



# CHORUS

This is the accepted manuscript made available via CHORUS. The article has been published as:

## Resonant nonlinear response of a nanomechanical system with broken symmetry

J. S. Ochs, G. Rastelli, M. Seitner, M. I. Dykman, and E. M. Weig

Phys. Rev. B **104**, 155434 — Published 27 October 2021

DOI: [10.1103/PhysRevB.104.155434](https://doi.org/10.1103/PhysRevB.104.155434)

# Resonant nonlinear response of a nanomechanical system with broken symmetry

J. S. Ochs,<sup>1,\*</sup> G. Rastelli,<sup>2</sup> M. Seitner,<sup>1</sup> M. I. Dykman,<sup>3</sup> and E. M. Weig<sup>1,4</sup>

<sup>1</sup>*Department of Physics, University of Konstanz, 78457 Konstanz, Germany*

<sup>2</sup>*INO-CNR BEC Center and Dipartimento di Fisica, Università di Trento, 38123 Povo, Italy*

<sup>3</sup>*Michigan State University, East Lansing, MI 48824, USA*<sup>†</sup>

<sup>4</sup>*Department of Electrical and Computer Engineering,  
Technical University of Munich, 80333 Munich, Germany*<sup>‡</sup>

(Dated: October 7, 2021)

We study the response of a weakly damped vibrational mode of a nanostring resonator to a moderately strong resonant driving force. Because of the geometry of the experiment, the studied flexural vibrations lack inversion symmetry. As we show, this leads to a nontrivial dependence of the vibration amplitude on the force parameters. For a comparatively weak force, the response has the familiar Duffing form, but for a somewhat stronger force, it becomes significantly different. Concurrently there emerge vibrations at twice the drive frequency, a signature of the broken symmetry. Their amplitude and phase allow us to establish the cubic nonlinearity of the potential of the mode as the mechanism responsible for both observations. The developed theory goes beyond the standard rotating-wave approximation. It quantitatively describes the experiment and allows us to determine the nonlinearity parameters.

## I. INTRODUCTION

Nanomechanical vibrational systems provide a natural platform for studying a broad range of classical and quantum phenomena in a well characterized setting, cf. Refs. [1–6] for recent examples. An important advantageous feature of such systems is a small decay rate, with the ratio  $Q$  of the vibration eigenfrequency  $\omega_0$  to the decay rate  $2\Gamma$  reaching  $5 \times 10^{10}$  [6] for localized acoustic modes and  $8 \times 10^8$  for flexural modes [7]. This makes nanomechanical modes highly sensitive to a resonant force, which underlies many of their applications. A consequence of this sensitivity is that a comparatively weak resonant force can drive the vibrations into a regime where their nonlinearity comes into play. This enables using resonantly driven nanomechanical vibrations for studying various nonlinear phenomena far from thermal equilibrium, cf. Refs. [8–12] and papers cited therein.

In many cases, the nonlinear response of nanomechanical vibrations to a comparatively weak resonant drive is well described by the Duffing model [13]. In this model the nonlinearity comes from the term in the potential energy, which is quartic in the mode coordinate  $q$ . This is the lowest-order anharmonic term for a mode with the potential that has inversion symmetry, and in this sense, the Duffing model is minimalistic. The major effect of this term for comparatively small vibration amplitudes comes from making the vibration frequency amplitude-dependent [14]. For a small decay rate, the frequency change due to this dependence can exceed the frequency uncertainty due to the decay, making the nonlinearity significant. At the same time, the vibrations remain close to sinusoidal as long as the drive is comparatively weak.

The response, in this case, is often analyzed using the Bogoliubov-Krylov averaging method [15], which in this context is equivalent to the rotating wave approximation (RWA) of quantum optics [16].

Flexural modes, which are most frequently studied in nanomechanics, do not necessarily have inversion symmetry. Such symmetry implies that the nanoresonator lies in a plane and the vibrations occur transverse to this plane. Typically, nanoresonators like nanobeams, nanomembranes, or carbon nanotubes, are bent because of an applied gate voltage [17] or the asymmetry of the clamping [18] or, as in the system studied here, because the asymmetry imposed by the dielectric transduction electrodes [19], see Fig. 1. For asymmetric modes, along with the term  $\propto q^4$  in the mode potential energy, it is necessary to take into account the lower-order term  $\propto q^3$ . However, in the standard analysis based on the RWA, the effect of this term on the vibrations at the drive frequency comes to renormalizing the Duffing parameter [14], such that the standard Duffing model remains applicable with an effective Duffing parameter.

Here we demonstrate that, for a nanoresonator with a broken symmetry, the resonant response can significantly deviate from the standard Duffing response already for a moderately strong driving. In the studied system this happens for the vibration amplitudes where the mode frequency differs from its zero-amplitude value  $\omega_0$  by  $\lesssim 10^{-4}\omega_0$ .

The physics of the effect can be understood from the following argument. In our system the Duffing nonlinearity is hardening, the frequency increases with the vibration amplitude. On the other hand, the RWA-change of the effective Duffing parameter due to the broken symmetry is negative [14]. This means that the symmetry breaking results in a decrease of the Duffing parameter compared to its value in the symmetric system. For a weak driving it is the decreased effective value that determines the resonant response. However, it is clear

\* Formerly Huber

† [dykmanm@msu.edu](mailto:dykmanm@msu.edu)

‡ [eva.weig@tum.de](mailto:eva.weig@tum.de)

that, for sufficiently large amplitudes, the quartic term in the potential becomes “stronger” than the cubic term. Therefore for such amplitudes, the frequency dependence on the amplitude should be different from the small-amplitude range. Remarkably, this happens where the amplitude is still small compared to the scale where the change of the vibration frequency becomes comparable to  $\omega_0$ .

Another effect of a broken symmetry is the onset of vibrations at the even multiples of the frequency of the resonant drive (whereas odd multiples arise from the regular Duffing model), and in particular at twice the drive frequency [20]. The occurrence of vibrations at twice the drive frequency is often referred to as the second harmonic generation. Such vibrations, which are also referred to as temporal harmonics or overtones, were seen earlier in microscale vibrational systems, cf. Ref. [21] and references therein. Here we measure the amplitude and phase of these vibrations directly, and by comparing them to the amplitude and phase of the main tone establish that they are indeed due to the nonlinearity of the mode potential. This allows quantifying this potential experimentally.

To describe the observations, the theoretical analysis should go beyond the standard RWA. A simplifying factor is the small decay rate of the nanoresonator studied in the experiment. This suggests extending the methods of the Hamiltonian nonlinear dynamics to the problem at hand [22]. Such an extension should allow for both the nonlinearity and the weak damping. A theory should also address the observation that, even though the signal at twice the drive frequency has an appreciable amplitude in the studied range, higher-order overtones remain very small.

Below in Sec. II we discuss the setup of the experiment and in Sec. III summarize the experimental observations. In Sec. IV we outline the theory. Section V provides a discussion of the results and a comparison between the theory and the experiment. Section VI contains concluding remarks. The Appendices describe auxiliary experimental observations, including the observed dependence of the nonlinearity parameters on the applied DC control voltage, a discussion of potential other mechanisms leading to a vibration at twice the drive frequency, and further details of the theory.

## II. SETUP AND CHARACTERIZATION

We investigate a nanomechanical doubly clamped string resonator fabricated from pre-stressed silicon nitride on a fused silica substrate, similar to the one depicted in Fig. 1.

The string is 270 nm wide, 100 nm thick and 55  $\mu\text{m}$  long. It is flanked by two adjacent gold electrodes, enabling the dielectric transduction. The two gold electrodes are placed asymmetrically with respect to the string, leading to an inhomogeneous electrical field when

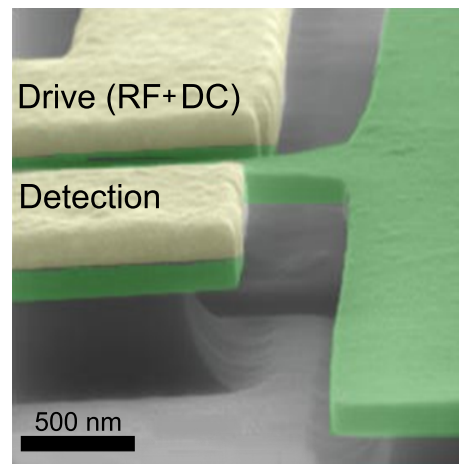


FIG. 1. Scanning electron micrograph of the doubly clamped silicon nitride string resonator (green) and two adjacent gold electrodes (yellow) for dielectric drive and detection. Depicted is one of the clamping pads (right), the onset of the string and the two control electrodes.

a DC voltage is applied between the electrodes. As a consequence, the dielectric string resonator gets polarized and experiences a gradient force which displaces it from its original equilibrium position as it is getting pulled towards the electrodes where the field is strongest. The corresponding change in the field gradient alters the eigenfrequency, enabling frequency tuning with the applied DC voltage [23]. Concurrently, it also leads to the breaking of the symmetry of the restoring potential (see discussion of Sec. IV). We used a microwave cavity-enhanced heterodyne detection scheme, discussed in more detail in [23–25]. We have verified the linearity of the measurement in the studied parameter range.

For the measurements shown in the following (except Fig. 10 which discusses the DC-voltage dependence of the observed nonlinearities), the DC voltage is fixed to 5 V, such that the fundamental flexural out-of-plane mode, which will be considered in the following, is well-separated from the corresponding in-plane mode. The experiment is performed at room temperature of 293 K and under vacuum at a pressure below  $10^{-4}$  mbar. The response of the fundamental out-of-plane mode (referred to as mode M in the following) to a comparatively weak resonant field is described by the Duffing model. In this model the equation of motion for the mode coordinate  $q(t)$  reads

$$\ddot{q} + 2\Gamma\dot{q} + \omega_0^2q + \gamma_{\text{eff}}q^3 = F_d \cos(\omega_d t). \quad (1)$$

Here,  $\omega_0 = 2\pi f_0$  is the angular mode eigenfrequency,  $\Gamma$  is the damping rate, and  $\gamma_{\text{eff}}$  is the effective Duffing nonlinearity parameter. The parameter  $\gamma_{\text{eff}}$  describes the resonant response in the range of the driving amplitude  $F_d$  where the RWA applies, i.e., this is the Duffing parameter renormalized by the cubic nonlinearity of the potential. The driving amplitude  $F_d$  in Eq. (1) is scaled

by the mass. The driving angular frequency  $\omega_d = 2\pi f_d$  is assumed to be close to  $\omega_0$ , with  $|\omega_d - \omega_0| \ll \omega_0$ .

In the experiment, both the drive tone and the measured signal are voltage signals. Therefore we calibrate the system in units of volts, as discussed in detail in the Supplemental Material of Ref. 26, and apply the driving amplitude as an RF voltage  $V_d$ . For  $V_d < 1$  mV the mode dynamics is linear. The spectrum of the linear response in this range, including the Lorentzian fit, is shown in Fig. 7 in the Appendix. We find  $f_0 = 6.528$  MHz and  $2\Gamma/(2\pi) = 20$  Hz, which gives the quality factor  $Q \approx 325000$ .

### III. EXPERIMENTAL OBSERVATIONS

When the drive voltage is increased but remains close to the linear regime, the measured response is well described by the solution of Eq. (1). The corresponding bidirectional scan at a drive voltage of 9 mV is shown in Fig. 2 as gray dots. A fit (red line) yields the effective nonlinear Duffing parameter. Since the signals are measured in volts, in what follows we use the superscript (V) to indicate that the appropriate nonlinearity parameters obtained by fitting the signals are also in volts. Using the measured value of the signal  $q(t)$  in volts, we obtain  $\gamma_{\text{eff}}^{(V)}/(2\pi)^2 = 2.48 \cdot 10^{15} \text{ V}^{-2} \text{ s}^{-2}$ .

The measured response for a stronger drive voltage,  $V_d = 40$  mV, is shown in Fig. 2 by the black dots. The red curve in this figure, on the other hand, shows the response for this voltage calculated using the above value of  $\gamma_{\text{eff}}^{(V)}$ . Clearly, Eq. (1) does not fit the data. We thus conclude that the Duffing model no longer applies for  $V_d = 40$  mV.

Along with the deviation from the Duffing response curve we have also observed the onset of a signal at twice the drive frequency. This is depicted for a drive voltage  $V_d = 100$  mV applied at the eigenfrequency of the mode, i.e.,  $f_d = f_0$ , in Fig. 3. The spectrum clearly shows the forced vibrations at the eigenfrequency of the driven mode (labelled M), along with a pronounced peak at the overtone frequency (O)  $2f_d = 2f_0 = 13.056$  MHz. We note that the second spatial eigenmode of out-of-plane vibrations of the nanostring has the frequency 13.2 MHz, almost 200 kHz above  $2f_d$ . A frequency response measurement, indicating the frequency separation of the two features, is shown in Fig. 8 in the Appendix. With a frequency separation much larger than the damping rate, both features can clearly be distinguished. This in itself allows us to unambiguously associate the signal overtone at  $2f_d$  with the (non-sinusoidal) oscillation of the resonantly driven fundamental mode.

The dependence on the drive amplitude  $V_d$  of the amplitudes of the fundamental mode as well as the signals at  $2f_d$  and  $3f_d$  are shown in Fig. 4(a). The data refers to the drive frequency  $f_d = f_0$ . The drive voltage  $V_d$  is swept between 0 and 400 mV. The three vibration amplitudes are measured simultaneously with a high-frequency

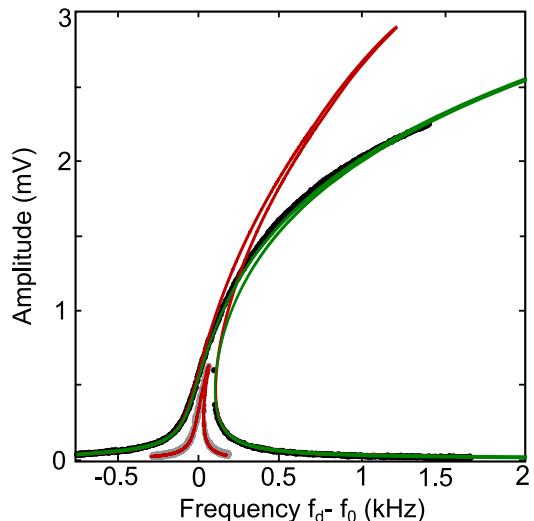


FIG. 2. Duffing response and non-Duffing response. Measured nonlinear response curves at drive voltages of  $V_d = 9$  mV (gray) and 40 mV (black). Both traces display unprocessed raw data; the noise level remains below the size of the dots. A fit to the Duffing model at  $V_d = 9$  mV as well as a theory curve using the obtained  $\gamma_{\text{eff}}^{(V)}/(2\pi)^2 = 2.48 \cdot 10^{15} \text{ V}^{-2} \text{ s}^{-2}$  for  $V_d = 40$  mV are included (red lines). The deviation of the data from the Duffing model is clearly visible for the  $V_d = 40$  mV data. A better agreement is achieved by taking the influence of the cubic nonlinearity of the potential  $\beta$  into account (green line). The curve is calculated for  $\beta^{(V)}/(2\pi)^2 = 2.08 \cdot 10^{15} \text{ V}^{-1} \text{ s}^{-2}$  and is truncated at a detuning of 2 kHz.

lock-in amplifier by using multiple demodulators. As already seen in the spectral measurements in Fig. 3, the signal at  $2f_d$  is significantly stronger than the signals at  $3f_d$  and  $4f_d$  (the signal at  $4f_d$  is not shown).

### IV. THEORY

#### A. The effect of the broken inversion symmetry

To account for the experimental observations the conventionally used theory of a weakly nonlinear Duffing oscillator needs to be extended. First, it has to allow for the broken inversion symmetry. This requires including the cubic nonlinearity in the mode potential  $U(q)$  along with the quartic nonlinearity. The cubic nonlinearity has several sources. It comes from the electrostatic energy of the capacitor in Fig. 1, which has cubic terms in its expansion in the mode displacement. Another source is the geometric nonlinearity of the nanoresonator. Yet another source is the nonlinearity of the stress-strain relation. A detailed analysis of these mechanisms is beyond the scope of this paper, but all of them lead to a term cubic in  $q$  in the potential energy once the symmetry is broken. The other, and in a way less trivial, aspect of the extension of the theory is the need to go beyond the standard RWA

as discussed in more detail below.

With the account taken of the cubic and the conventional quartic nonlinearity the equation of motion reads

$$\ddot{q} = -2\Gamma\dot{q} - \partial_q U(q) + F_d \cos(\omega_d t) \quad (2)$$

where

$$U(q) = \frac{1}{2}\omega_0^2 q^2 + \frac{1}{3}\beta q^3 + \frac{1}{4}\gamma q^4. \quad (3)$$

Here  $\beta$  and  $\gamma$  are the parameters of the cubic and quartic nonlinearity, respectively. In what follows, we consider a comparatively weak nonlinearity, so that the nonlinear part of the vibration energy remains smaller than the harmonic part  $\sim \omega_0^2 \langle q^2 \rangle$ . For concreteness we set  $\beta > 0$ ; the sign of  $\beta$  can be changed by changing the sign of the coordinate  $q$  and incrementing the phase of the drive by  $\pi$ . We note that in the engineering literature Eq. (2) in the absence of the driving is sometimes called the Helmholtz-Duffing equation. In the context of elastic cables a numerical analysis of Eq. (2) was done in Ref. 27.

For a resonantly driven nonlinear oscillator, a major consequence of the broken symmetry is the occurrence of vibrations at even multiples of the drive frequency beyond the odd multiples expected for a Duffing oscillator. For a weak nonlinearity, forced vibrations are almost sinusoidal. For a small vibration amplitude one can seek the solution of the equation of motion (2) iteratively by perturbation theory,  $q(t) \approx q^{(1)}(t) + q^{(2)}(t) + \dots$  with  $q^{(1)}(t) = A \cos(\omega_d t + \varphi)$ . To the leading order, the cubic nonlinearity  $\beta$  causes the onset of vibrations at  $2f_d$ . They are described by the expression

$$q^{(2)}(t) = \frac{1}{6\omega_0^2} \beta A^2 \cos(2\omega_d t + 2\varphi). \quad (4)$$

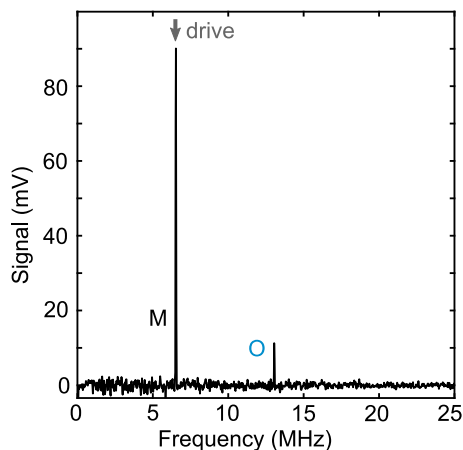


FIG. 3. Spectrum of the resonantly driven fundamental mode (M). For a resonant drive at  $f_d = f_0 = 6.528$  MHz with  $V_d = 100$  mV, the overtone (O) at 13.056 MHz is clearly visible. Higher-order overtones are barely discerned in this representation. A constant noise background has been subtracted from the data.

We use that  $|\omega_d - \omega_0| \ll \omega_0$  and  $\Gamma \ll \omega_0$ . An observation of such vibrations is an unambiguous signature of the broken inversion symmetry of the vibrational mode. There are, however, other contributions to the signal at  $2f_d$ , which are also related to the broken symmetry, as discussed in Appendix B. We note also that  $q^{(2)}(t)$  contains a time-independent term  $-\beta A^2/2\omega_0^2$ ; in the experiment, we do not measure this term.

Besides leading to the onset of vibrations at the even multiples of the drive frequency, the cubic nonlinearity modifies the dependence of the amplitude of forced vibrations on the drive amplitude compared to the Duffing response. The Duffing model (1) has been very successful in describing many observations in nanomechanical systems, and as mentioned in the Introduction, in the majority of cases the analysis was based on the RWA. In the RWA, one changes from the fast oscillating coordinate  $q(t)$  and momentum  $p(t)$  to slowly varying in-phase and quadrature components,  $q(t) - i\omega_d^{-1}p(t) = [Q(t) - iP(t)] \exp(i\omega_d t)$ . In the equations for  $Q, P$  one then disregards the terms that oscillate at the frequency  $\omega_d$  and its overtones. Then the major effect of the Duffing nonlinearity is the dependence of the mode frequency on the vibration amplitude  $A$  [14]

$$\omega \rightarrow \omega_{\text{eff}} \approx \omega_0 + \frac{3\gamma}{8\omega_0} A^2, \quad (5)$$

with  $A$  given by the value of  $(Q^2 + P^2)^{1/2}$  in the stable vibrational state.

The RWA is often applied also to a vibrational system with additional cubic nonlinearity  $\beta$ . In this approximation the response to the resonant field is mapped onto that of the Duffing model (1) with the renormalized nonlinearity parameter  $\gamma_{\text{eff}}$  replacing the bare Duffing parameter  $\gamma$  [14]

$$\gamma \rightarrow \gamma_{\text{eff}} = \gamma - \frac{10\beta^2}{9\omega_0^2}. \quad (6)$$

It is seen from Eq. (6) that the cubic nonlinearity can strongly affect the amplitude dependence of the mode frequency (5). Indeed, if  $\gamma > 0$ , but  $\gamma_{\text{eff}} < 0$ , even the sign of  $d\omega_{\text{eff}}/dA^2$  changes. This leads to the so-called zero-dispersion behavior [28] (see Ref. [29] for a comprehensive review). In what follows we consider the case  $\gamma$  and  $\gamma_{\text{eff}} > 0$ , which is relevant for the experiment described in this paper.

The strong change of  $d\omega_{\text{eff}}/dA^2$  occurs only in the region of comparatively small amplitudes  $A$ . Indeed, for large amplitudes the term  $\propto q^4$  in  $U(q)$  becomes more important than the term  $\propto q^3$ . Simple dimensional arguments show that for amplitudes  $A^2 \gtrsim \omega_0^2 \gamma_{\text{eff}}/\gamma^2$ , the approximation (6) becomes inapplicable. For small  $\gamma_{\text{eff}}/\gamma$  the RWA breaks down where the nonlinear part of the energy  $\sim \gamma A^4$  is still small compared to the harmonic part  $\sim \omega_0^2 A^2$ . The analysis of the dynamics in this range requires going beyond the standard Bogoliubov-Kryloff or multiscale methods of averaging in the theory of nonlinear vibrations (see Appendix D).

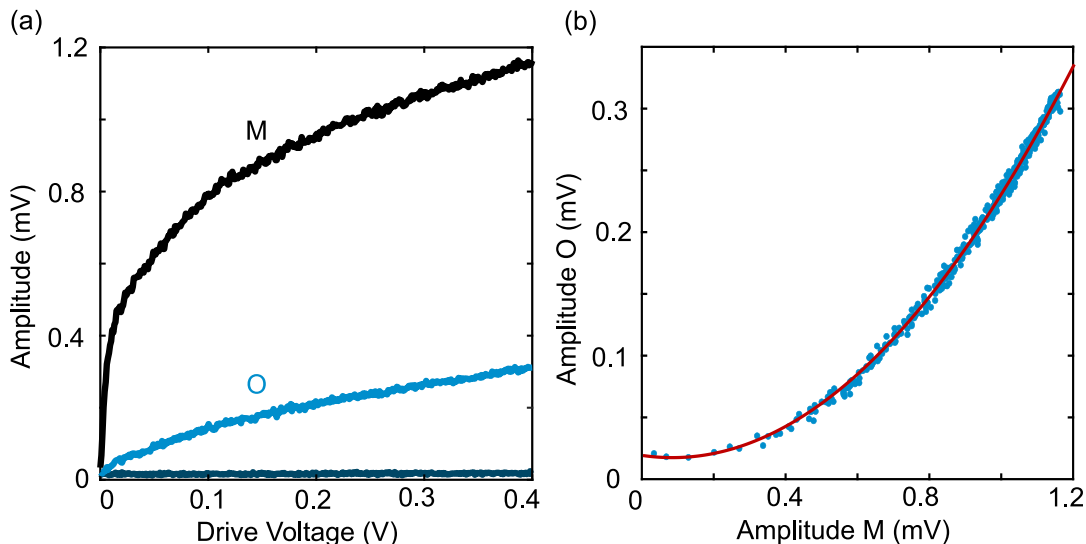


FIG. 4. (a) Drive voltage dependence of the resonant amplitude of the fundamental mode (M, black) as well as the amplitudes of the vibrations at twice (O, blue) and three times the drive frequency (dark blue); the amplitudes of the vibrations at the overtones have been rescaled to account for the different frequency-dependent displacement-to-voltage conversion factor. The drive frequency  $f_d$  is fixed at the resonance frequency of the fundamental mode  $f_0$ . (b) The amplitude of the vibrations at  $2f_d$  [marked by O in panel (a)] depends quadratically on the amplitude of the fundamental mode. Data shown in blue. The red line is a quadratic fit.

### B. Beyond the RWA

To perform the analysis of the resonant response beyond the renormalization (6) we take advantage of the significant simplification related to the weak damping in the studied system,  $\Gamma \ll \omega_0$ . To this end, we change from the coordinate and momentum of the mode to its action-angle variables. This is a canonical transformation. For an isolated mode with the Hamiltonian

$$H = \frac{1}{2}p^2 + U(q) \quad (7)$$

the action  $I$  and the angle (phase)  $\phi$  are defined as  $I = (2\pi)^{-1} \oint p dq$  and  $\phi = \partial_I \int p dq$  [14]. The natural vibration frequency of the mode is

$$\omega(I) = (\partial I / \partial E)^{-1},$$

where  $E$  is the mode energy. The coordinate and momentum are functions of  $I$  and  $\phi$  and are periodic in  $\phi$ ,

$$q(I, \phi + 2\pi) = q(I, \phi), \quad p(I, \phi + 2\pi) = p(I, \phi).$$

In the presence of friction and dissipation, the second-order equation of motion (2) becomes a set of two first-order equations for  $I$  and  $\phi$ ,

$$\begin{aligned} \dot{I} &= R \partial_\phi q, & \dot{\phi} &= \omega(I) - R \partial_I q \\ R &= -2\Gamma p + F_d \cos \omega_d t. \end{aligned} \quad (8)$$

In the stationary regime forced vibrations occur at the drive frequency  $\omega_d$ . This means that  $\dot{\phi} \approx \omega_d$ , if we neglect terms oscillating at  $\omega_d$ . The action  $I$  in this regime

has a time-independent component and components oscillating at  $\omega_d$ . However, as seen from Eq. (8), keeping oscillating terms in the right-hand sides of the equations for  $\dot{I}$  and  $\dot{\phi}$  lead to small corrections to  $I$  and  $\phi$  for a comparatively weak drive; in particular, the corrections to  $I$  are  $\sim \Gamma I / \omega_d$  and  $|F_d \partial_\phi q / \omega_d|$ . The smallness of these corrections is the condition of the applicability of the analysis.

If we disregard the fast-oscillating corrections, the right-hand sides of the equations for  $\dot{I}$  and  $\dot{\phi}$  can be averaged over the period  $2\pi / \omega_d$ . In this approximation the equations for the stationary states read

$$d\bar{I}/dt = \overline{R \partial_\phi q} = 0, \quad d\bar{\phi}/dt = \omega(\bar{I}) - \overline{R \partial_I q} = \omega_d \quad (9)$$

where the overbar implies period averaging. It is clear from Eq. (9) in particular that only the component of  $q(I, \phi)$  that oscillates as  $\cos \phi$ , i.e., the main tone, contributes to the terms that multiply  $F_d \cos \omega_d t$ .

Equation (9) gives two parameters of the stationary vibrational state, the action  $\bar{I} = I_{st}$  and the time-independent part  $\varphi \equiv \varphi_{st}$  of the phase,  $\bar{\phi}(t) = \omega_d t + \varphi_{st}$ . The solution of Eq. (9) is provided in Appendix D. The calculation is significantly simplified by the fact that the functions  $q(I, \phi), p(I, \phi)$  can be expressed in terms of the Jacobi elliptic functions. This property allows one to find the frequency  $\omega(I)$  as well as the amplitudes of vibrations at  $\omega(I)$  and its overtones as functions of  $I$  in terms of the elliptic integrals. Inversely, for not too strong nonlinearity, it allows one to express the action  $I$  in terms of the amplitude  $A$  of the main tone, i.e., of the vibrations at frequency  $\omega(I)$ .

In Fig. 5(a) we plot the frequency  $\omega(I)$  vs the square

of the amplitude  $A$  scaled by the typical displacement  $(2\omega_0^2/\gamma)^{1/2}$  at which the Duffing nonlinearity becomes pronounced. The plots refer to different values of the scaled cubic nonlinearity  $\beta/\omega_0\sqrt{\gamma}$ . For  $\beta = 0$  (brown line) the frequency is linear in  $A^2$  for small amplitudes. In contrast, for the critical value  $\beta_{\text{cr}}/\omega_0\sqrt{\gamma} = \sqrt{0.9}$  (light green line), where the effective Duffing parameter  $\gamma_{\text{eff}} = 0$ , the frequency is parabolic in  $A^2$  for small  $A^2$ . For intermediate  $0 < \beta < \beta_{\text{cr}}$  (petrol line) the frequency displays a significant curvature as function of  $A^2$  in the small- $A^2$  range. The curve with the experimental value of  $\beta$  obtained in Section V (dark green line) is very close to the line for the critical  $\beta$ , as the effective Duffing parameter is much smaller than the bare Duffing parameter. A zoom into the small amplitude regime is shown in Fig. 5 (b) where the experimental range of the solution for the experimental value of  $\beta$  (dark green line) is compared to the solution of the renormalized Duffing model, Eq. (6). The two curves clearly disagree.

We note that a simple formal way to think of the cubic term in the potential of a nanoresonator mode is to relate it to a linear bias. Such bias can come, for example, from a gate voltage that “pulls” the nanoresonator. The potential of the Duffing oscillator with linear bias is

$$U_B(q) = -\xi_{\text{bias}}q + \frac{1}{2}\omega_0^2q^2 + \frac{1}{4}\gamma q^4, \quad (10)$$

where  $\xi_{\text{bias}}$  is the bias strength.

The potential  $U_B$  has the same form as the potential (3) for  $|\beta| < (4\gamma\omega_0^2)^{1/2}$ . This is seen if one shifts the equilibrium position  $q \rightarrow q + \delta q$  to compensate the linear term; in the limit of small nonlinearity  $\delta q \approx \xi_{\text{bias}}/\omega_0^2$ . After the shift  $U_B(q)$  becomes of the same form as  $U(q)$  with

$$\beta = 3\gamma\delta q, \quad \omega_0^2 \rightarrow \omega_0^2 + 3\gamma(\delta q)^2.$$

The condition  $\beta^2 < 4\gamma\omega_0^2$  corresponds to the experimental situation where the potential  $U(q)$  has a single minimum.

## V. RESULTS

### A. Anomalous response curve

The response curve shown in Fig. 2 is anomalous in the sense that it significantly differs from the conventional Duffing curve. The theoretical model of Sec. IV, which takes into account the cubic nonlinearity of the potential beyond the RWA, allows us to describe this curve quantitatively and to find the nonlinearity parameter  $\beta^{(\text{V})}$ . The analysis is based on Eqs. (9) and uses the experimentally determined eigenfrequency  $\omega_0$  and linewidth  $2\Gamma$ .

The effective Duffing parameter  $\gamma_{\text{eff}}^{(\text{V})}$  (in volts) is extracted from the measurements for a moderately weak driving, where the effective Duffing model applies, cf.

the data for  $V_d = 9$  mV in Fig. 2. The cubic nonlinearity parameter  $\beta^{(\text{V})}$  is then chosen to match the theoretical result to the experimental curve for a stronger drive. The green line in Fig. 2 displays the theoretical curve for  $\beta^{(\text{V})}/(2\pi)^2 = 2.08 \cdot 10^{15} \text{ V}^{-1}\text{s}^{-2}$ . With this parameter value, we find good agreement between the theory and the experiment.

However, it is seen from Fig. 2 that the upper branch of the experimental response curve for  $V_d = 40$  mV ends at a detuning of approximately 1.5 kHz, whereas the theoretical branch extends much further and is truncated at a detuning of 2 kHz. We attribute this discrepancy to a comparatively short lifetime of the large-amplitude state. Our data acquisition system does not allow us to observe state with a short lifetime, and therefore we do not observe the stable large-amplitude state beyond a certain frequency.

We now comment on the lifetime of the large-amplitude state. As seen from Fig. 2, the theoretical values of the amplitudes of this state and the unstable state are very close. In the considered very weakly damped system these amplitudes are determined by the quasienergies (Floquet eigenvalues) of the periodically driven mode in the corresponding states, which indicates that the corresponding quasienergies are also close. Thermal noise, which is invariably present in the system, leads to escape from a dynamically stable vibrational state [30]. In the system investigated here such escape was seen earlier [26].

In the weak-damping regime, periodically driven systems escape via diffusion over quasienergy. Generically, the escape rate increases exponentially with the decreasing distance between the quasienergies of the stable and unstable (saddle-type) states [31]. Therefore we expect it to be comparatively large where the amplitude of the stable state is close to that of the unstable state. A full calculation of the escape rate is beyond the scope of the present paper.

### B. Cubic and quartic nonlinearity in the critical regime of small $|\gamma_{\text{eff}}|$

The values of  $\gamma_{\text{eff}}^{(\text{V})}$  and  $\beta^{(\text{V})}$  allow us to find the “bare” Duffing parameter  $\gamma^{(\text{V})}$  using Eq. (6). The value of this parameter  $\gamma^{(\text{V})}/(2\pi)^2 \approx 1.16 \cdot 10^{17} \text{ V}^{-2}\text{s}^{-2}$  is two orders of magnitude larger than the effective Duffing coefficient  $\gamma_{\text{eff}}^{(\text{V})}/(2\pi)^2 = 2.48 \cdot 10^{15} \text{ V}^{-2}\text{s}^{-2}$  measured for the moderately weak driving regime. As a consequence of the small value of  $\gamma_{\text{eff}}$  only a small variation of the applied DC voltage is sufficient to reach the critical point where the effective Duffing nonlinearity becomes zero and to subsequently enter the softening regime,  $\gamma_{\text{eff}} < 0$ . This is shown in more detail in Appendix C. In particular, a quadratic tuning of the effective nonlinearity  $\gamma_{\text{eff}}$ , as well as the cubic nonlinearity  $\beta$  with the applied DC voltage is observed, which is a consequence of the nonlinearity of the electrostatic energy of the capacitor formed by

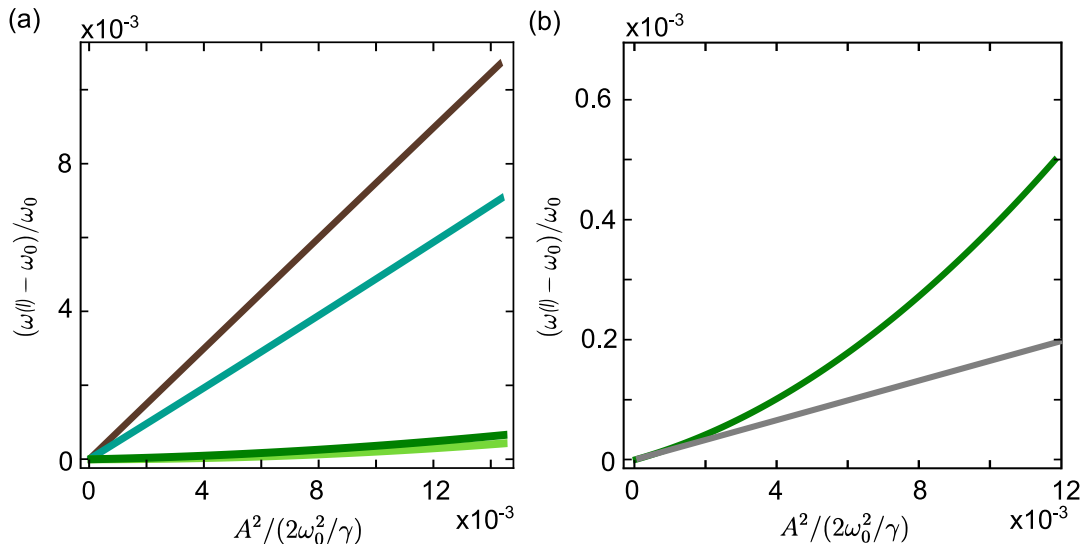


FIG. 5. The dependence of the vibration frequency as a function of the action variable of the mode  $\omega(I)$  on the amplitude  $A$  of the first harmonic. The squared amplitude is scaled by its characteristic value  $\gamma/2\omega_0^2$  for a Duffing oscillator, whereas  $\omega(I) - \omega_0$  is scaled by the eigenfrequency  $\omega_0 \equiv \omega(0)$  of small-amplitude vibrations. (a) The brown, petrol, and light green curves refer, respectively, to  $\beta/\omega_0\sqrt{\gamma} = 0, 0.6,$  and  $\sqrt{0.9}$ ; the latter value corresponds to the critical cubic nonlinearity for which  $\gamma_{\text{eff}} = 0$ . The dark green curve is computed for the value of  $\beta/\omega_0\sqrt{\gamma}$  used in the comparison with the experiment in Fig. 2. (b) Closeup showing  $\omega(I)$  for the same  $\beta$  as in Fig. 2 (dark green) compared with the result of the RWA for the Duffing model with the effective Duffing parameter  $\gamma_{\text{eff}}$  calculated for the same  $\beta$  (light gray). The deviation of the green curve from the straight line demonstrates the inapplicability of the conventional RWA. Remarkably, for the considered case where  $\gamma/\gamma_{\text{eff}} \gg 1$  this deviation is pronounced in the range where the amplitude is still comparatively small,  $A^2 \ll 2\omega_0^2/\gamma$ , but  $A^2 \sim |\gamma_{\text{eff}}|\omega_0^2/\gamma^2$ .

the two electrodes,  $\frac{1}{2}C(q)V^2$  in the displacement of the nanobeam.

### C. Alternative mechanisms of the nonlinearity

The signal observed in the experiment at twice the drive frequency arises from the broken inversion symmetry of the nanostring under investigation. The symmetry breaking is caused primarily by the asymmetric arrangement of the dielectric transduction electrodes (Fig. 1). However, besides the mechanism described in the previous section and associated with the cubic nonlinearity of the potential  $\propto \beta$ , other mechanisms originating from the broken inversion symmetry could also contribute. They include the nonlinear excitation of the second spatial harmonic eigenmode of the nanostring and the nonlinear coupling to the driving force resulting in a direct as well as a parametric drive at  $2f_d$ . These mechanisms are discussed in more detail in Appendix B. Notably, some of them lead to a different dependence of the signal at  $2f_d$  on the amplitude and phase of the fundamental mode M. This can be used to identify the origin of the signal. In the following, we compare our experimental observations with the theoretical predictions for all these mechanisms and indeed find that the nonlinearity of the potential energy of the nanoresonator characterized by the parameter  $\beta$  is the dominant source of the signal at  $2f_d$ .

Figure 4 (b) displays the amplitude of the overtone sig-

nal (in volts)  $V_{\text{out},O}$  at  $2f_d$  as a function of the amplitude  $V_{\text{out},M}$  of the resonant response of the mode M. A fit with  $V_{\text{out},O} = cV_{\text{out},M}^2$  clearly shows that the overtone amplitude scales quadratically with that of the main tone. In addition, we have determined the phase of the signal measured at  $2f_d$  with respect to the phase  $\varphi$  of the mode M with the lock-in amplifier. The measurement indeed reveals that the phase of the overtone coincides with  $2\varphi$  for a comparatively weak driving used to derive Eq. (4). Both observations are in agreement with Eq. (4). This suggests that the nonlinear driving terms (B2) and (B3) discussed in Appendix B make a small contribution to the signal at  $2f_d$  at most, since they exhibit a different dependence on the amplitude and phase of the main tone.

However, this is not sufficient to establish the nonlinearity of the mode potential  $U(q)$  as the only source of the signal at  $2f_d$ , as the experimental observations are also compatible with the nonlinear coupling to the second spatial harmonic of the nanostring, see Eq. (B1). In order to estimate the role of this mechanism as the remaining alternative source of the overtone signal, we repeat the experiment for a drive frequency at half the eigenfrequency of the fundamental mode  $\omega_0/2$ . In this regime, according to Eq. (2), the force  $F_d \cos(\omega_d t)$  still excites forced vibrations with a displacement  $q^{(0)}(t) \approx [F_d/(\omega_0^2 - \omega_d^2)] \cos \omega_d t$ , even when nonresonant as it is the case for  $\omega_d \approx \omega_0/2$ . Because of the nonlinearity of the mode, which is characterized by the parameter  $\beta$  in Eq. (3), these vibrations resonantly excite vibrations at



$2f_d$ , which is the effect of resonant second harmonic generation,

$$q^{(2)}(t) \approx \frac{\beta F_d^2}{2(\omega_0^2 - \omega_d^2)^2} \times \text{Re} \frac{\exp(2i\omega_d t)}{\omega_0^2 - 4\omega_d^2 + 4i\Gamma\omega_d}, \quad \omega_d \approx \omega_0/2. \quad (11)$$

As seen from Fig. 6, we clearly observe the corresponding overtone at  $2f_d \approx f_0$ , whereas the response at the drive frequency  $f_d$  could not be resolved for bandwidth limitations of the experimental setup. Figure 6 (a) displays the overtone amplitude for a sweep of the drive frequency around  $f_0/2$  along with a Lorentzian fit. The fit is in full agreement with Eq. (11). Figure 6 (b) shows the scaling of the overtone amplitude for  $f_d = f_0/2$  with the drive voltage fitted with a quadratic function.

The response of the second spatial harmonic of the nanostring is nonresonant for  $f_d \approx f_0/2$  and may not lead to an appreciable signal. This demonstrates that the cubic nonlinearity of the potential  $U(q)$  of the mode M is the major contributor to the response at twice the drive frequency and suggests that it is also a major contributor in the case of the driving at  $f_d \approx f_0$ . In Appendix B we provide an argument why the nonlinear coupling to the second spatial harmonic of the nanostring should be weak in addition to being just nonlinear.

The finding that the observed signal at  $2f_d \approx 2f_0$  is predominantly caused by the nonlinearity  $\beta$  suggests that the ratio  $c = V_{\text{out,O}}/V_{\text{out,M}}^2$ , which can be extracted from the quadratic fit to the data in Fig. 4 (b), could be employed to quantitatively determine the cubic nonlinearity parameter in units of volts,  $\beta^{(V)}$ , from Eq. (4). However, the displacement-to-voltage conversion factor of our read-out apparatus at  $2f_0$  does not coincide with the calibrated one at  $f_0$  [32], such that  $\beta^{(V)}$  cannot be directly extracted from the data.

## VI. CONCLUSIONS

Conventionally, the nonlinear response of vibrational modes to moderately strong resonant driving is described by the Duffing model. In contrast, in this work we have observed and explained a non-Duffing resonant nonlinear response of the fundamental mode of an underdamped nanomechanical resonator. We found that, even though the dependence of the amplitude of forced vibrations on the frequency of the drive is of the familiar Duffing form for moderately weak driving, its shape changes significantly as the driving becomes stronger. This happens in the range where the driving still remains not too strong, so that the forced vibrations are still close to sinusoidal. Our explanation is based on taking into account the broken inversion symmetry of the resonator. The symmetry breaking leads to the onset of a term in the potential energy of the mode, which is cubic in the mode coordinate.

We show that, while the cubic term does not change the form of the response to a moderately weak drive, it

significantly changes the response for a stronger drive. The analysis required us to go beyond the standard rotating-wave approximation. The obtained results allow describing the spectrum of the nonlinear response in a broad range of amplitudes of the resonant drive, where the shape of the response curve changes significantly. The comparison between the experimental data and the theoretical model allows us to determine the parameters of the quartic and cubic nonlinearity of the potential of the mode.

Along with the change of the response form, the broken inversion symmetry leads to the onset of response at even multiples of the drive frequency. For the drive at frequency close to the mode eigenfrequency, we have observed vibrations at twice the drive frequency, i.e., second harmonic generation, in optics terms. We have discussed several microscopic mechanisms that lead to the onset of such vibrations. The dependence of the vibration amplitude and phase on the amplitude and phase of the vibrations at the drive frequency suggests that the major contribution to the frequency doubling comes from the cubic nonlinearity of the mode potential. Characteristically, higher overtones have a very small amplitude, consistent with the model.

We have also observed resonant second harmonic generation when the driving frequency was close to half the mode eigenfrequency. The spectrum of the vibrations at twice the drive frequency has a characteristic Lorentzian shape, while the vibration amplitude is quadratic in the driving amplitude.

Our results demonstrate that weakly damped vibrations of nanomechanical systems display very rich nonlinear dynamics. It comes from the interplay and competition of different nonlinearity parameters even in simple cases where the vibrations remain close to sinusoidal. The significant compensation of the nonlinearity that we have found in a certain range of the vibration amplitudes, which is controlled by how strongly the inversion symmetry is broken, can be used in applications as it extends the practically important regime of almost linear behavior of the mode. At the same time, the deviation from the traditionally assumed Duffing behavior should be generic for weakly damped nanomechanical modes, as in many cases such modes lack inversion symmetry.

## VII. ACKNOWLEDGEMENTS

We are grateful to H. Yamaguchi for the discussion of the mechanisms of overtone generation. J.S.O. and E.M.W. gratefully acknowledge financial support from the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) through Project-ID 425217212 - SFB 1432, the European Unions Horizon 2020 Research and Innovation Programme under Grant Agreement No 732894 (FET Proactive HOT), and the German Federal Ministry of Education and Research (contract no. 13N14777) within the European QuantERA cofund

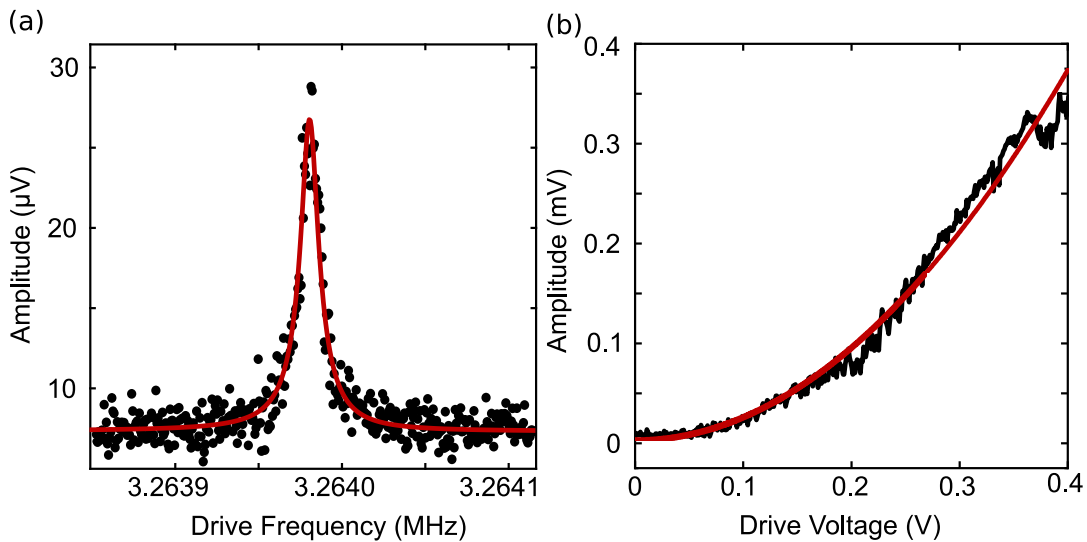


FIG. 6. Resonant excitation of the overtone for a drive at half the eigenfrequency. (a) Amplitude of the resonantly excited vibrations at  $2f_d$  as a function of the drive frequency  $f_d$  swept around  $f_0/2$  with  $V_d = 100$  mV. The red line displays a Lorentzian fit with halfwidth  $\Gamma$ . (b) Peak amplitude of the resonantly excited vibrations at  $f_0$  for  $f_d = f_0/2$  as a function of the drive voltage. The red line corresponds to a quadratic fit.

project QuaSeRT. M.I.D. acknowledges support from the National Science Foundation, Grants No. DMR-1806473 and CMMI 1661618. M.I.D. is a senior fellow of the Zukunftscolleg of the University of Konstanz; he is grateful for the warm hospitality at the University of Konstanz where this work was started.

### Appendix A: Linear Response

The linear response of the fundamental out-of-plane mode is found at an eigenfrequency of  $f_0 = 6.528$  MHz. It is shown for a drive of  $V_d = 1$  mV in Fig. 7 as black dots along with a Lorentzian fit (red line). From the fit, we extract a linewidth  $2\Gamma/(2\pi) = 20$  Hz, yielding a quality factor of  $Q \approx 325000$ .

### Appendix B: The vibrations at twice the drive frequency

It is tempting to try to extract the value of the non-linearity parameter  $\beta$  from the amplitude of the signal at twice the drive frequency. However, besides the cubic nonlinearity of the mode potential, there are several other mechanisms giving rise to the generation of the second temporal harmonic. The simplest of them will be discussed in this Section.

#### 1. Second spatial harmonic of the nanostring

A relevant mechanism generating a signal at twice the driving force frequency for  $\omega_d \approx \omega_0$  is the nonlinear cou-

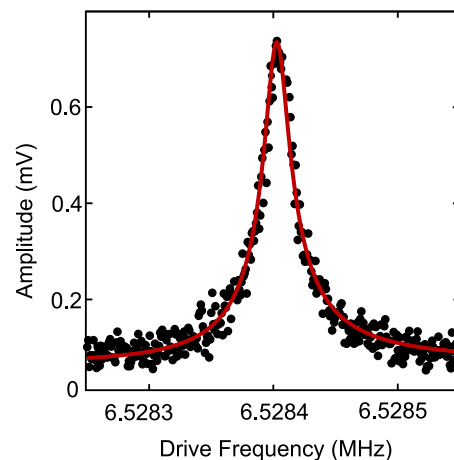


FIG. 7. Linear response of the fundamental out-of-plane mode (M) at a drive voltage of  $V_d = 1$  mV. A Lorentzian fit (red line) yields an eigenfrequency of 6.528 MHz, a linewidth of  $2\Gamma/(2\pi) = 20$  Hz, and a quality factor of  $Q \approx 325000$ .

pling of the primary mode M and the second spatial harmonic of the vibrations transverse to the nanostring, the eigenmode  $M_2$ . This mode appears at frequency  $\omega_2/2\pi = 13.2$  MHz, as shown in Fig. 8. It is almost 200 kHz above the overtone of the fundamental mode M, indicated by the blue arrow and labeled by O. This difference is a result of the non-negligible bending rigidity of the high-tension nanobeam under investigation, and thus the deviation from pure string-like behavior.

The mode  $M_2$  could be excited by the drive at frequency  $\omega_d \approx \omega_0$ . If the coordinate of this mode is  $q_2$ , the potential of the nonlinear coupling of this mode to the

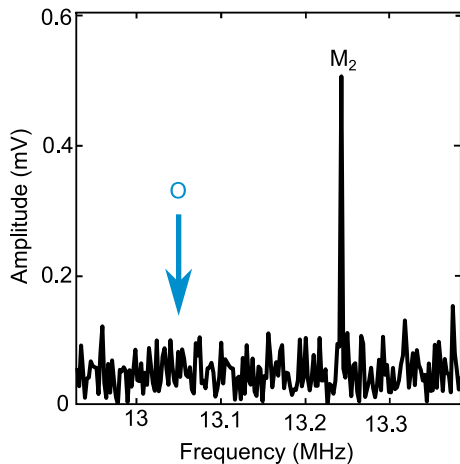


FIG. 8. Response of the second spatial harmonic out-of-plane eigenmode  $M_2$  appearing 200 kHz higher in frequency than the overtone O of the fundamental flexural out-of-plane eigenmode M.

main-tone mode M has a term  $U_{12} = \beta_{12}q^2q_2$ , where  $q$  is the coordinate of the mode M. If the internal nonlinearity of the mode  $M_2$  is disregarded, its equation of motion reads

$$\ddot{q}_2 + \omega_2^2 q_2 = -\beta_{12}q^2.$$

The forced vibrations of this mode induced by the forced vibrations  $q(t) \approx q^{(1)}(t) = A \cos(\omega_d t + \varphi)$  of the mode M are described by the expression

$$q_2(t) \approx \frac{1}{2}\beta_{12} \frac{A^2}{4\omega_d^2 - \omega_2^2} \cos(2\omega_d t + 2\varphi) + \text{const} \quad (\text{B1})$$

The denominator in this expression is small compared to the denominator in the expression (4) for the overtone of the main tone,  $|4\omega_d^2 - \omega_2^2| \ll \omega_0^2$ . However, it is important that the parameter  $\beta_{12}$  would be equal to zero in a symmetric nanoresonator. Moreover, it remains small in an asymmetric resonator, as the coupling results only from the distortion of the modes compared to the conventional sinusoidal shape. In addition, our measurement scheme effectively averages out the signal from the mode  $M_2$ .

## 2. The effect of a nonlinear coupling to the driving force

### a. Driving at $\omega_d \approx \omega_0$

Another mechanism generating a signal at twice the drive frequency can be understood by recalling that the force on the nanostring under dielectric driving [19] comes from modulating the potential of the surrounding electrodes, see Fig. 1. The nanostring is a part of the capacitor formed by these electrodes. The force on the mode with a coordinate  $q$  is  $\frac{1}{2}(\partial C/\partial q)V^2$ , where  $C$  is the

capacitance and  $V$  is the potential applied to the electrodes. This potential has an RF part that oscillates at the drive frequency  $f_d$ ,  $V_{\text{RF}} = V_d \cos \omega_d t$ , and a (usually large) DC part  $V_{\text{DC}}$ . Therefore the force is periodic with period  $2\pi/\omega_d$ , but since the force as a whole is  $\propto V^2$ , it has terms that oscillate not just at  $f_d$ , but also at  $2f_d$ .

The force emerges only where  $\partial C/\partial q$  is nonzero, which in turn occurs where the system lacks inversion symmetry (on the contrary, if the electrodes formed a parallel-plate capacitor and the dielectric nanobeam was located symmetrically in the middle of the capacitor, we would have  $\partial C/\partial q = 0$ ). In the case of dielectric driving that we study, the system does not have inversion symmetry, and therefore the force does have a component at  $2f_d$ . This force is much smaller than the force at  $f_d$  for  $V_d \ll V_{\text{DC}}$ , but can become sizeable for large  $V_d$ .

We write the dielectric force component at twice the drive frequency as  $\lambda F_d^2 \cos 2\omega_d t$ ; the parameter  $\lambda$  is determined by  $\partial C/\partial q$ . The vibrations caused by this force have the form

$$q_\lambda^{(2)}(t) = \text{Re} \frac{\lambda F_d^2 \exp(2i\omega_d t)}{\omega_0^2 - 4\omega_d^2 + 4i\Gamma\omega_d} \quad (\text{B2})$$

Clearly, they contribute to the signal observed at  $2f_d$ . For close to resonance driving,  $|\omega_0 - \omega_d| \ll \omega_d$  the denominator in the above expression becomes  $\approx -3\omega_0^2$ .

For our experiment, we find  $\lambda = 5.88 \cdot 10^{-6} \text{ s}^2/\text{m}$ . Figure 9 plots the theoretical contribution of Eq. (B2) to the signal at  $2f_d$  in comparison to the experimentally determined amplitudes of the fundamental mode M and the overtone signal O. For example, for a drive with  $V_d = 100 \text{ mV}$ , we find a contribution which is more than two orders of magnitude smaller than the measured signal at  $2f_d$ , suggesting only a minor role of the mechanism described by Eq. (B2).

The force from modulating the capacitance by the vibrations of the nanobeam also contains the parametric driving term  $\lambda' q F_d \cos \omega_d t$ . This term comes from the derivative  $\partial^2 C/\partial q^2$  evaluated at the equilibrium position of the nanobeam. Because of this term, the mode vibrations  $q^{(1)}(t) = A \cos(\omega_d t + \varphi)$  lead to vibrations at twice the drive frequency, with the displacement of the form

$$q_{\lambda'}^{(2)}(t) = \frac{1}{2} \text{Re} \frac{\lambda' A F_d \exp(2i\omega_d t + \varphi)}{\omega_0^2 - 4\omega_d^2 + 4i\Gamma\omega_d}. \quad (\text{B3})$$

Here, again, for resonant driving the denominator becomes  $\approx -3\omega_0^2$ .

### b. Resonant excitation, $\omega_d \approx \omega_0/2$

The vibrations at  $2\omega_d$  can be excited resonantly (see Fig. 6). This is a mechanical analog of the resonant second harmonic generation in nonlinear optics. It occurs if the mode lacks inversion symmetry and is driven close to a half of its eigenfrequency, i.e.,  $|2\omega_d - \omega_0| \ll \omega_0$ . The cubic nonlinearity of the potential of the mode M

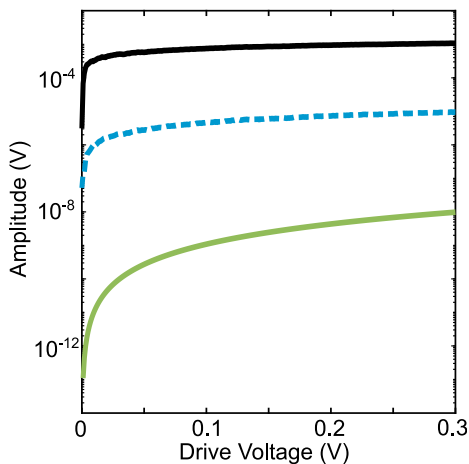


FIG. 9. Influence of the nonlinear coupling to the driving force on the signal at  $2f_d$ . The green line shows the amplitude of vibrations at  $2f_d$ , which result from the nonlinear component of the drive and are described by Eq. (B2). The black and dashed blue lines are taken from Fig. 4 and show, respectively, the measured amplitudes of the fundamental mode M and the signal at  $2f_d$  (the amplitude of the signal at  $2f_d$  has been rescaled to account for the different displacement-to-voltage conversion factor at this frequency). The results demonstrate a negligible influence of nonlinear driving.

contributes to this resonant excitation, as described in Sec. V. The mechanisms of nonlinear driving discussed in this Appendix contribute to the effect as well. We now consider these latter contributions. They are additive and therefore can be analyzed separately.

The effect of the direct nonlinear drive is described by Eq. (B2) with  $\omega_d$  close to  $\omega_0/2$ . Therefore the denominator in Eq. (B2) is small, a signature of the resonant second-harmonic generation. In addition, forced vibrations at the angular frequency  $\omega_d$  also resonantly excite vibrations at  $2\omega_d \approx \omega_0$  via the nonlinear (parametric) coupling to the force. This contribution is described by Eq. (B3) in which one should set  $A = F_d/(\omega_0^2 - \omega_d^2)$  and  $\varphi = 0$ . Again, the denominator in Eq. (B3) becomes resonantly large for  $\omega_d$  close to  $\omega_0/2$ . However, if the effect of nonlinear driving is small for the driving at frequency  $\approx \omega_0$ , it is expected to be small for the driving at frequency  $\omega_0/2$ .

Importantly, the mode  $M_2$  is no longer close to resonance with the overtone of the drive frequency. Given the weakness of the coupling to this mode, excitation of its vibrations can be disregarded. We expect therefore that, in our system, the resonant second harmonic generation is due to the internal cubic nonlinearity of the mode potential  $U(q)$ .

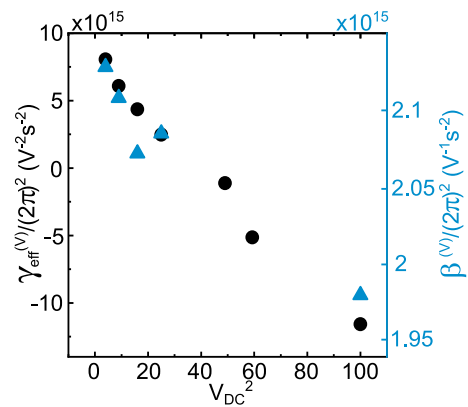


FIG. 10. DC voltage dependence of the nonlinearity parameters. Both  $\beta^{(V)}$  and  $\gamma_{\text{eff}}^{(V)}$  are plotted against the square of the applied DC voltage. The sign of  $\gamma_{\text{eff}}^{(V)}$  changes from positive to negative near  $V_{\text{DC}} = 6$  V. The cubic nonlinearity  $\beta^{(V)}$  has been determined using the procedure described in Sec. V. It is only accessible in the region of not too small  $|\gamma_{\text{eff}}^{(V)}|$ .

### Appendix C: DC voltage dependence of nonlinearity parameters $\beta$ and $\gamma$

To explore in more detail how the broken symmetry arises for the dielectrically controlled nanostring, we performed a series of measurements at different DC voltages  $V_{\text{DC}}$  between 0 and 10 V. These measurements enable finding the DC voltage dependence of the two nonlinearity parameters  $\beta^{(V)}$  and  $\gamma_{\text{eff}}^{(V)}$ . The result of the experiment is shown in Fig. 10. A quadratic dependence of the both parameters on  $V_{\text{DC}}$  is observed. This confirms the dielectric nature of the nonlinearities, which is apparent from the cubic and quartic terms in the expansion of the electrostatic energy of the capacitor formed by the two electrodes,  $\frac{1}{2}C(q)V^2$ , in the mode displacement. The effective Duffing parameter  $\gamma_{\text{eff}}^{(V)}$  changes sign near a DC voltage of 6 V, indicating the transition from stiffening to softening behavior. The value of  $\beta^{(V)}$  is only accessible in the region where  $\gamma_{\text{eff}}^{(V)}$  is not very small, as close to  $\gamma_{\text{eff}}^{(V)} = 0$  we observe the zero-dispersion regime [28, 29, 33] where we cannot extract  $\beta^{(V)}$  using the procedure described in the main text.

It is seen from Fig. 10 that the change of  $\beta$  in the considered range of the DC voltage is only  $\sim 10\%$  whereas  $\gamma_{\text{eff}}$  changes very significantly. The small change of  $\beta$  is qualitatively consistent with the relative change of the eigenfrequency by  $\lesssim 5 \times 10^{-3}$  that we have observed in the same range. The strong relative change of  $\gamma_{\text{eff}}$  can be a result of the small value of this parameter as it goes through zero with the varying  $V_{\text{DC}}$  in the considered range.

### Appendix D: Theory of forced vibrations in terms of the action-angle variables

Here we use the action and angle variables  $I$  and  $\phi$  to calculate the amplitude of the stable vibrational state of a resonantly driven mode with cubic and quartic nonlinearity with the potential energy  $U(q)$ . Since the decay rate of the mode is small,  $I$  and  $\phi - \omega_d t$  remain almost constant over the drive period  $2\pi/\omega_d$ . Therefore the right-hand sides of Eq. (8) for  $\dot{I}$  and  $\dot{\phi}$  can be averaged over the vibration period. Formally, we can define the averaging as

$$\overline{L(I, \phi)} = (2\pi)^{-1} \int_0^{2\pi} d\phi L(I, \phi).$$

Averaging of the terms that contain the time-dependent factor  $\cos(\omega_d t)$  can be done by writing this factor as  $\cos[(\omega_d t - \phi) + \phi]$  and averaging over  $\phi$  for a given  $\omega_d t - \phi$ . In the stationary state  $\dot{\phi} = \omega_d t + \varphi_{\text{st}}$ .

Taking into account the explicit form of the function  $R$  in Eq. (9), we write this equation for the stationary values  $\bar{I} = I_{\text{st}}$ ,  $\bar{\phi} - \omega_d t = \varphi_{\text{st}}$  as

$$\begin{aligned} -2\Gamma I_{\text{st}} - \frac{1}{2} A_1(I_{\text{st}}) F_d \sin \varphi_{\text{st}} &= 0, \\ \omega(I_{\text{st}}) - \frac{1}{2} \frac{\partial A_1(I)}{\partial I} \Big|_{I_{\text{st}}} F_d \cos \varphi_{\text{st}} &= \omega_d, \end{aligned} \quad (\text{D1})$$

where  $A_1(I)$  is the amplitude of the term in the periodic function  $q(I, \phi)$  that oscillates like  $\cos \phi$ , i.e., the term  $q^{(1)} = A_1(I) \cos \phi$  in the expansion  $q = \sum_n A_n(I) \cos n\phi$ . It follows from Eq. (D1) that

$$\left( \frac{4\Gamma I_{\text{st}}}{A_1(I_{\text{st}}) F_d} \right)^2 + 4 \left[ \frac{\omega(I_{\text{st}}) - \omega_d}{\frac{\partial A_1(I)}{\partial I} \Big|_{I_{\text{st}}} F_d} \right]^2 = 1. \quad (\text{D2})$$

We have used that  $p \overline{\partial_I q} = 0$ , as well as  $\overline{p q} = 0$ , since the function  $q(I, \phi)$  is even in  $\phi$  whereas the function  $p(I, \phi)$  is odd.

The action  $I$  is a function of energy,  $I \equiv I(E)$ . This function is monotonic and invertible. Therefore we can rewrite Eq. (D2) as the equation for the energy of the stable state. We express the stationary equation as a function of the energy

$$\left( \frac{4\Gamma I_E(E_{\text{st}})}{A_{1E}(E_{\text{st}}) F_d} \right)^2 + \left( \frac{\omega_E(E_{\text{st}}) - \omega_d}{\frac{1}{2} \omega_E(E_{\text{st}}) \frac{\partial A_{1E}(E)}{\partial E} \Big|_{E_{\text{st}}} F_d} \right)^2 = 1, \quad (\text{D3})$$

Here we use the subscript  $E$  to indicate that the corresponding parameter is considered as a function of energy  $E$ , not action  $I$ .

The turning points  $q_L$  and  $q_R$  for the motion in the single-well potential  $U(q)$  with energy  $E$  are given by the

two real roots of the quartic equation

$$E = \frac{1}{2} \omega_0^2 q_\nu^2 + \frac{1}{3} \beta q_\nu^3 + \frac{1}{4} \gamma q_\nu^4, \quad \nu = L, R, \quad (\text{D4})$$

with  $q_R > 0$  and  $q_L < 0$ . The other two complex conjugate roots are denoted as  $q_3 \pm iq_4$ . Then we introduce the two coefficients  $B_\nu$  with  $\nu = L, R$  given by

$$B_\nu = |q_\nu - q_3 - iq_4|. \quad (\text{D5})$$

and the parameter

$$k = \sqrt{\frac{(q_R - q_L)^2 - (B_R - B_L)^2}{4B_R B_L}}. \quad (\text{D6})$$

Then the frequency  $\omega_E(E)$  is given by

$$\omega_E(E) = \frac{\pi}{2} \sqrt{\frac{\gamma}{2} \frac{\sqrt{B_L B_R}}{K}}, \quad (\text{D7})$$

with the complete elliptic integral of the first kind  $K = K[k]$ . The exact solution of the motion at given energy  $E$  reads

$$\begin{aligned} q_E(\tau) &= \frac{B_R q_L - B_L q_R}{B_R - B_L} \\ &+ \frac{2B_R B_L}{(B_R - B_L)^2} \frac{q_R - q_L}{\frac{B_R + B_L}{B_R - B_L} + \text{cn}_k(\tau)}. \end{aligned} \quad (\text{D8})$$

with the scaled time  $\tau = \sqrt{(\gamma/2) B_L B_R} t$  and the Jacobi elliptic cosine function  $\text{cn}(\tau)$ . The Fourier components of the motions are

$$a_n = \frac{1}{4K} \int_0^{4K} d\tau q_E(\tau) e^{-in \frac{\pi}{2K} \tau}. \quad (\text{D9})$$

An example is shown in Fig. 11 in which we vary the energy  $E$  in a given range and plot parametrically the components  $A_{2E}(E) = 2|a_2|$ ,  $A_{3E}(E) = 2|a_3|$  and  $A_{4E}(E) = 2|a_4|$  as functions of the component  $A_{1E}(E) = 2|a_1|$ . We recall that, in the stationary state  $A_{1E}$  gives the amplitude of the signal at the drive frequency  $\omega_d$ , whereas  $A_{2E}$  gives the amplitude of the signal at frequency  $2\omega_d$ .

The action as a function of the energy is

$$I_E(E) = \frac{1}{2\pi} \oint dq \sqrt{2[E - U(q)]} = \frac{\omega(E)}{2\pi} \int_0^{2\pi} d\varphi \left( \frac{dq}{d\varphi} \right)^2, \quad (\text{D10})$$

$$= 2\omega_E(E) \sum_{n=1}^{\infty} n^2 |a_n|^2. \quad (\text{D11})$$

The explicit expression of the Fourier components  $a_n$  were calculated in [34] for the potential  $U_B(q)$  of Eq. (10).

For small energies counted off from the minimum of the potential one can approximate  $I_E(E) \approx E/\omega_0$  and  $A_{1E}(E) \approx \sqrt{2E/\omega_0^2}$  and expand the frequency  $\omega_E$  to the second order in  $E$ . Such an approximation works in

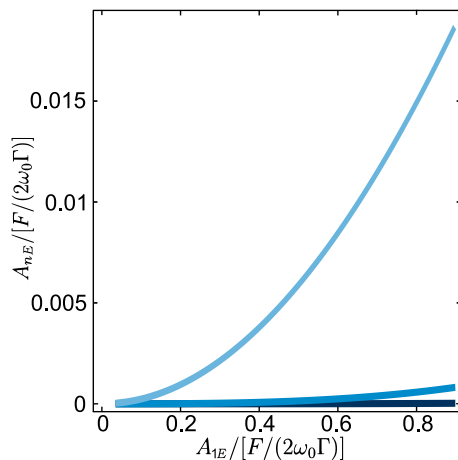


FIG. 11. Amplitudes  $A_{nE}$  of the overtones at frequencies  $n\omega_E$  with  $n = 2, 3, 4$ . The amplitudes are calculated from Eq. (D9) by varying the energy  $E$  and, respectively, the amplitude  $A_{1E}$  of the main tone. For convenience of the comparison with the experiment, the amplitudes are scaled by the drive-dependent factor, but in fact they are calculated from Eqs. (D8) and (D9) in the absence of driving. Shown are the amplitudes  $A_{2E} = 2|a_2|$  (blue),  $A_{3E} = 2|a_3|$  (mid blue), and  $A_{4E} = 2|a_4|$  (dark blue)

the case  $|\gamma_{\text{eff}}| \ll \gamma$ , where the linear in  $E$  term in  $\omega_E$  is comparatively small. One then obtains from Eq. (D3)

an explicit equation for the vibration amplitude in the stationary state  $A \equiv A_{1E}(E_{\text{st}})$

$$A^2 \left[ \Gamma^2 + \left( \omega_0 + \frac{3\gamma_{\text{eff}}}{8\omega_0} A^2 + \frac{\chi\gamma^2}{\omega_0^3} A^4 - \omega_d \right)^2 \right] \approx \frac{F_d^2}{4\omega_0^2}, \quad (\text{D12})$$

with the parameter

$$\chi = \frac{69}{256} \left[ \frac{102}{23} \left( 1 - \frac{\gamma_{\text{eff}}}{\gamma} \right) - \frac{171}{115} \left( 1 - \frac{\gamma_{\text{eff}}}{\gamma} \right)^2 - 1 \right]. \quad (\text{D13})$$

Within the range of the applied force and detuning, and for the parameters of the system studied in the experiments the solutions of Eq. (D12) accurately reproduce the solution of the full equation (D3).

We note that the standard RWA breaks down as soon as the term  $\propto A^4$  in the brackets in Eq. (D12) becomes relevant. This occurs when  $A^2 \sim |\gamma_{\text{eff}}|\omega_0^2/\gamma^2$  as stated in the main text. In the range of parameters studied in the experiment, Eq. (D12) can have one or three real solutions, as is the case also for the standard Duffing equation. However, the dependence of these solutions on the frequency of the drive is significantly different from that for the Duffing model.

- 
- [1] D. Cattiaux, I. Golokolenov, S. Kumar, M. Sillanpaa, L. M. de Lepinay, R. R. Gazizulin, X. Zhou, A. D. Armour, O. Bourgeois, A. Fefferman, and E. Collin, A macroscopic object passively cooled into its quantum ground state of motion, [arXiv \(2021\)](#).
- [2] M. Šiškins, S. Kurdi, M. Lee, B. J. M. Slotboom, W. Xing, S. Mañas-Valero, E. Coronado, S. Jia, W. Han, T. van der Sar, H. S. J. van der Zant, and P. G. Steeneken, Nanomechanical probing and strain tuning of the Curie temperature in suspended  $\text{Cr}_2\text{Ge}_2\text{Te}_6$  heterostructures, [arXiv \(2021\)](#).
- [3] S. Tepsic, G. Gruber, C. B. Møller, C. Magén, P. Belardinelli, E. R. Hernández, F. Alijani, P. Verlot, and A. Bachtold, Interrelation of elasticity and thermal bath in nanotube cantilevers, *Phys. Rev. Lett.* **126**, 175502 (2021).
- [4] A. Keşkekler, O. Shoshani, M. Lee, H. S. J. van der Zant, P. G. Steeneken, and F. Alijani, Tuning nonlinear damping in graphene nanoresonators by parametric-direct internal resonance, *Nat. Commun.* **12**, 1099 (2021).
- [5] A. B. Ari, M. S. Hanay, M. R. Paul, and K. L. Ekinci, Nanomechanical Measurement of the Brownian Force Noise in a Viscous Liquid, *Nano Lett.* **21**, 375 (2020).
- [6] G. S. MacCabe, H. Ren, J. Luo, J. D. Cohen, H. Zhou, A. Sipahigil, M. Mirhosseini, and O. Painter, Nanoacoustic resonator with ultralong phonon lifetime, *Science* **370**, 840 (2020).
- [7] A. H. Ghadimi, S. A. Fedorov, N. J. Engelsens, M. J. Beryhi, R. Schilling, D. J. Wilson, and T. J. Kippenberg, Elastic Strain Engineering for Ultra-Low Mechanical Dissipation, *Science* **360**, 764 (2018).
- [8] J. S. Aldridge and A. N. Cleland, Noise-Enabled Precision Measurements of a Duffing Nanomechanical Resonator, *Phys Rev Lett* **94**, 156403 (2005).
- [9] H. B. Chan, M. I. Dykman, and C. Stambaugh, Paths of Fluctuation Induced Switching, *Phys Rev Lett* **100**, 130602 (2008).
- [10] E. Segev, B. Abdo, O. Shtempluck, and E. Buks, Stochastic Resonance with a Single Metastable State: Thermal Instability in NbN Superconducting Stripline Resonators, *Phys Rev B* **77**, 012501 (2008).
- [11] M. Defoort, V. Puller, O. Bourgeois, F. Pistolesi, and E. Collin, Scaling Laws for the Bifurcation Escape Rate in a Nanomechanical Resonator, *Phys Rev E* **92**, 050903 (2015).
- [12] R. J. Dolleman, P. Belardinelli, S. Hourri, H. S. J. van der Zant, F. Alijani, and P. G. Steeneken, High-Frequency Stochastic Switching of Graphene Resonators Near Room Temperature, *Nano Lett* **19**, 1282 (2019).
- [13] I. Kozinsky, H. W. C. Postma, O. Kogan, A. Husain, and M. L. Roukes, Basins of Attraction of a Nonlinear Nanomechanical Resonator, *Phys Rev Lett* **99**, 207201 (2007).
- [14] L. D. Landau and E. M. Lifshitz, *Mechanics*, 3rd ed. (Elsevier, Amsterdam, 2004).
- [15] N. N. Bogoliubov and Y. A. Mitropolsky, *Asymptotic Methods in the Theory of Non-Linear Oscillations* (Gordon and Breach, Inc., New York, 1961).

- [16] L. Mandel and E. Wolf, *Optical Coherence and Quantum Optics* (Cambridge University Press, Cambridge, 1995).
- [17] A. Eichler, J. Moser, M. I. Dykman, and A. Bachtold, Symmetry Breaking in a Mechanical Resonator Made from a Carbon Nanotube, *Nat Commun* **4**, 2843 (2013).
- [18] S. Schmid, L. G. Villanueva, and M. L. Roukes, *Fundamentals of Nanomechanical Resonators* (Springer, Switzerland, 2016).
- [19] Q. P. Unterreithmeier, E. M. Weig, and J. P. Kotthaus, Universal Transduction Scheme for Nanomechanical Systems Based on Dielectric Forces, *Nature* **458**, 1001 (2009).
- [20] The onset of vibrations peak at twice the drive frequency has a counterpart at zero frequency, which was studied for nanoresonators in Ref. [17].
- [21] K. Asadi, J. Yeom, and H. Cho, Strong internal resonance in a nonlinear, asymmetric microbeam resonator, *Microsyst. Nanoeng.* **7**, 1 (2021).
- [22] V. I. Arnold, *Mathematical Methods of Classical Mechanics* (Springer, New York, 1989).
- [23] J. Rieger, T. Faust, M. J. Seitner, J. P. Kotthaus, and E. M. Weig, Frequency and q factor control of nanomechanical resonators, *Applied Physics Letters* **101**, 103110 (2012).
- [24] Q. P. Unterreithmeier, E. M. Weig, and J. P. Kotthaus, Universal transduction scheme for nanomechanical systems based on dielectric forces, *Nature* **458**, 1001 (2009).
- [25] T. Faust, P. Krenn, S. Manus, J. Kotthaus, and E. Weig, Microwave cavity-enhanced transduction for plug and play nanomechanics at room temperature, *Nature Communications* **3**, 10.1038/ncomms1723 (2012).
- [26] J. S. Huber, G. Rastelli, M. J. Seitner, J. Kölbl, W. Belzig, M. I. Dykman, and E. M. Weig, Spectral Evidence of Squeezing of a Weakly Damped Driven Nanomechanical Mode, *Phys. Rev. X* **10**, 021066 (2020).
- [27] F. Benedettini and G. Rega, Non-linear dynamics of an elastic cable under planar excitation, *International Journal of Non-Linear Mechanics* **22**, 497 (1987).
- [28] M. I. Dykman, R. Mannella, P. V. E. McClintock, S. M. Soskin, and N. G. Stocks, Noise-Induced Narrowing of Peaks in the Power Spectra of Underdamped Nonlinear Oscillators, *Phys Rev A* **42**, 7041 (1990).
- [29] S. M. Soskin, R. Mannella, and P. V. E. McClintock, Zero-Dispersion Phenomena in Oscillatory Systems, *Phys Rep* **373**, 247 (2003).
- [30] M. I. Dykman and M. A. Krivoglaz, Theory of Fluctuational Transitions between the Stable States of a Non-Linear Oscillator, *Zh Eksp Teor Fiz* **77**, 60 (1979).
- [31] M. I. Dykman, I. B. Schwartz, and M. Shapiro, Scaling in Activated Escape of Underdamped Systems, *Phys Rev E* **72**, 021102 (2005).
- [32] K. Gajo, G. Rastelli, and E. M. Weig, Tuning the nonlinear dispersive coupling of nanomechanical string resonators, *Physical Review B* **101**, 10.1103/PhysRevB.101.075420 (2020).
- [33] L. Huang, S. M. Soskin, I. A. Khovanov, R. Mannella, K. Ninios, and H. B. Chan, Frequency stabilization and noise-induced spectral narrowing in resonators with zero dispersion, *Nat. Commun.* **10**, 3930 (2019).
- [34] M. I. Dykman, R. Mannella, P. V. E. McClintock, S. M. Soskin, and N. G. Stocks, Zero-Frequency Spectral Peaks of Underdamped Nonlinear Oscillators with Asymmetric Potentials, *Phys Rev A* **43**, 1701 (1991).