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Phys. Rev. B **104**, L161409 — Published 29 October 2021

DOI: [10.1103/PhysRevB.104.L161409](https://doi.org/10.1103/PhysRevB.104.L161409)

Broadband Focusing of Acoustic Plasmons in Graphene with an Applied Current

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(Dated: October 8, 2021)

Non-reciprocal plasmons in current-driven, isotropic, and homogenous graphene with proximal metallic gates are theoretically explored. Nearby metallic gates screen the Coulomb interactions, leading to linearly dispersive acoustic plasmons residing close to its particle-hole continuum counterpart. We show that the applied bias leads to spectral broadband focused plasmons whose resonance linewidth is dependent on the angular direction relative to the current flow due to Landau damping. We predict that forward focused non-reciprocal plasmons are possible with accessible experimental parameters and setup.

As optoelectronic technology advances, there is increasing demand for the development of strongly non-reciprocal light based devices [1–4]. While magneto-optical systems are well known for exhibiting non-reciprocity, the need for an external magnetic bias makes it difficult to integrate these systems onto nanophotonic platforms. This has driven a shift of the focus in recent years to the use of an external current bias to induce non-reciprocity [5–12]. Particular focus has been given to graphene surface plasmons, as their wide frequency range and gate tunability offer a wide variety of applications [13–19].

The effect of a current bias on graphene surface plasmons is to induce a Doppler shift in the plasmonic spectrum. By this effect, plasmons that are moving in the same direction as the electron flow are blue-shifted, while plasmons moving against the electron flow are red-shifted [9]. It has been shown that when the drift velocity is a significant fraction of the Fermi velocity in graphene, there exists a band of frequencies in which the red-shifted plasmons are forbidden and the plasmon is effectively unidirectional [5, 6, 9].

In this Letter, we show that a more versatile phenomenon can be found in graphene-dielectric-metal systems which exhibit acoustic plasmons [20–23]. When the separation d between the metal and graphene is small, the sound velocity of the acoustic plasmon is within a few percent of the Fermi velocity; and the plasmon branch lies in close proximity to the particle-hole continuum [15, 24–26]. If in addition an external bias is applied to the system, the plasmons moving against the electron flow can be brought into the particle-hole continuum and become damped. This effect causes focusing of the acoustic plasmons in the direction of the electron flow. Below we provide analytical and numerical calculations

which show how the focusing effect depends on the applied current, the electron density, and the dielectric environment. We emphasize that the major advantage in this system compared to the conventional plasmons is that the focusing effect occurs over a wide range of frequencies $0 < \hbar\omega < 2(E_F + \hbar v_F k_s)$, where k_s is the magnitude of the Fermi surface shift used to model the current bias below. Hence the system is more suitable for broadband applications.

Within the random phase approximation (RPA), the dynamical polarization of graphene is given by [13, 14]

$$\Pi(\mathbf{q}, \omega) = \frac{g_s g_v}{(2\pi)^2} \sum_{s, s'} \int d^2 \mathbf{k} f_{s, s'}(\mathbf{k}, \mathbf{q}) \quad (1)$$

$$\times \frac{n_F[E^{s'}(\mathbf{k} + \mathbf{q})] - n_F[E^s(\mathbf{k})]}{E^{s'}(\mathbf{k} + \mathbf{q}) - E^s(\mathbf{k}) - \hbar\omega - i0^+}, \quad (2)$$

where $g_{s(v)} = 2$ is the spin(valley) degeneracy, the prefactor $f_{s, s'}(\mathbf{k}, \mathbf{q})$ is the band-overlap integral, and $E^s(\mathbf{k})$ is the dispersion of the conduction ($s = 1$) and valence band ($s = -1$). We assume that the graphene is isotropic and spatially homogenous. Within the Dirac-cone approximation, we have $E^s(\mathbf{k}) = s\hbar v_F k$ with $v_F \simeq 9 \times 10^7 \text{ cm s}^{-1}$, and

$$f_{s, s'}(\mathbf{k}, \mathbf{q}) = \frac{1}{2} \left(1 + s s' \frac{k + q \cos(\theta_k - \theta_q)}{|\mathbf{k} + \mathbf{q}|} \right), \quad (3)$$

where θ_p is the angle between the wave vector $\mathbf{p}$ ($\mathbf{p} = \mathbf{k}$ or $\mathbf{q}$) and the x -axis. The equilibrium electronic occupation is determined by the Fermi-Dirac distribution

$$n_F(E) = \left[\exp \left(\frac{E - E_F}{k_B T} \right) + 1 \right]^{-1} \quad (4)$$

where $E_F = \hbar v_F k_F$ is the Fermi energy measured relative to the charge neutrality point, and T is the temperature of the system. We assume for definiteness that $E_F > 0$.

In the presence of an applied current, the electrons reach a new quasi-equilibrium with a modified

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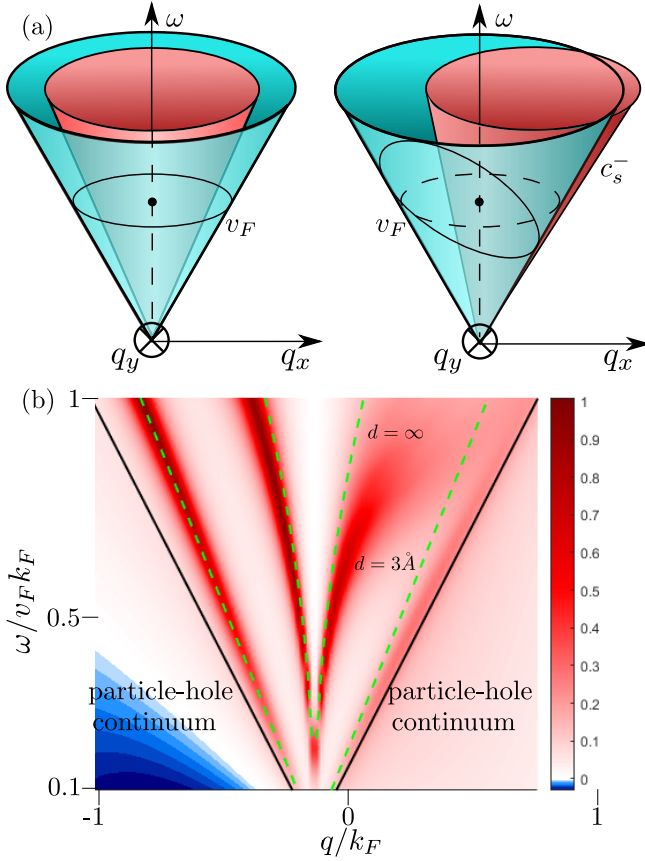


FIG. 1. (a) Cartoon illustration of the acoustic plasmon dispersion in graphene. Left: Acoustic Plasmon dispersion in graphene with no current bias. Right: Acoustic Plasmon dispersion in graphene with a current bias in the positive x direction. The plasmon dispersion is illustrated by the red cone, while the teal cone is the onset of the particle-hole continuum. The acoustic plasmon cone is tilted in the direction of the applied bias, resulting in the shift of the plasmon dispersion. Solid circles illustrate the Fermi energy. For comparison, we have included the Fermi energy at zero bias as a dashed line in the right plot. (b) Colormap of the loss function $S(\mathbf{q}, \omega) = [1 - U(\mathbf{q})\Pi(\mathbf{q}, \omega)]^{-1}$ for a graphene-insulator-metal system with an applied current obtained by numerical integration. The blue shows a region where gain is achievable. Both the current and the wave number q are assumed to be in the x -direction. Calculations were made using $E_F = 0.2$ eV, $k_s = 0.6k_F$, and $\kappa = 1$. Both the acoustic plasmon for $d = 3\text{\AA}$ and the plasmon for $d \rightarrow \infty$ are shown. For comparison we have included the analytical dispersion at zero bias shown by the green lines.

distribution function $n_F^*(E)$. The modified Dirac distribution can be modeled by shifting the Fermi surface of the biased electrons by an amount $\mathbf{k}_s = -e\tau\mathbf{j}/(\hbar\sigma)$, where $\mathbf{j}$ is the current density, σ is the conductivity in graphene, and τ is the transport scattering time [8, 9]. Assuming that the current is in the positive x -direction, the Fermi wave number obtains an angular dependence

described by

$$k_F^*(\theta) = -k_s \cos \theta + \sqrt{k_F^2 - k_s^2 \sin^2 \theta}, \quad (5)$$

where we assume $k_s < k_F$ and $\theta = \theta_k - \theta_q$. An illustration of the shifted Fermi surface is shown in Fig. 1(a). Assuming that the Fermi surface is shifted in the positive x -direction, we see that the shifted Fermi surface depletes the electron concentration in the direction of the current and increases their concentration in the opposite direction. As we argue below, this shift of the Drude weight is responsible for the red-shift of the dispersion in the direction of the current.

The plasmon dispersion $\omega(q)$ is determined by solving the equation

$$1 = U(\mathbf{q})\Pi(\mathbf{q}, \omega), \quad (6)$$

where

$$U(\mathbf{q}) = \frac{e^2}{2\kappa\epsilon_0 q} [1 - \exp(-2qd)] \approx \frac{e^2 d}{\kappa\epsilon_0} \quad (7)$$

is the Coulomb interaction of the graphene-dielectric-metal system, κ is the dielectric constant of the system, and d is the thickness of the dielectric layer, and we have used the approximation $qd \ll 1$. In order to obtain analytical results, we consider the limit $v_F k_s \ll v_F q$, and $\omega \ll v_F k_F$. In this limit, the band overlap integral simplifies to $f_{s,s'}(k, q) = \frac{1}{2}(1 + ss')$, allowing us to focus only on the intraband contribution. As we show in the Supplemental material [27], for q along the x -direction $\Pi(q, \omega)$ takes the surprisingly simple form

$$\Pi(\mathbf{q}, \omega) = D(E_F) [\Pi_0 + \delta\Pi_1 + \delta\Pi_2], \quad (8)$$

$$\Pi_0(\mathbf{q}, \omega) = - \left[1 - \frac{c_s}{\sqrt{c_s^2 - 1}} \right], \quad (9)$$

$$\delta\Pi_1(\mathbf{q}, \omega) = \frac{k_s}{k_F} \cos \theta_q \left[2c_s + \frac{1 - 2c_s^2}{\sqrt{a^2 - 1}} \right], \quad (10)$$

$$\begin{aligned} \delta\Pi_2(\mathbf{q}, \omega) = & \frac{1}{2} \left(\frac{k_s}{k_F} \right)^2 \left[\cos^2 \theta_q \left(\frac{3}{2} - 3c_s^2 + 3c_s \sqrt{c_s^2 - 1} \right) - \dots \right. \\ & \left. \dots - \sin^2 \theta_q \left(\frac{1}{2} - 3c_s^2 + \frac{3c_s^3 - c_s}{\sqrt{c_s^2 - 1}} \right) \right], \quad (11) \end{aligned}$$

where $c_s = \omega/(v_F q)$, and $D(E_F) = g_s g_v E_F / [2\pi(\hbar v_F)^2]$ is the density of states in graphene. In Eq. (8) we have included terms up to second order in k_s/k_F . Thus we find the acoustic plasmon dispersion by substituting Eqs. (8)-(11) into Eq. (6). Expanding $c_s = c_{s0} + \delta c_{s1} +$

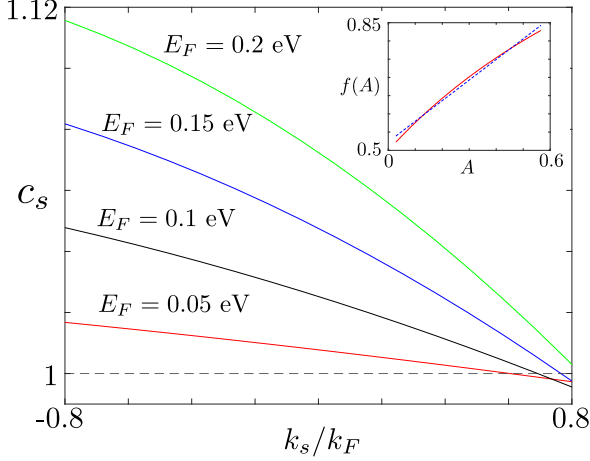


FIG. 2. Dimensionless acoustic plasmon velocity c_s as a function of the shifted Fermi surface center k_s for several different values of E_F . Negative values of k_s correspond to the $q < 0$ branch. Inset: The dimensionless function $f(A) \equiv k_{sc}/k_F$ vs the dimensionless parameter A . The range of A is for $0.01 \leq E_F \leq 0.20$ and for the dielectric constant $\kappa = 3$. The dashed line in the inset is the best linear fit.

δc_{s2} we find

$$c_{s0} = \frac{1 + A}{\sqrt{1 + 2A}}, \quad (12)$$

$$\delta c_{s1} = -\frac{k_s}{k_F} \cos \theta_q (c_{s0}^2 - 1)^{3/2} \left[\frac{2c_{s0}^2 - 1}{\sqrt{c_{s0}^2 - 1}} - 2c_{s0} \right], \quad (13)$$

$$\begin{aligned} \delta c_{s2} = & -\frac{1}{2} \left(\frac{k_s}{k_F} \right)^2 (c_{s0}^2 - 1)^{3/2} \times \dots \\ & \left[\cos^2 \theta_q \left(3c_{s0}^2 - \frac{3}{2} - 3c_{s0} \sqrt{c_{s0}^2 - 1} \right) - \dots \right. \\ & \left. - \sin^2 \theta_q \left(\frac{1}{2} - 3c_{s0}^2 + \frac{3c_{s0}^3 - c_{s0}}{\sqrt{c_{s0}^2 - 1}} \right) \right], \quad (14) \end{aligned}$$

where $A = (e^2 d D(E_F))/\kappa/\epsilon_0$, and we have used Eq. (7) in the limit of small q .

Let us discuss the dispersion defined by Eqs. (12)-(14), which is plotted in Fig. 2. As we see from Eq. (13), the dependence on $\cos \theta_q$ creates a non-reciprocity between the $q < 0$ and $q > 0$ branches. As the current increases, the $q > 0$ ($q < 0$) plasmon branch is red-shifted (blue-shifted) relative to the zero current value defined in Eq. (12). This effect creates a particularly interesting situation for acoustic plasmons in graphene, as it suggests that there is some k_{sc} above which $c_s < 1$. Under this condition, the $q > 0$ plasmon branch *lies entirely in the Landau damping region* and is strongly damped, while the $q < 0$ branch is very weakly attenuated (see Fig. 1(a)). In this regime the plasmon is focused in the opposite direction of the applied bias. We can solve for

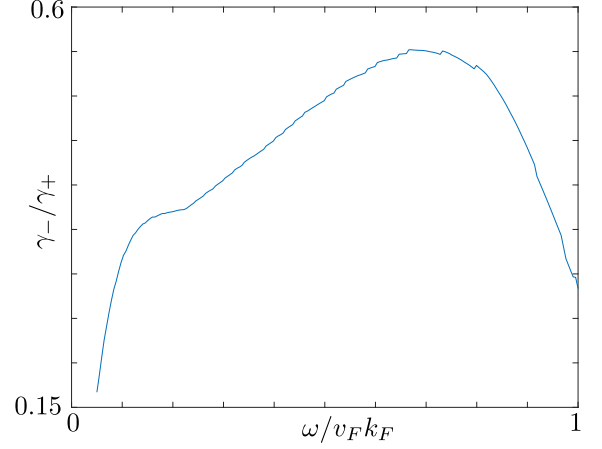


FIG. 3. Ratio of the damping factor γ of the redshifted (γ_-) and blueshifted (γ_+) plasmon branches for $E_F = 0.2$ eV, $k_s = 0.6 k_F$, and $\kappa = 1$.

k_{sc} using Eqs. (12)-(14) and define

$$\frac{k_{sc}}{k_F} = f(A), \quad (15)$$

where $f(A)$ is a dimensionless function that monotonically increases with the parameter A . This function is shown as the inset of Fig. (2) for $\kappa = 3$ and E_F ranging from 0.01-0.2 eV.

Eq. (15) has some important experimental implications. The wave number k_{sc} defines a critical current

$$j_{sc} = e v_F n f(A), \quad (16)$$

in which the acoustic plasmon focusing occurs. Here $n \propto E_F^2$ is the electron density. By consideration of $A \propto E_F/\kappa$, Eq. (16) suggests that j_{sc} can be tuned by either changing the density with an external gate, or changing the dielectric environment of the sample. As an example, let us consider what this means for hBN encapsulated graphene which has some of the highest saturation currents measured in graphene. For an electron density of $7 \times 10^{11} \text{ cm}^{-2}$ and the dielectric constant $\kappa = 3.29$ [28], the critical current density is approximately 800 A/m. This suggests that the focusing of the acoustic plasmon can be observed at these densities [29].

Let us now compare these results to those obtained by a numerical calculation of the polarization defined by Eq. (1). We first demonstrate the focusing effect by examining the damping of each branch. We extract a damping factor by a Lorentzian fit of the loss function at fixed frequency according to

$$S(\mathbf{q}, \omega) = \frac{A}{(\Gamma/2)^2 + |\mathbf{q} - \mathbf{q}_{\text{plas}}|^2}, \quad (17)$$

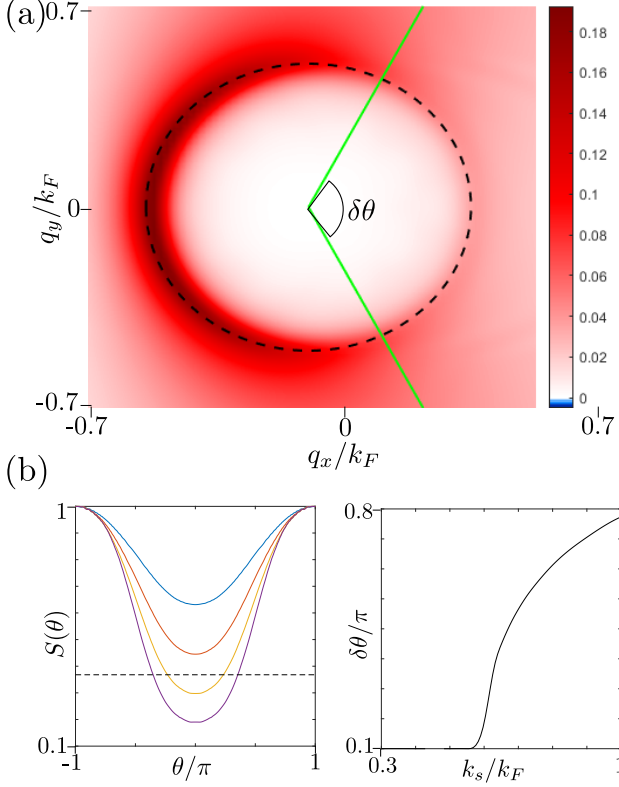


FIG. 4. (a) Temperature plot of the loss function $S(\mathbf{q}, \theta)$ for $E_F = 100$ meV and $\kappa = 3$ at a fixed frequency $\hbar\omega = 0.5E_F$ for $k_s = 0.9k_F$. The dashed black line illustrates the boundary of the particle-hole continuum. The green line shows the angle $\delta\theta$ in which $S(q, \theta)$ is depleted. (b) Left: Plot of the isofrequency contour $S(\theta)$ for $E_F = 100$ meV, $\kappa = 3$, and $\hbar\omega = 0.5E_F$ at several $k_s = 0.3k_F$ (blue), $k_s = 0.5k_F$ (red), $k_s = 0.7k_F$ (orange), and $k_s = 0.9k_F$. Each line is normalized to the maximum value of $S(\theta)$ at fixed k_s . The dashed line shows the value we use to define the depletion angle $\delta\theta$. Right: Plot of the range $\delta\theta$ of angles around the current bias direction in which the isofrequency contour $S(\theta)$ is exponentially depleted as function of the relative shift of the Fermi surface center. Plasmons emitted at this bias are focused in a range $2\pi - \delta\theta$ opposite the applied current bias.

where $\mathbf{q}_{\text{plas}}$ is the plasmon resonance wave vector. The results are shown in Fig. 3 as the ratio of the redshifted (γ_-) and blueshifted (γ_+) damping factors. We see that for the plotted range, the damping factor is less than 1 indicating that the plasmon is focused in the opposite direction of the current. To investigate this focusing further, we show in Fig. 4(a) a slice of the loss function $S(q, \theta)$ at a fixed frequency $\hbar\omega = 0.5E_F$ for $E_F = 100$ meV and $\kappa = 3$ for $k_s = 0.9k_F$. Here we use the polar coordinates q and θ for describing features of $S(q, \theta)$, and drop ω from the argument for simplicity of notation. The particle-hole continuum is illustrated by the dashed black circle. There are several essential features to notice. First, let us define the isofrequency contour $S(\theta)$ as the maximum of $S(q, \theta)$ at a fixed θ . Unlike the zero bias

case, it is clear that the isofrequency contour has shifted due to the bias, and is no longer circularly symmetric. More importantly, a range of angles $\delta\theta$ around $\theta = 0$ has crossed into the particle-hole continuum. The spectral intensity of this region has been nearly fully depleted. In order to investigate this more thoroughly, we plot the isofrequency contour $S(\theta)$ in the left plot of Fig. 4(b). The values are normalized to the maximum value of $S(\theta)$ for each value of k_s separately. We see that as the current bias is increased, the minimum of $S(\theta)$ decreases and the size of the depleted region grows, suggesting that as the bias is increased the acoustic plasmon becomes more focused in the direction opposing the current. This allows us to define a measure of the focusing by the range of angles $\delta\theta$ in which the loss function $S(\theta) < \max(S(\theta))/\gamma$, where γ is Euler's constant and is presented in the right plot of Fig. 4(b). By this criterion, the acoustic plasmon focusing does not occur until a minimum value of $k_s \approx 0.6k_F$ is applied at this Fermi energy.

Let us discuss how these results can be tuned with the (unbiased) Fermi energy. From the angular dependence of the loss function, we can define a critical value k_{sc} above which the angle of depletion $\delta\theta > \pi/2$. In Fig. 5 we plot k_{sc} vs E_F based on this criterion, along with the associated current obtained from Eq. (16). At larger E_F , there is an approximately linear dependence of k_{sc} on the Fermi energy $k_{sc}/k_F = ME_F + B$, with $M = 0.56(\text{eV})^{-1}$ and $B = 0.6$, as shown by the dashed line in Fig 5(a). This should be compared with our original analytical calculation in which $M = 1.6(\text{eV})^{-1}$ and $B = 0.6$. We note that our analytical expression dramatically overestimates the slope. This is likely due to the difference in the definition of k_{sc} between the analytical and numerical calculations. The analytical calculation is determined by the plasmon dispersion along the x -axis, which is most sensitive to the applied bias and thus more sensitive to changes in the Fermi energy and system parameters. At smaller Fermi energies, there is a sudden increase in the value of k_{sc} . This is likely due to the large intrinsic broadening of the plasmon peak of $S(q, \omega)$ at smaller Fermi energies. As the Fermi energy is decreased, the plasmon peak at zero bias is pushed closer to the particle hole continuum, eventually having a partial overlap due to the finite width of the plasmon peak. As the focusing effect is caused by the red-shift of the plasmon dispersion into the particle-hole continuum with a finite bias, this partial overlap at zero bias weakens the effect [30].

We briefly comment on the effects of disorder on these results. For hard scattering centers, the large momentum transfer makes it possible to couple opposing plasmon modes. It is reasonable to worry that this coupling would cause significant damping of the blue-shifted branch, destroying the focusing effect. However, it has been shown in previous studies that the main impact of disorder scattering on the plasmon damping factor is through the coupling of the plasmon to the particle hole continuum [31, 32]. For moderate disorder this increases

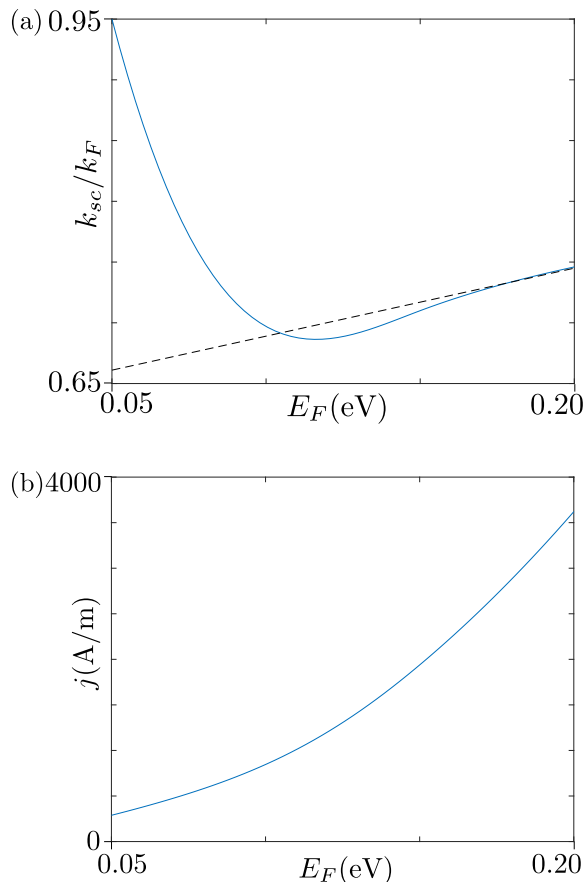


FIG. 5. (a) The critical value k_{sc} of the shift of the Fermi surface center vs. the Fermi energy E_F (eV). k_{sc} is defined as the minimum shift in which the angle of depletion $\delta\theta > \pi/2$. The dashed line is the best linear fit of the large E_F dependence, given in the text. (b) The critical current j_{sc} vs. the Fermi energy E_F . The values of j_{sc} are obtained from k_{sc} according to Eq. (16).

the damping by roughly a factor of 2 and weakens the proposed effect. However, with the unprecedented advancement in high quality graphene heterostructure fabrication, experimental realization of our predictions in high quality factor plasmon in graphene should be within reach.

Before concluding, let us briefly dwell on the region of Fig. 1(b) in which there is gain, i.e. the region of negative loss. As we show in Fig. 1(a), the applied bias causes a population inversion in which there is a concentration of higher energy electrons in the direction opposing the current, and lower energy empty states in the direction of the current. The driving of the particles from the high energy occupied states into the lower energy states results in an energy gain in the system, and has been studied previously in optically pumped systems [33]. In the systems being studied here, this effect is limited to large wave numbers and low frequencies, limiting its potential use [30].

To summarize, we have studied the effects of an applied current bias on acoustic plasmons in metal-dielectric-graphene systems. We have shown that for thin dielectric layers, the applied current bias focuses the acoustic plasmons in the direction opposite to the current bias. This focusing effect can be enhanced by depleting the electron concentration, or by using a material with a higher dielectric constant. We emphasize that due the linear dispersion of the acoustic plasmons, the focusing effect is spectrally broad, making it ideal for development of nonreciprocal light based devices.

Acknowledgment M.S, E.M. and T.L. acknowledge support from the National Science Foundation under Grant No. NSF/EFRI-1741660. D.M. acknowledges partial support by the ARO MURI Award No. W911NF-14-1-0247.

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