101) plane (Fig. S4), indicating that the slip on (100) plane is more energetically
176 favorable at low deviatoric stress. Meanwhile, the differences in d -spacing between the (100) and
177 (101) plane are systematically larger in zircon mixed with MgO than that of pure sample (Fig.
178 S4), suggesting that the atomic density of (100) plane is relatively increased comparing to (101)
179 plane and thus the (100) slip is more favorable in the mixed sample than that in pure sample.

180 Interestingly dislocations with $\langle 10\bar{1} \rangle$ burgers vectors are found in our experiments though it
181 is not energetically favorable and have not been observed in previous studies on zircon. Slip on
182 $\{101\}\langle 10\bar{1} \rangle$ is common in tetragonal ceramics [32-35] and is suggested for high pressure
183 deformation of stishovite [36]. We think that high deviatoric stress levels and low temperatures
184 in our experiments favor the activation of the $\{101\}\langle 10\bar{1} \rangle$ slip system in zircon. The high
185 deviatoric stress in our experiments causes the dominant $\{101\}\langle 10\bar{1} \rangle$ slip while the
186 introduction of softer MgO reduces the shear stress on zircon and allows the activation of the
187 $\{100\}\langle 010 \rangle$ system which is generally energetically favorable at low deviatoric stress. In a TEM
188 study on natural zircon samples [37], higher stress conditions tended to result in activation of
189 higher order slip systems while in contrast zircon grains contained in a softer matrix tended to

190 only deform by energetically favorable slip systems such as $\{100\}\langle 010\rangle$. This is consistent with
191 our results that the $\{100\}\langle 010\rangle$ slip system is energetically favorable at low deviatoric stress and
192 the deviatoric stress controls the deformation mechanisms of zircon though the dominant
193 $\{101\}\langle 10\bar{1}\rangle$ slip system has not been reported before.

194 Our analysis shows distinct results from previous experimental studies on slip systems of
195 zircon. In natural zircon samples, compositional variation or chemical environment change might
196 be the cause for different slip system activities. Zircon deformed by tectonic events or shock
197 compression experiences distinctly different strain rate and high temperature processing
198 comparing with static DAC compression. Studies on olivine suggested that factors such as strain
199 rate, temperature, pressure, deviatoric stress, and chemical conditions including water and
200 oxygen fugacity, would play roles in changing the slip system and texture type of olivine [1,4,38].
201 In this scenario, either change in composition or chemical environment in natural samples or
202 change in strain rate and temperature in previous tectonic events or shock experiments could lead
203 to different slip systems of zircon. Our DAC experiments suggest a new dominant $\{101\}\langle 10\bar{1}\rangle$
204 slip system in zircon, and provide evidence that the deviatoric stress variation controlled by
205 physically tuning phase friction of aggregates could result in different slip activities of zircon.
206 This helps to understand the discrepancies in deformation mechanisms of natural zircon samples
207 or zircon deformed by tectonic events, shock compression and DAC. It also suggests the
208 differences in slip activities and deformation behavior of the same phase in a single and multi-
209 aggregates system. Since the Earth's rocks are natural multi-aggregates systems, deformation
210 experiments and modeling on multi-aggregates system should take the place of single minerals in
211 the future.

212 We also compared the texture evolution of reidite and MgO in the pure sample and mixed
213 samples across the phase transition (Fig. 4). Reidite in both pure phase and mixtures shows a

214 strong (110) transformation texture inherited from the (001) maxima in zircon. It can be seen that
215 MgO mixed with 75% zircon and 60% zircon both develop a (100) deformation texture (Fig. 4).
216 This is in contrast to previous studies in which the soft magnesiowüstite and NaCl phases remain
217 random [6,8]. Our result is more similar to the texture evolution in the CaGeO₃ + MgO and
218 NaCl+MgO deformation studies where both phases develop texture [9,10].

219 Previous studies documenting texture disruption in the soft phase either contain hard phases
220 that remain undeformed [39-41] or hard phases with low symmetry and a dominant slip system
221 with few or no symmetric variants [7,8]. Numerical simulations and microstructure observations
222 show heterogeneous strain fields within the soft phase which results in a lack of texture
223 development [8,39]. In our case, zircon has the highest differential stress (Fig. S1) and is much
224 harder than MgO. We summarized the dominant slips and their symmetric variants of hard
225 phases from previous studies in Table 1. It is likely that when the hard phase has a dominant slip
226 with few symmetric variants, it imposes more strain heterogeneity and tends to disrupt the
227 texture in the soft phase. Instead a hard phase with dominant slip systems that have many
228 symmetric variants does not impose much strain heterogeneity in the soft phase so the texture of
229 the soft phase preserves. In our study, zircon has a dominant {101}<10-1> slip system with four
230 symmetric variants, which might be the reason for the preservation of texture in the soft MgO
231 phase. This is also evidenced by previous studies [9,10] in which both CaGeO₃ and MgO have
232 dominant slips with six symmetric variants and the soft phase develops texture. Further studies
233 are required to check the assumption. Our results show the correlations between slip system and
234 texture evolutions of hard and soft phase in multi-aggregates system. It helps to understand the
235 texture evolutions of minerals in multi-aggregates system and figure out the phase accounting for
236 the seismic anisotropy.

237

238 **IV. CONCLUSIONS**

239 In summary, our study suggests that the introduction of MgO decreases the deviatoric stress
240 in zircon and controls its deformation mechanisms. EVPSC simulations and TEM
241 characterization indicate that zircon deforms by a dominant $\{101\}\langle 10\bar{1}\rangle$ slip but the activity of
242 zircon $\{100\}\langle 010\rangle$ slip increases with the introduction of an increased ratio of MgO, suggesting
243 a stress-sensitive deformation pathways of zircon controlled by the second phase. We also found
244 that MgO phase in the aggregates develops a strong (100) deformation texture in contrast to
245 previous studies on orthorhombic + cubic aggregates in which the MgO phase tends to have a
246 random orientation distribution. This is likely related to the symmetric variants of dominant slip
247 system of hard phase and the degree of strain heterogeneity that the hard phase imposes in the
248 softer phase. Our results provide new insights into understanding the role of deviatoric stress
249 levels in controlling zircon deformation pathways especially understanding the discrepancies in
250 previous deformation studies. It also implies that through the introduction of a secondary phase
251 one could adjust the deviatoric stress and control the deformation mechanisms of targeted
252 materials.

253

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326

327 **Figure and Table captions:**

328

329 FIG. 1. (a), (b), and (c), measured mechanical anisotropy change in zircon phase with the
330 introduction of different volume ratios of MgO phase. (d) Measured deformation texture
331 evolution in zircon phase with the introduction of MgO phase at different pressures. The
332 numbers in the left side are pressure in units of GPa.

333

334 FIG. 2. EVPSC simulation results for $Q(hkl)$ values, texture and slip activities of the zircon
335 phase: (a) (d)(g) pure zircon, (b) (e)(h) 25% MgO-zircon and (c)(f)(i) 40% MgO-zircon. The
336 simulated texture is intense in the 001 corner. The maximum texture magnitude is 3.5 m.r.d. for
337 pure zircon at 21% strain (~24 GPa), 3.0 m.r.d. for zircon mixed with 25% MgO at 20% strain
338 (~25 GPa) and 2.4 m.r.d. for zircon mixed with 40% MgO at 17.5% strain (~24 GPa). The
339 parameters used in the EVPSC simulation are listed in Table S1.

340

341 FIG. 3. TEM observations on the microstructures of post-deformed pure sample (a) and the
342 zircon-MgO mixture (b). The insets are the corresponding SAD patterns viewed from the $\langle 111 \rangle$
343 direction. The red and yellow arrow indicate the $\{101\}\langle 10\bar{1} \rangle$ and $\{100\}\langle 010 \rangle$ dislocation,
344 respectively. The lines are guides for eyes.

345

346 FIG. 4. Texture evolutions of zircon, reidite and MgO with increased pressure. The IPFs of
347 reidite phase is asymmetric so that a sector of 90 degree is used.

348

349 Table 1. The correlations between dominant slip of hard phase and the texture evolution of soft
350 phase. Phase friction of the soft phase is below 50%.

351

352

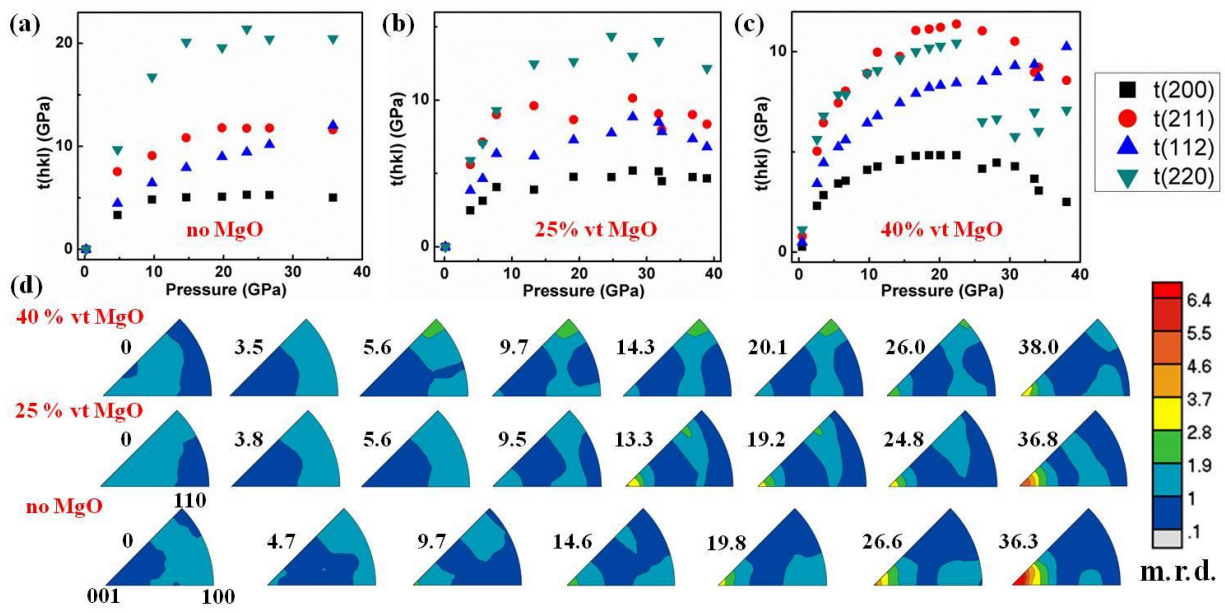


Figure 1

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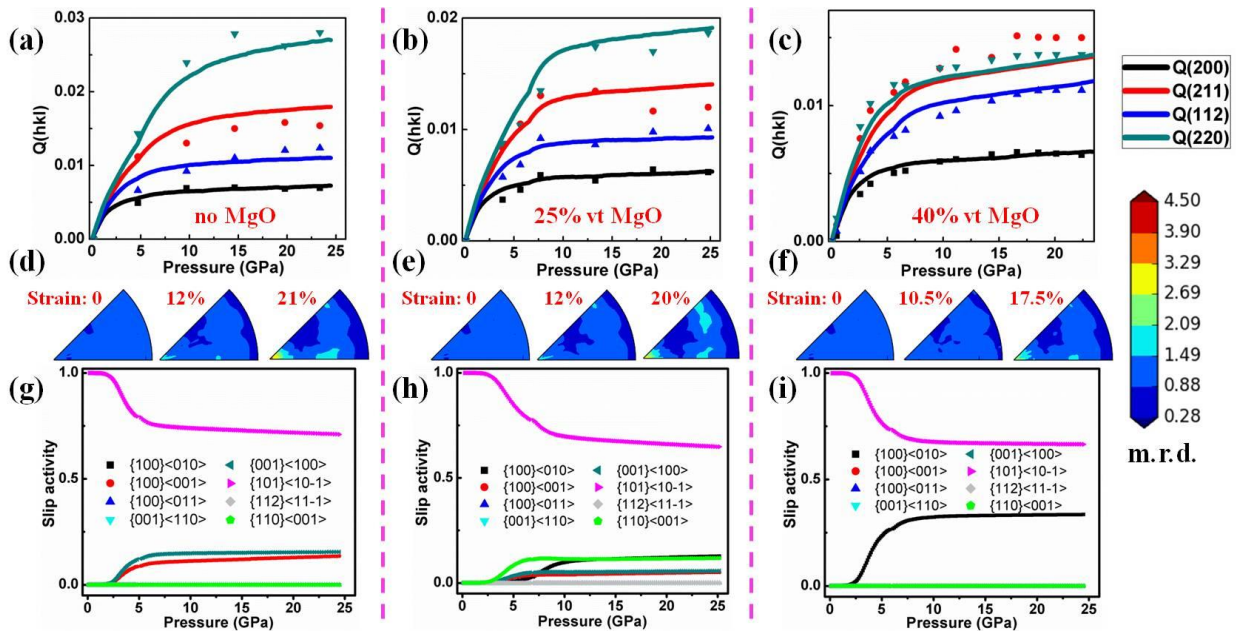


Figure 2

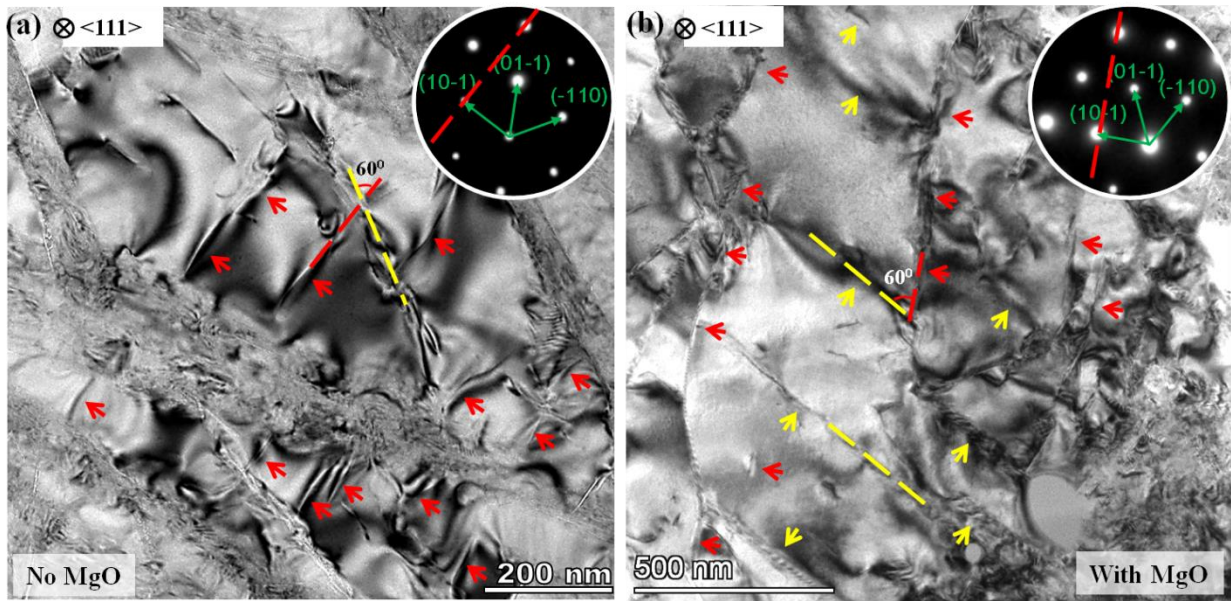
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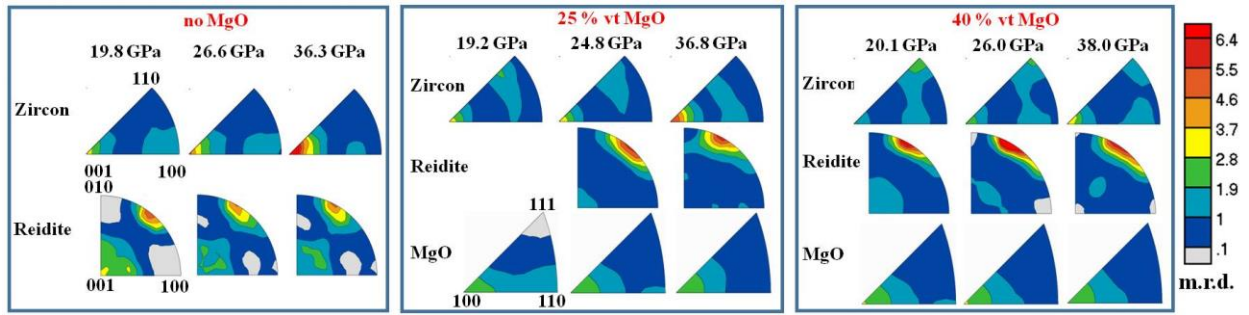
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Figure 3

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Figure 4

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Hard phase (+ soft phase)	Dominant slip of hard phase	symmetric variants	Soft phase	Reference
CaGeO ₃ (+MgO)	{110}<1-10>	6	Texture	Ref. [9]
MgO (+NaCl)	{110}<1-10>	6	Texture	Ref. [10]
Zircon (+MgO)	{101}<10-1>	4	Texture	This study
(Mg,Fe)SiO ₃ (bridgmanite) (+ (Mg,Fe)O)	(100)[010]	1	No texture	Ref. [7]
NaMgF ₃ (perovskite) (+ NaCl)	(100)[010]	1	No texture	Ref. [8]

Table 1