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# Electronic Structure of Chromium Trihalides beyond Density Functional Theory

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We explore the electronic band structure of free standing monolayers of chromium trihalides,  $\text{CrX}_3$ ,  $\text{X} = \text{Cl}, \text{Br}, \text{I}$ , within an advanced *ab-initio* theoretical approach based in the use of Green's function functionals. We compare the local density approximation with the quasi-particle self-consistent  $\text{GW}$  approximation ( $\text{QSGW}$ ) and its self-consistent extension ( $\text{QSG}\widehat{\text{W}}$ ) by solving the particle-hole ladder Bethe-Salpeter equations to improve the effective interaction  $W$ . We show that at all levels of theory, the valence band consistently changes shape in the sequence  $\text{ClBrI}$ , and the valence band maximum shifts from the M point to the  $\Gamma$  point. By analysing the dynamic and momentum dependent self energy we show that  $\text{QSG}\widehat{\text{W}}$  adds to the localization of the systems in comparison to  $\text{QSGW}$  thereby leading to a narrower band and reduced amount of halogens in the valence band manifold. **Further analysis shows that  $\text{X}=\text{Cl}$  is most strongly correlated and  $\text{X}=\text{I}$  is least correlated (most 'band-like') as the hybridization between  $\text{Cr}-d$  and  $\text{X}-p$  enhances in the direction  $\text{ClBrI}$ . For  $\text{CrBr}_3$  and  $\text{CrI}_3$  we observe remarkable differences between the  $\text{QSGW}$  and  $\text{QSG}\widehat{\text{W}}$  valence band structures, while their eigenfunctions are very similar. We show that weak perturbations, like moderate strain, weak changes to the  $d-p$  hybridization, and adding small  $U$ , can flip the valence band structures between these two solutions in these materials.**

With the discovery of ferromagnetic order in  $\text{CrI}_3$ , the family of chromium trihalides  $\text{CrX}_3$ ,  $\text{X}=\text{Cl}, \text{Br}, \text{I}$ , has emerged as a new class of magnetic 2D crystals. Ferromagnetism (FM) in a monolayer  $\text{CrI}_3$  was first reported in 2017,<sup>1,2</sup> which was followed by observation of FM in  $\text{CrBr}_3$ ,<sup>3,4</sup>  $\text{CrCl}_3$ <sup>5</sup> and many other compounds.<sup>6–10</sup> FM is intrinsic to these system, which distinguishes them from traditional 2D *sp*-electron magnets where magnetism is induced by proximity to a FM substrate. Long range-order is typically suppressed in two-dimensional magnets,<sup>11</sup> but it can be stabilized by magneto-crystalline anisotropy, which opens an energy gap in the magnon spectra and therefore protects the FM in two dimensions.<sup>12,13</sup> Due to their layered structure and their weak inter-layer van-der-Waals interactions these systems are loosely coupled to their substrates, which provides greater flexibility in functionalizing them and controlling their properties, e.g. by varying the layer-number or by applying a gate voltage. This offers new possibilities to make spintronic devices with high accuracy and efficiency.<sup>2,14–22</sup>

$\text{CrX}_3$  is a two-dimensional FM insulator with FM originating from the  $\text{Cr-X-Cr}$  superexchange interaction.<sup>13,23–25</sup> Six  $\text{Cr}^{3+}$  ions form a honeycomb structure with  $D_{3d}$  point group symmetry and each Cr is surrounded by six X in an octahedral geometry (see Fig. 1). The edge-sharing geometry leads to first neighbor Cr atoms sharing a pair of ligands. This enables pathways for  $\text{Cr-X-Cr}$  super-exchange. In this crystal field geometry, the  $\text{Cr } d$  splits into a  $t_{2g}$  triplet and an  $e_g$  doublet.  $\text{Cr}^{3+}$  has a valence of three electrons, which fill the  $t_{2g}$

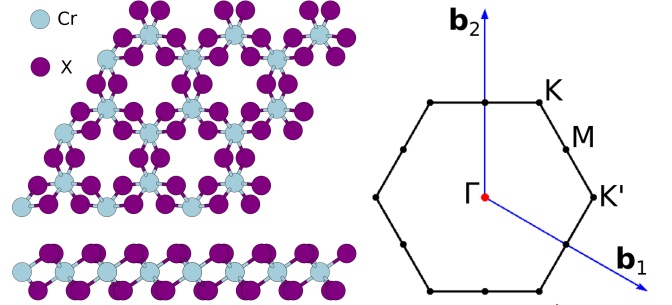


Figure 1. Left: Ball-and-stick model of the crystal structure of monolayer chromium trihalides  $\text{CrX}_3$  ( $\text{X}=\text{Cl}, \text{Br}, \text{I}$ ). Right: Brillouin zone of the corresponding hexagonal lattice with the high-symmetry points indicated.  $\mathbf{b}_1$  and  $\mathbf{b}_2$  denote reciprocal lattice vectors.

majority-spin band according to Hund's first rule, leaving all other  $d$  bands empty. The ionic model leads to the magnetic moment on each  $\text{Cr}^{3+}$  ion of  $\sim 3\mu_B$  which is confirmed by *ab-initio* calculations. All three  $\text{CrX}_3$  compounds have FM order down to the monolayer with Curie temperatures  $T_I = 45 \text{ K}$ ,<sup>1</sup>  $T_{Br} = 34 \text{ K}$ <sup>3</sup> and  $T_{Cl} = 17 \text{ K}$ <sup>5</sup> and the magnetization easy axis is normal to the plane for  $\text{CrI}_3$  and  $\text{CrBr}_3$  while it is in plane for  $\text{CrCl}_3$ .

Recent Density Functional Theory (LDA) calculations<sup>26–28</sup> confirm the qualitative understandings derived from the ionic model. However, at quantitative level details start to differ from the fully ionic picture; one important such factor is the degree of hybridization of

the  $t_{2g}$  levels with the  $p$  bands of the ligands. This degree of hybridization depends on the ligand, its atomic weight and the number of core levels, which turns out to be a crucially important factor in determining the detailed electronic band structure. This is the main focus of the present paper which we carefully analyze on different levels of theory beyond conventional density functional theory. Our self-consistent  $GW$  (QSGW) and  $BSE$  (QSGW $\widehat{W}$ ) implementations are independent of the starting point and, hence, allow us to study the roles of self-consistent charge densities and self-energies in determining the key features of the electronic structures at different levels of the theory. Within our fully self-consistent diagrammatic many-body perturbative first-principles approach the electronic eigenfunctions keep changing with additional diagrams and across the three different materials, depending on the nature of the ligand.

### Molecular Picture

Within the local-density approximation (LDA), we find the spin-polarized bandgaps of the three systems to be, 1.51 eV, 1.30 eV and 1.20 eV for X=(Cl, Br, I) respectively, in line with prior work.<sup>26</sup> The qualitative trend is easily understood in terms of the splitting between Cr  $d$  and X  $p$  atomic levels. In the simplest two-level tight-binding description, the conduction and valence levels are given by  $(\varepsilon_d + \varepsilon_p)/2 \pm \sqrt{((\varepsilon_d - \varepsilon_p)/2)^2 + v^2}$ , where  $\varepsilon_d$  and  $\varepsilon_p$  are respectively the Cr  $t_{2g}$   $d$  and X  $p$  atomic levels and  $v$  the hybridization matrix element. This results in a gap  $E_g = \varepsilon_d - \varepsilon_p + 2v^2/(\varepsilon_d - \varepsilon_p)$  to the lowest order in  $v/(\varepsilon_d - \varepsilon_p)$ . Among all three halides Iodine has the most core levels resulting in its  $p$  levels having the most nodes which thus sense the attractive nucleus most weakly. It has the shallowest  $\varepsilon_p$  of the three halogens, while Cl has the deepest. Thus, the qualitative trend in the bandgap is simply understood as following from the halide  $\varepsilon_p$  energies relative to the Cr  $\varepsilon_d$ . As a slight elaboration on this picture that includes magnetism, we can distinguish between the majority ( $t_{2g}^\uparrow$ ) and minority ( $t_{2g}^\downarrow$ ) Cr  $d$  levels. For the bandgap, the picture just sketched corresponds to the  $(\varepsilon_d^\downarrow - \varepsilon_p)$  bond. A similar picture applies to the  $\varepsilon_d^\uparrow - \varepsilon_p$  bond, but in this channel both bond and antibond are occupied, and moreover  $\varepsilon_d^\uparrow - \varepsilon_p$  need not be large in comparison to  $v$ . Indeed the Cr  $t_{2g}^\uparrow$  and X  $p$  levels may overlap.

### Energy band picture

The molecular picture qualitatively explains the trends in the bandgap and the admixture of X  $p$  in the highest valence states in the sequence ClBrI. However, in the 2D crystal, the molecular levels broaden into bands which can alter the trends in both the bandgap and the merging of X  $p$  with Cr  $t_{2g}^\uparrow$  in the valence bands. The corresponding orbital resolved density of states are shown in Fig. 2. The X  $p$  level becomes more shallow, and the highest lying valence band acquires increasing anion character as

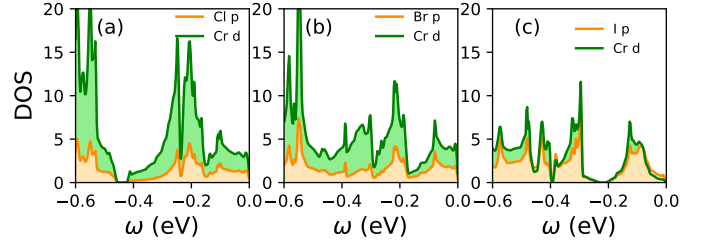


Figure 2. Partial Density of states within the LDA, projected onto the Cr- $d$  and X- $p$  states for (a) X=Cl, (b) Br and (c) I.

theory	ml-bandgap (eV)			ml-spectral weight			bulk bandgap (eV)		
	CrCl <sub>3</sub>	CrBr <sub>3</sub>	CrI <sub>3</sub>	CrCl <sub>3</sub>	CrBr <sub>3</sub>	CrI <sub>3</sub>	CrCl <sub>3</sub>	CrBr <sub>3</sub>	CrI <sub>3</sub>
LDA	1.51	1.30	1.06	21%	26%	42%	1.38	1.2	0.91
QSGW	6.87	5.73	3.25	40%	63%	81%	5.4	4.38	3.0
QSGW $\widehat{W}$	5.55	4.65	2.9	24%	31%	64%	4.4	3.5	2.5

Table I. One particle electronic band gap at different levels of theory (with spin-orbit coupling) for both bulk and monolayer variants. The gap increases from LDA to QSGW level. When ladder diagrams are added two-particle interactions via a BSE,  $W \rightarrow \widehat{W}$  and screening is increased. This reduces the QSGW bandgap. Right columns show fraction of spectral weight that the Halogen contributes to the total DOS within an energy window of occupied states  $(-0.6, 0)$  eV, relative to the valence band maximum. Bulk QSGW $\widehat{W}$  bandgaps are 20-25% smaller compared to their monolayer variants.

can be seen both in Table I and in Fig. 2. Spin-orbit coupling only slightly modifies the electronic structure for CrCl<sub>3</sub> and CrBr<sub>3</sub>, while for CrI<sub>3</sub> the bandgap reduces by 150 meV to 1.06 eV in the LDA.

However, as is typical of the LDA, the bandgaps are underestimated, and for CrX<sub>3</sub> the underestimation is severe. Accordingly, we study the electronic structure at three different levels of theory: the LDA, the Quasiparticle Self-Consistent  $GW$  approximation (QSGW),<sup>29,30</sup> and an extension of QSGW where the RPA approximation to the polarizability is extended by adding ladder diagrams (QSGW $\widehat{W}$ ).<sup>31,32</sup> The electronic dispersions and corresponding DOS are shown in Figs. 3,4,5, for each level of theory and each material. In contrast to typical  $sp$  semiconductors, not only the bandgaps but also the valence band dispersions significantly change as the level of theory increases.

QSGW dramatically enhances the gaps relative to the LDA, as is standard in polar compounds.<sup>29</sup> Nevertheless, within the random phase approximation (RPA), it has long been known that  $W$  is universally too large,<sup>33,34</sup> and this is reflected in an underestimate of the static dielectric constant  $\epsilon_\infty$ . Empirically,  $\epsilon_\infty$  seems to be underestimated in QSGW by a nearly universal factor of 0.8, for a wide range of insulators.<sup>35,36</sup> Roughly speaking, at low energy  $W$  is universally too large by a factor  $1/0.8$ <sup>37</sup> and as a result, QSGW bandgaps are slightly overestimated.<sup>29</sup> This can be corrected by extending the RPA

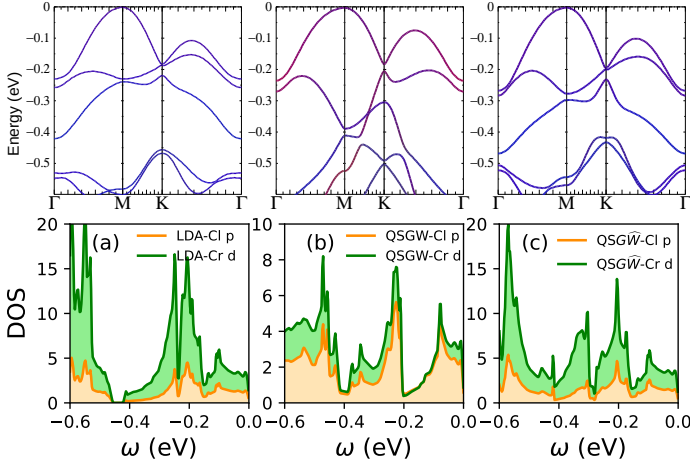


Figure 3.  $\text{CrCl}_3$  : From left to right: LDA, QSGW and  $\text{QSGW}^+$  valence band structures (with spin-orbit coupling) are shown respectively. The colors correspond to Cl- $p_x + p_y$  (red), Cl- $p_z$  (green), Cr-d (blue). In the bottom panels are shown the density of states projected onto the Cr-d and Cl-p states at different levels of the theory.

to introduce an electron-hole attraction in virtual excitations. These extra (ladder) diagrams are solved by a BSE, and they significantly improve on the optics, largely eliminating the discrepancy in  $\epsilon_\infty$ .<sup>31</sup> When ladders are also added to improve  $W$  in the  $GW$  cycle ( $W \rightarrow \widehat{W}$ ), it significantly improves the one-particle gap as well, as will be discussed elsewhere.<sup>32</sup> This scenario is played out in  $\text{CrX}_3$  compounds: QSGW bandgaps are slightly larger than  $\text{QSGW}^+$  bandgaps, as seen in Table I. We note that the  $\text{QSGW}^+$  band gaps for the bulk variants come out  $\sim 20\text{-}25\%$  smaller (see Table I) compared to their monolayer counterparts due to enhanced screening in the bulk, nevertheless, their involved band structural details remain similar.

Remarkably, the structure of the valence band is very sensitive to the level of theory used, which applies to both, the band energies and wave functions. Just for  $\text{CrCl}_3$  the valence band maximum is independent of the theory and is consistently pinned to the M point (Fig. 3). In the sequence  $\text{ClBrI}$ , there is an overall tendency for the valence band maximum to shift from the M point to the  $\Gamma$  point. In the LDA this transition occurs after Br and I, while in QSGW the valence band at  $\Gamma$  is above M already for Br.  $\text{QSGW}^+$  shows the same tendency as QSGW, but the change is less pronounced and the transition takes place between Br and I. This is a reflection of the softening effects of the ladder diagrams on  $W$ .

**Structure of valence bands:** To understand this curious renormalization of valence bands between different levels of the theory, we perform a series of parametric studies. The key point is the dramatic renormalization in the valence band structure between QSGW and  $\text{QSGW}^+$  for  $\text{CrBr}_3$  and  $\text{CrI}_3$ . For  $\text{CrBr}_3$  the valence band maximum

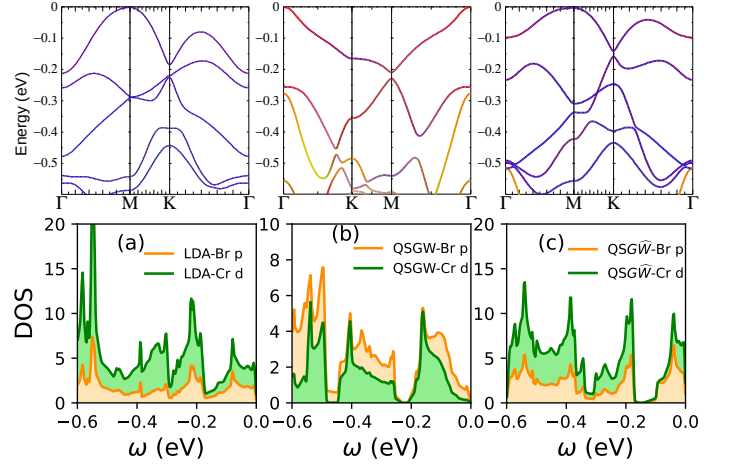


Figure 4.  $\text{CrBr}_3$  : From left to right: LDA, QSGW and  $\text{QSGW}^+$  valence band structures (with spin-orbit coupling) are shown respectively. The colors correspond to Br- $p_x + p_y$  (red), Br- $p_z$  (green), Cr-d (blue). In the bottom panels are shown the density of states projected onto the Cr-d and Br-p states at different levels of the theory. Structure of the top most valence band is similar within LDA and  $\text{QSGW}^+$ , but is different in QSGW. the  $\text{QSGW}^+$  top most valence band is much narrower in comparison to both  $\text{QSGW}^+$  and LDA and is split from the rest of the valence band manifold.

is at M in  $\text{QSGW}^+$  and at  $\Gamma$  in QSGW. Also the Br-p content is significantly enhanced in the valence bands in QSGW as can be seen in Fig. 4 (b), compared to  $\text{QSGW}^+$  (see Fig. 4 (c) and Table I). We perform  $\text{QSGW}^+$  calculations by a) applying volume conserving strains ( $\epsilon$ ) along (001), b) shifting the centre of mass of Cr-d up by 0.1 Ry ( $Cr + 0.1Ry$ ), c) shifting the centre of mass of Cr-d down by 0.1 Ry ( $Cr - 0.1Ry$ ), d) applying  $U=1$  eV on the Cr-d ( $Cr U = 1\text{eV}$ ). We see that the valence band structure does not have any dramatic qualitative change (see Fig. 6 (b)) in case of  $Cr + 0.1Ry$ . By shifting the Cr-d band centre up by 0.1 Ry, we effectively reduce the hybridization between Cr-d and X-p moderately. This can be observed in the color projection for the top most valence band as it becomes more blue (Cr-d orbitals) compared to Fig. 6 (a) which has significant red component (Br-p). However, the reverse,  $Cr - 0.1Ry$  hybridizes more the Cr-d and Br-p and the valence band (see Fig. 6 (c)) starts looking more similar to QSGW results (see Fig. 6 (f)). A similar situation emerges when tensile strain  $\epsilon=7\%$  is applied (see Fig. 6 (d)). However, we derive the most understanding when we  $U=1$  eV is applied on the Cr-d. Applying  $U$  shifts the Cr majority spin down and the minority spin up. The gap widens, becomes 5.10 eV compared to 4.65 eV without  $U$ , but at the same time increases hybridization with the Br-p. The valence band structure (see Fig. 6 (e)) looks almost entirely similar to the one from QSGW. In short, the self-consistent eigenfunctions from  $\text{QSGW}^+ + U$  is identical with QSGW. This

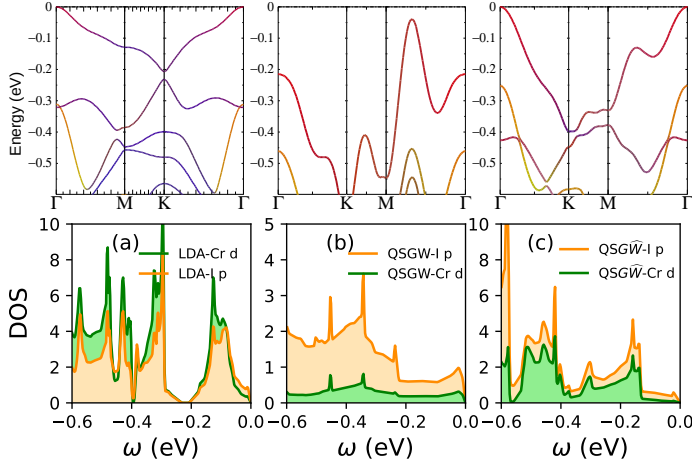


Figure 5.  $\text{CrI}_3$  : From left to right: LDA,  $\text{QSGW}$  and  $\text{QSGW}^\wedge$  valence band structures (with spin-orbit coupling) are shown respectively. The colors correspond to  $\text{I-}p_x + p_y$  (red),  $\text{I-}p_z$  (green),  $\text{Cr-d}$  (blue). In the bottom panels are shown the density of states projected onto the  $\text{Cr-d}$  and  $\text{I-p}$  states at different levels of the theory. Note that in the  $\text{QSGW}$  case, the valence band maximum is at a low-symmetry point not on the lines of the figure.

also establishes the fact that, albeit their apparent dissimilarities, the  $\text{QSGW}^\wedge$  and  $\text{QSGW}$  solutions are quite similar and it is possible to flip between the two by applying weak perturbations. This is intriguing as it implies that it would also be possible to dramatically alter the valence band structures of  $\text{CrX}_3$  in real world experiments with weak perturbations. We observe that the situation is similar in bulk as well, where for  $\text{CrBr}_3$  and  $\text{CrI}_3$  the valence band structure is qualitatively different between  $\text{QSGW}$  and  $\text{QSGW}^\wedge$ . Altogether they suggest that the source of this observation lies in the details of the  $W$  and not in the dimensionality of the materials. The fact that the  $\text{QSGW}^\wedge$  and  $\text{QSGW}$  are identical for  $\text{X}=\text{Cl}$  and most different in  $\text{X}=\text{I}$ , can now naturally be understood. In  $\text{X}=\text{I}$ , the  $\text{I-p}$  states are the shallowest compared to the  $\text{Cr-d}$  states and, hence, to change the valence band manifold by tweaking  $\text{Cr-d}$  and  $\text{I-p}$  hybridization is easy in  $\text{CrI}_3$ , while it's most difficult in  $\text{CrCl}_3$ .

Recent works implementing single-shot  $GW$ , with approximations different from  $\text{QSGW}$ , also finds the valence band maximum in  $\text{CrI}_3$  at  $\Gamma$ ,<sup>26,38</sup> which also seems to be confirmed by a recent ARPES study.<sup>39</sup> Two recent theoretical works report one-particle band-gaps of 2.23 eV and 1.57 eV respectively, from  $\text{QSGW}$ <sup>40</sup> and  $\text{QSGW}+\text{BSE}$ .<sup>41</sup> Lee et al.<sup>40</sup> uses a manually scaled  $\text{QSGW}$   $\Sigma$  to produce the gap of 2.23 eV. Note that their estimate for band gap is very similar to our bulk estimate of 2.5 eV and smaller than our monolayer estimate of 2.9 eV. Presumably their valence band structure from  $\text{QSGW}$  is different from ours, because of the manual scaling of  $\Sigma$ . For  $\text{CrI}_3$  Kutepov<sup>41</sup> includes vertex corrections both in

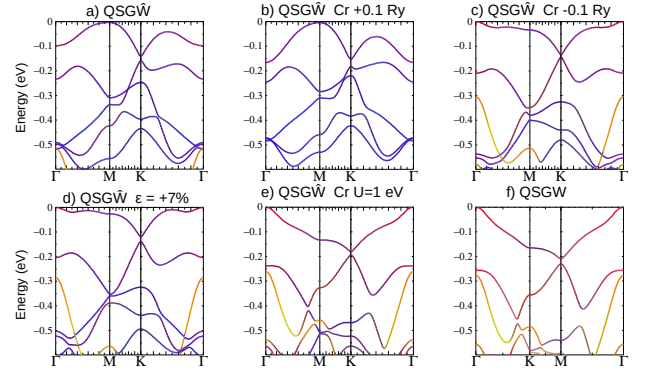


Figure 6.  $\text{CrBr}_3$  :  $\text{QSGW}^\wedge$  valence band structures (with spin-orbit coupling) are shown under different perturbations. Weak perturbations can modify the  $\text{Cr-d}$  and  $\text{Br-p}$  hybridization and qualitatively modify the valence band structure. a) and f) are the unperturbed  $\text{QSGW}^\wedge$  and  $\text{QSGW}$  valence band structures, b)  $\text{Cr} + 0.1\text{Ry}$  is the  $\text{QSGW}^\wedge$  solution after shifting the  $\text{Cr-d}$  band centre up by 0.1 Ry, c)  $\text{Cr} - 0.1\text{Ry}$  is the  $\text{QSGW}^\wedge$  solution after shifting the  $\text{Cr-d}$  band centre down by 0.1 Ry, d)  $\epsilon=7\%$  is  $\text{QSGW}^\wedge$  solution with 7% volume conserving strain along (001). e)  $\text{QSGW}^\wedge+U$  solution with  $U=1\text{ eV}$  on the  $\text{Cr-d}$ . The colors correspond to  $\text{I-}p_x + p_y$  (red),  $\text{I-}p_z$  (green),  $\text{Cr-d}$  (blue).

$W$  and  $\Sigma$  to produce the gap of 1.57 eV. This is a significant difference from our  $\text{QSGW}^\wedge$  implementation and we can expect the results to be different. Also as we discuss above in cases with  $\text{Cr} - 0.1\text{Ry}$  and  $\epsilon=7\%$ , the electronic gap reduces in both cases. One can expect this to be qualitatively the direction where the band gap approaches as the vertex is explicitly incorporated in  $\Sigma$ , in the spirit of the smaller band gap that Kutepov find from his implementation. However, it is not clear from these works<sup>40,41</sup> whether the vacuum corrections were incorporated or not. Vacuum corrections in our method lead to enhancement in band gap by  $\sim 0.3\text{-}0.4\text{ eV}$ . Hence, a rigorous comparison of the absolute value of the band-gap against our findings is difficult. In another work, Wu et al.<sup>42</sup> achieves one-particle band gap of 3.8 eV in  $\text{G}_0\text{W}_0$  in  $\text{CrBr}_3$ . They use a  $\text{LSDA}+U$  starting mean-field potential with choices of  $U=1.5\text{ eV}$  and  $J=0.5\text{ eV}$  for their one-shot  $\text{G}_0\text{W}_0$ .  $\text{G}_0\text{W}_0$  only updates the  $\text{LSDA}+U$  eigenvalues but does not change the eigenfunctions. Also our implementation being fully self-consistent and starting-point-independent, we don't have any free parameters like  $U$  and/or  $J$ . In a separate work, Molina et al.<sup>26</sup> employs  $\text{G}_0\text{W}_0$  with  $\text{LSDA}+U$  starting parameters that are completely consistent with the work by Wu et al.<sup>42</sup> However, Molina et al. achieves a band gap of 4.45 eV for  $\text{CrBr}_3$ .  $\text{G}_0\text{W}_0$  is known to produce smaller band gaps compared to  $\text{QSGW}$ .  $\text{QSGW}$  systematically produces larger band gaps since  $W$  gets under-screened via self-consistency, which is corrected as ladder diagrams are incorporated in  $W$ . This we can see as our estimate for



theory	CrCl <sub>3</sub>		CrBr <sub>3</sub>		CrI <sub>3</sub>	
	$m_x$	$m_y$	$m_x$	$m_y$	$m_x$	$m_y$
LDA	1.9	3.6	2.0	5.2	1.2	1.2
QSGW	2.3	5.5	$\infty$	-1.3	1.4	1.4
QSGW $\widehat{W}$	2.1	4.2	3.2	$\infty$	0.57	0.57

Table II. Effective masses  $m^*/m_0$  at the M point (as shown in Fig. 1) for CrCl<sub>3</sub> and CrBr<sub>3</sub>, and at the  $\Gamma$  point for CrI<sub>3</sub>, for three levels of approximation. These  $k$  points correspond to the valence band maximum except for CrBr<sub>3</sub> in the QSGW approximation (see Fig. 5).  $m_x$  and  $m_y$  correspond to orientations perpendicular and parallel to the  $\Gamma$ -M line, respectively.  $\infty$  is a shorthand for an effective mass larger than  $10m_0$ .

QSGW $\widehat{W}$  band gap of 4.65 eV is more closer to the  $G_0W_0$  estimate of 4.45 eV by Molina et al. Note that in bulk variants, we achieve band gaps which are  $\sim 20\%$  smaller in all cases compared to their monolayer variants. Again in both the works from Wu et al. and Molina et al. we don't find any comments on the vacuum corrections. In CrBr<sub>3</sub>, in absence of the vacuum corrections, we achieve a gap of 4.26 eV from our QSGW $\widehat{W}$  implementation which is much closer to the estimates from the works that uses  $G_0W_0$ .

To further understand these remarkable changes in the valence band structures, we analyse the electronic eigenfunctions from different levels of the theory. Even though the QSGW $\widehat{W}$  band structure more closely resembles LDA than QSGW, the eigenfunctions do not. This can be seen by inspecting the square of the wave function,  $|\psi|^2$ , corresponding to the highest-lying state at the M point (Fig. 7). The density is plotted in real space, and the abscissa and ordinate are defined by the the inverse transpose of the  $2 \times 2$  matrix composed of  $\mathbf{b}_1$  and  $\mathbf{b}_2$  of Fig. 1. Throughout this paper  $x$  and  $y$  are defined by aligning  $\mathbf{b}_2$  parallel to  $y$ . In this notation the M point is on the  $\mathbf{b}_2$  line, or the  $y$  axis. Contour plots in two planes are shown, passing through Cr and Br, respectively. At the LDA level (top panels), the wave function resembles an atomic  $d_{xy}$  state centered at each Cr nucleus. In the Br plane some Br  $p$  character is evident, and the bond is partially directed along  $x$ . The middle panels depict the change in  $|\psi|^2$  when passing from LDA to QSGW. Two effects are prominent: first there is a transfer of spectral weight from Cr to Br (mostly green contours on Cr, red on Br) as also noted in Table I. Second, the bonding becomes more directional, forming one-dimensional chains along  $x$ . This reflects an enhancement of the Cr-Cr coupling mediated through the Br. It is especially apparent in the Br plane, but it is also reflected in the asymmetry between the  $x$  and  $y$  directions in the Cr plane. The bottom two panels show the change in  $|\psi|^2$  when passing from QSGW to QSGW $\widehat{W}$ . QSGW $\widehat{W}$  seems to undo some effect of QSGW, although the changes remain moderate. This suggests that the QSGW and QSGW $\widehat{W}$  eigenfunctions are not significantly different.

The directionality in the wave function is also reflected

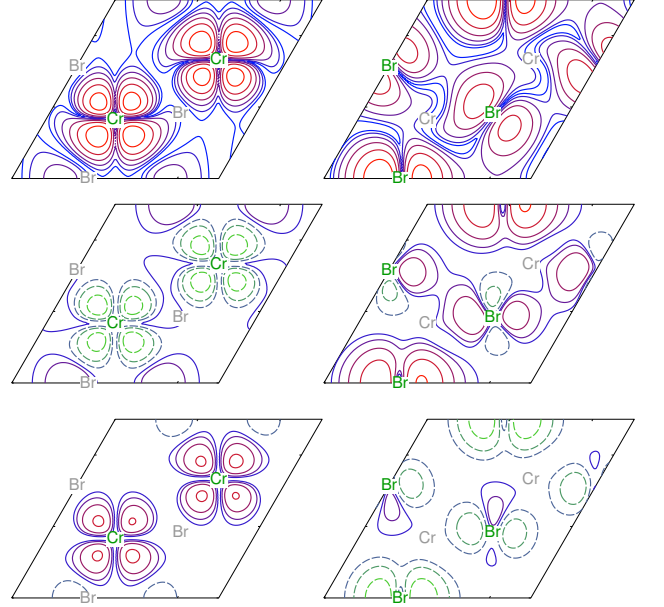


Figure 7. CrBr<sub>3</sub> : Square of wave function  $\psi$ , in real space, of the highest valence band state at the M point. All the left panels pass through a Cr plane and right panels pass through a Br plane. Cr and Br site positions are labelled in green in the plane where they reside, and gray when they lie in a different plane. Top panels display constant-amplitude contours for LDA eigenfunctions. Contours are taken in half-decade increments in  $|\psi|^2$ , with a factor of 300 between highest contour (red) and lowest (blue). In the Cr plane, the atomic  $d_{xy}$  character centered at Cr nuclei stand in sharp relief; in the Br plane the Br atomic  $p$  character is evident. Middle panels show the change in  $|\psi|^2$  passing from LDA to QSGW eigenfunctions; bottom panels show the corresponding change passing from QSGW to QSGW $\widehat{W}$  eigenfunctions. In the bottom four panels, blue/red has a similar meaning as in the top panels (increasing positive  $\delta|\psi|^2$ ), while contours of negative  $\delta|\psi|^2$  are depicted by increasing strength in the change blue/red. Note that the color patterns in the middle panels and bottom panels are mirror images. As a consequence of the softening in  $W$  in the change  $W \rightarrow \widehat{W}$ , the shift in density LDA  $\rightarrow$  QSGW is partially reversed by the addition of ladder diagrams.

in strong anisotropy in the valence band mass at the point M, particularly in CrBr<sub>3</sub> (see Table. II). By symmetry, there is no anisotropy at the  $\Gamma$  point, but at M it becomes quite pronounced at the highest level of theory.

Finally, we analyze the dynamic and momentum dependent self energies  $\Sigma(k, \omega)$  from QSGW and QSGW $\widehat{W}$  to further understand the changes in the valence band structure at different levels of theory. We observe that the  $\Sigma$  of the top most valence band has very weak dependence on momentum. The momentum dependence is even weaker in the down spin channel, that is unoccupied. For the up spin channel the momentum dispersion is very similar both in QSGW and QSGW $\widehat{W}$ . We extract the quasi-particle renormalization ( $Z_k$ ) factors from

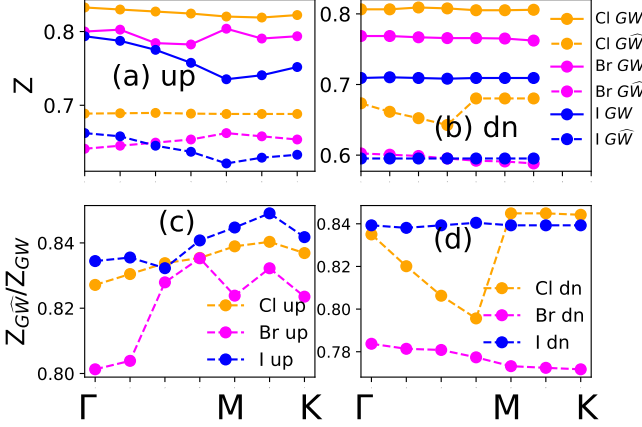


Figure 8.  $\text{CrX}_3$  : Real part of  $\Sigma(k, \omega)$  is analyzed to extract the quasi-particle renormalization factor  $Z_k$  from  $\text{QSGW}$  and  $\text{QSG}\widehat{W}$ . (a) and (b) show the weak momentum dependence of the  $Z_k$  for the top most valence band at the quasi-particle peaks at the  $k$  points close along the high symmetry directions of the first Brillouin zone for the up and down spin sectors respectively. (c) and (d) show the relative suppression of the  $Z_k$  factor in  $\text{QSG}\widehat{W}$ , compared to  $\text{QSGW}$  for the up and down spin sectors respectively.

$\Sigma(k, \omega)$  at the quasi-particle energies for the top most valence band. We observe that  $Z_k$  reduces by  $\sim 20\%$  within  $\text{QSG}\widehat{W}$  in comparison to  $\text{QSGW}$ . This suggests that the quasi-particles become further localized at the  $\text{QSG}\widehat{W}$  level, in comparison to the  $\text{QSGW}$ . This goes along with charge density that is weakly put back on the atoms at the  $\text{QSG}\widehat{W}$  level.

### Conclusions

We analyze in detail the electronic band structure of  $\text{CrX}_3$  within different levels of an *ab initio* theory. The results were interpreted in terms of a simplified tight-binding model to elucidate the trends in ClBrI, in particular the bandgap and the orbital character of the valence band. Many-body effects both enhance the bandgap, and make the valence band eigenfunctions more directional. We also showed that addition of ladder diagrams to improve  $W$  increases the screening, thus softening the many body corrections to LDA. Further we quantify the momentum dependence of the self-energies at different levels of the theory and show explicitly how excitonic correlations lead to re-normalization of the electronic bands and localization of charges. As the hybridization between Cr-*d* and X-*p* enhances in the direction ClBrI, the systems become more ‘band-like’ with lesser electronic correlations. In the same sequence, as the X-*p* states become shallower with respect to the Cr-*d* states, we show explicitly that weak perturbations can significantly modify the hybridization and thereby

leading to qualitative changes in the valence band features. Summarily, we show how a starting point independent implementation of  $GW$  and  $BSE$  leads to changes in electronic band energies and wavefunctions via complicated interplay between charge and self-energy self-consistencies in  $\text{CrX}_3$ .

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### Appendix A: Numerical Details

Single particle calculations (LDA, and energy band calculations with the static quasiparticlized  $\text{QSGW}$  self-energy  $\Sigma^0(k)$ ) were performed on a  $16 \times 16 \times 1$   $k$ -mesh while the (relatively smooth) dynamical self-energy  $\Sigma(k)$  was constructed using a  $6 \times 6 \times 1$   $k$ -mesh and  $\Sigma^0(k)$  extracted from it. For each iteration in the  $\text{QSGW}$  self-consistency cycle, the charge density was made self-consistent. The  $\text{QSGW}$  cycle was iterated until the RMS change in  $\Sigma^0$  reached  $10^{-5}$  Ry. Thus the calculation was self-consistent in both  $\Sigma^0(k)$  and the density. Numerous checks were made to verify that the self-consistent  $\Sigma^0(k)$  was independent of starting point, for both  $\text{QSGW}$  and  $\text{QSG}\widehat{W}$  calculations; e.g. using LDA or Hartree-Fock self-energy as the initial self energy for  $\text{QSGW}$  and using LDA or  $\text{QSGW}$  as the initial self-energy for  $\text{QSG}\widehat{W}$ .

For the present work, the electron-hole two-particle correlations are incorporated within a self-consistent ladder-BSE implementation<sup>31,32</sup> with Tamm-Dancoff approximation.<sup>43,44</sup> The effective interaction  $W$  is calculated with ladder-BSE corrections and the self energy, using a static vertex in the BSE.  $G$  and  $W$  are updated iteratively until all of them converge and this is what we call  $\text{QSG}\widehat{W}$ . Ladders increase the screening of  $W$ , reducing the gap besides softening the LDA $\text{QSGW}$  corrections noted for the valence bands.

For all materials, we checked the convergence in the  $\text{QSG}\widehat{W}$  band gap by increasing the size of the two-particle Hamiltonian. We increase the number of valence and conduction states that are included in the two-particle Hamiltonian. We observe that for all materials the  $\text{QSG}\widehat{W}$  band gap stops changing once 24 valence and 24 conduction states are included in the two-particle Hamiltonian. While the gap is most sensitive to the number of valence states, 14 conducting states produces re-

variants	CrCl <sub>3</sub>	CrBr <sub>3</sub>	CrI <sub>3</sub>
LDA	4.23	4.44	4.66
QSGW	4.08	4.3	4.66
BSE	4.11	4.35	4.64

Table III. Shown are the Cr-d occupancies.

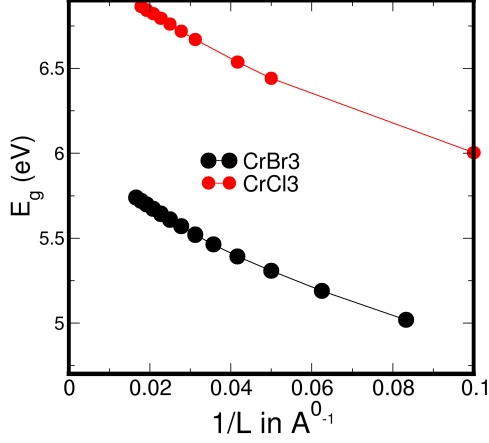


Figure 9. CrBr<sub>3</sub> and CrCl<sub>3</sub> : Scaling of the one particle band gap from QSGW with vacuum size  $L$ .

sults within 2% error of the converged results from 24 conduction states.

In Tab. III we list the Cr-d occupancies for different materials at different levels of the theory.

## Appendix B: Vacuum Distance Scaling

Periodic boundary conditions were used in all directions, introducing an unwanted coupling between CrBr<sub>3</sub> slabs. To minimize this coupling a vacuum of length  $L$  was inserted between slabs, and  $L$  was varied.

As is well known, the QSGW is known to fix the infamous ‘gap problem’<sup>45</sup> in insulators, we observe that the band gap increases significantly in all three compounds within QSGW; 6.87 eV in Cl, 5.73 eV in Br and 3.25 eV in I (see I). We change the vacuum length from 10 Å to 80 Å, and observe the scaling of the band gap with vacuum size ( $L$ ). We observe an almost perfect  $1/L$  scaling (see Fig. 9) of the gap as noted earlier in a separate work on V<sub>2</sub>O<sub>5</sub>.<sup>46</sup> This also allows us to check the dielectric constant ( $\epsilon_\infty$ ) and its vacuum correction. In the limit of a purely free standing monolayer all three directional components of the macroscopic dielectric response in the static limit approaches 1, suggesting the absence of screening. We use this vacuum length (60 Å) for the rest of the discussions in the present work.

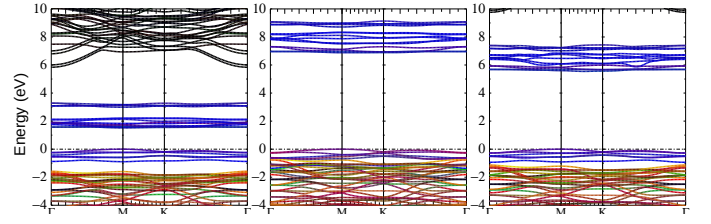


Figure 10. CrCl<sub>3</sub> : The colors correspond to Cl- $p_x + p_y$  (red), Cl  $p_z$  (green), Cr-d (blue) (From left to right: LDA, QSGW and QSGW respectively).

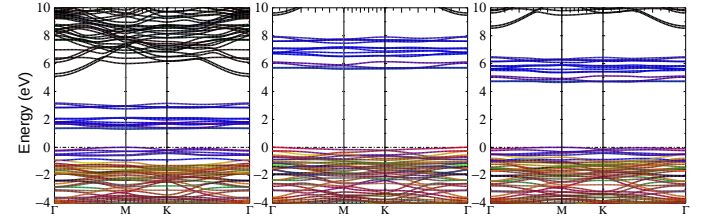


Figure 11. CrBr<sub>3</sub> : The colors correspond to Br- $p_x + p_y$  (red), Br  $p_z$  (green), Cr-d (blue) (From left to right: LDA, QSGW and QSGW respectively).

## Appendix C: Full Band Structures

In Fig. 10, Fig. 11, Fig. 12 we show the band structures for all materials over larger energy windows.

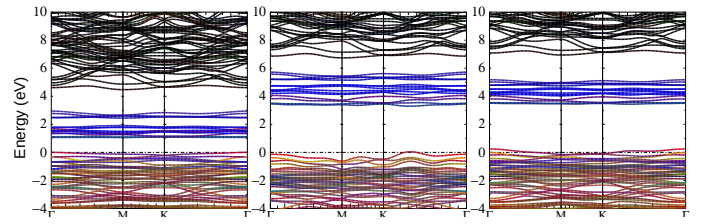


Figure 12. CrI<sub>3</sub> : The colors correspond to I- $p_x + p_y$  (red), I  $p_z$  (green), Cr-d (blue) (From left to right: LDA, QSGW and QSGW respectively).



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