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17 Abstract:

18	We report an enhancement of the anomalous Nernst effect (ANE) in Ni/Pt (001) epitaxial superlattices.
19	The transport and magneto-thermoelectric properties were investigated for the Ni/Pt superlattices with
20	various Ni layer thicknesses (<i>t</i>). The anomalous Nernst coefficient was increased up to more than 1 μ V
21	K ⁻¹ for 2.0 nm $\leq t \leq$ 4.0 nm, which was the remarkable enhancement compared to the bulk Ni. It has
22	been found that the large transverse thermoelectric conductivity (α_{xy}), reaching $\alpha_{xy} = 4.8 \text{ A K}^{-1} \text{ m}^{-1}$ for t
23	= 4.0 nm, plays a prime role for the enhanced ANE of the Ni/Pt (001) superlattices.
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27	heat current (\mathbf{J}_q) mediated by spin current (\mathbf{J}_s) and/or magnetization (M), has attracted attention not only
28	for academic interests but also for practical applications. The newly discovered spin caloritronic
29	phenomena such as spin Seebeck effect ²⁻⁴ have stimulated the renewed interest in the well-known
30	thermoelectric phenomena in ferromagnets. One of the thermoelectric phenomena in ferromagnets is
31	the anomalous Nernst effect (ANE), in which J_c appears in the cross-product direction of M and a
32	temperature gradient (∇T). Although ANE has been known for a long time, the microscopic physical
33	picture for ANE has not fully been understood. In addition to the fundamental point of view, this
34	magneto-thermoelectric effect is possibly beneficial for thermoelectric conversion applications ^{5,6} . The
35	key for the ANE-based thermoelectric conversion is to find a material with a large anomalous Nernst
36	coefficient (S^{ANE}) because the charge current density induced by ANE ($\mathbf{j}_{c,ANE}$) is given by $\mathbf{j}_{c,ANE}$ =
37	$\sigma S^{\text{ANE}}\{(\mathbf{M}/ \mathbf{M}) \times \nabla T\}$ with electrical conductivity (σ) [Ref.7].
38	Several ferromagnets show the ANE and the anomalous Ettingshausen effect as the reciprocal

- 39 phenomenon⁸⁻¹⁶. We previously reported the enhancement of ANE in the metallic multilayers of Fe/Pt,
- 40 Fe/Au, and Fe/Cu [Ref.17]. The increased ANE with the number of interfaces was reported for the Co/Pt
- 41 superlattices¹⁸. These studies imply the low dimensionality of layer and/or the existence of interface

plays a crucial role for the increase in ANE, and one may be aware that metallic multilayers or

- 43 superlattices with a number of interfaces are promising for achieving large ANE.
- 44Among several choices for a ferromagnet and a paramagnet composed of the metallic 45superlattice, this study focuses on ferromagnetic Ni and paramagnetic Pt. Ni is a material exhibiting the large anisotropic magneto-Peltier effect thanks to it characteristic electronic structure^{19,20}, and is an 46 interesting material from the viewpoint of ANE²¹. Pt is a representative paramagnet having the large 4748 spin-orbit interaction. This large spin-orbit interaction of Pt is probably advantageous for the ANE of Ni 49through the interface. Recently, we prepared the perpendicularly magnetized Ni/Pt (001) epitaxial superlattices directly on a non-conductive SrTiO₃ substrate²². The Ni/Pt (001) epitaxial superlattices are 5051suitable for studying the effects of layer thickness and interface on the magnitude of ANE, and available 52to compare the experiment with theoretical calculation. This paper reports the investigation of ANE in 53the Ni/Pt (001) epitaxial superlattices with various Ni layer thicknesses (t). In addition to the evaluation of S^{ANE} , the value of S^{ANE} divided by saturation magnetization (M_s) is shown, which is an indicator for 54the ANE-based thermopiles integrated densely²³. We found the enhanced ANE of the Ni/Pt (001) 5556superlattices, which is attributable to the large transverse thermoelectric conductivity (α_{xy}). 57 $[Ni (t)/Pt (1.0 nm)]_{\times N}$ superlattices were grown on SrTiO₃ (100) single crystal substrates
- 58 employing magnetron sputtering with the base pressure below 2×10^{-7} Pa. The deposition temperature

59	was set at 400°C for the Ni and Pt layers. The Ni layer was first deposited, which was followed by the
60	layers of [Pt/Ni] _{×N-1} /Pt. Finally, a 2 nm-thick Al layer was deposited at room temperature as a capping
61	layer. The substrate temperature of 400°C was necessary to achieve the (001) epitaxial growth, and the
62	well-defined layered structures were achieved without remarkable intermixing between the layers ²² . The
63	magnetic properties were measured using a vibrating sample magnetometer (VSM) at room temperature.
64	The Hall-cross shapes were patterned employing photolithography and Ar ion milling. This study
65	exploited two different Hall-cross-shaped devices in accordance with the purpose of measurement: one
66	is for the electrical transport measurement, and the other is for the thermoelectric measurement. For the
67	electrical transport measurement, the devices were installed into the physical properties measurement
68	system (Quantum Design, Inc.), and the magnetic field dependence of longitudinal (ρ_{xx}) and transverse
69	resistivities (ρ_{xy}) was measured at various temperature (<i>T</i>). For evaluating ANE, we gave ∇T to the in-
70	plane direction and applied external magnetic field to the perpendicular direction to the device to
71	measure the electric field (E_{ANE}) arising from ANE in PPMS. ∇T in PPMS was carefully estimated using
72	the procedure described in Refs. 13-15 with the infra-red camera. The Seebeck effects as well as σ for
73	the blanket films were measured employing the Seebeck coefficient/electric resistance measurement
74	system (ZEM-3, ADVANCE RIKO, Inc.). All the thermoelectric properties were measured at room
75	temperature.

77	nm, where N was set to be 8, 7, 5, and 4, respectively. Those repetition numbers were adjusted for the
78	total thicknesses of approximately 20 nm. The red curves denote the magnetization curves measured
79	with the magnetic field (H) applied in the film plane (IP curve) while the blue curves denote those
80	measured with the out-of-plane H (OPP curve). In this study, M was defined as the detected magnetic
81	moment per the unit volume of Ni layers. All the films show the perpendicular magnetization. The
82	effective uniaxial magnetic anisotropy constant (K_{eff}) corresponds to the area enclosed between the OPP
83	and IP curves. The values of M_s and uniaxial magnetic anisotropy constant (K_u) as a function of t are
84	plotted in Figs. 1(b) and 1(c), where $K_u = K_{eff} + 2\pi M_s^2$. M_s is decreased as t is reduced, which results
85	from the decrease in Curie temperature at the small t [Ref.22]. K_u also shows the reduction with
86	decreasing t. This t dependence of K_u is partially related with that of M_s . The other reason is that the
87	adequate thickness region to obtain the large K_u exists for the Ni / Pt (001) superlattice, which is 2.0 nm
88	$\leq t \leq 4.0$ nm as reported previously ²² .
89	The electrical transport properties were measured as illustrated in Fig. 2(a), where the width
90	of Hall bar is 10 µm and the edge-to-edge distance between the Hall branches is 50 µm. The longitudinal

91 (V_{xx}) and transverse voltage (V_{xy}) were measured under the dc current (I_{dc}) application and perpendicular

92 $H(H_z)$. Figure 2(a) displays the transverse resistance (R_{xy}) for the device with t = 3.0 nm. The square-

effect (AHE). For the present perpendicularly magnetized sample, ρ_{xy} at $H_z = 0$ Oe is the value coming 94 95from only the AHE term. Figures 2(b) and 2(c) plot the longitudinal conductivity (σ_{xx}) and the 96 transverse conductivity (σ_{xy}), respectively, at $H_z = 0$ Oe as a function of T. Regardless of t, the metallic 97 behavior is observed in the T dependence of conductivities. Figure 2(d) corresponds to the σ_{xx} versus $|\sigma_{xy}|$ plot. Onoda *et al.*²⁴ mentioned that σ_{xy} shows a gradual dependence on σ_{xx} and becomes constant 98 of $10^2 - 10^3 \Omega^{-1} \text{ cm}^{-1}$ in the moderately dirty region of $3 \times 10^3 \Omega^{-1} \text{ cm}^{-1} \le \sigma_{xx} \le 5 \times 10^5 \Omega^{-1} \text{ cm}^{-1}$. Although 99 the present result roughly follows the theoretical tendency 24 , suggesting that the intrinsic mechanism is 100 101 dominant, σ_{xy} shows a positive correlation with σ_{xx} rather than the constant against σ_{xx} . The reason for 102this scaling is not clear at present.

shaped hysteresis is observed. ρ_{xy} is composed of two terms: ordinary Hall effect and anomalous Hall

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Figure 3(a) depicts the measurement setup for the ANE. By heating one side of the substrate, ∇T was induced along the in-plane *x* direction. *H* was applied along the out-of-plane *z* direction. As a result, the E_{ANE} was detected along the *y* direction. The values of S^{ANE} were measured for the Hall-crossshaped devices with the 2.0 mm-wide channel and the 2.1 mm-wide branches. Before microfabricating the devices, the Seebeck coefficient (*S*) and the longitudinal conductivities were measured for the blanket films. We also evaluated the AHE using the Hall-cross-shaped devices that were used for ANE measurement. In this study, when those parameters were obtained, the whole multilayer was regarded as one ferromagnetic material. **Figure 3(b)** shows the *H* dependence of E_{ANE} divided by ∇T for t = 3.0nm. The square-shaped hysteresis of $E_{ANE}/\nabla T$, which resembles the magnetization curve (**Fig. 1(a**)), was definitely observed. S^{ANE} was calculated from the slope of linear fit to E_{ANE} as a function of ∇T (the inset of **Fig. 3(b**)).

Figure 3(c) plots the *t* dependence of S^{ANE} . All the samples exhibit the large values of S^{ANE} $\geq 0.9 \ \mu\text{V} \ \text{K}^{-1}$, and the maximum $S^{ANE} = 1.14 \pm 0.05 \ \mu\text{V} \ \text{K}^{-1}$ was obtained at $t = 2.0 \ \text{nm}$. It is noted that these S^{ANE} for the present Ni/Pt superlattice are one order of magnitude of larger than that for the bulk Ni [Refs.12, 25]. In order to elucidate the enhanced ANE for the Ni/Pt superlattice, $S \ \text{and} \ \rho_{xy}/\rho_{xx}$ referred to the AHE angle are plotted as a function of *t* in Figs. 3(d) and 3(e), respectively, where $\rho_{xy} = -\sigma_{xy}/\sigma_{xx}^2$. Although the sign change of *S* cannot be clearly explained, it may come from the Ni-Pt alloy²⁵ formed at the interface. Using the resistivity tensor, S^{ANE} is expressed as¹⁵

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$$S^{ANE} = \rho_{xx}\alpha_{xy} + \rho_{xy}\alpha_{xx}, \qquad (1)$$

122 where α_{xx} is given by S/ρ_{xx} . The second term of Eq. (1) comes from the Seebeck effect-induced charge 123 current, i.e. $S\rho_{xy}/\rho_{xx}$. On the other hand, the first term of Eq. (1) expresses the contribution of direct 124 generation of transverse charge current originating from α_{xy} . Figure 3(f) shows the *t* dependence of 125 $\rho_{xy}\alpha_{xx}$, which is two orders of magnitude smaller than S^{ANE} . This fact definitely indicates that the 126 conversion process through the Seebeck effect followed by AHE hardly contributes to the ANE of the

127	Ni/Pt superlattices. Since the AHE angle of Ni/Pt superlattices is not so small compared to other
128	ferromagnets ²⁵ , the small S is the reason for the small $\rho_{xy}\alpha_{xx}$. In contrast to α_{xx} , α_{xy} is the essential
129	parameter of the large ANE of the Ni/Pt superlattices. The values of α_{xy} have been estimated using the
130	obtained parameters of S^{ANE} , ρ_{xx} , ρ_{xy} and S. Figures 3(g) and 3(h) show α_{xy} and ρ_{xx} , respectively. The
131	Ni/Pt superlattices possess very large α_{xy} , and the maximum value is $\alpha_{xy} = 4.8 \text{ A K}^{-1} \text{ m}^{-1}$ at $t = 4.0 \text{ nm}$.
132	This α_{xy} is comparable to or larger than several materials exhibiting large ANE such as Co ₂ MnGa (2.4
133	- $3.0 \text{ A K}^{-1} \text{ m}^{-1}$ [Ref.9], Co ₃ Sn ₂ S ₂ (~ 2 A K ⁻¹ m ⁻¹) [Ref.26], and SmCo ₅ (4.6 A K ⁻¹ m ⁻¹) [Ref.12]. All the
134	experimental data are summarized in the Supplementary Material ²⁷ (see, also, Refs. [21,28-32]).
135	In addition to the finding of large α_{xy} , another feature of Ni/Pt superlattice is the large value
136	of S^{ANE} per magnetization, <i>i.e.</i> $S^{\text{ANE}}/M_{\text{s}}$. As shown in Fig. 3(i) , $S^{\text{ANE}}/M_{\text{s}}$ is remarkably increased for small
137	t, e.g. 3.6 μ V K ⁻¹ T ⁻¹ at $t = 1.5$ nm. The sample with $t = 1.5$ nm showing the small M_s still maintains large
138	S^{ANE} . This interestingly means that the value of S^{ANE} is not proportional to the magnitude of M_s even for
139	the identical superlattices. The present Ni/Pt superlattices do not follow the relationship between S^{ANE}
140	and M_s mentioned in Ref.23. For practical applications, this large S^{ANE} and small M_s could be promising
141	to improve the thermoelectric conversion performance as discussed in Refs.14, 23 and 33.
142	One may think the following contributions for explaining the enhanced ANE: (i) alloying of

143 Ni and Pt at the interfaces, (ii) proximity-induced magnetic moments in Pt [Refs.34,35], and (iii) spin-

orbit interaction at the interfaces. All these possibilities originate from the interface. Since the decrease in *t* at the fixed total thickness (t_{total}) means the increase in the interface density, S^{ANE} should increase with reducing *t* if these interface effects are dominant. However, S^{ANE} does not increase remarkably at the small *t*. Thus, we need to consider another possible contribution. For this purpose, the first-principles calculations were made for σ_{xy} and α_{xy} . As described above, the large α_{xy} leads to the enhanced ANE. α_{xy} is expressed as³⁶

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$$\alpha_{\rm xy} = -\frac{\pi^2}{3} \frac{k_{\rm B}^2 T}{e} \left(\frac{\partial \sigma_{\rm xy}}{\partial \varepsilon}\right)_{E_{\rm F}},\tag{2}$$

where $k_{\rm B}$ is the Boltzmann constant and e is the elementary charge of electron. $(\partial \sigma_{\rm xy}/\partial \mathcal{E})_{E_{\rm F}}$ is the 151152energy derivative of σ_{xy} at the Fermi level (E_F). The density-functional theory (DFT) with the aid of the Vienna *ab initio* simulation program (VASP) was used for the calculations²⁸. For the details, see the 153Supplementary Material²⁷. The generalized gradient approximation (GGA) was adopted for the 154exchange-correlation energy²⁹, and the projector augmented wave pseudopotential^{30,31} was used to treat 155156the core electrons properly. In this study, we examined the effect of formation of periodic structure on 157 σ_{xy} and α_{xy} by inserting the Pt layer into the Ni. Figure 4 shows the σ_{xy} and α_{xy} versus chemical potential (μ) for the Ni 14 monolayer (ML)/Ptd_{Pt} ML (Ni14/Ptd_{Pt}), where d_{Pt} was set at 0, 2, 4 and 6, the Ni 14 158159ML with the vacuum interface (Ni14/vac), and the bulk Ni. Here, $\mu = 0$ corresponds to E_F. The in-plane 160lattice constants were set to 0.372 nm for the Ni 14 ML, which was determined from the experimental

161	value ²² , and 0.352 nm for the bulk Ni. For the present calculation, the Coulomb interaction (U) of 3.9
162	eV and the Hund coupling (J) of 1.1 eV are considered in Ni $3d$ states as well as Ref.21. As shown in
163	Fig. 4(a), the bulk Ni and Ni14/Pt0 exhibit similar μ dependence. Note here that Ni14Pt0 does not
164	include the vacuum layer, and a small difference between the bulk Ni and Ni14/Pt0 comes from the
165	difference in the in-plane lattice constants. However, a drastic change is observed for Ni14/vac (Fig.
166	4(a)) and Ni14/Pt d_{Pt} with $d_{Pt} = 2$, 4 and 6 (Fig. 4(b)). Fine oscillatory behavior is seen in σ_{xy} versus μ .
167	Because this feature is not observed for bulk Ni, the oscillation is attributable to the formation of
168	interface. This oscillation in σ_{xy} against μ leads to the increase in energy derivative of σ_{xy} . Let us here
169	remember that the large derivative of σ_{xy} yields a large α_{xy} following Eq. (2). As a result, the larger $ \alpha_{xy} $
170	than that of bulk Ni was obtained at many μ values for Ni14/vac (Fig. 4(c)) and Ni14/Pt d_{Pt} with $d_{Pt} = 2$,
171	4 and 6 (Fig. 4(d)). The band structures and the Berry curvatures were calculated in Ni14/Pt6 and
172	Ni14/Pt0 (see Supplementary Fig.1 ²⁷). The band-folding effect provides many band dispersions around
173	$E_{\rm F}$ in the (k_x,k_y) plane (corresponding to in-plane wave vectors) and the hybridizations of these bands
174	lead to many band splittings. This is the origin for the oscillation in the Berry curvature, and the resultant
175	oscillatory behavior of σ_{xy} . From these results, we may say that the oscillatory behavior in σ_{xy} due to
176	the interface formation is related with the enhanced ANE. In addition to the calculation for various d_{Pt} ,
177	the cases with various Ni ML (d_{Ni}) were calculated as shown in the Supplementary Material ²⁷ and all

178the cases show the similar oscillation in σ_{xy} . Although this interface formation is another possible scenario, the present calculation cannot fully explain the t dependence of S^{ANE} because the amplitude 179180and energy position of oscillation in σ_{xy} does not simply vary with d_{Pt} or d_{Ni} . For quantitative comparison 181 and more concrete examination, further systematic studies with other materials systems including the 182effects of structural imperfections and/or phonon/magnon excitations are required. 183 Hereafter, let us discuss the contribution of interface with the SrTiO₃ substrate. The high-184 density two-dimensional electron gas confined at the interface with SrTiO₃ is famous for its large Seebeck coefficient³⁷. If an oxygen-deficient layer and/or a Ni-doped layer exists at the interface and 185186 becomes conductive, they may affect the ANE signals. For investigating the influence of the SrTiO₃ 187 substrate, we also prepared the [Ni (3.0 nm)/Pt (1.0 nm)] $_{\times N}$ superlattices with different repetition 188 numbers: N = 3, 5, 10, and 20. The different N leads to the different t_{total} of Ni/Pt superlattice. Table 1 summarizes S^{ANE} for the samples with different N (t_{total}). There is no remarkable difference in S^{ANE} 189 between N = 5, N = 10 and N = 20. However, a definite increase in S^{ANE} is seen for N = 3, suggesting 190 191 the possibility that the ANE signal originating from the interface with the SrTiO₃ substrate is included 192in the S^{ANE} for small N. Judging from this, we consider that the contribution from the SrTiO₃ substrate 193is negligibly small at $t_{\text{total}} \ge 20$ nm.

195	Ni single layer film. According to Ref. 12, the bulk Ni showed $S^{ANE} = 0.22 \ \mu V \ K^{-1}$. As a reference sample,
196	we also prepared a 20 nm-thick Ni single layer on a SrTiO ₃ substrate, which showed $S^{ANE} = 0.52 \pm 0.05$
197	μ V K ⁻¹ . Those values are smaller than the maximum S ^{ANE} for the Ni/Pt superlattices. One may think that
198	the large transverse Peltier coefficient (2.4 A K ⁻¹ m ⁻¹ $\leq \alpha_{xy} \leq 4.8$ A K ⁻¹ m ⁻¹) is the key parameter for
199	enhancing ANE in Ni/Pt superlattices. Although α_{xy} for bulk Ni is as large as 2.6 A K ⁻¹ m ⁻¹ [Ref.25] and
200	is comparable to that for $t = 1.5$ nm, the reduced α_{xy} may be related with the reduced M_s . The α_{xy} is
201	definitely enhanced for the samples with thicker Ni layers. In addition, the small ρ_{xx} (~ 9 $\mu\Omega$ cm) for
202	bulk Ni give rise to S^{ANE} one order of magnitude smaller than that for the Ni/Pt superlattices. This fact
203	suggests that the formation of superlattice allows us to control several key parameters independently
204	thanks to the degrees of freedom in design that the superlattice structure possesses.
205	In summary, we demonstrated the enhancement of ANE owing to the formation of Ni/Pt
206	superlattices. The value of S^{ANE} was increased up to more than 1 μ V K ⁻¹ for the samples with 2.0 nm \leq
207	$t \le 4.0$ nm, and the large $S^{ANE}/M_s = 3.6 \ \mu V \ K^{-1} \ T^{-1}$ was achieved for $t = 1.5$ nm. The enhanced ANE is
208	attributable to the large α_{xy} . We believe that the present study provides a strategy to enhance ANE.

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Table 1 Anomalous Nernst coefficient (S^{ANE}) for [Ni (3.0 nm)/Pt (1.0 nm)]_{×N} superlattices with

284 different repetitions.

	N = 3	N = 5	N = 10	N = 20
t _{total} (nm)	12.0	20.0	40.0	80.0
S ^{ANE} (µV K ⁻¹)	1.49 ± 0.17	1.13 ± 0.17	1.14 ± 0.18	1.17 ± 0.05

287 (Single column)





293

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Figure 1 (a) Magnetization curves for the [Ni (*t*)/Pt (1.0 nm)] $_{NN}$ with *t* = 1.5, 2.0, 3.0 and 4.0 nm, where N was set to be 8, 7, 5, and 4, respectively. The red curves denote the magnetization curves measured with the magnetic field (*H*) applied in the film plane (IP curve) while the blue curves denote those measured with out-of-plane magnetic field (OPP curve). (b) Saturation magnetization (M_s) and uniaxial magnetic anisotropy constant (K_u) as a function of *t*.

301 (Single column)



303

311 (single column)





Figure 3 (a) Measurement setup for anomalous Nernst effect (ANE). (b) *H* dependence of electric field induced by ANE (E_{ANE}) divided by ∇T for the devices with t = 3.0 nm. Inset: the plot of E_{ANE} as a function of ∇T . (c) *t* dependence of anomalous Nernst coefficient (S^{ANE}), (d) Seebeck coefficient (S), (e) ρ_{xy}/ρ_{xx} , (f) $\rho_{xy}\alpha_{xx}$, (g) transverse Peltier coefficient (α_{xy}), (h) ρ_{xx} and (i) S^{ANE}/M_s . In (c), the dasheddotted line denotes the value for the bulk Ni reported in Ref. 12.

321

322 (Double column)

