

CHCRUS

This is the accepted manuscript made available via CHORUS. The article has been published as:

Ab initio anharmonic thermodynamic properties of cubic math xmlns="http://www.w3.org/1998/Math/MathML">mrow>mi >Ca/mi>mi>Si/mi>msub>mi mathvariant="normal">O/mi>mn>3/mn>/msub>/mrow>/ math> perovskite Zhen Zhang and Renata M. Wentzcovitch Phys. Rev. B 103, 104108 — Published 24 March 2021 DOI: 10.1103/PhysRevB.103.104108

1	<i>Ab initio</i> anharmonic thermodynamic properties of cubic CaSiO ₃ perovskite
2	
3	
4	Zhen Zhang ¹ , Renata M. Wentzcovitch ^{1,2,3,*}
5	
6	¹ Department of Applied Physics and Applied Mathematics, Columbia University, New York, NY
7	10027, USA.
8	² Department of Earth and Environmental Sciences, Columbia University, New York, NY 10027,
9	USA.
10	³ Lamont–Doherty Earth Observatory, Columbia University, Palisades, NY 10964, USA.
11	
12	*To whom correspondence should be addressed.
13	rmw2150@columbia.edu

Abstract

15 We present an *ab initio* study of the thermodynamic properties of cubic CaSiO₃ perovskite 16 (CaPv) over the pressure and temperature range of the Earth's lower mantle. We compute the 17 anharmonic phonon dispersions throughout the Brillouin zone by utilizing the phonon 18 quasiparticle approach, which characterizes the intrinsic temperature dependence of phonon 19 frequencies and, in principle, captures full anharmonicity. Such temperature-dependent phonon 20 dispersions are used to calculate *ab initio* free energy in the thermodynamic limit $(N \rightarrow \infty)$ within the framework of the phonon gas model. Accurate free energy calculations enable us to investigate 21 22 cubic CaPv's thermodynamic properties, e.g., thermal expansivity, Grüneisen parameter, bulk 23 modulus, heat capacity and thermal equation of state, where anharmonic effects are demonstrated. 24 The present methodology provides an important theoretical approach for exploring phase 25 boundaries, thermodynamic, and thermoelastic properties of strongly anharmonic materials at high

26 pressures and temperatures.

27 I. INTRODUCTION

28 CaSiO₃ perovskite (CaPv) is believed to be the third most abundant mineral in the Earth's lower 29 mantle (LM), which constitutes 7 vol% of a pyrolitic LM [1,2]. As opposed to MgSiO₃ perovskite 30 (MgPv) and MgO periclase (Pc), the first and second most abundant phases of the LM, of which 31 thermodynamic [3,4] and thermoelastic [5,6] properties have been systematically investigated at 32 high pressures (P) and high temperatures (T), CaPv's thermal properties have not been well 33 characterized [7–10], mainly because of its strong anharmonicity. At low temperatures, e.g., T <500 K [11,12], CaPv adopts a variety of tetragonal or orthorhombic phases [13]. At high 34 35 temperatures, CaPv is dynamically stabilized by anharmonic interactions, and a cubic structure 36 develops [11,12,14,15]. Although the exact P-T conditions under which the phase transition to 37 cubic CaPv happens are still under debate [14,16], it is widely believed that under the LM conditions, 23 < P < 135 GPa and 2000 < T < 4000 K [5,17], the cubic phase with $Pm\bar{3}m$ space 38 39 group is adopted [11,12].

40 Measurements of cubic CaPv's thermodynamic and thermoelastic properties are challenging 41 because experiments are required to be performed at high P-T and cubic CaPv is unquenchable to 42 ambient conditions [11]. Noguchi et al. [15] and Sun et al. [18] measured P-V-T data of cubic 43 CaPv up to 150 GPa and 2600 K [18], but the compression curves under high P-T conditions have 44 relatively large uncertainties. Recently, Gréaux et al. [19] and Thomson et al. [12] measured 45 compressional wave (v_n) and shear wave (v_s) velocities of cubic CaPv up to 23 GPa and 1700 K 46 [19], whereas their reported thermoelastic parameters under LM conditions are based on 47 extrapolations. Ab initio studies of cubic CaPv's thermodynamic and thermoelastic properties also encounter difficulties. The quasiharmonic approximation (QHA), which has been successfully 48 49 applied to MgPv and Pc [3-6], is invalid for cubic CaPv because of the presence of unstable 50 phonon normal modes with imaginary frequencies at all pressures using harmonic phonon 51 calculations [7,11]. Kawai and Tsuchiya [20,21] conducted *ab initio* molecular dynamics (MD) 52 simulations to study the thermodynamic and thermoelastic properties of cubic CaPv. However, 53 whether the thermodynamic properties [20] are fully converged is questionable due to the finite-54 size effect inherent in the MD approach [11]. Recently, Prentice et al. [22] applied the self-55 consistent vibrational field (VSCF) method to investigate the anharmonic vibrational properties of 56 CaPv, and the cubic phase was confirmed at LM conditions. A more in-depth and systematic

investigation of cubic CaPv's thermal properties is required for a complete understanding of thedynamic state of the deep Earth.

59 In this study, we report the anharmonic thermodynamic properties of cubic CaPv at LM 60 conditions by using the well-established phonon quasiparticle approach [23]. The phonon 61 quasiparticle approach is a hybrid approach combining ab initio lattice dynamics and MD 62 simulations, which fully accounts for anharmonic effects. In other words, it treats phonon 63 anharmonicity to all orders in principle. It has been successfully applied to strongly [11,24] and 64 weakly [23] anharmonic systems, metallic [24] and non-metallic [11,23] anharmonic systems, and 65 used to compute anharmonic phonon dispersions [11,23–25], anharmonic free energies [11,23], a 66 pre-melting phase transition [24], and lattice thermal conductivities [26,27]. Here the phonon 67 quasiparticles of cubic CaPv are first extracted from mode-projected velocity autocorrelation 68 function (VAF) obtained by *ab initio* MD simulations. Next, the phonon quasiparticle frequencies, 69 known as renormalized frequencies, are Fourier interpolated over the Brillouin zone (BZ). Then 70 the thermodynamic properties in the thermodynamic limit $(N \rightarrow \infty)$ are obtained within the 71 framework of the phonon gas model (PGM) [28,29].

The PGM always serves as a paradigm in calculating the thermodynamic properties of 72 73 crystalline materials, which uses the phonon spectrum to compute vibrational entropy, free energy, 74 and, thus, thermodynamic quantities. For weakly anharmonic systems, a commonly used 75 simplification of the PGM is the QHA, which neglects the intrinsic temperature dependence of 76 phonon frequencies and treats phonon frequencies as explicitly volume-dependent only. The QHA 77 works well for materials of this class because it accounts for the extrinsic temperature dependence 78 of the phonon frequencies caused by volume change. The QHA fails in strongly anharmonic 79 systems, whereas the PGM in general still applies as long as the phonon quasiparticles are well-80 defined, i.e., with well-defined frequencies and lifetimes [30]. The ab initio MD-based direct free 81 energy method, e.g., thermodynamic integration (TI) [31], is another widely used method in 82 dealing with strong anharmonicity. However, to approach the thermodynamic limit, conducting TI 83 using *ab initio* MD with a sufficiently large supercell is beyond the current computational 84 capability. The VSCF method can investigate the vibrational free energy of strongly anharmonic 85 crystals without conducting ab initio MD. Nevertheless, vibrational calculations with a dense q-86 mesh can still be expensive [22]. The advantage of the present PGM approach is that it uses the 87 phonon quasiparticle spectrum obtained on a sufficiently large **q**-mesh to compute well converged

thermodynamic quantities [11,23]. Furthermore, here we correct the total energy error originating

89 in the density functional theory (DFT) calculations [32,33] by making reference to previously

90 reported experimental *P-V-T* data [15,19] of cubic CaPv.

91

92 II. METHOD

In the present approach, a phonon quasiparticle of normal mode (\mathbf{q}, s) is numerically defined by the VAF [11,23],

95

116

$$\left\langle V_{\mathbf{q}s}(0) \cdot V_{\mathbf{q}s}(t) \right\rangle = \lim_{\tau \to \infty} \frac{1}{\tau} \int_0^\tau V_{\mathbf{q}s}^*(t') V_{\mathbf{q}s}(t'+t) dt', \tag{1}$$

96 where $V_{qs}(t) = \sum_{i=1}^{N} V(t) \cdot e^{i\mathbf{q}\cdot\mathbf{r}_i} \cdot \hat{\mathbf{e}}_{qs}$ is the (\mathbf{q}, s) -mode-projected velocity. \mathbf{q} is the phonon wave 97 vector, and *s* labels the 3*n* phonon branches of an *n*-atom primitive cell. V(t) =98 $V\left(\sqrt{M_1}\mathbf{v}_1(t), \dots, \sqrt{M_N}\mathbf{v}_N(t)\right)$ is the mass-weighted velocity with 3*N* components, where 99 $\mathbf{v}_i(t)(i = 1, \dots, N)$ is atomic velocity produced by *ab initio* MD simulations of an *N*-atom 90 supercell, and M_i is the atomic mass of the *i*th atom in the supercell. $\hat{\mathbf{e}}_{qs}$ is the harmonic phonon 91 polarization vector of mode (\mathbf{q}, s) , which is calculated by the density functional perturbation theory 92 (DFPT) [34]. For a well-defined phonon quasiparticle, its power spectrum,

103
$$G_{\mathbf{q}s}(\omega) = \left| \int_0^\infty \langle V_{\mathbf{q}s}(0) \cdot V_{\mathbf{q}s}(t) \rangle e^{i\omega t} dt \right|^2$$
(2)

should have a Lorentzian-type line shape with a peak at $\tilde{\omega}_{qs}$ and a phonon linewidth of $1/(2\tau_{qs})$ [23,30], $\tilde{\omega}_{qs}$ being the (**q**, *s*)-mode renormalized frequency and τ_{qs} being the lifetime. Phonon lifetimes can be used to investigate the lattice thermal conductivity [26,27]. Here we rely on the renormalized frequencies to compute anharmonic thermodynamic properties.

As reported by the previous studies, the effective harmonic dynamical matrix can be constructed
as [11,23,24],

110 $\widetilde{D}(\mathbf{q}) = [\widehat{\mathbf{e}}_{\mathbf{q}}]\Omega_{\mathbf{q}}[\widehat{\mathbf{e}}_{\mathbf{q}}]^{\dagger}, \qquad (3)$

where the diagonal matrix $\Omega_{\mathbf{q}} = \text{diag}[\widetilde{\omega}_{\mathbf{q}1}^2, \widetilde{\omega}_{\mathbf{q}2}^2, ..., \widetilde{\omega}_{\mathbf{q}3N}^2]$ contains $\widetilde{\omega}_{\mathbf{q}s}^2$ in the diagonal, and $[\hat{\mathbf{e}}_{\mathbf{q}}] = [\hat{\mathbf{e}}_{\mathbf{q}1}, \hat{\mathbf{e}}_{\mathbf{q}2}, ..., \hat{\mathbf{e}}_{\mathbf{q}3N}]$ is the matrix of harmonic eigenvectors. The effective harmonic force constant matrix, $\widetilde{\Phi}(\mathbf{r})$, can be obtained from the Fourier transform of $\widetilde{D}(\mathbf{q})$, where the anharmonic interaction is effectively captured. Therefore, $\widetilde{\omega}_{\mathbf{q}'s}$ at any wave vector \mathbf{q}' in the BZ can be obtained by diagonalizing,

$$\widetilde{D}(\mathbf{q}') = \sum_{\mathbf{r}} \widetilde{\Phi}(\mathbf{r}) \cdot e^{-i\mathbf{q}\cdot\mathbf{r}},\tag{4}$$

from which the anharmonic phonon dispersion and vibrational density of states (VDoS) at finitetemperatures are computed.

119 Here, ab initio MD simulations were carried out in the NVT ensemble using the DFT-based 120 Vienna *ab initio* simulation package (VASP) [35] employing the local density approximation 121 (LDA) and the projected-augmented wave method (PAW) [36]. Cubic CaPv was simulated with a $2 \times 2 \times 2$ (40 atoms) supercell with adopting a shifted $2 \times 2 \times 2$ k-mesh and a kinetic energy 122 cutoff of 550 eV. Our previous study has shown that $2 \times 2 \times 2$ supercell of CaPv is sufficient to 123 124 converge anharmonic interaction and anharmonic phonon dispersion [11], since anharmonic 125 contributions of interatomic forces have shorter ranges than the harmonic ones. MD simulations 126 were conducted on a series of volumes (V), 44.39, 40.26, 36.77, 34.34, and 32.49 Å³/primitive cell, 127 corresponding to densities (ρ), 4.35, 4.79, 5.25, 5.62 and 5.94 g/cm³, respectively. The temperature 128 ranging from 1500 to 4000 K was controlled by Nosé thermostat [37]. Each simulation ran for over 60 ps with a time step of 1 fs. Harmonic phonon normal modes were calculated using DFPT 129 130 [34] implemented in the VASP package. Throughout the V, T range investigated, phonon 131 quasiparticles were well-defined, and the cubic phase of CaPv was confirmed.

132

133 III. RESULTS AND DISCUSSION

The renormalized phonon frequencies, $\widetilde{\omega}_{qs}$, are first extracted from phonon quasiparticles 134 135 sampled by the MD simulations. In order to converge thermodynamic properties, it is desirable to obtain $\widetilde{\omega}_{qs}$ on a much denser q-mesh to approximate the thermodynamic limit. Eq. (4) enable us 136 to obtain $\widetilde{\omega}_{qs}$ at any q-point throughout the BZ, hence the anharmonic phonon dispersion and 137 138 VDoS [11,23–25]. The obtained temperature-dependent phonon dispersions of cubic CaPv at $\rho =$ 5.25 g/cm³ are showcased in Fig. 1(a), where the intrinsic temperature-dependence of $\tilde{\omega}_{qs}$ in the 139 BZ is clearly exhibited. The corresponding temperature-dependent VDoS obtained on a 140 141 $20 \times 20 \times 20$ q-mesh approximating the thermodynamic limit are shown in Fig. 1(b). Except for the acoustic branches centered at wave vector $\mathbf{R}(\frac{1}{2},\frac{1}{2},\frac{1}{2})$, which only accounts for a small portion 142 of the phonon dispersion, frequencies of most phonon modes are weakly temperature-dependent. 143 144 This is counterintuitive since CaPv is strongly anharmonic. The acoustic modes at R correspond 145 to the soft modes with imaginary frequencies from harmonic phonon calculations. The coupling 146 of the cubic phase stability with the phonon concept's validity has been carefully checked in our

147 previous study [11]. Once the cubic phase is stabilized, and the phonon picture is valid, the 148 frequencies of most of the other phonon modes are not strongly temperature-dependent in the 149 temperature range studied (1500 < T < 4000 K). $\tilde{\omega}_{as}$ of most phonon branches show nonmonotonic 150 temperature-dependence, while only optical modes with $\tilde{\omega}_{qs}$ above ~800 cm⁻¹ display discernible 151 frequency shift down with increasing temperature. Nevertheless, such temperature-dependence is 152 comparable to that of MgPv, which is weakly anharmonic [23,26], at the same P-T conditions [27]. 153 The anharmonic phonon dispersions are further used to compute vibrational entropy (S) and free 154 energy (F) within the framework of the PGM [28,29].

When using the temperature-dependent phonon dispersions to compute thermodynamic properties, the QHA free energy formula is no longer valid. Nevertheless, the entropy formula [11,23,24,30],

158
$$S(T) = k_B \sum_{qs} [(n_{qs} + 1) \ln(n_{qs} + 1) - n_{qs} \ln n_{qs}], \qquad (5)$$

159 where $n_{qs} = [\exp(\hbar \tilde{\omega}_{qs}(T)/k_B T) - 1]^{-1}$, is still applicable. $\tilde{\omega}_{qs}(T)$ at arbitrary temperatures 160 were obtained by fitting a second-order polynomial in *T* to $\tilde{\omega}_{qs}$ [23,24] calculated at several 161 temperatures and constant volume. The obtained *S*(*T*) at different densities are shown in Fig. 2(a). 162 The Helmholtz free energy can be obtained by [24],

163
$$F(V,T) = E(V,T_0) - T_0 S(V,T_0) - \int_{T_0}^T S(T') dT',$$
 (6)

164 where the reference temperature $T_0 = 1500$ K, and $E(V, T_0)$ is the time-averaged internal energy obtained from the MD simulation at T_0 . The obtained F(V) at different temperatures are shown as 165 dashed curves in Fig. 2(b). The present PGM approach relying on renormalized phonon 166 167 frequencies to compute vibrational entropy, free energy and thermodynamic quantities overcomes 168 the deficiencies of QHA in dealing with strongly anharmonic materials in two aspects. First, the 169 intrinsic anharmonic effects arise from phonon-phonon interaction, and the phonon frequency is 170 explicitly temperature-dependent instead of implicitly dependent on volume only. Second, the 171 crystal structure is stabilized by anharmonic interactions only at high temperatures while being 172 dynamically unstable at low temperatures. Our previous studies have shown that the present PGM 173 approach gives consistent anharmonic entropy with that provided by TI using the same supercell 174 [11,23]. Besides, by Fourier interpolating the renormalized phonon frequencies over the BZ, the 175 PGM also overcomes the finite-size effect inherent in TI [11,23], the simulation cell size of which 176 is limited by the computational capability of ab initio MD. The difference between well converged

free energy in the thermodynamic limit and the one obtained from a finite size supercell issignificant for determining phase boundaries [23,24].

179 Cubic CaPv's isothermal equations of state (EoS) are computed by fitting the F(V, T) to a third-180 order finite strain expansion at each temperature. For practical applications of these results to 181 Earth's interior modeling, errors in the total energy originating in the exchange-correlation 182 functional used, the LDA, and possibly also in the PAWs adopted are undesirable. Here we 183 introduce an additional correction to F(V,T) to bring the calculated EoS into full agreement with 184 experimentally measured high-temperature EoSs [12,15,18,19]. Anharmonicity, in principle, is 185 adequately addressed by the quasiparticle approach. To obtain theoretical isothermal compression 186 curves in good agreement with experiments, it is desirable to provide proper constraints at both 187 low and high pressures. Here we adopted Gréaux et al.'s [19] and Noguchi et al.'s [15] 188 experimental P-V-T data for cubic CaPv to impose such constraints at low and high pressures, 189 respectively. As for other recently reported experimental results, Thomson et al. [12] conducted 190 measurements up to ~ 16 GPa and therefore provides the same constraint of the compression curve 191 by Gréaux et al. [19] at low pressures. Sun et al.'s [18] and Noguchi et al.'s [15] measurements 192 have relatively significant uncertainties in pressure. Noguchi et al. [15] performed both laser 193 heating and external heating diamond-anvil-cell (DAC) experiments, and their data are consistent 194 with Gréaux et al.'s [19] data obtained in multi-anvil. The experimental data by Sun et al. [18] 195 were obtained using a laser-heated DAC, while multi-anvil and resistance-heated DAC should 196 have better temperature control. Therefore, Sun et al.'s [18] measurements were not used in the energy correction procedure. The reference temperature, T_{ref} , chosen to make the correction was 197 1600 K since experimental P-V data is available near this temperature. Noguchi et al.'s 198 199 measurements were conducted at ~1600 K [15] and Gréaux et al.'s measurements at ~1500 and 200 ~1700 K [19]. The calculated compression curve was corrected by adopting the generalized Kunc-201 Syassen scheme (KSr) [32,33],

202
$$\Delta V(P) = \frac{V_0^{exp}}{V_0^{DFT}} V\left(\frac{K_0^{DFT}}{K_0^{exp}}P, K_{exp}'\right) - V_{DFT}(P), \tag{7}$$

where V_0^{exp} , K_0^{exp} and K'_{exp} are parameters obtained from measurements at T_{ref} , while V_0^{DFT} , K_0^{DFT} and K'_{DFT} are parameters obtained from F(V,T) at the same T_{ref} . V_0 , K_0 and K' are isothermal EoS parameters, i.e., equilibrium volume, bulk modulus and pressure derivative of the bulk modulus, respectively, obtained at T_{ref} . $\Delta V(P)$ can then be easily inverted to give $\Delta P(V)$. In

207 this way, the correction to F(V,T) at T_{ref} can be obtained as $\Delta F(V) = \int \Delta P(V) dV$ [33]. Note the 208 choice of the integral lower bound is not unique and can shift the energy value. However, this 209 energy shift does not change any thermodynamic quantities since they are obtained by taking free 210 energy derivatives. Only how energy varies as a function of temperature and volume matters in 211 this study. Once the F(V) was corrected at T_{ref} , the same correction $\Delta F(V)$ was then applied to 212 other temperatures, i.e., 1500 K < T < 4000 K. The corrected F(V) at different temperatures are 213 shown as solid curves in Fig. 2(b). Note the temperature-independent energy correction is made 214 only to the DFT energy, while the temperature-dependent vibrational energies, including 215 anharmonicity, should be adequately addressed by the phonon quasiparticle approach combined 216 with the PGM. In this study, thermodynamic quantities obtained before (dashed curves) and after 217 (solid curves) adding the correction term are both given. By fitting the corrected F(V,T) to a third-218 order finite strain expansion at each temperature, the resulting pressure-volume EoS isotherms are 219 shown as solid curves in Fig. 3, along with uncorrected ones shown as dashed curves. The 220 corrected EoS are in good agreement with measured data within experimental uncertainties. At T_0 221 = 1500 K, the EoS parameters obtained are $V_0 = 46.39 \text{ Å}^3/\text{primitive cell}, K_{T0} = 264 \text{ GPa}, \text{ and } K'_{T0}$ = 3.1, where V_0 is the equilibrium volume, K_{T0} is the isothermal bulk modulus at V_0 , and K'_{T0} is 222 223 the pressure derivative of the isothermal bulk modulus at V_0 , respectively.

With V(P,T) and P(V,T) obtained, cubic CaPv's thermal expansivity (α) and isothermal bulk modulus (K_T) are readily calculated as,

226

$$\alpha = \frac{1}{v} \left(\frac{\partial V}{\partial T} \right)_P,\tag{8}$$

- 227 and,
- 228

$$K_T = -V \left(\frac{\partial P}{\partial V}\right)_T.$$
(9)

229 The obtained $\alpha(T)$ and $K_T(T)$ at a series of LM pressures are displayed in Figs. 4(a) and 4(b), 230 respectively. Compared with α of MgPv [3] and Pc [4] obtained using the QHA, the inclusion of 231 intrinsic anharmonic effects for cubic CaPv gives a slow and approximately linear temperature-232 dependence of α at low pressures [4]. With increasing pressure, α decreases, and the effects of 233 temperature become less and less pronounced, resulting essentially in temperature-independent α 234 at constant pressure. The α by Kawai and Tsuchiya 2014 [20] is also shown for comparison. 235 Compared with our results before EoS correction, their α has a rather rapid temperature-236 dependence and is larger than ours at low pressures and high temperatures. The overestimation of 237 α , especially at low pressures and high temperatures, is an indication of the inadequacy of the 238 QHA [4,38]. Hence it is likely also a hint of not fully accounting for anharmonic effects in the 239 work by Kawai and Tsuchiya 2014 [20]. Apart from the anharmonic effects, the EoS correction 240 also contributes to the discrepancy in α between the present study and Kawai and Tsuchiya 2014 241 [20].

242 The thermodynamic Grüneisen parameter (γ) is a very important quantity often used to quantify 243 the relationship between thermal and elastic properties. It is defined as

244

$$\gamma = \frac{V\alpha K_T}{C_V},\tag{10}$$

where C_V is the isochoric heat capacity. It is also a useful indicator of the importance of 245 246 anharmonicity, increasing with the latter [4]. The calculated $\gamma(T)$ at a series of pressures are shown 247 in Fig. 5. Similar to α , cubic CaPv's γ is nearly independent of temperature at all LM pressures. 248 In some cases, γ of CaPv slowly decreases with temperature, as opposed to the monotonically 249 increasing behavior reported by Kawai and Tsuchiya 2014 [20], and those of MgPv [3] and Pc [4] 250 obtained by QHA. Unlike α , the discrepancy in γ between our study and Kawai and Tsuchiya 251 2014 [20] originates mainly in whether anharmonicity being fully accounted for. The EoS 252 correction plays a minor role. The volume dependence of γ is often expressed by a parameter, q =253 $(\partial \ln \gamma / \partial \ln V)_T$. At P = 20 GPa and T = 1500 K, we find q = 0.80. At 20 GPa and with increasing 254 temperature, q decreases to 0.38 at 4000 K. At 1500 K, with increasing pressure, q decreases to -255 0.16 at 140 GPa.

 C_V of cubic CaPv is calculated from temperature-dependent anharmonic phonon dispersions by,

257

256

$$C_V = T \left(\frac{\partial S}{\partial T}\right)_V,\tag{11}$$

258 within the PGM. Figure 6(a) compares the C_V calculated this way accounting for full anharmonicity, with that derived from temperature-independent anharmonic phonon dispersion 259 260 obtained only at the reference temperature $T_0 = 1500$ K. With increasing temperature, the latter C_V 261 converges to the Dulong-Petit classical limit, $3nk_B$, which is also the high-temperature limit 262 within the Debye model [39] for harmonic crystal with temperature-independent phonon 263 frequencies. While the C_V obtained with temperature-dependent phonon spectra accounting for full 264 anharmonicity can be higher than such classical limit at high temperatures [40,41] (see the solid 265 black curve in Fig. 6(a)). The anharmonic contribution to CaPv's C_V at constant volume increases 266 nearly linearly with temperature [40]. Hence, C_V is another important and straightforward indicator

267 of anharmonic effects inherent in the phonon frequencies. The isobaric heat capacity (C_P) is given 268 by

269

$$C_P = C_V (1 + \gamma \alpha T), \tag{12}$$

270 the temperature and pressure dependence of which are summarized in Fig. 6(b). C_P is valuable for 271 experimentally determining CaPv's lattice thermal conductivity, $\kappa = D\rho C_P$, where *D* is the 272 measured thermal diffusivity.

273 The adiabatic bulk modulus (K_S) is related to the isothermal one (K_T) by,

274

$$K_S = K_T (1 + \gamma \alpha T). \tag{13}$$

275 The obtained $K_{\rm s}(T)$ at different pressures are displayed in Fig. 7(a), compared with a previous study by Kawai and Tsuchiya 2014 [20]. At all pressures, K_S is nearly temperature-independent 276 277 and slightly decreases with temperature. The $K_{S}(P)$ along several isotherms are shown in Fig. 7(b), 278 compared with previous studies by Kawai and Tsuchiya 2015 [21], and Thomson et al. [12]. 279 Thomson *et al.* conducted measurements for cubic CaPv's v_p and v_s in a narrow pressure range, 280 i.e., up to ~16 GPa, and extrapolated its thermoelastic properties to LM conditions using literature 281 P-V-T data [12]. The different experimental literature data used in the present study [15,19] and Thomson et al. [12,15,18,42,43] results in a discrepancy in the pressure dependence of K_S . Besides, 282 the present study makes DFT energy correction with reference to the literature data at $T_{ref} = 1600$ 283 284 K, while anharmonicity is adequately addressed by the phonon quasiparticle approach at higher 285 temperatures. Note that Kawai and Tsuchiya 2014 [20] obtained K_S in the thermodynamic way via 286 Eq. (13), while Kawai and Tsuchiya 2015 [21] obtained K_S by calculating the thermoelastic 287 parameters, which slightly differs from the former. The discrepancy in K_S between our study and 288 Kawai and Tsuchiya 2014 [20] results from the lack of EoS correction using experimental P-V-T 289 data by them and the accumulated differences in α and γ accounting for anharmonicity. As for 290 Kawai and Tsuchiya 2015, our calculated K_S before EoS correction agrees with their results 291 relatively well, meaning the anharmonic effects on K_S are properly addressed by Kawai and 292 Tsuchiya 2015 [21]. The discrepancy between our corrected results and theirs originates in our 293 EoS correction process.

The Mie-Grüneisen EoS [44,45] is a commonly used relation to determine the high-temperature pressure in shock-compressed solids. It can be described as,

296

$$P(V,T) = P_0(V) + P_{th}(V,T),$$
(14)

where P_0 is the pressure at the reference temperature, T_0 , and P_{th} is the thermal pressure. In principle, P_0 is well described by the third-order Birch-Murnaghan EoS,

299
$$P_0(V) = \frac{3}{2} K_{T0} \left[\left(\frac{V}{V_0} \right)^{-7/3} - \left(\frac{V}{V_0} \right)^{-5/3} \right] \left\{ 1 + \frac{3}{4} \left(K_{T0}' - 4 \right) \left[\left(\frac{V}{V_0} \right)^{-2/3} - 1 \right] \right\}.$$
(15)

300 P_{th} is expressed by the difference of thermal energy, E_{th} , between T and T_0 ,

301
$$P_{th}(V,T) = \frac{\gamma_{mg}(V)}{V} [E_{th}(V,T) - E_{th}(V,T_0)], \qquad (16)$$

302 where γ_{mg} is the Mie-Grüneisen EoS Grüneisen parameter. E_{th} is related to the Debye 303 temperature, θ ,

304
$$E_{th}(V,T) = 9nRT \left(\frac{\theta(V)}{T}\right)^{-3} \int_0^{\theta(V)/T} \frac{x^3}{e^{x}-1} dx,$$
(17)

305 where *n* is the number of atoms per formula unit, and *R* is the gas constant. $\gamma_{mg}(V)$ is expressed 306 as,

307
$$\gamma_{mg}(V) = \gamma_{mg0} \left(\frac{v}{v_0}\right)^{q_{mg}},\tag{18}$$

308 where q_{mg} is a volume-independent parameter. $\theta(V)$ is expressed as,

309
$$\theta(V) = \theta_0 \exp\left(-\frac{\gamma_{mg} - \gamma_{mg0}}{q_{mg}}\right), \tag{19}$$

310 where γ_{mg0} and θ_0 are the Mie-Grüneisen EoS Grüneisen parameter and Debye temperature at 311 (V_0, T_0) , respectively.

Here we chose $T_0 = 1500$ K and adopted the obtained isothermal EoS parameters, V_0 , K_{T0} and K'_{T0} . Then we fit the calculated *P-V-T* data at higher temperatures to the Mie-Grüneisen EoS relation to obtain the remaining EoS parameters, θ_0 , γ_{mg0} and q_{mg} . We found γ_{mg0} and q_{mg} to be insensitive to the variation of θ_0 , which is consistent with previous reports [15,18]. Also, it is a common practice to fix θ_0 [18,20,42,43], and fit for γ_{mg0} and q_{mg} . Therefore, we first evaluated θ_0 from the Debye model [39,46],

318
$$\theta = \frac{h}{k_B} \left(\frac{3nN_A\rho}{4\pi M}\right)^{1/3} \nu_m, \tag{20}$$

where h, N_A and M are Plank constant, Avogadro number, and molecular mass per formula unit. v_m is the average wave velocity integrated over several crystal directions [46],

321
$$v_m = \left[\frac{1}{3}\left(\frac{1}{v_p^3} + \frac{2}{v_s^3}\right)\right]^{-1/3}.$$
 (21)

322 Here we adopted $v_p = 9.28$ km/s and $v_s = 5.17$ km/s of cubic CaPv at 0 GPa and 1500 K from 323 Gréaux et al. [19]. The resulting θ_0 is 815 K. Finally, by fixing θ_0 and allowing γ_{mg0} and q_{mg} to vary, we obtained the fitting parameters $\gamma_{mg0} = 1.49$, and $q_{mg} = 0.68$. The obtained Mie-324 325 Grüneisen EoS parameters perfectly describe the calculated P-V-T data shown in Fig. 3, which are 326 summarized in Table I and compared with several previous studies [12,15,18,20,42,43]. We did 327 not express the Mie-Grüneisen EoS at low reference temperatures, e.g., 300 K, for two reasons. 328 First, cubic CaPv is unquenchable to ambient conditions and unstable at low temperatures. Second, 329 anharmonicity addressed by phonon quasiparticles cannot be extrapolated to low temperatures at 330 which quasiparticles are not well-defined and the stable structure is different.

An interesting fact to note is that the thermodynamic Grüneisen parameter, γ , defined by Eq. (10) and displayed in Fig. 5, differs from the Mie-Grüneisen EoS Grüneisen parameter, γ_{mg} . For example, at 1500 K and 30 GPa, our calculated $\gamma = 1.48$, while $\gamma_{mg} = 1.40$. At 1500 K and 140 GPa, $\gamma = 1.24$, while $\gamma_{mg} = 1.20$. The two quantities coincide with each other [44,45] when satisfying three criteria. First, the system is within the framework of QHA, so that γ can be approximated by $\bar{\gamma}$ [44,45],

$$\bar{\gamma} = \frac{\sum_{i} \gamma_i c_{V_i}}{c_V},\tag{22}$$

338 where C_{V_i} is the mode isochoric heat capacity, and $\gamma_i = -(\partial \ln \omega_i / \partial \ln V)$ is the mode Grüneisen 339 parameter. Meanwhile, γ_{mg} is associated with γ_i [44,45],

340 $\gamma_{mg} = \frac{\sum_{i} \gamma_{i} E_{th_{i}}}{E_{th}},$ (23)

where E_{th_i} is the mode thermal energy. Second, by assuming all γ_i are equal to each other [45], γ_i is factored out of Eq. (22) and (23), in which way $\gamma = \bar{\gamma} = \gamma_{mg}$. However, realistically, γ_i are not equal. Therefore third, only at sufficiently high temperature, e.g., all C_{V_i} are equal and all E_{th_i} are equal, γ_{mg} is an approximation to $\bar{\gamma}$ [45]. Here for cubic CaPv, none of the criteria is satisfied, resulting in a difference between γ and γ_{mg} .

346

347 IV. CONCLUSIONS

In summary, we have computed the temperature-dependent anharmonic phonon dispersions of cubic CaPv throughout the Earth's lower mantle conditions using the phonon quasiparticle approach. Anharmonic phonon dispersions with stable phonons enabled us to evaluate the *ab initio*

- 351 free energy, F(V,T), in the thermodynamic limit $(N \to \infty)$ [11,23,24] within the phonon gas 352 model [28,29]. DFT energy errors were corrected by carefully combing [32,33] calculated F(V,T)353 and pressure, P(V,T), with experimental P-V-T data [15,19]. The corrected F(V,T) was used to 354 investigate the cubic CaPv's thermal equation of state (EoS) and several thermodynamic quantities 355 of interest. The calculated thermal expansivity and thermodynamic Grüneisen parameter show 356 nearly temperature-independent behavior, which is a sign of the importance of anharmonic effects 357 [4]. The intrinsic temperature dependence of phonon frequencies caused by phonon-phonon 358 interaction leads to a discernibly larger isochoric heat capacity beyond the classical limit at high 359 temperatures [40,41]. The calculated P-V-T data are also fit to the Mie-Grüneisen EoS. The 360 obtained Mie-Grüneisen EoS Grüneisen parameter differs [44,45] from the thermodynamic 361 Grüneisen parameter, which is, in part, also caused by anharmonicity. The present approach for 362 accurate free energy calculations can be applied to investigate phase boundaries [24] and 363 thermodynamic and thermoelastic properties of other strongly anharmonic systems at high 364 pressures and temperatures.
- 365

366 ACKNOWLEDGMENTS

This work was primarily funded primarily by the US Department of Energy Grant DE-SC0019759 and in part by the National Science Foundation (NSF) award EAR-1918126. This work used the Extreme Science and Engineering Discovery Environment (XSEDE), USA, which was supported by the NSF Grant ACI-1548562. Computations were performed on Stampede2, the flagship supercomputer at the Texas Advanced Computing Center (TACC), the University of Texas at Austin generously funded by the NSF through Grant ACI-1134872.

373

374 REFERENCES

- J. J. Valencia-Cardona, G. Shukla, Z. Wu, C. Houser, D. A. Yuen, and R. M. Wentzcovitch,
 Geophys. Res. Lett. 44, 4863 (2017).
- 377 [2] W. F. McDonough and S.-S. Sun, Chem. Geol. **120**, 223 (1995).
- 378 [3] B. B. Karki, R. M. Wentzcovitch, S. de Gironcoli, and S. Baroni, Phys. Rev. B 62, 14750
 379 (2000).
- 380 [4] B. B. Karki, R. M. Wentzcovitch, S. de Gironcoli, and S. Baroni, Phys. Rev. B 61, 8793
 381 (2000).

- 382 [5] B. B. Karki, R. M. Wentzcovitch, S. de Gironcoli, and S. Baroni, Phys. Rev. Lett. 92, 018501
 383 (2004).
- 384 [6] B. B. Karki, R. M. Wentzcovitch, S. de Gironcoli, and S. Baroni, Science 286, 1705 (1999).
- 385 [7] L. Stixrude, R. Cohen, R. Yu, and H. Krakauer, Am. Mineral. 81, 1293 (1996).
- 386 [8] S. Shim, R. Jeanloz, and T. Duffy, Geophys. Res. Lett. 29, 2166 (2002).
- 387 [9] R. M. Wentzcovitch, Y. G. Yu, and Z. Wu, Rev. Mineral. Geochem. 71, 59 (2010).
- 388 [10] R. M. Wentzcovitch, Y. G. Yu, and Z. Wu, Rev. Mineral. Geochem. 71, 99 (2010).
- 389 [11] T. Sun, D.-B. Zhang, and R. M. Wentzcovitch, Phys. Rev. B 89, 094109 (2014).
- 390 [12] A. R. Thomson, W. A. Crichton, J. P. Brodholt, I. G. Wood, N. C. Siersch, J. M. R. Muir, D.
 391 P. Dobson, and S. A. Hunt, Nature **572**, 643 (2019).
- 392 [13] R. Caracas, R. M. Wentzcovitch, G. D. Price, and J. Brodholt, Geophys. Res. Lett. 32,
 393 L06306 (2005).
- 394 [14] T. Komabayashi, K. Hirose, N. Sata, Y. Ohishi, and L. S. Dubrovinsky, Earth Planet. Sci.
 395 Lett. 260, 564 (2007).
- 396 [15] M. Noguchi, T. Komabayashi, K. Hirose, and Y. Ohishi, Phys. Chem. Miner. 40, 81 (2013).
- 397 [16] T. Uchida *et al.*, Earth Planet. Sci. Lett. **282**, 268 (2009).
- 398 [17] A. Zerr, X. Diegeler, and R. Boehler, Science 281, 243 (1998).
- 399 [18] N. Sun, Z. Mao, S. Yan, X. Wu, V. B. Prakapenka, and J.-F. Lin, J. Geophys. Res. Solid
 400 Earth 121, 4876 (2016).
- 401 [19] S. Gréaux, T. Irifune, Y. Higo, Y. Tange, T. Arimoto, Z. Liu, and A. Yamada, Nature 565,
 402 218 (2019).
- 403 [20] K. Kawai and T. Tsuchiya, J. Geophys. Res. Solid Earth 119, 2801 (2014).
- 404 [21] K. Kawai and T. Tsuchiya, Geophys. Res. Lett. 42, 2718 (2015).
- 405 [22] J. C. A. Prentice, R. Maezono, R. J. Needs, Phys. Rev. B 99, 064101 (2019).
- 406 [23] D.-B. Zhang, T. Sun, and R. M. Wentzcovitch, Phys. Rev. Lett. 112, 058501 (2014).
- 407 [24] Y. Lu, T. Sun, Ping Zhang, P. Zhang, D.-B. Zhang, and R. M. Wentzcovitch, Phys. Rev. Lett.
 408 118, 145702 (2017).
- 409 [25] Z. Zhang, D.-B. Zhang, T. Sun, and R. M. Wentzcovitch, Comput. Phys. Commun. 243, 110
 410 (2019).
- 411 [26] D.-B. Zhang, P. B. Allen, T. Sun, and R. M. Wentzcovitch, Phys. Rev. B 96, 100302(R)
 412 (2017).

- [27] Z. Zhang, D.-B. Zhang, K. Onga, A. Hasegawa, K. Onta, K. Hirose, and R. M. Wentzcovitch,
 arXiv:2005.08289.
- 415 [28] D. C. Wallace, *Thermodynamics of Crystals* (Wiley, New York, 1972).
- 416 [29] G. Grimvall, *Thermophysical Properties of Materials*, enlarged and revised edition. (North
- 417 Holland, Amsterdam, 1999).
- 418 [30] T. Sun, X. Shen, and P. B. Allen, Phys. Rev. B 82, 224304 (2010).
- 419 [31] D. Alfé, G. A. de Wijs, G. Kresse, and M. J. Gillan, Int. J. Quantum Chem. 77, 871 (2000).
- 420 [32] K. Kunc and K. Syassen, Phys. Rev. B 81, 134102 (2010).
- 421 [33] M. L. Marcondes and R. M. Wentzcovitch, J. Appl. Phys. 117, 215902 (2015).
- 422 [34] S. Baroni, S. de Gironcoli, A. D. Corso, and P. Giannozzi, Rev. Mod. Phys. 73, 515 (2001).
- 423 [35] G. Kresse and J. Furthmüller, Phys. Rev. B 54, 11169 (1996).
- 424 [36] P. E. Blöchl, Phys. Rev. B 50, 17953 (1994).
- 425 [37] W. G. Hoover, Phys. Rev. A **31**, 1695 (1985).
- 426 [38] B. B. Karki, R. M. Wentzcovitch, Phys. Rev. B 68, 224304 (2003).
- 427 [39] C. Kittel, Introduction to Solid State Physics, eighth edition. (Wiley, USA, 2004).
- 428 [40] J. M. Keller and D. C. Wallace, Phys. Rev. 126, 1275 (1962).
- 429 [41] P. Gillet, P. Richet, F. Guyot, and G. Fiquet, J. Geophys. Res. 96, 11805 (1991).
- 430 [42] Y. Wang, D. J. Weidner, and F. Guyot, J. Geophys. Res. 101, 661 (1996).
- 431 [43] S.-H. Shim and T. S. Duffy, J. Geophys. Res. 105, 25955 (2000).
- 432 [44] I. Jackson and S. M. Rigden, Phys. Earth Planet. Inter. 96, 85 (1996).
- [45] O. L. Anderson, *Equations of state of solids for geophysics and ceramic science*. (Oxford
 University Press, New York, 1995).
- 435 [46] O. L. Anderson, J. Phys. Chem. Solids 24, 909 (1963).

	This study	Wang <i>et al</i> .	Shim and Duffy	Noguchi <i>et al</i> .	Kawai and Tsuchiya	Sun <i>et al</i> .	Thomson <i>et al</i> .
T_0 (K)	1500	300	300	700	1000	300	300
V_0 (Å ³)	46.39	45.58	45.58	46.5	46.17	45.4	45.57
<i>К</i> _{то} (GPa)	264	232	236	207	203.5	249	248
K'_{T0}	3.1	4.8	3.9	4	4.76	4	3.6
θ_0 (K)	815	1100	1000	1300	1100	1000	771
γ_{mg0}	1.49	1.7	1.92	2.7	1.576	1.8	1.67
q_{mg}	0.68	1.0	0.6	1.2	0.96	1.1	1.1

TABLE I. Mie-Grüneisen EoS parameters of this study compared with previous studies[12,15,18,20,42,43].



440 FIG. 1. Anharmonic (a) phonon dispersions and (b) vibrational density of states (VDoS) at a series

441 of temperatures at constant density.



443 FIG. 2. (a) Vibrational entropy (S) vs. T at a series of densities. (b) Helmholtz free energy (F) vs.

V at a series of temperatures before (dashed curves) and after (solid curves) DFT energy correction.



445

446 FIG. 3. Isothermal third-order finite strain EoS at a series of temperatures before (dashed curves)

447 and after (solid curves) DFT energy correction, compared with experimental measurements

448 [15,19]. Error bars show the experimental uncertainties.



450 FIG. 4. (a) Thermal expansivity (α) and (b) isothermal bulk modulus (K_T) vs. *T* at a series of 451 pressures before (dashed curves) and after (solid curves) DFT energy correction. Dash-dotted 452 curves are results from a previous study [20].



454 FIG. 5. Thermodynamic Grüneisen parameter (γ) vs. T at a series of pressures before (dashed

455 curves) and after (solid curves) DFT energy correction. Dash-dotted curves are results from a456 previous study [20].



FIG. 6. (a) Isochoric heat capacity (C_V) vs. *T* at constant density. Solid black curve was calculated from temperature-dependent anharmonic VDoS, and solid blue curve was calculated from temperature-independent anharmonic VDoS obtained only at the reference temperature $T_0 = 1500$ K. Red dashed line labels the classical limit, $3nk_B$. (b) Isobaric heat capacity (C_P) vs. *T* at a series of pressures before (dashed curves) and after (solid curves) DFT energy correction. Dash-dotted curves are results from a previous study [20].



465 FIG. 7. (a) Adiabatic bulk modulus (K_S) vs. T at a series of pressures before (dashed curves) and

466 after (solid curves) DFT energy correction. (b) K_S vs. P along several isotherms. Dash-dotted and 467 dash-dot-dotted curves are results from previous studies [12,20,21].