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Phys. Rev. B **103**, 094410 — Published 5 March 2021

DOI: [10.1103/PhysRevB.103.094410](https://doi.org/10.1103/PhysRevB.103.094410)

# First Principles Calculation of Dzyaloshinskii-Moriya Interaction: A Green's function Approach

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(Dated: February 24, 2021)

We present a Greens function approach to calculate the Dzyaloshinskii-Moriya interactions (DMI) from first principles electronic structure calculations, that is computationally more efficient and accurate than the most-commonly employed supercell and generalized Bloch-based approaches. The method is applied to the (111) Co/Pt bilayer where the Co- and/or Pt-thickness dependence of the DMI coefficients are calculated. Overall, the calculated DMI are in relatively good agreement with the corresponding values reported experimentally. Furthermore, we investigate the effect of strain in the DMI tensor elements and show that the isotropic Néel DMI can be significantly modulated by the normal strains,  $\epsilon_{xx}, \epsilon_{yy}$  and is relatively insensitive to the shear strain,  $\epsilon_{xy}$ . Moreover, we show that anisotropic strains,  $(\epsilon_{xx} - \epsilon_{yy})$  and  $\epsilon_{xy}$ , result in the emergence of anisotropic Néel- and Bloch-type DMIs, respectively.

## INTRODUCTION

Magnetic Skyrmions[1–5] are noncollinear spin configurations found in materials with strong spin-orbit coupling (SOC) and non-centrosymmetric crystal structures which lack an inversion center. Their existence were theoretically predicted three decades ago[4, 5] and experimentally observed recently in chiral magnets and other B20-type bulk materials, such as MnSi[6], Fe<sub>1-x</sub>Co<sub>x</sub>Si[7], FeGe[8] and Mn<sub>1-x</sub>Fe<sub>x</sub>Ge[9]. Bilayer devices consisting of ferromagnets interfaced with heavy-metals (HMs), with their mirror symmetry broken across the interface, also provide the necessary condition for the emergence of skyrmions.[10–19] The noncollinearity of the spin configuration is conventionally parameterized by the Dzyaloshinskii-Moriya interaction[20, 21] (DMI); an anti-symmetric exchange interaction between atomic magnetic moments, which is generally measured experimentally using Brillouin Light Scattering (BLS) [22–28]. The DMI energy for two spins  $\vec{S}_1$  and  $\vec{S}_2$  separated by a distance  $\vec{R}$  is of the form  $E_{DMI} = \vec{d}_{\vec{R}} \cdot (\vec{S}_1 \times \vec{S}_2)$ , [20, 21] where the DMI vector generally consists of two terms[29], referred to as the Bloch,  $\vec{d}^B$  and Néel,  $\vec{d}^N$  components, respectively. In metallic systems the DMI is mediated by the conduction electrons. Conduction electrons with spin-momentum coupling of the Rashba type are known to lead to a Néel DMI. [30–33] This type of helicity is often present at the interface between two different materials, where, the broken inversion symmetry normal to the interface results in a DMI vector of the form,  $\vec{d}^N = d^N \vec{e}_z \times \vec{R}/|\vec{R}|$ , where  $\vec{e}_z$  is the unit vector normal to the interface. On the other hand, systems with Dresselhaus type SOC, which is often manifested in the bulk region, exhibit a Bloch type DMI vector, [6, 34, 35] of the form  $\vec{d}^B = -d^B \vec{R}/|\vec{R}|$ .

Magnetic skyrmions are promising candidates for several applications, [36] including racetrack memory [37–39], skyrmion based logic gates, [40] microwave devices [41],

and neuromorphic computing [42, 43]. Such devices require efficient ways of skyrmion manipulation [44–49], including creation, annihilation, motion, and detection. Interestingly, recent experiments have demonstrated the electric field- [50–52] and/or strain-mediated [53] modulation of the interfacial DMI which may provide a novel function for skyrmion- or chiral domain wall-based spintronic devices. However, in order for the skyrmion nucleation to be energetically feasible and stable at room temperature, large DMI values are required, [19, 54–56] making it difficult to significantly modulate the sign and/or amplitude of the DMI. To address this issue, first principles calculations provide a promising avenue in the search for devices with large DMI that are also responsive to external perturbations.

Several first principles approaches have so far been introduced to calculate the DMI. These include the super-cell [57], the generalized Bloch theorem [58], the Korringa-Kohn-Rostoker Green function (KKR-GF) [59] and the Berry curvature [60] methods. Even though the super-cell approach to evaluate the DMI from first principles is the most common, it is computationally demanding and hence limits its application to systems with small number of atoms. The generalized Bloch theorem approach is computationally less demanding than the super-cell, albeit, at the cost of taking into account the effect of SOC perturbatively. On the other hand, the KKR-GF and Berry curvature methods are computationally the most efficient while taking into account the effect of SOC exactly.

Developing an accurate and efficient first principles approach to calculate the DMI and its manipulation via current [61], electric field [62], and strain [63, 64] is of paramount importance in the spintronics community. In this paper, we employ Greens function method to calculate the atomistic exchange coupling from the magnon band structure in the spin-spiral regime. The DMIs are calculated for the Pt/Co bilayer for various Pt and/or Co thickness and are compared with the corresponding val-

ues reported in experiments, where the overall agreement is good. Furthermore, we have investigated the effect of strain on the DMI tensor elements which is in relative agreement with the recent experimental observation.[53]

## THEORETICAL FORMALISM

### Phenomenological Modeling

Investigations of magnetic properties of materials at the phenomenological level based on model spin Hamiltonians may be formulated either in the continuum (micromagnetic) or in the discrete atomistic representation.

The Hamiltonian governing the atomic spin dynamics can be generally written as,

$$E_M = -\frac{1}{2} \sum_{ij; \vec{R}\vec{R}'; \alpha\beta} J_{\vec{R}-\vec{R}'}^{ij, \alpha\beta} \vec{m}_{\vec{R}}^i \cdot \vec{e}_\alpha \vec{m}_{\vec{R}'}^j \cdot \vec{e}_\beta, \quad (1)$$

where  $\vec{m}_{\vec{R}}^{i(j)}$  is a unit vector along the local magnetic moment of the  $i$  ( $j$ )th atom within the unit cell at  $\vec{R}$  ( $\vec{R}'$ ),  $J_{\vec{R}-\vec{R}'}^{ij, \alpha\beta}$  is the relativistic exchange coupling between the two local moments, and  $\vec{e}_\alpha$  is a unit vector along the  $\alpha = x, y, z$  direction.

The Landau-Lifshitz equation of motion is then given by,

$$\frac{M_i}{2\mu_B} \frac{\partial}{\partial t} \vec{m}_{\vec{R}}^i = \vec{m}_{\vec{R}}^i \times [\hat{J}\vec{m}]_{\vec{R}}^i, \quad (2a)$$

$$[\hat{J}\vec{m}]_{\vec{R}}^i \cdot \vec{e}_\alpha = \sum_{\vec{R}'j\beta} J_{\vec{R}-\vec{R}'}^{ij, \alpha\beta} \vec{m}_{\vec{R}'}^j \cdot \vec{e}_\beta, \quad (2b)$$

where  $M_i$  is the magnetic moment of the  $i$ th atom. In the linear regime the time-dependent local moment direction can be written as

$$\vec{m}_{\vec{R}}^i(t) \approx \vec{e}_z + \delta_{\vec{R}}^{i,x}(t)\vec{e}_x + \delta_{\vec{R}}^{i,y}(t)\vec{e}_y \quad (3)$$

where, for simplicity we choose  $\vec{e}_z$  as the direction around which the magnetization is precessing. A generalization of the approach to any given equilibrium magnetization direction is straightforward.

In the ‘‘spin spiral’’ regime we ignore the independent dynamics of magnetic moments within the unit cell, where the equations of motion in momentum space are given by,

$$\frac{M_{tot}}{2\mu_B} \frac{\partial \delta_{\vec{q}}^x}{\partial t} = -J_{\vec{q}}^{yx} \delta_{\vec{q}}^x - (J_{\vec{q}}^{yy} - J_0^{zz}) \delta_{\vec{q}}^y \quad (4a)$$

$$\frac{M_{tot}}{2\mu_B} \frac{\partial \delta_{\vec{q}}^y}{\partial t} = J_{\vec{q}}^{xy} \delta_{\vec{q}}^y + (J_{\vec{q}}^{xx} - J_0^{zz}) \delta_{\vec{q}}^x. \quad (4b)$$

Here,  $M_{tot} = \sum_i M_i$  is the total magnetic moment per unit cell and

$$J_{\vec{q}}^{\alpha\beta} = \sum_{ij, \vec{R}} J_{\vec{R}}^{ij, \alpha\beta} e^{i(\vec{r}_i - \vec{r}_j + \vec{R}) \cdot \vec{q}}. \quad (5)$$

We can in turn make a connection between the parameters of the continuum model and the micromagnetic energy defined as

$$E_M = \sum_{\alpha\beta} \int d^3\vec{R} \left[ A_{\alpha\beta} \frac{\partial m^\alpha}{\partial R_\beta} \frac{\partial m^\alpha}{\partial R_\beta} + K_{\alpha\beta} m^\alpha m^\beta + D_{\alpha\beta} \left( \vec{m} \times \frac{\partial \vec{m}}{\partial R_\alpha} \right) \cdot \vec{e}_\beta \right], \quad (6)$$

where  $A_{\alpha\beta}$ ,  $K_{\alpha\beta}$  and  $D_{\alpha\beta}$  are the exchange stiffness, MCA and DMI tensor elements, respectively. In this case the dynamical equations of motion are given by

$$\frac{M_{tot}}{4\mu_B V_M} \frac{\partial \vec{m}}{\partial t} = \sum_{\alpha\beta} \vec{m} \times \left( -A_{\alpha\beta} \frac{\partial^2 \vec{m}}{\partial R_\alpha \partial R_\beta} + K_{\alpha\beta} \vec{e}_\alpha m^\beta + D_{\alpha\beta} \frac{\partial \vec{m}}{\partial R_\beta} \times \vec{e}_\alpha \right). \quad (7)$$

Comparison of the linearized Eqs. (7) with the system of Eqs. (4) yields

$$K_{\alpha\beta} = \frac{1}{2V_M} J_{\vec{q}}^{\alpha\beta} \Big|_{\vec{q}=\Gamma}, \quad (8a)$$

$$D_{\alpha\beta} = \frac{1}{4V_M} \text{Im} \left( \sum_{\gamma\gamma'} \epsilon_{\beta\gamma\gamma'} \frac{\partial J_{\vec{q}}^{\gamma\gamma'}}{\partial q_\alpha} \right) \Big|_{\vec{q}=\Gamma}, \quad (8b)$$

$$A_{\alpha\beta} = \frac{1}{2V_M} \frac{\partial^2 J_{\vec{q}}^{\alpha\alpha}}{\partial q_\beta^2} \Big|_{\vec{q}=\Gamma}. \quad (8c)$$

Here, the Levi-Civita symbol,  $\epsilon_{\alpha\beta\gamma}$ , is introduced to convert the third-order DMI tensor in Lifshitz invariant presentation [65] to a second-order tensor and  $V_M$  is the volume of the unit cell of the ferromagnetic film. Eq. (8b) can be used to calculate the DMI tensor elements,  $D_{\alpha\beta}$ . However, consistent with the BLS method employed in experiments, in our first principles calculations we use the magnon dispersion method to evaluate the different DMI components.

In the following we provide a phenomenological treatment of the DMI. This can be achieved by extending the Néel,  $\vec{d}^N$ , and Bloch,  $\vec{d}^B$ , components of the DMI vector for a pair of magnetic moments to a lattice of magnetic ions, the DMI tensor elements for a ferromagnetic/heavy metal bilayer with broken mirror symmetry along the Cartesian  $z$ -axis, are given by,

$$D_{\alpha\beta} = \frac{1}{2V_M} \sum_{\vec{R}} \left[ d_{\vec{R}}^N \frac{\vec{e}_z \times \vec{R}}{|\vec{R}|} + d_{\vec{R}}^B \frac{\vec{R}}{|\vec{R}|} \right] \cdot \vec{e}_\alpha R_\beta. \quad (9)$$

For an isotropic system, where the interatomic DMI coupling depends only on  $|\vec{R}|$ , the Néel and Bloch components of the DMI tensor result in the off-diagonal and diagonal matrix elements, respectively,

$$D_{\alpha\beta}^{iso} = D^N \vec{e}_z \cdot \vec{e}_\alpha \times \vec{e}_\beta + D^B \vec{e}_\alpha \cdot \vec{e}_\beta, \quad (10)$$

where,  $D^N = \sum_n N_n R_n d_n^N / 4V_M$  and  $D^B = \sum_n N_n R_n d_n^B / 4V_M$ , and  $N_n$  denotes the number of nearest-neighbors of the  $n$ th shell. For example for a film with in-plane hexagonal crystal structure we have,  $(R_n/a)^2 = (1, 3, 4, 7, 9, \dots)$  and  $N_n = (6, 6, 6, 12, 6, \dots)$ .

On the other hand, for an anisotropic system, the diagonal elements,  $D_{\alpha\alpha}$ , of the DMI matrix are not necessarily identical and the off-diagonal elements (apart from the antisymmetric Néel component) can additionally acquire symmetric components. In this case, in line with Eq. (10) the *average* value of the diagonal elements denotes the *isotropic* Bloch DMI, while the additional terms responsible for the difference in the diagonal elements denote the *anisotropic* Bloch DMI. Similarly, we refer to the symmetric off-diagonal components of the DMI matrix as the *anisotropic* Néel components. For a bilayer system with broken mirror symmetry along the  $z$ -axis and two-fold ( $C_{2v}$ ) in-plane rotational symmetry, the nonzero DMI tensor elements include,  $D_{xx}$ ,  $D_{xy}$ ,  $D_{yx}$ , and  $D_{yy}$ . In this case, the four DMI components are,  $D_{iso}^N = (D_{xy} - D_{yx})/2$ ,  $D_{iso}^B = (D_{xx} + D_{yy})/2$ ,  $D_{an}^N = (D_{xy} + D_{yx})/2$ , and  $D_{an}^B = (D_{xx} - D_{yy})/2$ , which in turn give rise to different types of skyrmions. In Figs. 1(a) and (b) we show the Néel and Bloch type skyrmions, respectively, corresponding to the *isotropic* Néel and Bloch DMIs. On the other hand, as displayed in Figs. 1(c) and (d), the *anisotropic* and Néel Bloch DMIs,[66] which originate from the anisotropy in the planar crystal structure give rise to anti-skyrmions[67] that are related to each other by a  $45^\circ$  in-plane rotation.

### Magnon Dispersion

Solving the linearized LLG equations of motion, Eqs. (4), for equilibrium magnetization along  $z$  leads to the magnon dispersion,

$$\omega_{\vec{q}} = \frac{\mu_B}{M_{tot}} Im(J_{\vec{q}}^{xy} - J_{\vec{q}}^{yx}) \pm \omega_{\vec{q}}^0, \quad (11)$$

where the first term represents the DMI contribution to the magnonic energy which is an odd function of  $\vec{q}$ , while the second term is an even function of  $\vec{q}$  and is given by,

$$\omega_{\vec{q}}^0 = \frac{\sqrt{4(J_{\vec{q}}^{xx} - J_0^{zz})(J_{\vec{q}}^{yy} - J_0^{zz}) - (J_{\vec{q}}^{xy} + J_{\vec{q}}^{yx})^2}}{M_{tot}/\mu_B}. \quad (12)$$

Similarly, using the equations of motion, Eq. (7), of the micromagnetic model in the continuum limit, the magnon dispersion for a given equilibrium magnetization direction,  $\vec{m}_{eq}$  is given by

$$\omega_{\vec{q}} = \frac{4V_M\mu_B}{M_{tot}} \sum_{\alpha\beta} D_{\alpha\beta} q^\alpha m_{eq}^\beta \pm \omega_{\vec{q}}^0, \quad (13)$$

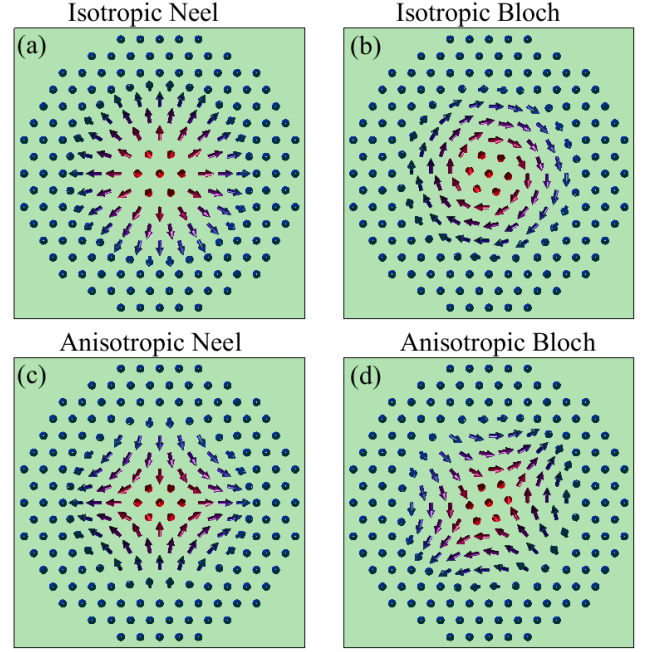


FIG. 1. Schematic spin texture for skyrmions resulting from isotropic (a) Néel and (b) Bloch DMI tensor components, and anti-skyrmions originating from anisotropic (c) Néel and (d) Bloch DMI tensor components. The red (blue) color of the arrows denotes the direction of the local magnetic moment along  $+\vec{e}_z$  ( $-\vec{e}_z$ ) direction.

where, the first term corresponds to the DMI contribution of the magnon energy and the second term is given by,

$$\left( \frac{\omega_{\vec{q}}^0}{2\mu_B V_M} \right)^2 = (\vec{H}_{\vec{q}}^{eff} \cdot \vec{m}_{eq})^2 + \sum_{\alpha\beta} adj[\hat{K}_{\vec{q}}^{eff}]_{\alpha\beta} m_{eq}^\alpha m_{eq}^\beta - \vec{H}_{\vec{q}}^{eff} \cdot \vec{m}_{eq} \sum_{\alpha} (K_{\vec{q},\alpha\alpha}^{eff} - \sum_{\beta} K_{\vec{q},\alpha\beta}^{eff} m_{eq}^\alpha m_{eq}^\beta). \quad (14)$$

Here,  $adj[...]$ , represents the adjoint of the matrix and,

$$\vec{H}_{\vec{q}}^{eff} \cdot \vec{e}_\alpha = \frac{2}{M_{tot}} \sum_{\beta} (A_{\alpha\beta} q_\beta^2 m_{eq}^\alpha - K_{\alpha\beta} m_{eq}^\beta) \quad (15)$$

$$K_{\vec{q},\alpha\beta}^{eff} = \frac{2}{M_{tot}} \sum_{\gamma} (A_{\alpha\gamma} q_\gamma^2 \delta_{\alpha\beta} - K_{\alpha\beta}). \quad (16)$$

The equilibrium magnetization orientation,  $\vec{m}_{eq}$  is found by the minimizing the magnetic energy of the collinear ferromagnet.

Therefore, the DMI contribution to the magnon energy for any given equilibrium magnetization direction in a system with isotropic crystal structure in the x-y plane is given by,

$$\omega_{\vec{q}}^{DMI} = \frac{4V_M\mu_B}{M_{tot}} \left( D^N \vec{e}_z \cdot \vec{q} \times \vec{m}_{eq} + D^B \vec{q} \cdot \vec{m}_{eq} \right). \quad (17)$$

## First Principles Calculations of the Exchange Coupling

We employ the Green's function method to calculate the exchange coupling elements. Within the linear combination of atomic orbitals (LCAO) basis, the multi-orbital Hamiltonian,  $\hat{H}_{\vec{R}}$ , describing the hopping of electrons between two unit-cells separated by  $\vec{R}$ , is given by,

$$\hat{H}_{\vec{R}} = \hat{H}_{\vec{R}}^0 + \hat{H}_{\vec{R}}^{soc} + \hat{\Delta}_{\vec{R}}\hat{\sigma}_z. \quad (18)$$

Here,  $\hat{H}_{\vec{R}}^0$  is the paramagnetic (spin-independent) term,  $\hat{H}_{\vec{R}}^{soc}$  is the SOC term and  $\hat{\Delta}_{\vec{R}}$  is the exchange splitting matrix responsible for magnetism in the ferromagnet. Note that the SOC and exchange splitting terms are even and odd with respect to time reversal symmetry, respectively.

The effective magnetic field acting on the spin moment of the  $i$ th ion within a unit cell located at  $\vec{R}$  is given by

$$\vec{B}_{\vec{R},i} = -\left\langle \frac{\partial \hat{\mathbf{H}}}{\partial \vec{m}_{\vec{R}}^i} \right\rangle, \quad (19)$$

where,  $\langle \dots \rangle = \int dE \text{ImTr}(\dots \hat{\mathbf{G}}) f(E) / \pi$ , is the expectation value and the Hamiltonian matrix elements are given by,  $\hat{\mathbf{H}}_{\vec{R},\vec{R}'} = \hat{H}_{\vec{R}-\vec{R}'}$ . The exchange coupling elements entering the magnon dispersion in Eq. (11) are calculated from,[68–73]

$$J_0^{i,zz} = -\vec{B}_0^i \cdot \vec{e}_z \quad (20a)$$

$$= \int \frac{dE}{\pi} \text{ImTr}([\hat{\Delta G}]_{\vec{R}=0} \hat{\sigma}^z \hat{1}_i) f(E),$$

$$J_{\vec{R}}^{i,j,\alpha\beta} = -\partial B_0^{i,\alpha} / \partial (\vec{m}_{\vec{R}}^j \cdot \vec{e}_\beta) \quad (20b)$$

$$= \int \frac{dE}{\pi} \text{ImTr}([\hat{\Delta G}]_{\vec{R}} \hat{1}_i \hat{\sigma}^\alpha [\hat{\Delta G}]_{-\vec{R}} \hat{\sigma}^\beta \hat{1}_j) f(E).$$

Here,  $[\hat{\Delta G}]_{\vec{R}} = \sum_{\vec{k}} \hat{\Delta G}_{\vec{k}} e^{-i\vec{k} \cdot \vec{R}} / N_k$ ,  $N_k$  is the number of k-points,  $\hat{1}_i$  is the atomic position operator within the unit cell (diagonal matrix elements equal to one for atomic orbitals of the  $i$ th atom and zero otherwise),  $f(E)$  is the Fermi-Dirac distribution function and

$$\hat{G}_{\vec{k}} = (E \hat{O}_{\vec{k}} - \hat{H}_{\vec{k}})^{-1}, \quad (21)$$

is the Green's function, where the Fourier transformed Hamiltonian,  $\hat{H}_{\vec{k}} = \sum_{\vec{R}} \hat{H}_{\vec{R}} e^{i\vec{k} \cdot \vec{R}}$ , and similarly the overlap,  $\hat{O}_{\vec{k}}$ , matrices are calculated using the Linear Combination of Pseudo-Atomic Orbital (LCPAO) approach as implemented in the OpenMX package.[74–76]

## COMPUTATIONAL DETAILS

The Hamiltonian,  $\hat{H}_{\vec{R}}$ , and overlap,  $\hat{O}_{\vec{R}}$  matrix elements of the (111) Co/Pt slab consisting of various thicknesses of Co and Pt are determined from density functional theory calculations employing the OpenMX[74–76]

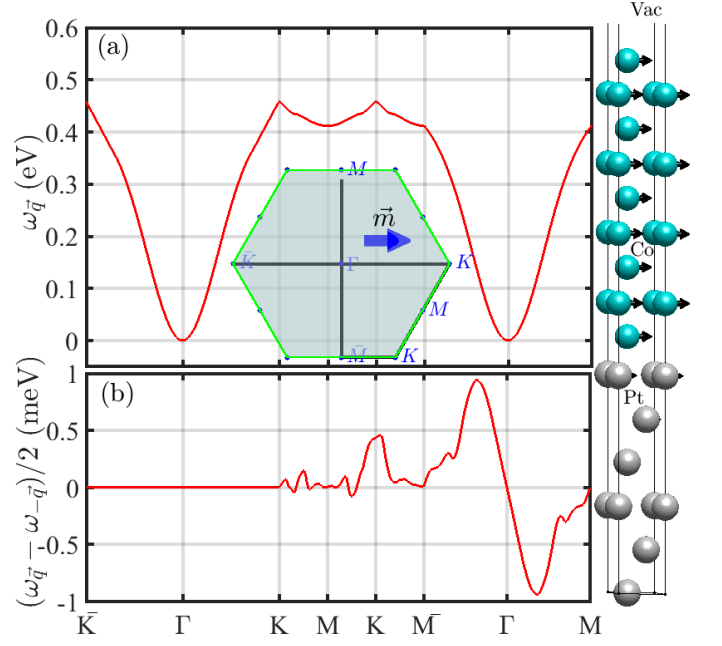


FIG. 2. (a) Magnon dispersion in the spin-spiral regime along high symmetry directions in the first Brillouin zone, depicted in the inset, for the (111) Co(1.7 nm)/Pt(1.4 nm) bilayer, shown in the right panel, with equilibrium magnetization along the  $\vec{K} - \vec{K}$  direction. (b) Anti-symmetric part of the magnon energy along high symmetry directions, where a non-zero slope around  $\Gamma$  gives the DMI.

*ab initio* package. We adopted Troullier-Martins type norm-conserving pseudopotentials[77] with partial core correction. We used a  $24 \times 24 \times 1$  k-point mesh for the first Brillouin zone (BZ) integration, and an energy cutoff of 500 Ry for numerical integrations in the real space grid. The localized orbitals were generated with radial cutoffs of 6.0 a.u and 7.0 a.u. for Co and Pt, respectively[74, 75]. We used the in-plane lattice parameter of  $a = 2.7 \text{ \AA}$  and the L(S)DA[78] exchange correlation functional as parameterized by Perdew and Zunger[79].

Structural relaxations were carried using the Vienna *ab initio* simulation package (VASP) [80, 81] within the generalized gradient approximation as parameterized by Perdew et al.[82] until the largest atomic force is smaller than  $0.01 \text{ eV \AA}^{-1}$ . The pseudopotential and wave functions are treated within the projector-augmented wave method [83, 84]. A  $15 \text{ \AA}$  thick vacuum region is introduced to separate the periodic slabs along the stacking direction. The plane wave cutoff energy was set to 500 eV and a  $14 \times 14 \times 1$  k-points mesh was used in the 2D BZ sampling.

In the calculations of the exchange coupling the energy integration in Eq. (20) was carried out using the Matsubara summation approach with the poles obtained from Ozaki's continued fraction method of the Fermi-Dirac distribution function. [85] The Fermi-Dirac distribution function temperature was set at  $k_B T = 25 \text{ meV}$

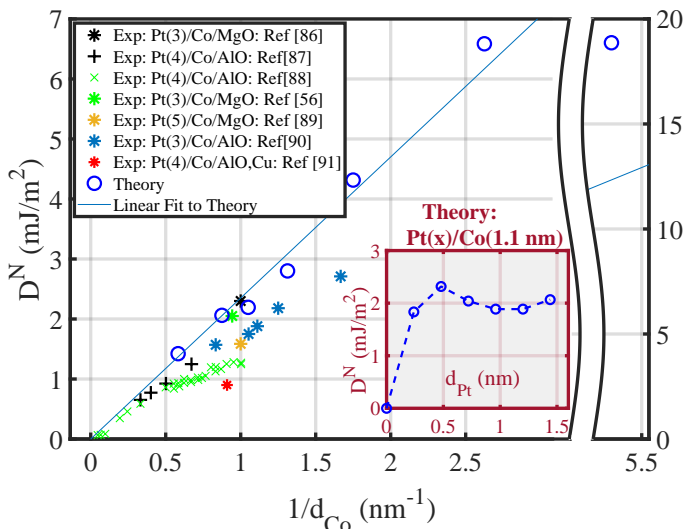


FIG. 3. *Ab initio* Néel DMI (open blue circles) versus inverse Co thicknesses for the (111) Pt (1.4 nm)/Co( $d_{\text{Co}}$ ) bilayer slab along with their linear fit (blue line). For comparison we also show the available experimental DMI values (stars and crosses) for various Pt( $d_{\text{Pt}}$  nm)/Co( $x$ ) bilayers grown on different insulating substrates. [56, 86–91]. Inset: *Ab initio* Néel DMI versus Pt thicknesses for the Pt( $d_{\text{Pt}}$ )/Co (1.1 nm) bilayer.

and 60 poles were employed for the Matsubara summation.

## RESULTS AND DISCUSSION

Fig. 2(a) shows the magnon dispersion,  $\omega_{\vec{q}}$ , calculated from Eq. (11) along the high symmetry directions of the 2D BZ, shown in the inset, for the Pt(1.4 nm)/Co(1.7 nm) bilayer with in-plane magnetization along the  $\vec{K} - K$  direction. The crystal structure is shown at the right side of Fig. 2. According to Eq. (17), the Bloch (Néel) DMI coefficient is given by the slope of  $\omega_{\vec{q}}^{\text{DMI}} = (\omega_{\vec{q}} - \omega_{-\vec{q}})/2$  with respect to  $q_y$  ( $q_x$ ) around the  $\Gamma$ -point. Fig. 2(b) shows the DMI contribution to the magnon energy along the high symmetry directions. A finite DMI magnon energy along the  $\vec{M} - M$  path  $\vec{q} \perp \vec{m}_{\text{eq}}$  suggests the presence of a nonzero Néel DMI,  $D^N$ , while the zero slope for the DMI magnon energy around  $\Gamma$  along the  $\vec{q} \parallel \vec{m}$  direction demonstrates the absence of Bloch DMI,  $D^B = 0$ .

Fig. 3 displays the variation of the *ab initio* calculated Néel DMI (open blue circles) for the Pt(1.4 nm)/Co( $d_{\text{Co}}$ ) bilayer as a function of the inverse Co thickness. The blue line is a linear square fit of the *ab initio* values. We also show for comparison the experimentally reported DMI values (stars and crosses) [56, 86–91] of Pt/Co( $x$ )/insulator heterostructures with different insulators. The linear fit, shown with the solid line, in Fig. 3 yields an interfacial DMI value of 2.35  $\text{pJ}/\text{m}$  which is

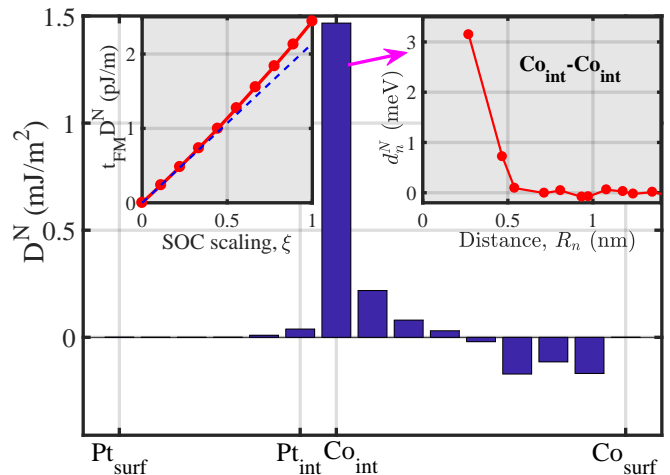


FIG. 4. Layer-resolved Néel DMI for the Pt(1.4 nm)/Co(1.7 nm) bilayer versus the layer index, where the  $\text{Pt}_{\text{int}}$  and  $\text{Co}_{\text{int}}$  denote the interfacial atoms while the  $\text{Pt}_{\text{surf}}$  and  $\text{Co}_{\text{surf}}$  denote the surface atoms. Left Inset: Total interfacial DMI versus SOC scaling factor, where the dashed line denotes the linear variation of the DMI with SOC. Right Inset: Néel DMI for a pair of Co atoms,  $d_n^N$ , on the interfacial layer versus their inter-atomic distance  $R_n$ .

slightly smaller than the earlier *ab initio* value of 2.78  $\text{pJ}/\text{m}$ [92]. The difference can be attributed to the smaller interfacial Co magnetic moment of 1.8  $\mu_B$  in this work, compared to the corresponding value of 1.95  $\mu_B$  reported in Ref.[92]. Overall, we find a relatively good agreement between our results and the experimentally reported data measured at room temperature. It should be noted that a small enhancement of the experimental results are expected due to the oxidation of the interfacial Co atoms with the insulating cap layer.[93] The relatively small overestimation of the theoretical results, which is more significant for thinner Co films may be attributed to the effect of temperature and the diffusion of atoms near the interface[57, 92, 94]. The inset shows the dependence of the calculated Néel DMI on the Pt thicknesses for the Pt( $d_{\text{Pt}}$ )/Co (1.1 nm) bilayer which is relatively weak, due to the fact that the interfacial Pt yields the large contributor to the DMI[57]. On the other hand, this result is in contrast to experimental observations [95], where a diffusion-like dependence of DMI versus Pt thickness has been reported.

In view of the interfacial nature of the DMI in heavy metal/ferromagnet bilayers, it is expected that the interfacial Co atoms experience the dominant contribution of the DMI. From Eq. (8) the DMI for an isotropic bilayer with magnetization direction along  $y$  ( $\vec{m}_{\text{eq}} \parallel \vec{e}_y$ ) and magnon propagation along  $x$  can be decomposed into its

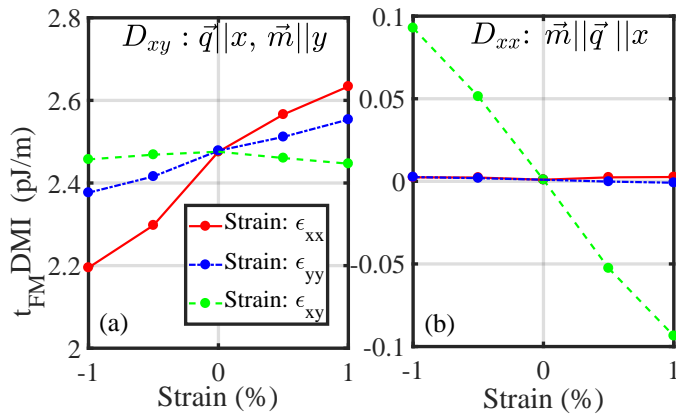


FIG. 5. (a) Interfacial Néel DMI,  $D_{xy}$ , of the Pt (1.4 nm)/Co (0.6 nm) bilayer for magnon propagation along  $x$  and magnetization direction along  $y$  versus  $\epsilon_{xx}$ ,  $\epsilon_{xy}$  and  $\epsilon_{yy}$  strain; (b) Interfacial Bloch DMI,  $D_{xx}$ , for magnon propagation and magnetization direction along  $x$ , versus  $\epsilon_{xx}$ ,  $\epsilon_{xy}$  and  $\epsilon_{yy}$  strain.

layer- and atom-resolved contribution as following

$$D_{R_n}^{N,ij} = \frac{1}{2V_M} \sum_{|\vec{R}|=R_n} J_{\vec{R}}^{ij,zx} (\vec{R} + \vec{r}_i - \vec{r}_j) \cdot \vec{e}_x. \quad (22)$$

In the case of the Pt/Co bilayer the atom-resolved DMI can be determined from  $D^{N;i} = \sum_j (D^{N:ij} + D^{N:ji})/2$ , where  $D^{N,ij} = \sum_{R_n} D_{R_n}^{N,ij}$ .

Fig. 4 shows the layer-resolved DMI versus the layer index of the Pt(1.4 nm)/Co(1.7 nm) bilayer. As expected, we find that the interfacial Co yields the dominant contribution. One can also determine from Eq. (22) the contribution of the  $n$ th nearest-neighbor atomic shell to the layer-resolved DMI. Comparing Eq. (22) with Eq. (9) and the corresponding discussion, we obtain,

$$d_n^{N,ij} = \frac{4V_M D_{R_n}^{N,ij}}{N_n R_n}. \quad (23)$$

The right inset in Fig. 4 shows the calculated,  $d_n^{N,ij}$ , where  $i, j \in Co_{int}$  lie on the interfacial Co layer. We find that the dominant contribution arises from the first- and to a lesser extent the second-nearest neighbor atoms. The left inset in Fig. 4 displays the total interfacial DMI for Pt(1.4 nm)/Co(1.7 nm) versus the SOC scaling factor. The small enhancement of the DMI from its linear variation (blue dashed line) for larger SOC values is due to the contributions from higher-order terms in SOC.

Finally, we have investigated the effect of strain on the DMI for various Pt and Co thickness. In the presence of strain,  $\epsilon_{ij}$ , the modified primitive lattice vectors,  $\vec{a}'_i$ , are given by  $(\vec{a}'_i - \vec{a}_i) \cdot \vec{e}_j = \sum_k \epsilon_{kj} \vec{a}_i \cdot \vec{e}_k$ , where the  $\vec{e}_j$ 's represent the unit vectors in Cartesian coordinates. Note that in Voigt notation the off-diagonal elements of the strain matrix,  $\epsilon_{i \neq j}$ , should be divided by 2 to avoid

TABLE I. Calculated interfacial elasto-DMI coefficients,  $\mathfrak{D}_{iso}^N = \frac{\partial}{\partial \epsilon_{xx}} (D_{xy} - D_{yx})$ ,  $\mathfrak{D}_{an}^N = \frac{\partial}{\partial \epsilon_{xx}} (D_{xy} + D_{yx})$  and  $\mathfrak{D}_{an}^B = \frac{1}{2} \frac{\partial}{\partial \epsilon_{xy}} D_{xx}$  for the Pt(1.4 nm)/Co(0.6 nm) and Pt(1.4 nm)/Co(1.1 nm) bilayers, where  $t_{FM}$  is the thickness of the Co thin film. The values of  $t_{FM} \mathfrak{D}$  are in units of (pJ/m).

	$t_{FM} \mathfrak{D}_{iso}^N$	$t_{FM} \mathfrak{D}_{an}^N$	$t_{FM} \mathfrak{D}_{an}^B$
Pt(1.4 nm)/Co(0.6 nm)	38	18	-5
Pt(1.4 nm)/Co(1.1 nm)	52	14	-8

double counting.[96, 97] Fig. 5(a) and (b) show the Néel,  $D_{yx}$ , and Bloch,  $D_{xx}$ , DMIs, respectively, multiplied by the thickness of the Co film, versus  $\epsilon_{xx}$ ,  $\epsilon_{yy}$  and  $\epsilon_{xy}$ , for the Pt (1.4 nm)/Co (0.6 nm) bilayer. We observe that under shear strain  $\epsilon_{xy}$  non-vanishing *anisotropic* Bloch (diagonal) DMI components,  $D_{xx} = -D_{yy}$ , emerge, while the Néel DMI, ( $D_{xy}, D_{yx}$ ) remains intact.

We have derived a general expression for the strain-induced change of the DMI given by,

$$\delta D_{\alpha\beta} = \left[ \frac{\epsilon_{\alpha\alpha} - \epsilon_{\beta\beta}}{2} \mathfrak{D}_{an}^N + \frac{\epsilon_{\alpha\alpha} + \epsilon_{\beta\beta}}{2} \mathfrak{D}_{iso}^N \right] \vec{e}_z \cdot \vec{e}_\alpha \times \vec{e}_\beta - 2 \mathfrak{D}_{an}^B \sum_{\alpha' \neq \alpha} \epsilon_{\alpha\alpha'} \vec{e}_z \cdot \vec{e}_{\alpha'} \times \vec{e}_\beta, \quad (24)$$

where the elasto-DMI coefficients are calculated from,  $\mathfrak{D}_{iso}^N = \frac{\partial}{\partial \epsilon_{xx}} (D_{xy} - D_{yx})$ ,  $\mathfrak{D}_{an}^N = \frac{\partial}{\partial \epsilon_{xx}} (D_{xy} + D_{yx})$  and  $\mathfrak{D}_{an}^B = \frac{1}{2} \frac{\partial}{\partial \epsilon_{xy}} D_{xx}$ . In Tabel. I, we list the values of the elasto-DMI coefficients for the Pt(1.4 nm)/Co(0.6 nm) and Pt(1.4 nm)/Co(1.1 nm) bilayers, respectively. The results show a larger modulation of the isotropic DMI,  $\mathfrak{D}_{iso}^N$  under strain. The calculated elasto-DMI coefficients are about 5 times smaller than the recently reported experimental values which lie in the range of 200-300 pJ/m.[53] However, since the experimental data correspond to a Pt/Co/Pt trilayer device which relies on the different quality of the two Pt/Co interfaces to break the mirror symmetry, a direct comparison with our *ab-initio* results may not be appropriate. Note that in polycrystalline systems an averaging of the anisotropic Bloch,  $\mathfrak{D}_{an}^B$ , and Néel,  $\mathfrak{D}_{an}^N$ , components is expected. The opposite sign of the anisotropic DMI under shear strain,  $\epsilon_{xy}$ , compared to  $\epsilon_{xx}$  results in their cancellation in the polycrystalline Pt/Co bilayers.

## CONCLUSIONS

In summary, we have presented an accurate and computationally efficient approach to calculate the DMI from first principles calculations. The approach was applied to the (111) Pt/Co bilayer where the DMI was calculated for various thicknesses of the Co and Pt thin films. Overall, the *ab initio* results are in relatively good agreement with a wide range of experiments on different insulating

substrates. The atom-resolved DMI suggests that the nearest-neighbor interfacial Co atoms yield the largest contribution to the DMI. We have also investigated the modulation of the DMI under various types of strain which in turn gives rise to large anisotropic DMI components. We find large changes of the isotropic DMI component under  $\epsilon_{\alpha\alpha}$  ( $\alpha = x, y$ ) strain. The strain-induced control of DMI in multiferroic devices may pave an efficient way for skyrmion and domain wall motion-based spintronic applications.

## ACKNOWLEDGMENTS

The work is supported by NSF ERC-Translational Applications of Nanoscale Multiferroic Systems (TANMS)-Grant No. 1160504, NSF PFI-RP Grant No. 1919109, and by NSF-Partnership in Research and Education in Materials (PREM) Grant No. DMR-1828019.

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