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Integrable Model of Topological SO(5) Superfluidity

Will J. Holdhusen,¹ Sergio Lerma-Hernández,² Jorge Dukelsky,³ and Gerardo Ortiz¹

¹*Department of Physics, Indiana University, Bloomington, Indiana 47405, USA*

²*Facultad de Física, Universidad Veracruzana, Circuito Aguirre Beltrán s/n, Xalapa, Veracruz 91000, Mexico*

³*Instituto de Estructura de la Materia, CSIC, Serrano 123, 28006 Madrid, Spain*

Assisted by general symmetry arguments and a many-body invariant, we introduce a phase of matter that constitutes a topological SO(5) superfluid. Key to this finding is the realization of an exactly-solvable model that displays some similarities with a minimal model of superfluid ³He. We study its quantum phase diagram and correlations, and find exotic superfluid as well as metallic phases in the repulsive sector. At the critical point, separating trivial and non-trivial superfluid phases, our Hamiltonian reduces to the globally SO(5)-symmetric Gaudin model with a degenerate ground manifold that includes quartet states. Most importantly, the exact solution permits uncovering of an interesting non-pair-breaking mechanism for superfluids subject to external magnetic fields. Non-integrable modifications of our model lead to a strong-coupling limit of our metallic phase with a ground state manifold that shows an extensive entropy.

Introduction — Exactly-solvable models of quantum many-body systems are theoretical constructions key to uncover physical mechanisms or effects resulting from competing interactions [1–3]. The case of spin-1/2 particles with SO(5)-symmetric p -wave interactions is particularly compelling because it can give rise to non-trivial spin-triplet Cooper-pair topological phases with no equivalent in SU(2)-symmetric couplings. For instance, it is well-known that the emergent SO(3)_L⊗SO(3)_S⊗U(1) symmetry in liquid ³He, contained in SO(5), is responsible for topological classification of the defects of its exotic superfluid phases [4]. Similar mechanisms could be at play in unconventional uranium-based metallic ferromagnetic superconductors, where strong external magnetic fields can even revive superconductivity [5]. A theoretical understanding of these mechanisms is therefore a prerequisite to engineering materials or synthetic matter with exotic magnetic superfluid behavior [6].

SO(5)-symmetric models have a long history in nuclear physics as a description of isovector (isospin 1) pairing between protons and neutrons. The earliest version of an integrable model consisting of a unique SO(5) algebra, describing a proton-neutron system, was presented in Refs. [7, 8]. The generalization of the exact solution to many SO(5) copies or, equivalently, to many non-degenerate single particle orbitals arose as an extension of the Richardson-Gaudin (RG) models [9, 10] to rank 2 algebras [11, 12]. In condensed matter physics, the systems closest to admitting an SO(5)-symmetric representation are arguably superfluid ³He [13–16] and non- p -wave systems [17–19], but, as far as we know, there are no corresponding integrable interacting models.

In this work, we study the quantum phase diagram of the fermionic $(c_{\mathbf{k}\sigma}, c_{\mathbf{k}\sigma}^\dagger)$ SO(5) Hamiltonian expressed in momentum ($\mathbf{k}$) space as

$$H = \sum_{\mathbf{k}, \sigma=\uparrow, \downarrow} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} - \sum_{\mathbf{k}, \mathbf{k}'} \Delta_{\mathbf{k}\mathbf{k}'} \left(\vec{T}_{\mathbf{k}}^+ \cdot \vec{T}_{\mathbf{k}'}^- + \vec{T}_{\mathbf{k}}^- \cdot \vec{T}_{\mathbf{k}'}^+ \right) - \sum_{\mathbf{k}, \mathbf{k}'} W_{\mathbf{k}\mathbf{k}'} \vec{S}_{\mathbf{k}} \cdot \vec{S}_{\mathbf{k}'} - \sum_{\mathbf{k}, \mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} N_{\mathbf{k}} N_{\mathbf{k}'} - h \sum_{\mathbf{k}} S_{\mathbf{k}}^z. \quad (1)$$

The operator $T_{\mu\mathbf{k}}^+$ ($\vec{T}_{\mathbf{k}}^+ = (T_{-1\mathbf{k}}^+, T_{0\mathbf{k}}^+, T_{1\mathbf{k}}^+)$) creates a spin-triplet fermion pair $(\mathbf{k}, -\mathbf{k})$ with spin-projection $\mu = \pm 1, 0$. Magnetic Heisenberg ($\vec{S}_{\mathbf{k}} \cdot \vec{S}_{\mathbf{k}'}$) and density-density ($N_{\mathbf{k}} N_{\mathbf{k}'}$, where $N_{\mathbf{k}}$ counts all spinful fermions with momenta $\pm\mathbf{k}$) interactions complete the minimal set required to close an SO(5) algebra, with a fermionic representation [20]

$$T_{\mu\mathbf{k}}^- = \frac{(-1)^{\frac{\mu(\mu+1)}{2}}}{(2\delta_{\mu, \pm 1} + \sqrt{2}\delta_{\mu, 0})} \sum_{\sigma, \sigma'} c_{-\mathbf{k}\sigma} (i\sigma^\mu \sigma^y)_{\sigma\sigma'} c_{\mathbf{k}\sigma'},$$

$$S_{\mathbf{k}}^\mu = \frac{1}{2} \sum_{\sigma, \sigma'} (c_{\mathbf{k}\sigma}^\dagger \sigma_{\sigma\sigma'}^\mu c_{\mathbf{k}\sigma'} + c_{-\mathbf{k}\sigma}^\dagger \sigma_{\sigma\sigma'}^\mu c_{-\mathbf{k}\sigma'}), \quad (2)$$

$$N_{\mathbf{k}} = \sum_{\sigma} (c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + c_{-\mathbf{k}\sigma}^\dagger c_{-\mathbf{k}\sigma}),$$

where $\sigma^\pm = \sigma^x \pm i\sigma^y$, $\sigma^0 = \sigma^z$ are Pauli matrices and $T_{\mu\mathbf{k}}^+ = (T_{\mu\mathbf{k}}^-)^\dagger$. For an appropriate choice of (separable) interactions $\Delta_{\mathbf{k}\mathbf{k}'} = W_{\mathbf{k}\mathbf{k}'} = 4V_{\mathbf{k}\mathbf{k}'}$, Hamiltonian (1) is exactly-solvable independently of spatial dimensionality.

In the attractive pairing sector, the model displays trivial and non-trivial topological superfluid phases, separated by a critical point that is globally SO(5)-symmetric. At this point the ground state manifold is macroscopically degenerate with pair and quartet correlations. The application of a magnetic field h [21] leads to a remarkable magnetized superfluid, where spin-triplet pairs transition, without pair breaking, between different spin projections as in the B to A first-order transition in superfluid ³He. This mechanism is absent in SU(2) pairing models. Finally, the repulsive sector shows a metallic phase whose strong-coupling limit is adiabatically connected to a flat band model with exponentially-degenerate ground states, giving an extensive entropy similar to holographic models of strange metals [22].

Exactly-solvable SO(5) model — RG integrable models are defined by a set of integrals of motion $R_{\mathbf{k}}$ fulfilling the integrability condition $[R_{\mathbf{k}}, R_{\mathbf{k}'}] = 0$, such that their linear combination realizes a Hamiltonian as (10). The exact eigenspectrum of the integrals of motion, and cor-

responding Hamiltonian, may be found with algebraic complexity by solving the RG ansatz equations.

These models may be formulated in terms of a generalized Gaudin algebra [3, 23–25]. Starting from the rational SO(5) RG integrals of motion [12], we form the set

$$R_{\mathbf{k}} = \left(1 + \frac{\Delta}{2}\right) N_{\mathbf{k}}^- + \frac{\Delta}{2} S_{\mathbf{k}}^z + q \sum_{\mathbf{k}' \neq \mathbf{k}} Z_{\mathbf{k}\mathbf{k}'} \vec{T}_{\mathbf{k}} \cdot \vec{T}_{\mathbf{k}'}, \quad (3)$$

where $N_{\mathbf{k}}^- = N_{\mathbf{k}}/2 - 1$, and $\vec{T}_{\mathbf{k}} \cdot \vec{T}_{\mathbf{k}'}$ is the SO(5) Gaudin interaction $\vec{T}_{\mathbf{k}} \cdot \vec{T}_{\mathbf{k}'} = \vec{T}_{\mathbf{k}}^+ \cdot \vec{T}_{\mathbf{k}'}^- + \vec{T}_{\mathbf{k}}^- \cdot \vec{T}_{\mathbf{k}'}^+ + \vec{S}_{\mathbf{k}} \cdot \vec{S}_{\mathbf{k}'} + N_{\mathbf{k}}^- N_{\mathbf{k}'}^-$. The function $Z_{\mathbf{k}\mathbf{k}'} = Z(\eta_{\mathbf{k}}, \eta_{\mathbf{k}'}) = \frac{\eta_{\mathbf{k}} \eta_{\mathbf{k}'}}{\eta_{\mathbf{k}} - \eta_{\mathbf{k}'}}$, $Z_{\mathbf{k}\mathbf{k}'} = -Z_{\mathbf{k}'\mathbf{k}}$, is a particular case of a more general function interpolating between hyperbolic and trigonometric SU(2) RG models [3, 26, 27]. The parameters Δ , q , and $\eta_{\mathbf{k}}$ are arbitrary real numbers with the restriction that $\eta_{\mathbf{k}} \neq \eta_{\mathbf{k}'}$ for $\mathbf{k} \neq \mathbf{k}'$ to avoid singularities.

In Eq. (3) and for the remainder of this paper, sums are taken over momenta with $k_x > 0$ to avoid double counting. Each pair of momenta $\pm \mathbf{k}$ labels a level with a corresponding irreducible representation (irrep) of SO(5) characterized by seniority $\nu_{\mathbf{k}}$ and reduced spin $s_{\mathbf{k}}$ quantum numbers. The l levels correspond to a lattice with $L = 2l$ sites, since each level incorporates two modes in $\mathbf{k}$ -space.

Eigenvalues and eigenvectors of the integrals of motion are determined by two sets of spectral parameters: pairons e_{α} , $\alpha = 1, \dots, N_e$, and wavefunction parameters ω_{β} , $\beta = 1, \dots, N_{\omega}$, that are roots of the two sets of RG (Bethe) equations

$$-\frac{1}{q} = 2 \sum_{\alpha' \neq \alpha} Z_{\alpha'\alpha} - \sum_{\beta} Z_{\beta\alpha} + \sum_{\mathbf{k}} \left(\frac{\nu_{\mathbf{k}}}{2} - 1 + s_{\mathbf{k}} \right) Z_{\mathbf{k}\alpha}, \quad (4)$$

and

$$-\frac{\Delta}{q} = - \sum_{\beta' \neq \beta} Z_{\beta'\beta} + \sum_{\alpha} Z_{\alpha\beta} + \sum_{\mathbf{k}} s_{\mathbf{k}} Z_{\mathbf{k}\beta}, \quad (5)$$

with $Z_{\alpha'\alpha} = Z(e_{\alpha'}, e_{\alpha})$, $Z_{\beta\alpha} = Z(\omega_{\beta}, e_{\alpha})$, and $Z_{\mathbf{k}\alpha} = Z(\eta_{\mathbf{k}}, e_{\alpha})$. The number of pairons N_e is equal to the number of spin-1 fermion pairs and relates to the total fermion number as $N = 2N_e + \sum_{\mathbf{k}} \nu_{\mathbf{k}}$. The number of wavefunction parameters is $N_{\omega} = N_e + \sum_{\mathbf{k}} (S_{\mathbf{k}}^z + s_{\mathbf{k}})$. We emphasize that while the dimension of the Hilbert space grows exponentially with the number of levels L and N_e , the complexity of the exact solution grows only linearly, allowing exact treatment of very large systems.

Numerical solution of the RG equations must navigate the singularities that arise whenever the spectral parameters approach each other or the level parameters $\eta_{\mathbf{k}}$. To avoid these singularities, we add modulated imaginary parts to $\eta_{\mathbf{k}}$ while iteratively solving to a desired coupling q and then incrementally remove these to achieve physical results [27].

In terms of the variables e_{α} and ω_{β} , the integrals of

motion $R_{\mathbf{k}}$ have eigenvalues

$$r_{\mathbf{k}} = \frac{\nu_{\mathbf{k}}}{2} - 1 + q s_{\mathbf{k}} \sum_{\beta} Z_{\mathbf{k}\beta} + q \left(1 - \frac{\nu_{\mathbf{k}}}{2} - s_{\mathbf{k}} \right) \sum_{\alpha} Z_{\mathbf{k}\alpha} - q \sum_{\mathbf{k}' \neq \mathbf{k}} \left[\left(\frac{\nu_{\mathbf{k}}}{2} - 1 \right) \left(\frac{\nu_{\mathbf{k}'}}{2} - 1 \right) + s_{\mathbf{k}} s_{\mathbf{k}'} \right] Z_{\mathbf{k}\mathbf{k}'}. \quad (6)$$

To obtain the corresponding eigenstates, we need operators

$$S_{\beta}^+ = \sum_{\mathbf{k}} Z_{\mathbf{k}\beta} S_{\mathbf{k}}^+, \quad T_{\mu\alpha}^+ = \sum_{\mathbf{k}} Z_{\mathbf{k}\alpha} T_{\mu\mathbf{k}}^+, \quad (7)$$

and $\leftarrow T_{\alpha}^+$, defined by its action on $T_{\mu\alpha}^+$:

$$T_{\mu\alpha}^+ \leftarrow T_{\alpha'}^+ = \delta_{\alpha\alpha'} \begin{cases} T_{\mu+1\alpha}^+ & \mu \leq 0 \\ 0 & \mu = 1 \end{cases}. \quad (8)$$

Then, the eigenstates can be written as [20]

$$|\Psi\rangle = \prod_{\alpha=1}^{N_e} T_{-1\alpha}^+ \prod_{\beta=1}^{N_{\omega}} \left(S_{\beta}^+ - \sum_{\alpha'=1}^{N_e} \leftarrow T_{\alpha'}^+ Z_{\alpha'\beta}^* \right) |\Lambda\rangle, \quad (9)$$

where $|\Lambda\rangle$ is a vacuum state characterized by $\nu_{\mathbf{k}}$ and $s_{\mathbf{k}}$ with $S_{\mathbf{k}}^- |\Lambda\rangle = T_{\mu\mathbf{k}}^- |\Lambda\rangle = 0$. In most cases, the ground state is built from the empty ($\nu_{\mathbf{k}} = s_{\mathbf{k}} = 0$ for all $\mathbf{k}$) vacuum $|0\rangle$. The exception occurs when sufficiently strong repulsive pairing couplings break pairs.

When $\epsilon_{\mathbf{k}} = \eta_{\mathbf{k}}$, $\Delta_{\mathbf{k}\mathbf{k}'} = W_{\mathbf{k}\mathbf{k}'} = 4V_{\mathbf{k}\mathbf{k}'} = (g/L)\eta_{\mathbf{k}}\eta_{\mathbf{k}'}$, and $h = 0$, Hamiltonian (1) can be written as a linear combination of the integrals of motion [20]

$$H = \frac{2}{1 - q \sum_{\mathbf{k}} \eta_{\mathbf{k}}} \sum_{\mathbf{k}} \eta_{\mathbf{k}} R_{\mathbf{k}} + \text{constant} \quad (10)$$

$$= \left(1 - \frac{g}{g_c} \right) \sum_{\mathbf{k}} \eta_{\mathbf{k}} N_{\mathbf{k}} - \frac{g}{L} \sum_{\mathbf{k}, \mathbf{k}'} \eta_{\mathbf{k}} \eta_{\mathbf{k}'} \vec{T}_{\mathbf{k}} \cdot \vec{T}_{\mathbf{k}'} + \frac{gL}{g_c^2}.$$

Here, we define $gL = -g/(1 - g/g_c)$ with $g_c^{-1}L = \sum_{\mathbf{k}} \eta_{\mathbf{k}}$ and set $\Delta = 0$ (letting $\Delta \neq 0$ has the effect of assigning a different kinetic energy to spin-up versus spin-down fermions). At $g = g_c$, q becomes singular and H reduces to the (globally) SO(5)-symmetric Gaudin model, as is evident from the second line in Eq. (10). Adding a uniform magnetic field h does not break integrability and is discussed below.

Using the eigenvalues $r_{\mathbf{k}}$ from Eq. (6), the total energy for a system of density $\rho = N/L$ is

$$\mathcal{E}(N) = \frac{2 \sum_{\mathbf{k}} \eta_{\mathbf{k}} r_{\mathbf{k}}}{1 - q \sum_{\mathbf{k}} \eta_{\mathbf{k}}} \quad (11)$$

up to a constant dependent on the vacuum state $|\nu\rangle$ [20] while total energy per site (energy density) will be indicated by $e = \mathcal{E}(N)/L$. Other observables may also be computed from the integrals of motion using the Hellmann-Feynman theorem, i.e., momentum distribution $\langle N_{\mathbf{k}} \rangle = 2(r_{\mathbf{k}} - q \partial r_{\mathbf{k}} / \partial q + 1)$.

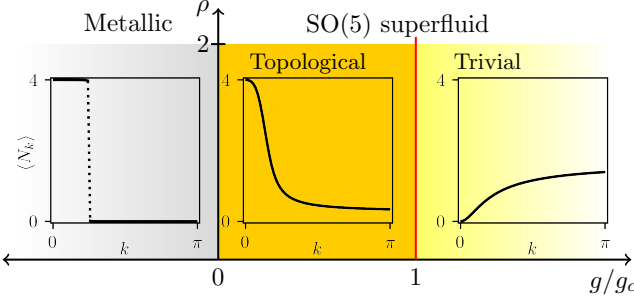


FIG. 1. Quantum phase diagram of the SO(5) RG model as a function of density ρ and coupling g . Ground-state momentum distributions $\langle N_k \rangle$, as a function of $k > 0$ for $\rho = 1/2$, are displayed at $g/g_c = -1, \frac{3}{4}, \frac{3}{2}$, that is, for the metallic, topological, and trivial paired-superfluid phases, respectively. The metallic phase displays a discontinuity at the Fermi momentum $k_F = \pi\rho/2$, the topological superfluid phase is continuous with occupation of low-momentum modes, and the trivial superfluid vacates the low-momentum modes. The phase transition between superfluid phases is second-order (see Fig. 2).

Hamiltonian (10) displays a particle-hole symmetry $\mathcal{P}$. Under the map $\mathcal{P}^\dagger c_{\mathbf{k}\uparrow} \mathcal{P} = c_{\mathbf{k}\downarrow}^\dagger$, $\mathcal{P}^\dagger c_{\mathbf{k}\downarrow} \mathcal{P} = c_{\mathbf{k}\uparrow}^\dagger$, H transforms as $\mathcal{P}^\dagger H(\rho, g) \mathcal{P} = \alpha H(2 - \rho, \alpha g)$ up to an additive constant, where $\alpha^{-1} = 2g/g_c - 1$. At $g = g_c$, $\alpha = 1$ and H is particle-hole symmetric.

Quantum phase diagram: One-dimensional case — To illustrate the physics arising from our SO(5) model we now work in one spatial dimension. The momenta for periodic boundary conditions are $k_j = \frac{2\pi j}{L}$, $j = -L/2, -L/2+1, \dots, L/2-1$, which leaves isolated modes at $k = 0$ and $k = -\pi$ that cannot participate in pairing interactions and therefore do not correspond to RG levels. When using these boundary conditions, we ignore all interactions on the $k = -\pi$ mode to preserve integrability. The effect of the ignored interactions diminishes in the thermodynamic limit ($L \rightarrow \infty$ with ρ and g fixed). To avoid this finite-size effect, the majority of our calculations utilize antiperiodic boundary conditions, under which all momenta come in pairs $(+|k|, -|k|)$ corresponding to RG levels: $k_j = \frac{\pi}{L}(2j+1)$, $j = -L/2, -L/2+1, \dots, L/2-1$.

We linearize the dispersion close to the Fermi points by choosing $\eta_k = k$ and $\epsilon_k = |k|$ (in units of $\frac{1}{2}\hbar v_F$ where $\hbar$ is Planck's constant and v_F the Fermi velocity). Because $\eta_k = -\eta_{-k}$, interaction coefficients $\Delta_{kk'} = \eta_k \eta_{k'}$ have the antisymmetry necessary for p -wave pairing: $\Delta_{kk'} = \Delta_{-k-k'} = -\Delta_{-kk'}$. In coordinate space, the Fourier-transformed coefficients Δ_{ij} linking sites at r_i and r_j decay as $(r_i - r_j)^{-1}$ [28, 29], and show alternating sign $(-1)^{i-j}$ [20].

We next analyze the various phases that emerge in the phase diagram of the SO(5) RG Hamiltonian (10).

Topological superfluid phase — For attractive couplings $g > 0$, the ground state of Hamiltonian (10) is a superfluid of spin-triplet pairs. At the density-independent

critical coupling $g = g_c$, the system undergoes a topological phase transition with an accompanying change in occupation number $\langle N_k \rangle$ around zero momentum ($k = 0$), as seen in the inset of Fig. 1. The system transitions from a weak-pairing topologically non-trivial SO(5) superfluid into a strong-pairing trivial superfluid gapped phase. The transition is signaled by a divergence in $\partial^2 e_0 / \partial g^2$, the second-order derivative of the ground state energy density. (Figure 2 illustrates this along with distribution of spectral parameters in the complex plane).

To understand the topological nature of these superfluid phases, we need a many-body (bulk) topological invariant distinguishing them. Ref. [28] introduces a fermion parity switch for spinless fermions that distinguished p -wave topological phases of an SU(2) model. Our SO(5) model consists of spinful fermions and therefore requires a generalization of the fermion parity to

$$\mathcal{P}_N(\phi) = \text{sign}(\mathcal{E}_0^{\text{odd}}(\phi) - \mathcal{E}_0^{\text{even}}(\phi)), \quad (12)$$

where the ground-state energies are defined as $\mathcal{E}_0^{\text{even}}(\phi) = \mathcal{E}_0^\phi(N)$ and $\mathcal{E}_0^{\text{odd}}(\phi) = \frac{1}{2}(\mathcal{E}_0^\phi(N+2) + \mathcal{E}_0^\phi(N-2))$ for fermion number N divisible by four, such that the $N \pm 2$ -particle states have $N_\uparrow = N_\downarrow$ odd. This differs from the SU(2) case (where $\mathcal{E}_0^{\text{odd}}$ is the average of $N \pm 1$ -particle energies) due to the spin-degeneracy of the $k = 0$ mode. The quantity $\phi = 0(2\pi)$ represents periodic (antiperiodic) boundary conditions and corresponds to enclosing a flux $\Phi = \frac{\phi\Phi_0}{2\pi}$ in a ring geometry with anomalous flux quantum Φ_0 [28, 29]. In the topologically trivial phase ($g > g_c$), $\mathcal{P}_N(\phi) = 1$ for both periodic and antiperiodic boundary conditions (Fig. 2). For $g < g_c$, a parity switch is observed, with $\mathcal{P}_N(0) = -1$ and $\mathcal{P}_N(2\pi) = 1$. This can be linked back to occupation of the zero-momentum state that exists for $\phi = 0$: in the topologically non-trivial phase, it is energetically advantageous to occupy both ($\sigma = \uparrow, \downarrow$) $k = 0$ single-particle states instead of forming a pair. In the pairing-dominated trivial phase, the $k = 0$ states are vacated in favor of an additional pair at finite momentum.

Unlike what is seen in other SU(2) RG models, there is a macroscopic degeneracy at the critical point involving multiple states from each sector with fixed N and $M = \sum_{\mathbf{k}} S_{\mathbf{k}}^z$, with an accompanying global SO(5) symmetry generated by operators $I^\kappa = \sum_{\mathbf{k}} I_{\mathbf{k}}^\kappa$ where $I_{\mathbf{k}}^\kappa$, $\kappa = 1, \dots, 10$, is any generator of the SO(5) algebra in Eq. (2). At $g = g_c$, Hamiltonian (10) becomes the SO(5) Gaudin model $\sum_{\mathbf{k}, \mathbf{k}'} \eta_{\mathbf{k}} \eta_{\mathbf{k}'} \vec{T}_{\mathbf{k}} \cdot \vec{T}_{\mathbf{k}'}$, and the ground-state solutions to the RG equations have all pairons e_α equal to zero. Those equations then simplify to a single set for variables ω_β , $\sum_{\beta' \neq \beta} Z_{\beta'\beta} = \sum_{\mathbf{k}} s_{\mathbf{k}} Z_{\mathbf{k}\beta}$, $\beta = 1, \dots, N_\omega$. Each independent solution corresponds to a degenerate eigenstate. The entire energy spectrum at this point can be classified according to the degenerate SO(5) global irreps constructed from the coupling of the l SO(5) $_{\mathbf{k}}$ irreps $\{\nu_{\mathbf{k}}, s_{\mathbf{k}}\}$ of each level. The chain decomposition $\text{SO}(5) \supset \text{U}_{\mathbf{S}}(2) \supset \text{U}_{S^z}(1)$ [30] classifies the complete set of eigenstates in terms of the fermion number N and spin content S in each global irrep. The

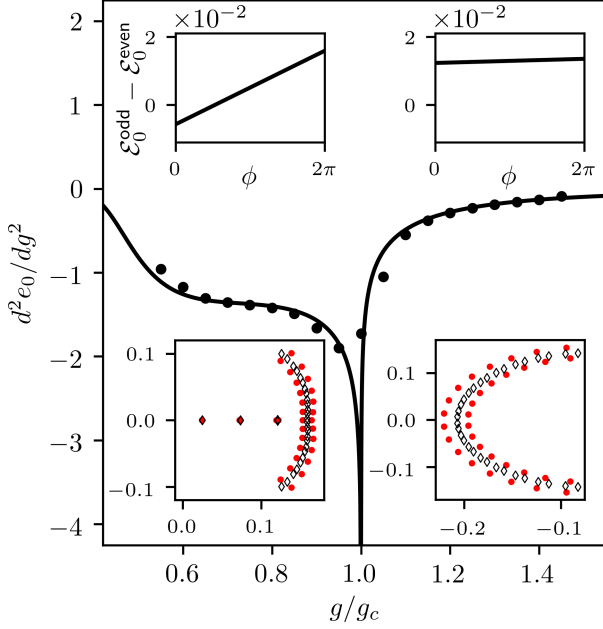


FIG. 2. Second derivative of the ground-state energy density e_0 with respect to coupling for a mean-field solution [20] with $L = 2000$ (solid line), and the exact solution at $L = 128$, both at quarter filling ($\rho = 1/2$). Lower insets: the variables e_α (diamonds) and ω_β (dots) are plotted with their imaginary parts on the y -axis and their real parts on the x -axis at $g/g_c = 0.9$ (left) and 1.1 (right). An animation of these spectral parameters as a function of g is included in the supplemental material [20]. Upper insets: energy difference between odd and even sectors is plotted as a function of boundary condition ϕ for a quarter-filled system with 32 fermions at $g/g_c = 0.7$ (left) and 1.75 (right).

wavefunctions constituting the ground state irrep are defined in terms of $S = 0$ quartet creation operator, $Q^+ = \sum_{\mathbf{k}, \mathbf{k}'} (T_{1\mathbf{k}}^+ T_{-1\mathbf{k}'}^+ + T_{-1\mathbf{k}}^+ T_{1\mathbf{k}'}^+ - T_{0\mathbf{k}}^+ T_{0\mathbf{k}'}^+)$, $S = 1$ global pair operators $T_\mu^+ = \sum_{\mathbf{k}} T_{\mu\mathbf{k}}^+$, and spin lowering operator $S^- = \sum_{\mathbf{k}} S_{\mathbf{k}}^-$. For an even number of particles $N \leq L$ ($N > L$ states can be determined by particle-hole transformation), these states are

$$|N_Q, S, M\rangle = (S^-)^{S-M} (Q^+)^{N_Q} (T_1^+)^S |0\rangle. \quad (13)$$

Since $N = 4N_Q + 2S$, the possible values of spin are $S = N/2, N/2 - 2, \dots, 1$ or 0 , with $S = 0$ representing the pure quartet state. From this, we find the degeneracy of the even N ground-state manifold: $d_{N,M}^{\text{even}} = \left\lfloor \frac{\min(N, 2L-N)-2|M|}{4} \right\rfloor + 1$, where $\lfloor x \rfloor$ is the largest integer less than or equal to x . The energy of these states is $\mathcal{E}^{\text{even}} = -3\frac{g_c}{L} \sum_{\mathbf{k}} \eta_{\mathbf{k}}^2$.

The $N+1$ (N even) particle ground-state irrep has N particles in a wavefunction of the form (13) with spin S_e and one unpaired particle in the lowest momentum level k_m , giving total spin $S = S_e \pm 1/2$ with possible values $S = N/2, N/2 - 1, \dots, 1/2$. The number of

particles is then $N = 4N_Q + 2S_e + 1$. From the available spins and the additional two-fold degeneracy arising from the two momenta ($\pm \mathbf{k}_m$) of the unpaired particle, the degeneracy of the odd-sector ground-state subspace is: $d_{N,M}^{\text{odd}} = \frac{\min(N, 2L-N)-2|M|}{2} + 1$. The energy of these states $\mathcal{E}^{\text{odd}} = \mathcal{E}^{\text{even}} + \eta_{k_m} (1 + \frac{g_c}{2L} \eta_{k_m})$ simplifies to the even- N energy plus the kinetic energy of the unpaired fermion in the thermodynamic limit.

The presence of quartets in a Hamiltonian such as (10) deserves mention. We are only aware of the significance of quartet correlations in atomic nuclei [31, 32] and in exotic phases of cold spin-3/2 fermionic atoms [17, 19]. It is important, then, to establish the interactions that take our system away from its $g = g_c$ critical point and stabilize a quartet, as opposed to a paired, ground state. To this end, we compare the quartet, $\Delta_4(N) = (\mathcal{E}_0(N+2) + \mathcal{E}_0(N-2) - 2\mathcal{E}_0(N))/2$ (see for example [33]), and paired, $\Delta_2(N) = (\mathcal{E}_0(N+1) + \mathcal{E}_0(N-1) - 2\mathcal{E}_0(N))/2$, gaps in our Hamiltonian (10) as a function of g . If $\Delta_4(N) \sim \Delta_2(N)$, we say that there are significant quartet correlations in the ground state for that value of g . Our analysis indicates that quartet correlations become more relevant in the repulsive sector and, in the attractive sector, for pairing-only (non-integrable) interactions [20].

SO(5) magnetic superfluid – An interesting physical mechanism emerges when our SO(5) system (10) is subject to an external magnetic field h as in Eq. (1). At low temperatures and pressures superfluid ^3He , known to have both p -wave pairing and ferromagnetic interactions [13], displays transitions between non-magnetic (B) and magnetic (A) superfluid phases as function of an applied magnetic field. The A and B phases of superfluid ^3He are associated with the mean-field wavefunctions proposed by Anderson, Brinkman, and Morel (ABM) and Balian and Werthamer (BW), respectively [13]. The BW state is a simple generalization of BCS principles to spin-triplet (rather than spin-singlet) pairs, and in the SO(5) language is a superposition of T_{-1}^+ , T_0^+ , and T_1^+ operators acting on the vacuum. The ABM state is structured similarly, but allows only like-spin fermion pairs, ruling out the channel generated by T_0^+ . Experimentally, it is known that in absence of a magnetic field, the B phase is the only possible superfluid at zero temperature. With the addition of a magnetic field, both phases become accessible at zero temperature along with the spin-polarized superfluid A1 phase [34].

Interestingly, our model demonstrates a series of first-order magnetically-driven transitions between different spin-triplet superfluids with no pair-breaking, which may provide insight into magnetic superfluidity. To determine the ground state energy of the Hamiltonian (10), it suffices to find $\mathcal{E}_0(N, M)$, the lowest energy of the $h = 0$ Hamiltonian for each possible value of S^z (a conserved quantity) and determine which value of M gives the lowest total energy $\mathcal{E}_0(h) = \min_M (\mathcal{E}_0(N, M) - hM)$. This process simplifies for $2g > g_c$, since above this coupling we find the ground state has no unpaired fermions for

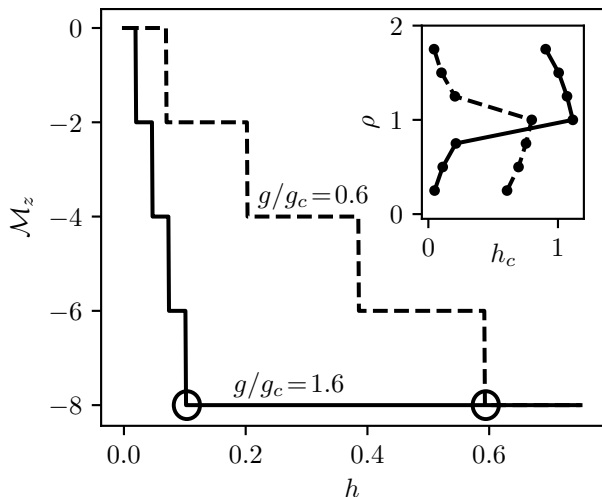


FIG. 3. Ground state magnetization $\mathcal{M}_z$ as a function of h at $g/g_c = 0.6$ (dashed line) and 1.6 (solid line) for $L = 32$ and $N = 16$ ($\rho = 1/2$). The circled points are at $h = h_c$, the smallest field that fully polarizes the system. Inset: Density ρ as a function of the thermodynamic extrapolation of h_c , indicating a phase boundary between fully and semi-polarized magnetic superfluids.

any value of h . From this formula, it is clear that the magnetization $\mathcal{M}_z = \partial \mathcal{E}_0 / \partial h$ is equal to M . Rather than breaking pairs, the magnetic field changes the balance of -1 , 0 , and 1 pairs. This manifests as a series of first-order phase transitions as $\mathcal{M}_z$ jumps between integers with the same parity as N_e , as illustrated in Fig 3. The minimum value of h for nonzero magnetization goes to zero in the thermodynamic limit, while the final transition occurs at a value, $h = h_c$, that remains finite in that limit. A similar type of mechanism may be at play in superfluid ^3He leading to the emergence of the A1 phase. Crucially, the $h \neq 0$ ground states of our model are merely the lowest-energy solutions to the $h = 0$ problem at the same coupling g in a sector with $N_\uparrow \neq N_\downarrow$, and so shares topological and superfluid properties with the $h = 0$ ground state at the same coupling g .

This non pair-breaking mechanism, already encoded in the *exact* solution, can be modeled at the *mean-field* level by introducing the SO(5) generalized coherent state [35]

$$|\Psi\rangle = e^{\sum_k z_k (x_1 T_{0k}^+ + x_2 T_{1k}^+ + x_3 T_{-1k}^+)} |0\rangle, \quad (14)$$

where $x_1^2 + x_2^2 + x_3^2 = 1$ and $\{z_k\}$ are variational parameters [20]. As $|x_{1,2,3}|$ goes from 0 to 1, this state goes from having only $M = 0$ pairs through a state with a mixture of all three pairing channels, similar to the BW state, to a state with only like-spin pairs, similar to the ABM wavefunction.

Metallic phases — For repulsive couplings ($g < 0$), the ground state has a momentum distribution with a discontinuity at the Fermi momentum k_F (Fig. 1), suggest-

ing a ground state almost identical to a noninteracting Fermi gas $|\Psi_{\text{nonint}}\rangle = \frac{1}{\sqrt{N!}} \prod_{k=-k_F}^{k_F} c_{k\uparrow}^\dagger c_{k\downarrow}^\dagger |0\rangle$ with energy $\mathcal{E}_{\text{nonint}}(N) = \langle \Psi_{\text{nonint}} | H | \Psi_{\text{nonint}} \rangle$. This discontinuity persists even for strongly repulsive couplings, unlike what is usually observed in an interacting Fermi liquid [3, 36]. In the thermodynamic limit, the ground state energy density e_0 converges to $e_{\text{nonint}} = \frac{\pi}{4} \rho^2 - \frac{g\pi^2}{64} \rho^4 + \mathcal{O}(1/L)$ [20].

One may wonder whether (10) has a flat-band limit in the strong coupling ($g \rightarrow -\infty$) limit. The SU(2) RG model shares similar metallic properties with the SO(5) model for low couplings, but the flat-band Hamiltonian $\lim_{g \rightarrow -\infty} \frac{1}{g} H$ has an exponentially degenerate ground-state manifold [20]. This limiting case has been studied, for instance, in fractional quantum Hall liquids [37], and its importance lies in the non-Fermi liquid behavior that manifests due to a high density of states near the ground state. Due to the presence of effective single-particle terms in the interaction, the SO(5) model in (10) does not exhibit high degeneracy in this limit. Instead, a level crossing occurs at a nonuniversal coupling where the ground state gains a nonzero seniority independent of system size [20]. By removing all single-particle terms, one arrives at a special case of the Hamiltonian (1) with an exponentially degenerate ground state in the flat band (pure interaction) limit. A detailed discussion of this behavior is beyond the scope of this paper [20].

Concluding Remarks — We have presented an exactly-solvable model displaying SO(5) topological superfluidity. Its relevance lies in providing a new non-pair-breaking mechanism for magnetic superfluids, of relevance for liquid ^3He or other exotic spin-triplet p -wave superfluids. At a critical coupling separating trivial and non-trivial topological superfluids, the model reduces to an (global) SO(5) Gaudin Hamiltonian. These phases show quartet correlations that become more prevalent as magnetic and density interactions are quenched. The repulsive phases of the model are also of interest, in particular, in relation to non-Fermi liquid behavior; they deserves further study. Finally, we would like to make connection to a seemingly unrelated phenomenon. The positive semi-definite (frustration-free) Haldane-Rezayi Hamiltonian [38, 39] $H = \sum_{0 < j < L} H_j$, $H_j = \sum_{k,k'} \eta_k \eta_{k'} \vec{T}_k^+ \cdot \vec{T}_{k'}^-$ with $T_{0,k}^+ = (c_{j+k\uparrow}^\dagger c_{j-k\downarrow}^\dagger + c_{j+k\downarrow}^\dagger c_{j-k\uparrow}^\dagger) / \sqrt{2}$, $T_{1,k}^+ = c_{j+k\uparrow}^\dagger c_{j-k\uparrow}^\dagger$, $T_{-1,k}^+ = c_{j+k\downarrow}^\dagger c_{j-k\downarrow}^\dagger$, defined in a cylinder ($k, k' \in [-j, j]$ are angular momenta indexes), stabilizes a gapless zero mode at filling fraction $\nu = 1/2$ representing a non-Abelian fractional quantum Hall trial state [40]. We have shown that (positive semi-definite) Hamiltonian H_j is an element of SO(5) but, as a corollary of this work, it is not integrable à la RG. As it is a (repulsive) pairing-only Hamiltonian, it is expected that quartet correlations become relevant. Interestingly, each H_j has a macroscopically degenerate zero-energy subspace and the intersection of their kernels result into the Haldane-Rezayi state.

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