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# Distinguishing antiferromagnetic spin sublattices via the spin Seebeck effect

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We measured spin Seebeck signals at the top and bottom surfaces of an antiferromagnetic Cr<sub>2</sub>O<sub>3</sub> film, using a Pt/Cr<sub>2</sub>O<sub>3</sub>/Pt tri-layer. Our experimental data, combined with micromagnetic simulations, clearly demonstrate that the uncompensated sublattice at the top and bottom surfaces plays an decisive role in determining the symmetry of the spin Seebeck signals, providing fundamental insights for understanding the generation of spin Seebeck signal in antiferromagnetic materials.

24 Recently, antiferromagnetic (AF) materials have become of interest for spin transport  
 25 applications, where they offer the advantages of zero stray fields, robustness against external field,  
 26 THz dynamics, and abundance of available materials. Recent studies have demonstrated many  
 27 intriguing phenomena in AF materials, including anisotropic magnetoresistance [1], anomalous  
 28 Hall effects [2], anomalous Nernst effects [3], spin Hall effects giving rise to spin-orbit torques  
 29 [4,5], and electrical manipulation of the Néel vector [6]. These developments make AF materials  
 30 promising for the next generations of spin-based technologies [7-11]. Towards this end, the ability  
 31 to generate, transport, and detect spin currents in AF insulators have been the focus of recent studies  
 32 [12]. The transport of spin current in insulators can be realized in the form of magnon currents  
 33 without associated Joule heating; thus magnon based devices may have the potential to carry and  
 34 propagate information with low power dissipation [13,14]. Recent studies have demonstrated that  
 35 AF materials are ideal media to excite and propagate magnons. AF magnons can be excited both  
 36 thermally [15,16] and resonantly [17]. AF magnon can carry spin information over a few tens of  
 37 micros [18] and can be switched on or off by manipulating the AF states [19]. Theory also predicts  
 38 that antiferromagnets may support spin super-fluidity [20]. Therefore, AF insulators have become  
 39 promising candidates for magnon based devices.

40 The spin Seebeck effect (SSE) [21-23] provides an ideal platform to study magnon-related  
 41 phenomena. The SSE occurs in a magnetic/non-magnetic bilayer. A temperature gradient in the  
 42 magnetic layer  $\vec{\nabla}T$  generates a magnon current  $\vec{J}_s$  carrying spin angular momentum  $\vec{\sigma}$ , flowing  
 43 along  $\vec{\nabla}T$ . This spin current is injected into the adjacent non-magnetic layer, and if that layer has  
 44 strong spin-orbit coupling (such as Pt, W), the spin current will subsequently be converted into a  
 45 measurable electrical voltage through the inverse spin Hall effect. The associated voltage  $V_{SSE}$  is  
 46 given by:

$$47 \quad V_{SSE} \propto \rho L_v \theta_{SH} (\vec{J}_s \times \vec{\sigma}) \quad (1)$$

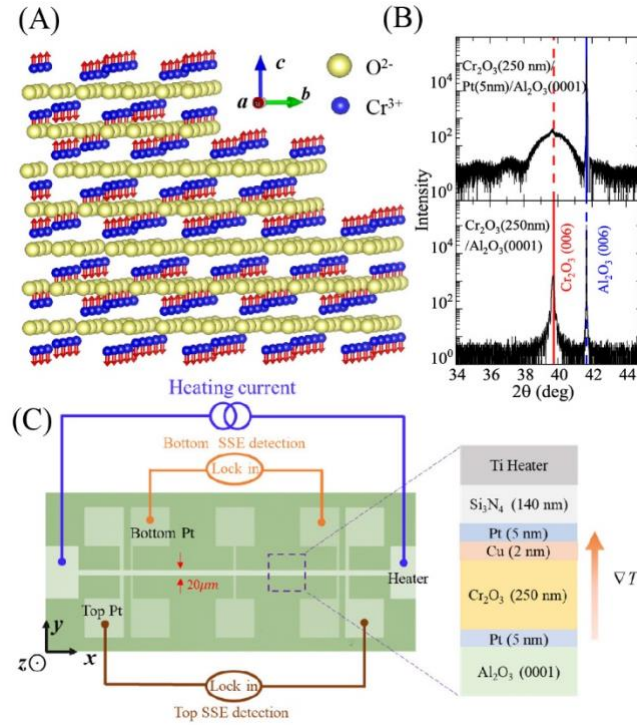
48 Here,  $\theta_{SH}$  is the spin Hall angle of the heavy metal layer,  $\rho$  is the resistivity of the nonmagnetic  
 49 later, and  $L_v$  is the separation between the electrodes for the voltage measurement. Although the  
 50 generation of SSE in AF insulators has been demonstrated several years ago [15,16], its microscopic  
 51 origin is still not well understood. Theoretically, both surface [24] and bulk [25,26] mechanisms  
 52 for SSE of AF materials have been proposed. But experimentally this issue remains unresolved.

53 Recently, Gray *et al.* have demonstrated that the SSE is sensitive to the surface sublattice [27]. They  
54 developed a magnetothermal microscopy to image the magnetic state in NiO. They found the SSE  
55 signal is much larger for uncompensated surfaces than for compensated surface. Based on the fact  
56 that the temperature gradient is dominant at the Pt/NiO interface when using use picosecond laser  
57 as a heating source, their results indicate that the contrast in SSE images is due to the surface SSE  
58 in AF materials, and is determined by the extra sublattice at the uncompensated surface. However,  
59 it should be noted that the ultra-fast laser pulse could also result in various other magneto-optical  
60 effects [28]. For example, it has been established that the uncompensated surface of NiO (111)  
61 could induce larger collinear magnetic difference-frequency generation than other compensated  
62 surfaces, which can also contribute to electrical signals [29, 30]. Thus, a direct characterization of  
63 the relationship between the surface sublattice and SSE signals, still needs to be established, but  
64 remains challenging to realize experimentally. Due to the unavoidable existence of atomic steps  
65 and surface roughness, the uncompensated surface of a normal AF material will have the last extra  
66 surface sublattices distributed randomly. The coexistence of two antiparallel sublattices makes it  
67 difficult to establish a qualitative relationship between the surface sublattice and the SSE signal.

68 In our work, we avoid the coexistence of two surface sublattices by using Cr<sub>2</sub>O<sub>3</sub>. The spin-  
69 polarized (0001) surface of Cr<sub>2</sub>O<sub>3</sub> provides an ideal system to characterize the relationship between  
70 the surface sublattice and the SSE signal [31]. We measured the SSE signals at the top and bottom  
71 surfaces of Cr<sub>2</sub>O<sub>3</sub>. We find the SSE signals at opposite surfaces exhibit distinguishable angular  
72 dependent symmetry, which is in direct contrast with results in Pt/YIG/Pt structure, where the  
73 signature at the top and bottom surfaces are identical [32]. Combined with micromagnetic  
74 simulation, we found the distinguishable SSE signal at the top and bottom represent the individual  
75 magnetic response of the two antiparallel sublattices in Cr<sub>2</sub>O<sub>3</sub>, providing a qualitative  
76 demonstration of the role of the surface spins in controlling the SSE signal.

77 Figure 1(A) illustrates the configuration of the Cr<sub>2</sub>O<sub>3</sub> (0001) surface. The antiparallel Cr<sup>3+</sup> ion  
78 spins align along the c-axis (z-direction). Within an AF domain at the (0001) surface, the Cr<sup>3+</sup> ion  
79 spins are parallel aligned even with surface steps, exhibiting a long-range magnetic order. Besides,  
80 due to the different relative positions with respect to the O<sup>2-</sup> ions, the Cr<sup>3+</sup> spins at the top and  
81 bottom surfaces are opposite, which represent the two different sublattices. This unique spin

82 structure is due to the requirements of charge-neutrality and the nature of interlayer  
 83 antiferromagnetic coupling in  $\text{Cr}_2\text{O}_3$  [31].



84  
 85 Fig.1 (A) Illustration of the spin structure of a  $\text{Cr}_2\text{O}_3$  single crystal with a stepped (0001) surface. The red  
 86 arrows point along the  $c$  axis denote the spin direction of  $\text{Cr}^{3+}$  ions. (B)  $\theta$ - $2\theta$  X-ray diffraction pattern of a  
 87 180-nm  $\text{Cr}_2\text{O}_3$  film (lower panel) and  $\text{Cr}_2\text{O}_3$  (180 nm)/Pt(5 nm) bilayer (upper panel), respectively. The films  
 88 are deposited on  $\text{Al}_2\text{O}_3$  (0001) substrates. (C) Experimental setup of the SSE measurements. The left figure  
 89 is the top view of the device. The insert on the right shows the cross-section of the sample structure.  
 90

91 The  $\text{Cr}_2\text{O}_3$  film is grown by reactive magnetic sputtering. Figure 1(B) shows the X-ray diffraction  
 92 (XRD) of a 180-nm  $\text{Cr}_2\text{O}_3$  layer grown on an  $\text{Al}_2\text{O}_3$  (0001) substrate with and without Pt bottom  
 93 layer. The red and blue lines are the standard (0006) peak positions for  $\text{Cr}_2\text{O}_3$  and  $\text{Al}_2\text{O}_3$ ,  
 94 respectively [33]. Cross-sectional transmission electron microscopy (TEM) images also show that  
 95  $\text{Cr}_2\text{O}_3$  is an epitaxial single-crystal (see the Supplemental Material for details [34]).

96 FIG. 1 (C) summarizes the sample structure and experiment set-up. We grow a  
 97 Pt(5 nm)/Cu(2 nm)/ $\text{Cr}_2\text{O}_3$ (180 nm)/Pt(5 nm) layer stack. Here the insertion of 2-nm Cu at the top  
 98 Pt/ $\text{Cr}_2\text{O}_3$  interface avoids possible proximity effects [35] and detrimental interfacial  
 99 anisotropies[36]. The films are patterned into  $800\ \mu\text{m} \times 20\ \mu\text{m}$  Hall-bar structures for transport  
 100 measurement, as shown in the left panel of Fig. 1(C). In order to provide a temperature gradient  
 101 during the SSE measurements, we deposit a Ti/  $\text{Si}_3\text{N}_4$  layer on top of the film stack, to serve as a

102 resistive heater. The resistance between the top Pt and Ti, and between the top and bottom Pt layer  
103 is larger than 20 M $\Omega$  at 100 K, demonstrating the good electrical insulation of Si<sub>3</sub>N<sub>4</sub> and Cr<sub>2</sub>O<sub>3</sub>.  
104 The right panel of Fig. 1(C) shows a cross-section of the sample structure (see the Supplemental  
105 Material for details [34]).

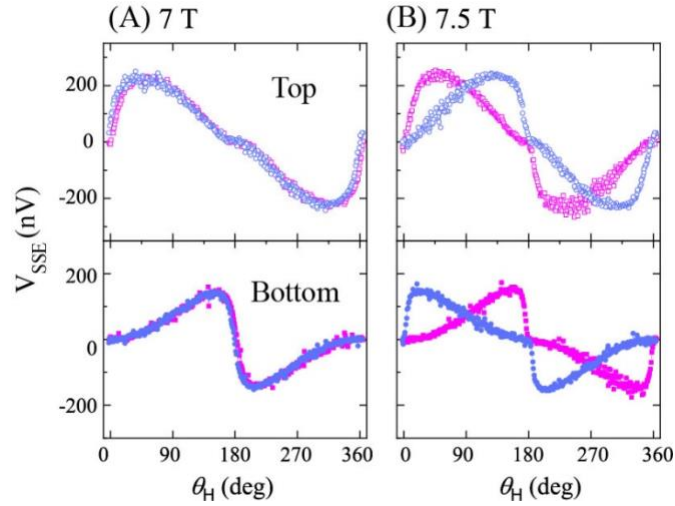
106 During the measurement, we send a sinusoidal current (3 Hz) through the Ti heater  
107 ( $P_{\text{heating}} \sim 5 \text{ mW}_{\text{rms}}$ ), which generates a temperature gradient  $\overline{\nabla T}$  normal to the film plane. The  
108 resulting  $V_{\text{SSE}}$  at the top and bottom Pt layers are measured using lock-in techniques at the second  
109 harmonic. We apply magnetic fields with different amplitudes in the  $y$ - $z$  plane, as shown in the inset  
110 of Fig 1(C).

111 Figure 2 shows the angular dependence of the SSE signals at different applied magnetic fields at  
112 100 K. We observe a transition at 7.5 T. Below 7.5 T, the SSE voltages at the top and bottom  
113 surfaces do not show hysteresis when the field is rotated from 0° to 360° and rotated back from  
114 360° to 0°, while a clear hysteresis appears above that. The rotational symmetry also changes across  
115 the transition field. At fields below 7.5 T, the signals at the top and bottom are antisymmetric about  
116  $\theta_H = 180^\circ$ . While after the transition, the signals before and after  $\theta_H = 180^\circ$  exhibit a 180° phase  
117 shift and sign reversal. In the simulation part, we demonstrated that this transition is due to the spin  
118 flop transition. The spin flop field for bulk Cr<sub>2</sub>O<sub>3</sub> is about 6.5 T, while in our thin films, it shifts to  
119 7.5 T, this may due to the strain that exists in the epitaxial film. Another important observation is  
120 that the SSE signals at the top and bottom surfaces can be clearly distinguished. Both before and  
121 after the transition, the rotational SSE signals from the two surfaces exhibit different shapes, with  
122 maxima in their amplitudes realized at different field directions. The distinguishable SSE signals at  
123 the different surfaces are in direct contrast to the identical SSE signals at the top and bottom surfaces  
124 of ferromagnetic material [32].

125 The magnitude of the SSE signal is proportional to the heating power (see the Supplemental  
126 Material for details [34]), suggesting the thermoelectric nature of the signal. By performing the  
127 thermometry at the top and bottom Pt layers [32], the temperature difference across the Cr<sub>2</sub>O<sub>3</sub> is  
128 determined as  $|\overline{\nabla T}| = 0.043 \text{ K}$  at 100 K. The similar rotational symmetry and the transition behavior  
129 can be observed between 70 K and 200 K (see the Supplemental Material for details [34]). At the  
130 top surface, the signals are qualitatively the same as those that we measured at the bottom surface,

131 even with the insertion of 2-nm Cu. This indicates the spin current generated in the AF can cross  
132 through Cu and convert to a charge current in Pt. The measured signals are dominated by SSE and  
133 any contribution of the Nernst effect is negligible.

134



135 Fig. 2 Angular dependence of the SSE voltages at the top and bottom surfaces of  $\text{Cr}_2\text{O}_3$  at different fields.  
136 In (A) and (B), The upper figures represent the signals measured at the top Pt layer, while the lower figures  
137 represent the signals measured at the bottom Pt layer. The magenta and light blue colors represent the signals  
138 when the field rotate  $0^\circ \rightarrow 360^\circ$  and back  $360^\circ \rightarrow 0^\circ$ , respectively. The temperature during these  
139 measurements was 100 K.

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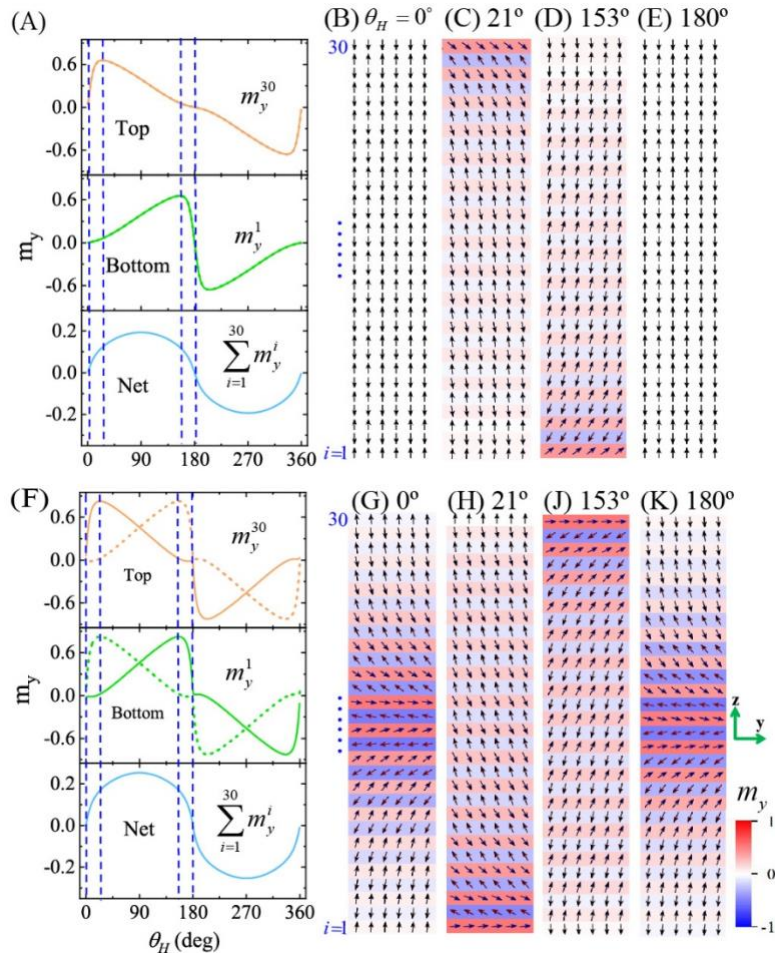
141 We notice the SSE amplitudes at the top surface are larger than at the bottom, even with the  
142 insertion of Cu. This is mainly due to the different Pt resistances at the top and bottom, see Eq. (1).  
143 The resistance of the top Pt, even with the Cu insertion layer, is higher than that of the bottom Pt,  
144 resulting in the larger amplitude of SSE (see the Supplemental Material for details [34]). The  
145 resistance difference between the two Pt layers is presumably due to the different roughness or  
146 microstructure when Pt is grown on the  $\text{Al}_2\text{O}_3$  substrate and  $\text{Cr}_2\text{O}_3$ .

147 To better understand the SSE signal, we simulated the magnetic response of  $\text{Cr}_2\text{O}_3$  at different  
148 fields. Considering  $\text{Cr}_2\text{O}_3$  is a layered antiferromagnet along the  $c$ -axis [(0001) plane], we model  
149 our system with 30 magnetic layers, with magnetic moments ferromagnetically coupled in the same  
150 layer, while antiferromagnetically coupled between adjacent layers. Considering the different  
151 microstructure (with Cu insertion at the top surface) and morphologies at the top and bottom

152 surface, we slightly reduce the anisotropy at the top surface (see the Supplemental Material for  
153 details [34]). We simulate the angular dependence of the sublattice moments at fields below (6 T)  
154 and above the spin-flop transition (8 T). Fig. 3 (A) and (G) plot the angular dependence of the in-  
155 plane magnetization component ( $m_y$ ) at the top surface ( $m_y^{i=30}$ ), bottom surface ( $m_y^{i=1}$ ), and the net  
156 magnetization  $\sum_{i=1}^{30} m_y^i$ , when 6 T and 8 T magnetic fields are applied in the  $y$ - $z$  plane. Fig. 3 (B)-(E)  
157 and (G)-(K) plot the magnetization configurations at selected field orientations.

158 The simulation results at a 6 T field are plotted in Fig. 3 (A-E). When the field rotates in the  $y$ - $z$   
159 plane, the sublattices tilt from the easy axis, resulting in an in-plane component  $m_y$ . As shown in  
160 Figs. 3(B), (C), and (D), due to the locally different effective fields, the two sublattices exhibit  
161 different line shapes of  $m_y^{i=1}$  and  $m_y^{i=30}$ , and different value of  $\theta_H$  for the maxima SSE amplitudes.  
162 At 6 T field the sublattices only tilt from the easy axis, which is reversible when the field rotates  
163 clockwise and counterclockwise.





164 Fig. 3. Simulation of the angular magnetic field dependence of the sublattice moments at 6 T and 8 T,  
 165 respectively. In (A) and (F), from top to down, are plots of the normalized  $m_y$  at the bottom surface ( $m_y^{i=1}$ ),  
 166 top surface ( $m_y^{i=30}$ ), and the net moment ( $\sum_{i=1}^{30} m_y^i$ ). The sold and dash lines represent the field rotate  $0^\circ \rightarrow$   
 167  $360^\circ$  and rotate back  $360^\circ \rightarrow 0^\circ$ , respectively. The blue dashed lines are guidelines for the selected field angles  
 168  $\theta_H$ , at which the magnetization configurations are plotted, as (B) – (E) and (G) – (J) show. The small arrows  
 169 in (B) – (E) and (G) – (J) indicate the sublattices in each layer. The colors denote  $m_y$ , as shown in the color  
 170 bar. The number on the left side of (B) and (F) denote the layer number  $i$ .

171

172 When the 8-T field is applied along the easy axis, the magnetic configuration transforms into  
 173 a non-uniform state, as shown in Fig. 3(G), the top and bottom surfaces are parallel with the field,  
 174 with a domain wall located in the center of the stack. This is known as a surface spin flop transition  
 175 and has been studied extensively theoretically and experimentally [37,38]. This phenomenon origin  
 176 from the reduced coordination at the AF surfaces, where the sublattices at the top and bottom

177 surfaces of an AF film have decreased exchange coupling. When a magnetic field is applied, the  
178 surface sublattice that is antiparallel with the field flips first, and then penetrates into the bulk,  
179 leading to non-uniform spin states from the center to the surface. The initial domain wall thickness  
180 is determined by the exchange coupling and anisotropy, and we estimated this for Cr<sub>2</sub>O<sub>3</sub> to be about  
181 4.4 nm. This sets the minimum length-scale for the spin-flopped domain wall, which with  
182 increasing magnetic field will extend throughout the whole thickness of the Cr<sub>2</sub>O<sub>3</sub> [37, 38]. Rotating  
183 the magnetic field in the *y-z* plane results in a motion, annihilation, and nucleation of the domain  
184 wall, accompanied by the flipping of the sublattices. The flip of the sublattices changes the  
185 rotational symmetry of surface sublattices  $m_y^{i=1}$  and  $m_y^{i=30}$  compared to that at 6 T field (see the  
186 Supplemental Material for details [34]).

187 A direct comparison of the simulation results in Fig. 3 with the SSE voltages in Fig. 2 shows that  
188 the direction of the surface sublattices moments dominates the SSE signal. On the other hand, the  
189 bulk magnetic order (net moment) is not correlated with the experimentally observed angular  
190 dependence of the SSE signals. Therefore, our results qualitatively establish the relationship  
191 between the surface sublattice and the SSE in AF materials. Furthermore, our methods can help to  
192 distinguish different antiparallel spin states in Cr<sub>2</sub>O<sub>3</sub>. Fig. 4 show the angular dependence of the  
193 SSE signals for two different antiparallel spin states. The distinguishable line shapes at the top and  
194 bottom help to distinguish the individual sublattice direction at the surfaces, and further the different  
195 antiparallel spin states in uniaxial antiferromagnets. This finding allows us to directly probe  
196 electrically and independently the individual sublattices in an antiferromagnet via simultaneously  
197 measuring spin Seebeck effects at opposite surfaces. To our knowledge, apart from techniques that  
198 probe individual spin in antiferromagnets directly (such as spin-polarized scanning tunneling  
199 microscopy), there are few other techniques that can provide independent information for each  
200 sublattice independently.

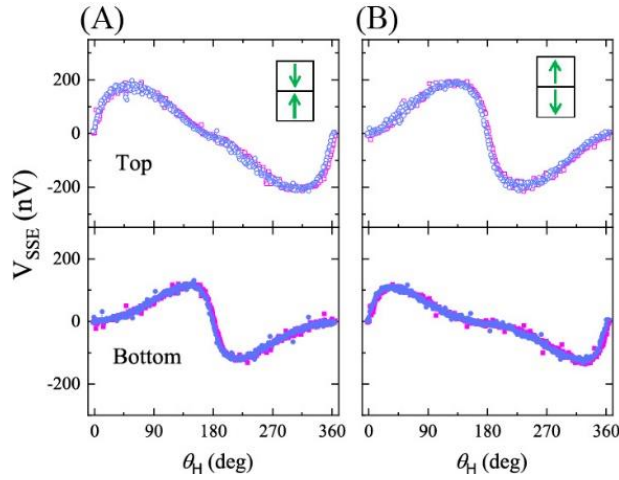


Fig.4 Distinguishing opposite sublattice configuration in uniaxial  $\text{Cr}_2\text{O}_3$ . (A) and (B) show the angular dependence of the SSE signals at the top and bottom surfaces with opposite initial sublattice directions, indicated by the insets. The temperature during the measurements was 100 K. The field amplitude is 6 T.

It is possible that multi-domains with opposite surface sublattices could exist in the devices. To study the influence of a multi-domain state, we simulate the angular dependence of  $M_y$  with different degrees of multi-domain states, i.e., different percentages of  $M_A$  and  $M_B$  (see the Supplemental Material for details [34]). We find the line shape of  $M_y$  change from non-sinusoidal to sinusoidal when the degree of multi-domains increases. The non-sinusoidal line shape observed in our samples indicates that the multi-domain states are low ( $M_B$  below 10%) and most of the top and bottom sublattices rotate coherently with the field. However, in devices without Cu inserted at the top surface, the line shape at the top surface exhibits sinusoidal behavior (see the Supplemental Material for details [34]), this indicates the insert of Cu may also influence surface anisotropies, which makes the top sublattice easy to be manipulated by field.

In previous experiments, an ultrafast laser pulse was used as the heating source, by which the temperature gradient  $\overline{\nabla T}$  is dominated at the Pt/AF interface [27] and concomitantly the surface sublattices play a dominating role in determining the SSE signal. In contrast, in our study, we use low-frequency AC current (3 Hz) as the heating source, where the  $\overline{\nabla T}$  in the bulk of AF material is dominant [27] (see the Supplemental Material for details [34]). Our results further demonstrate that even with the temperature gradient in the bulk of AF material, the SSE is still dominated by the surface sublattices. Given that our simulations suggest a significant rotation of the surface spins

with respect to the bulk structure, the question then arises how angular momentum associated with bulk magnon modes gets modified near the interface, and what role the excitations of the interface spins themselves play. Therefore, further theoretical and experimental work will be necessary to understand the magnon current driven by temperature gradient and resulting spin currents in the interfacial region.

In conclusion, we qualitatively characterize the relationship between the sublattices and the SSE signals, demonstrating the role of interface spin sublattices in determining the symmetry and hysteretic behavior, which can shed light on understanding the various surface sensitive SSE behaviors [17].

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