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## Emergence of topological and trivial interface states in math

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# Emergence of Topological and Trivial Interface States in VSe<sub>2</sub> Films Coupled to Bi<sub>2</sub>Se<sub>3</sub>

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Coupling ordinary metals with topological  $\text{Bi}_2\text{Se}_3$  can instigate the long-range migration of the spin-polarized Dirac states. Instead, for trivial metallic  $\text{VSe}_2$  films on  $\text{Bi}_2\text{Se}_3$ , topological and trivial Rashba-type interface states emerge, each strongly localized at the  $\text{VSe}_2/\text{Bi}_2\text{Se}_3$  interface. Their rapidly decaying spectral weights are uncovered by thickness-dependent band mappings of  $\text{VSe}_2$  films and replicated by a phenomenological first-principles model of the spectral function. The results are pertinent to spintronic devices requiring spin transmission across metal/topological insulator interfaces.

Fundamental to future impetus regarding topological insulators (TI) is integration of TI into heterostructures exhibiting emergent phenomena [1–4], whereby Dirac states are judiciously tuned while fostering a myriad of exotic quasiparticle excitations ranging from Majorana fermions to magnetic skyrmions [1–14]. By virtue of symmetry-protected emergent quasiparticles, hybrid topological systems hold alluring promises for applications in fault-tolerant quantum computation, spintronics, and low-power electronics [4]. Though mandated in topological devices containing TI, ordinary metal contacts interfaced with TI such as  $\text{Bi}_2\text{Se}_3$  happen to be intriguing, albeit the simplest, cases for probing hybridization coupling between trivial and nontrivial phases [7–11]. Theoretically, when trivial metals contact TI, topological surface states couple with bulk metallic states, thereby potentially spawning topological quantum well resonances having nontrivial spin textures that propagate over extended distances [7]. Indeed, as recently demonstrated by angle-resolved photoemission spectroscopy (ARPES), band mappings of superconducting Pb overlayers on  $\text{TlBiSe}_2$  exhibit the migration of nontrivial states, as exact clones of those on pure  $\text{TlBiSe}_2$ , to the probed Pb surface over appreciable distances (up to  $\sim 5$  nm) [8]. Still, nontrivial surface bands can instead be converted into topological interface states (TIS) localized at the trivial material/TI interface [10–14]. Nevertheless, confirming the presence and band tuning of TIS in topological heterostructures have been impeded—or even overlooked—due to often-low photoemission spectral weights of interface states [10], inhomogeneity of overlaying films [9–11], and/or inherent difficulties of first-principles modeling of real hybrid systems with exceedingly large unit cells under full atomic relaxations [8,15].

Herein, we unveil complete evidence from thickness-dependent ARPES for concomitant evolutions of two species of spin-polarized surface states, one nontrivial and the other trivial Rashba-type [16–18], into interfacial bands in thin-film heterostructures of topological  $\text{Bi}_2\text{Se}_3$  and

trivial metallic VSe<sub>2</sub>, all corroborated by a fresh first-principles model for the spectral function. Topologically trivial VSe<sub>2</sub> itself possesses an exotic charge density wave in the single-layer limit distinct from that in bulk [19–21], which also evidently suppresses the theoretically predicted ferromagnetic phase for pristine single-layer VSe<sub>2</sub> [19–23]. When fabricated on topological Bi<sub>2</sub>Se<sub>3</sub>, VSe<sub>2</sub> emerges as a cardinal system harboring strongly localized nontrivial and trivial Rashba-type states. As the VSe<sub>2</sub> thickness varies from zero to three layers, all surface bands of Bi<sub>2</sub>Se<sub>3</sub> transfigure into interfacial states with substantially reduced spectral weights and the Dirac cone preserved, vanishing in the mappings at three layers due to photoemission’s superficial probing depth [24,25]. First-principles modeling of the spectral functions and wavefunctions’ charge densities reinforces the inception of these interface states. Our results provide methodologies for probing localized symmetry-protected states in real hybrid systems and underscore engineering constraints of topological devices.

Before thin-film growths with molecular beam epitaxy (MBE), 6H-SiC(0001) substrates were annealed repeatedly to ~1300 °C, yielding bilayer-graphene-terminated SiC (BLG/SiC). To fabricate Bi<sub>2</sub>Se<sub>3</sub> films, Bi and Se were co-evaporated from an electron-beam evaporator and an effusion cell, respectively, onto BLG/SiC held at 220 °C; the growth rate was one quintuple layer (QL) (1 QL ≈ 1 nm) every ~12.5 min, and Bi<sub>2</sub>Se<sub>3</sub> films were post-annealed for ~45 min at 220 °C under Se flux [26,27]. VSe<sub>2</sub> films were prepared by co-depositing V and Se onto 10 QL Bi<sub>2</sub>Se<sub>3</sub> and BLG/SiC at 280 °C; the growth rate was ~50 min per triatomic layer (TL) (1 TL ≈ 0.6 nm). Deposition rates were crosschecked *in situ* with a quartz crystal monitor and ARPES through established methods [15,19,26–30]. Photoemission measurements were undertaken at 30 K with 21.218-eV photons using a Scienta R4000 analyzer and a Scienta-Omicron VUV5k He lamp.

Sharp reflection high-energy electron diffraction (RHEED) patterns for all  $N = 0-3$  TL VSe<sub>2</sub> films on 10 QL Bi<sub>2</sub>Se<sub>3</sub> and BLG/SiC also confirmed *in situ* the samples' high crystallinity [30].

First-principles calculations were executed using a localized basis set under the linear combination of atomic orbitals method implemented in SIESTA [31–34]. The generalized gradient approximation with the Perdew-Burke-Ernzerhof functional [35] was employed to calculate atomic-layer-resolved, orbital-projected band structures. Though VSe<sub>2</sub> and Bi<sub>2</sub>Se<sub>3</sub> lattices are incommensurate with in-plane lattice constants  $a_V = 3.357$  Å for VSe<sub>2</sub> and  $a_B = 4.143$  Å for Bi<sub>2</sub>Se<sub>3</sub> differing by ~19% [36,37], their structural parameters grant a near lattice matching for a superlattice with periodicity  $5a_V \cong 4a_B$ . Consequently, computations of VSe<sub>2</sub>/Bi<sub>2</sub>Se<sub>3</sub> entailed superlattices having an in-plane periodicity  $5a_V = 4a_B$  at zero twist angle (with  $a_V = 3.314$  Å), each modeled as periodic slab systems with vacuum gaps larger than 14.1 Å. A  $k$ -space mesh of  $4 \times 4$  was used; spin-orbit interactions and the dipole correction were incorporated. Unfolded band structures were computed using the utility in SIESTA [38], modified to handle energy bands with spin-orbit coupling included. The lattice structure of atomic layers in the first Bi<sub>2</sub>Se<sub>3</sub> QL and VSe<sub>2</sub> TL nearest to the VSe<sub>2</sub>/Bi<sub>2</sub>Se<sub>3</sub> interface was optimized separately via VASP [39,40] using the Perdew-Burke-Ernzerhof functional with the van der Waals D2 correction [41], until the residual force on each atom was less than  $10^{-3}$  eV/Å and with the total energy difference less than  $10^{-6}$  eV.

One VSe<sub>2</sub> TL typically adopts the  $1T$  lattice structure [Fig. 1(a)], wherein three triangular atomic layers of V and Se are stacked in the order Se-V-Se [19–23], while Bi<sub>2</sub>Se<sub>3</sub> manifests a rhombohedral phase [Fig. 1(b)] consisting of QL units, each terminated by Se layers and assembled as a stack of alternating triangular atomic layers of Bi and Se [16]. Bulk VSe<sub>2</sub> (Bi<sub>2</sub>Se<sub>3</sub>) is constructed by vertically stacking, while preserving inversion symmetry, many TL (QL) with adjacent TL (QL) weakly held together by van der Waals bonds. The crystallographic orientation

of VSe<sub>2</sub> TL on Bi<sub>2</sub>Se<sub>3</sub> surfaces aligns with that of Bi<sub>2</sub>Se<sub>3</sub> [Figs. 1(c)–1(f)] [16–21,30]. For comparisons, Fig. 1(c) schematically depicts the (001)-projected Brillouin zone of Bi<sub>2</sub>Se<sub>3</sub> overlaid on that for VSe<sub>2</sub>. Second-derivative band mapping of 10 QL Bi<sub>2</sub>Se<sub>3</sub>/BLG near the Fermi level [Fig. 1(d), left panel] uncovers sharp topological surface states and intense quasiparticle signals from trivial Rashba-spin-split surface bands [17,18], hallmarks of smooth TI surfaces [18,26–29]. Probing the band structure of 10 QL Bi<sub>2</sub>Se<sub>3</sub>/BLG over extended measurement ranges [Fig. 1(d)] unveils numerous crisp valence bands [18,29]. After deposition of 1 TL VSe<sub>2</sub> onto 10 QL Bi<sub>2</sub>Se<sub>3</sub>, a weakly dispersive feature near the Fermi level dominates ARPES and second-derivative mappings, while other zone-centered, hole-like bands disperse strongly down into valence bands buried at deeper binding energies [Fig. 1(e)]. Such bands are quintessential to VSe<sub>2</sub> [Fig. 1(f)], with the former and latter primarily derived from V 3*d* and Se 4*p* orbitals, respectively [9,19–21]. No ferromagnetic exchange splitting emerges [Figs. 1(d)–1(f)], suggesting pristine VSe<sub>2</sub> films here are nonferromagnetic [9,19–21]. However, for 1 TL VSe<sub>2</sub>/10 QL Bi<sub>2</sub>Se<sub>3</sub>, besides a V-shaped structure centered at the  $\bar{\Gamma}$  point, a clear Dirac-cone-like feature arises in the second derivative near the Fermi level [Fig. 1(e), left panel], largely obscured in the raw data by the more intense V 3*d* and Se 4*p* bands [Fig. 2]. Undoubtedly, these two band features arise from interfacing VSe<sub>2</sub> with Bi<sub>2</sub>Se<sub>3</sub>, per their absence in 1 TL VSe<sub>2</sub>/BLG results [Fig. 1(f)].

Since two species of surface states abound on Bi<sub>2</sub>Se<sub>3</sub> [Fig. 1(d)] [17,18], one hypothesis for the Dirac-cone-like and V-shaped structures of 1 TL VSe<sub>2</sub>/10 QL Bi<sub>2</sub>Se<sub>3</sub> is they are interface states derived respectively from topological and trivial Rashba-spin-split surface bands of Bi<sub>2</sub>Se<sub>3</sub>, corroborated by their reduced spectral weights and slight energy shifts relative to those of pristine Bi<sub>2</sub>Se<sub>3</sub> [Figs. 1(d) and 1(e)] [10–12]. Such presumed TIS and their “sister” interface states (SIS)—TIS for the Dirac-cone-like feature and SIS for the V-shaped structure—should exhibit greatly

attenuated spectral weights with further increase of the VSe<sub>2</sub> thickness due to photoemission's short probing depth, about 1 nm [24,25], comparable to the thickness of 2 TL VSe<sub>2</sub> [per Fig. 1(a)]. Figures 2(a) and 2(b) summarize ARPES and second-derivative spectra near the Fermi level along  $\overline{\Gamma K}$  for  $N = 0-3$  TL VSe<sub>2</sub>/BLG; similar datasets for  $N = 0-3$  TL VSe<sub>2</sub>/10 QL Bi<sub>2</sub>Se<sub>3</sub> appear in Figs. 2(c) and 2(d). Aside from evident V-shaped SIS in the spectra for 1 TL and 2 TL VSe<sub>2</sub>/10 QL Bi<sub>2</sub>Se<sub>3</sub>, both sets of ARPES maps for  $N = 1-3$  TL VSe<sub>2</sub> are superficially similar with one another [Figs. 2(a) and 2(c)]. Second derivatives highlight contrasts: For 1 TL VSe<sub>2</sub>/10 QL Bi<sub>2</sub>Se<sub>3</sub>, the Dirac cone of the TIS and the SIS emerge [Fig. 2(d)], both absent in 1 TL VSe<sub>2</sub>/BLG data [Fig. 2(b)]; further increase of film thickness to 2 TL in VSe<sub>2</sub>/10 QL Bi<sub>2</sub>Se<sub>3</sub> yields dramatic reductions in spectral weights of the TIS and SIS, which disappear at 3 TL [Fig. 2(d)]. Conversely, besides the trivial instance when the thickness is tuned from 0 TL to 1 TL, second-derivative spectra for VSe<sub>2</sub>/BLG here vary little with thickness [Fig. 2(b)].

To rationalize these thickness-mediated evolutions and unambiguously recognize the TIS and SIS as interfacial entities, spectral functions  $P(k_{\parallel}, E_B)_N$  for  $N = 0-2$  TL VSe<sub>2</sub>/6 QL Bi<sub>2</sub>Se<sub>3</sub> are calculated by summing over theoretical atomic-layer-resolved band structures  $L(i, k_{\parallel}, E_B)_N$  [30], each modulated by an effective cross section factor  $R_i = \sigma_i/\sigma_{\text{Bi}}$  for the  $i$ th layer's atomic species (Se 4*p*, V 3*d*, or Bi 6*p*) and an exponentially decaying weight in the position  $z_i$  along the  $c$ -axis (referenced to the probed surface) introduced by photoemission [24,25]:

$$\frac{P(k_{\parallel}, E_B)_N}{\sigma_{\text{Bi}}} = \sum_i R_i \exp(-z_i/\lambda) L(i, k_{\parallel}, E_B)_N. \quad (1)$$

The photoelectrons' escape depth is set to  $\lambda = 8.75 \text{ \AA}$ , consistent for an incident photon energy of 21.218 eV [24], while  $R_{\text{Se}} = 0.05$  and  $R_{\text{V}} = 0.075$  ( $R_{\text{Bi}} = 1$ ) through experimentation [30]. These results were Gaussian-broadened using full width at half maxima of 125 meV and  $0.06 \text{ \AA}^{-1}$  for



binding energy and momentum broadenings, respectively, and then multiplied by the Fermi-Dirac distribution at 30 K. Figures 3(a) and 3(b) present measured mappings along  $\overline{\Gamma K}$  for  $N = 0$ –2 TL VSe<sub>2</sub>/10 QL Bi<sub>2</sub>Se<sub>3</sub> and their second derivatives, while simulated spectra along  $\overline{\Gamma K}$  and associated second derivatives for  $N = 0$ –2 TL VSe<sub>2</sub>/6 QL Bi<sub>2</sub>Se<sub>3</sub> appear in Figs. 3(c) and 3(d). For completeness, similar experimental and theoretical datasets along  $\overline{\Gamma M}$  appear in the Supplemental Material [30]. Like the ARPES and second-derivative maps for 10 QL Bi<sub>2</sub>Se<sub>3</sub> [Figs. 3(a) and 3(b)], simulations for 6 QL Bi<sub>2</sub>Se<sub>3</sub> exhibit ungapped topological surface states [Figs. 3(c) and 3(d)] [26,28]. Upon addition of 1 TL VSe<sub>2</sub>, these surface states are replaced by a Dirac-cone-like feature in the simulated derivative [Fig. 3(d)], like the observed TIS [Fig. 3(b)]. As in the ARPES map [Fig. 3(a)], these simulated TIS are largely masked by VSe<sub>2</sub> bands in the calculated mapping [Fig. 3(c)]. Likewise, V-shaped SIS unmistakably arise in the simulated 1 TL data [Figs. 3(c) and 3(d)]. Although the binding energy position of the calculation's SIS feature deviates from that observed [Figs. 3(b) and 3(d)], such discrepancies are expected considering similar differences between experimental and first-principles results reported for trivial Rashba-type surface states of Bi<sub>2</sub>Se<sub>3</sub> and oft-unavoidable Se vacancies in MBE-grown compounds, which foster shifts in surface/interfacial and bulk bands [18,26–29]. Increasing the VSe<sub>2</sub> thickness to 2 TL suppresses the spectral weights for the simulated TIS and SIS, though hints remain [Figs. 3(c) and 3(d)]. Overall, the simulations' dimensionality-mediated behaviors remarkably complement our experimental evidence for the localized natures of the TIS and SIS.

Furthermore, the interfacial characters of the TIS and SIS, ones with wavefunctions spatially concentrated around the VSe<sub>2</sub>/Bi<sub>2</sub>Se<sub>3</sub> interface, are extracted from the states' thickness-dependent charge densities. Figures 4(a) and 4(b) reproduce simulated mappings and their second derivatives for 1 TL and 2 TL VSe<sub>2</sub>/6 QL Bi<sub>2</sub>Se<sub>3</sub>. At both VSe<sub>2</sub> thicknesses, spatial

charge densities  $\rho$  for TIS and SIS at the  $\bar{\Gamma}$  point, integrated in real space over the in-plane coordinates, are plotted in Figs. 4(c) and 4(d) versus the coordinate  $z$  along the  $c$ -direction, as defined in the lattice in Fig. 4(e). For TIS [Figs. 4(c) and 4(d), left panels], the charge density mostly lies within the first couple  $\text{Bi}_2\text{Se}_3$  QL nearest the  $\text{VSe}_2/\text{Bi}_2\text{Se}_3$  interface, though there are nonnegligible penetrations of the wavefunctions into the van der Waals gap(s) of overlaying  $\text{VSe}_2$ . As for SIS [Figs. 4(c) and 4(d), right panels], the largest contribution is concentrated near the  $\text{VSe}_2/\text{Bi}_2\text{Se}_3$  interface, but the wavefunctions penetrate significantly into  $\text{VSe}_2$  over an extended  $z$  range, implying the SIS are more accessible with photoemission than the TIS [Figs. 4(c) and 4(d)], consistent with the measurements [Figs. 3(a) and 3(b)].

Transformations of topological and trivial Rashba-spin-split surface bands into interfacial phenomena in  $\text{VSe}_2/\text{Bi}_2\text{Se}_3$  are extreme antitheses of potential long-range migrations of topological states into trivial metals [7,8]. Signatures of interfacial states in  $\text{VSe}_2/\text{Bi}_2\text{Se}_3$ , namely, dramatic reductions in spectral weights with increasing  $\text{VSe}_2$  thickness [Figs. 2(c) and 2(d)], are understood in terms of photoemission's probing depth—here,  $\sim 1$  nm [24,25]—which limits observing bands spatially localized near the  $\text{VSe}_2/\text{Bi}_2\text{Se}_3$  interface, per our simulations [Figs. 3 and 4]. Such localized behaviors are relevant when refining designs for nanoscale devices requiring transmissions of spin polarization across metallic/TI interfaces [7]. Moreover, these conversions leave tantalizing features, including topological Dirac states, largely intact, though interfacial band dispersions are visibly modified relative to those of  $\text{Bi}_2\text{Se}_3$  despite weak incommensurate van der Waals bonding at the interface [Fig. 3]. These preservations amidst hybridization coupling are critical prerequisites for exploiting TI in topological devices [4–8]. Thus, our work not only helps complete the picture for hybridization coupling effects in trivial

metal/TI but also highlights methods for probing localized topological and trivial Rashba-type states, while revealing design limitations of topologically-protected states in spintronic devices.

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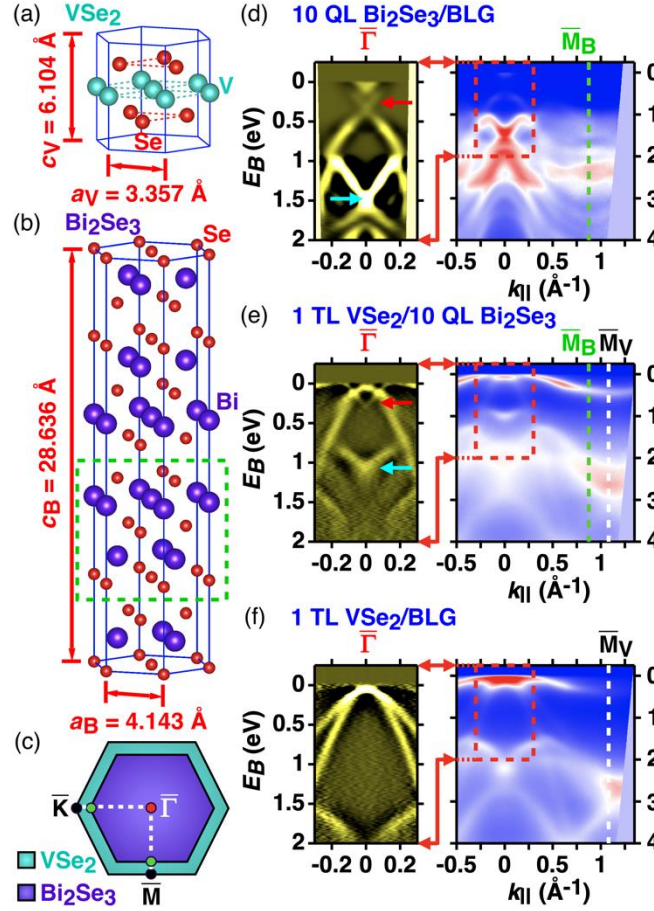


FIG. 1. Contrasting components in  $\text{VSe}_2/\text{Bi}_2\text{Se}_3$ . (a) Unit cell for  $\text{VSe}_2$  TL. (b) Atomic structure of  $\text{Bi}_2\text{Se}_3$  (QL identified with green dashed rectangle). (c) Comparisons between surface Brillouin zones of  $\text{VSe}_2$  (turquoise) and  $\text{Bi}_2\text{Se}_3$  (violet);  $\bar{\Gamma}\bar{\text{M}}$  lengths are  $1.081 \text{ \AA}^{-1}$  for  $\text{VSe}_2$  and  $0.875 \text{ \AA}^{-1}$  for  $\text{Bi}_2\text{Se}_3$ . (d) Second-derivative spectra near the Fermi level (left) and wide-range band mapping (right) for 10 QL  $\text{Bi}_2\text{Se}_3/\text{BLG}$ , taken at 30 K with 21.218-eV photons. The topological Dirac cone (red arrow) and trivial Rashba-spin-split surface states (cyan arrow) are marked. (e) Same as (d) but for 1 TL  $\text{VSe}_2/10 \text{ QL Bi}_2\text{Se}_3$ ; Dirac-cone-like (red arrow) and V-shaped (cyan arrow) features are distinguished. (f) Same as (e) but for 1 TL  $\text{VSe}_2/\text{BLG}$ . In (d)–(f), second derivatives are taken along the in-plane momentum direction;  $\bar{\text{M}}$  points of  $\text{Bi}_2\text{Se}_3$  and  $\text{VSe}_2$  are designated.

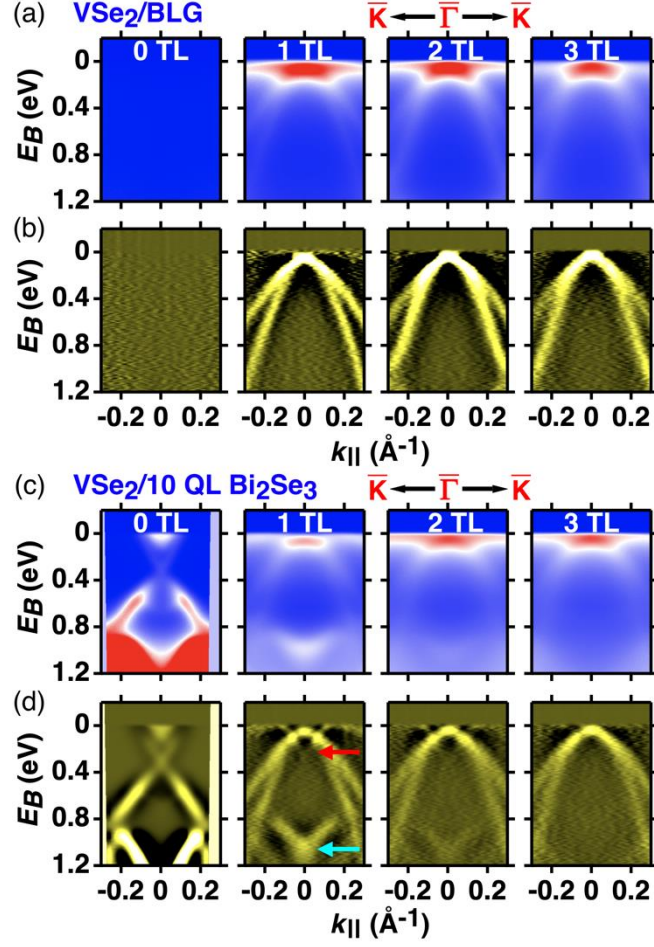


FIG. 2. Topological and trivial interface states in VSe<sub>2</sub>/Bi<sub>2</sub>Se<sub>3</sub>. (a),(b) ARPES maps near the Fermi level along  $\overline{\Gamma K}$  and corresponding second derivatives with respect to the in-plane momentum for  $N = 0-3$  TL VSe<sub>2</sub>/BLG, respectively, taken at 30 K using 21.218-eV photons. (c),(d) Same as (a),(b) but for  $N = 0-3$  TL VSe<sub>2</sub>/10 QL Bi<sub>2</sub>Se<sub>3</sub>; interfacial bands TIS (red arrow) and SIS (cyan arrow) are marked in (d).

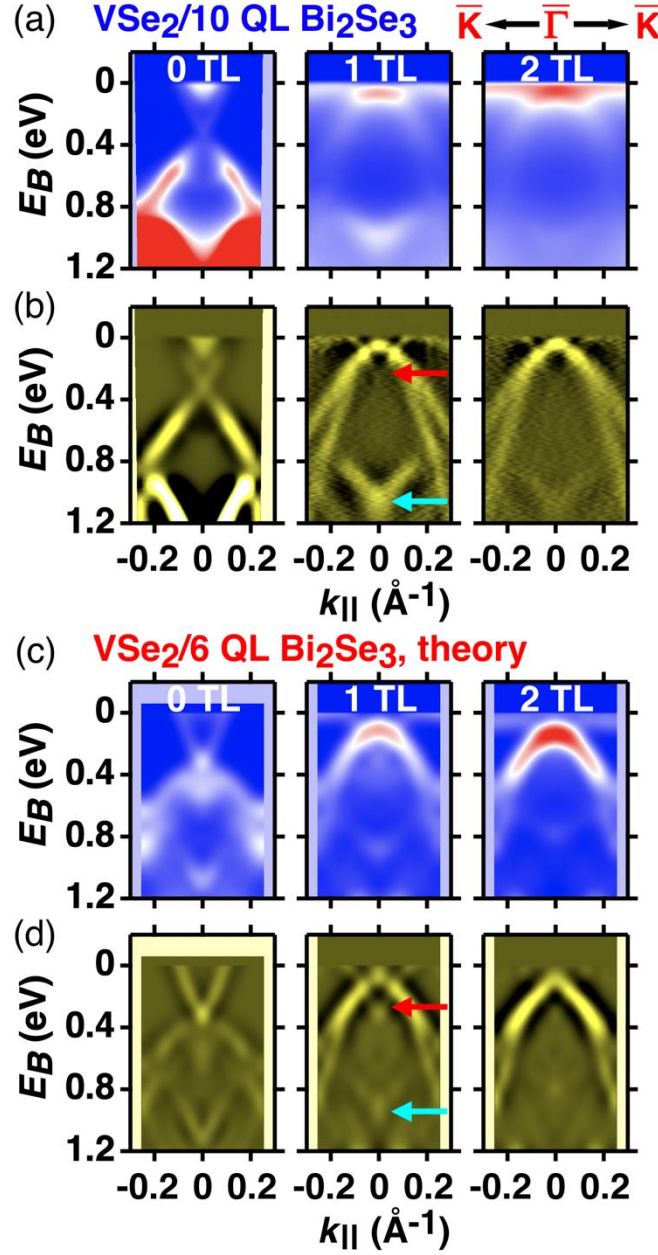


FIG. 3. Thickness-dependent ARPES versus simulated maps. (a),(b) Photoemission mappings and corresponding second derivatives for  $N = 0-2$  TL  $\text{VSe}_2/10 \text{ QL Bi}_2\text{Se}_3$ , respectively, measured along  $\bar{\Gamma}\bar{K}$  at 30 K with 21.218-eV photons. (c),(d) Theoretical spectral functions and their second derivatives for  $N = 0-2$  TL  $\text{VSe}_2/6 \text{ QL Bi}_2\text{Se}_3$ , respectively, calculated parallel to  $\bar{\Gamma}\bar{K}$ . In (b) and (d), second derivatives are along the in-plane momentum direction; TIS (red arrow) and SIS (cyan arrow) are flagged.

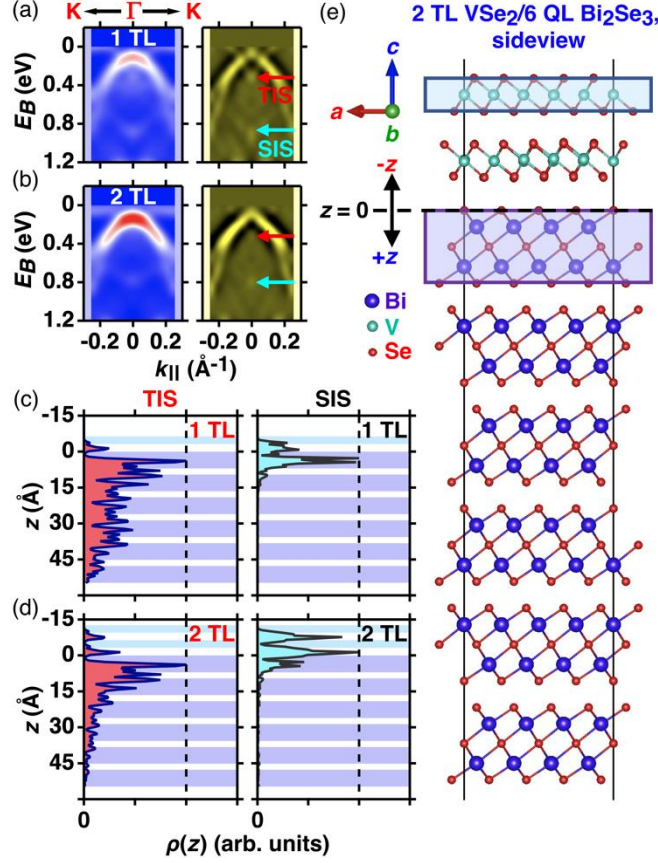


FIG. 4. Spatial charge densities for interface states. (a) Simulated mapping (left) along  $\bar{\Gamma}\bar{K}$  and its second derivative with respect to the in-plane momentum (right) for 1 TL VSe<sub>2</sub>/6 QL Bi<sub>2</sub>Se<sub>3</sub>, wherein TIS (red arrow) and SIS (cyan arrow) are identified. (b) Similar to (a) but for 2 TL VSe<sub>2</sub>/6 QL Bi<sub>2</sub>Se<sub>3</sub>. (c) Integrated charge densities  $\rho$  for TIS (left) and SIS (right) of 1 TL VSe<sub>2</sub>/6 QL Bi<sub>2</sub>Se<sub>3</sub> at the  $\bar{\Gamma}$  point graphed versus the spatial coordinate  $z$  along the  $c$ -axis, where  $z = 0$  is at the top Se layer of Bi<sub>2</sub>Se<sub>3</sub>. Each curve is normalized to its maximum; colored rectangles mark regions occupied by atomic layers of Bi<sub>2</sub>Se<sub>3</sub> (lavender) and VSe<sub>2</sub> (turquoise). (d) Same as (c) but for 2 TL VSe<sub>2</sub>/6 QL Bi<sub>2</sub>Se<sub>3</sub>. (e) Sideview of 2 TL VSe<sub>2</sub>/6 QL Bi<sub>2</sub>Se<sub>3</sub> lattice overlaid with turquoise and lavender rectangles for select VSe<sub>2</sub> and Bi<sub>2</sub>Se<sub>3</sub> atomic-layer sets, respectively, acting as guides to those in (c) and (d).