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Two-dimensional spin-valley locking spin valve

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A Valley Spin Valve

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Valleytronics is an emerging field of research which employs energy valleys in the band structure of two-dimensional (2D) electronic materials to encode information. A special interest has been triggered by the associated spin-valley coupling which reveals rich fundamental physics and enables new functionalities. Here, we propose exploiting the spin-valley locking in 2D materials with a large spin-orbit coupling (SOC) and electric field reversible valley spin polarization, such as germanene, stanene, a 1T'-transition metal dichalcogenide (TMDC) monolayer and a 2H-TMDC bilayer, to realize a valley spin valve (VSV). The valley spin polarization in these materials can be switched by an external electric field, which enables functionalities of a valley spin polarizer or a valley spin analyzer. When placed in series, they constitute the proposed VSV – a device which conductance state is ON or OFF depending on the relative valley spin polarization of the polarizer and the analyzer. Using quantum-transport calculations based on an adequate tight-binding model, we predict a giant VSV ratio of nearly 100% for both germanene- and stanene-based VSV devices. Our results demonstrate the implication of the spin-valley coupling in 2D materials for the novel device concept promising for valleytronics.

An electronic band structure of numerous crystalline materials exhibits inequivalent and well separated energy extrema in the momentum space, known as valleys. The valley index (also known as valley pseudospin) represents a discrete degree of freedom for low-energy carriers, which can be used as a state variable to encode information. The exploitation and manipulation of the valley pseudospin manifests an emerging field of research dubbed valleytronics.^{1,2}

One of the central concepts of valleytronics is the valley polarization. In analogy to the spin polarization in spintronics,³ the valley polarization is a disbalance in the electron occupation of two valleys. Monolayer (ML) transition metal dichalcogenides (TMD) MX_2 ($\text{M} = \text{Mo}, \text{W}; \text{X} = \text{S}, \text{Se}, \text{Te}$)⁴ provide a promising platform to explore the rich valley physics, such as the valley selective circular dichroism,^{5,6} the valley Hall effect,⁷ and valley excitons.⁸ Interesting phenomena and new functionalities are envisioned due to spin-valley coupling in these^{9,10} and related¹¹ two-dimensional (2D) materials. In particular, the strong spin–valley coupling was shown to enhance the spin and valley polarization lifetime,¹ lead to valley-controlled spin-dependent properties,⁹ and even produce magnetoelectric effects.¹² The spin-valley coupling allows control of the valley polarization by lifting the valley degeneracy. This can be achieved by applying an external magnetic field,^{13,14} by doping with transition metal atoms,^{15,16} or by growing on a magnetic insulator substrate via the proximity effect.¹⁷⁻²¹

The valley pseudospin provides interesting opportunities for novel electronic devices. For example, in analogy to the spin-valve effect in magnetic tunnel junctions,^{22,23} a valley-valve effect can be realized, which is the change of electrical resistance between two values modulated by the valley-dependent conductance. Since the intervalley transport requires flipping the valley index, the electric current is expected to be largely suppressed, which yields the high

resistance state. In contrast, the intravalley transport supports relatively low electrical resistance. The valley-valve effect has been predicted for a graphene nanoribbon where the valley polarization was inverted by local application of a gate voltage to the constriction region.²⁴ Related valley-valve effects in graphene were predicted, resulting from locally modulated electrostatic potentials.^{25,26}

In this work, we propose a valley spin valve (VSV), which relies on spin-valley locking. We consider a 2D system which has space inversion (P) and time-reversal (T) symmetries and the band dispersion with two well-separated valleys K and K' related by T -symmetry, where K is a non-time-reversal-invariant momentum (non-TRIM). In the absence of external electric field E , the band structure is both spin degenerate and valley degenerate (Fig. 1(a), central panel). Application of electric field E breaks the P -symmetry and splits the band structure into two spin sub-bands, in the presence of spin-orbit coupling (SOC) (Fig. 1(a), right panel). Independent of the specific type of SOC,²⁷ this spin splitting is Zeeman-like (i.e. the spin bands are split as if they were subject to an external magnetic field), resulting from the broken P -symmetry and non-TRIM K (K') valley point.^{9,28,29,30} The spin polarizations are opposite between the two valleys as enforced by T -symmetry. Changing the sign of E is equivalent to the P -symmetry operation, under which the momentum K transforms to K' ($-K$) while the spin remains invariant. The valley-dependent spin polarization is therefore fully reversed (Fig. 1(a), left panel). The switchable valley spin polarization can be realized in 2D materials, such as silicene,^{31,32} germanene,³³ stanene,^{34,35} 1T'- MX_2 ML³⁶ and 2H- MX_2 bilayer (BL).²⁸ All these materials have the required properties for the electric-field reversible valley spin polarization: they (i) preserve P and T symmetries, (ii) have well-separated valleys related by T symmetry and located at non-TRIM, and (iii) exhibit large SOC.

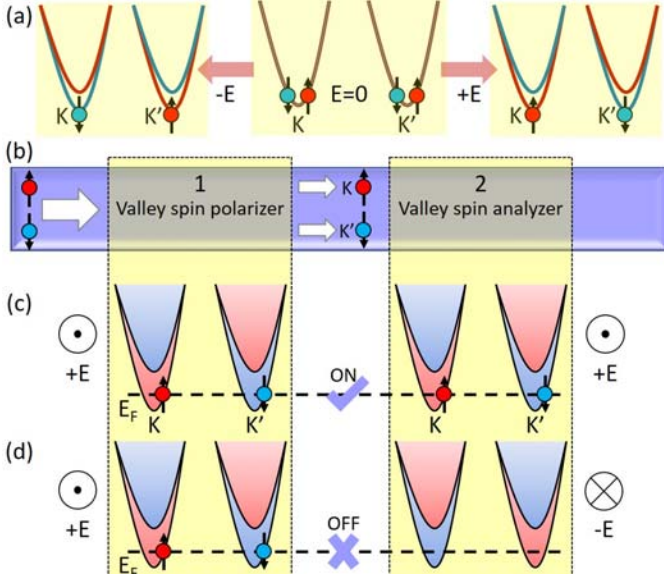


FIG. 1. (a) Schematic illustration of switchable valley spin polarization by applied electrical field E . K and K' denote two valleys, which are related by T -symmetry. Arrows indicate spin states. (b) Schematic illustration of the valley spin valve for parallel (c) and antiparallel (d) applied electric fields in regions 1 (spin valley polarizer) and 2 (spin valley analyzer). The dashed line indicates the Fermi energy, E_F . Electrons (filled circles) can be transmitted (ON state) or blocked (OFF state) depending of the relative orientation of the electric field E in regions 1 and 2.

The electrically controlled effect of spin-valley locking is the key property of the proposed VSV. The working principle for the VSV is illustrated in Figs. 1(b-c). Fig. 1(b) shows schematics of the device structure where two regions, designated as 1 and 2, serve a valley spin polarizer and a valley spin analyzer, respectively. The incoming electrons are neither spin polarized, nor valley polarized. In region 1, an electric field is applied normal to the plane. This field breaks the inversion symmetry and locks the spin and valley around the band edges so that electrons are spin-up polarized in valley K and spin-down polarized in valley K' (Fig. 1(b) left panels). Thus, after being transmitted across the valley spin polarizer (region 1 in Fig. 1(b)), electrons become fully spin polarized in each valley, with the spin polarization having opposite sign in valleys K and K' . The valley spin analyzer (region 2 in Fig. 1(b)) selects electrons according to their valley spin polarization, which can be controlled by the direction of an applied electric field in this region. If the applied field in region 2 is parallel to that in region 1, the valley spin polarization of the analyzer is the same as that of the polarizer, as shown in Fig. 1(c), and both spin-up and spin-down electrons can be efficiently transmitted through the intravalley transport. This configuration is expected to have low resistance (ON state). However, if the applied field in region 2

is antiparallel to that in region 1, the valley spin polarization of the analyzer is opposite to that of the polarizer, as shown in Fig. 1(d), and either-spin electrons encounter a potential barrier for the intravalley transport. Thus, this configuration is expected to produce much higher resistance of the device (OFF state).

To demonstrate the feasibility of the proposed VSV for realistic materials, we perform theoretical modeling of the electronic transport in germanene and stanene based devices, where SOC is expected to be sizable.³⁷⁻³⁹ The tight-binding Hamiltonian for germanene or stanene is given by^{38,40-42}

$$H = -t \sum_{\langle i,j \rangle \alpha} c_{i\alpha}^\dagger c_{j\alpha} + i \frac{\lambda_{SO}}{3\sqrt{3}} \sum_{\langle\langle i,j \rangle\rangle \alpha, \beta} v_{ij} c_{i\alpha}^\dagger \sigma_z^{\alpha\beta} c_{j\beta} + l E_z \sum_{i\alpha} \xi_i c_{i\alpha}^\dagger c_{i\alpha}, \quad (1)$$

where the first term is the nearest-neighbor hopping, $c_{i\alpha}^\dagger$ ($c_{j\alpha}$) is an electron creation (annihilation) operator at site i (j) with spin $\alpha = \uparrow, \downarrow$, t is the hopping parameter and $\langle i, j \rangle$ denotes the sum over the nearest-neighbor sites. The second term represents the intrinsic SOC with strength λ_{SO} , $\langle\langle i, j \rangle\rangle$ denotes the sum over the next-nearest-neighbor sites, σ_z is the z -component of the Pauli matrix and $v_{ij} = +1$ (-1) selects anticlockwise (clockwise) hopping with respect to the z axis (Fig. 2(c)). The third term arises from the applied electric field E_z , $\xi_i = +1$ (-1) distinguishes site $i = A$ (B) and $2l$ is the buckling height (Fig. 2(b)). According to Eq. (1), $[\sigma_z, H] = 0$, and thus the spin component σ_z is a good quantum number. We note that within our model, we ignore both the electric-field induced and intrinsic Rashba SOC. While the former is significantly smaller than the intrinsic SOC, the latter has a negligible effect on the calculated conductance (Supplemental Sec. 8⁴⁴). The following tight-binding parameters³⁷ are used for germanene: $t = 1.3$ eV, $\lambda_{SO} = 0.043$ eV, and $l = 0.33$ Å, and for stanene:³⁸ $t = 1.3$ eV, $\lambda_{SO} = 0.1$ eV, and $l = 0.4$ Å.

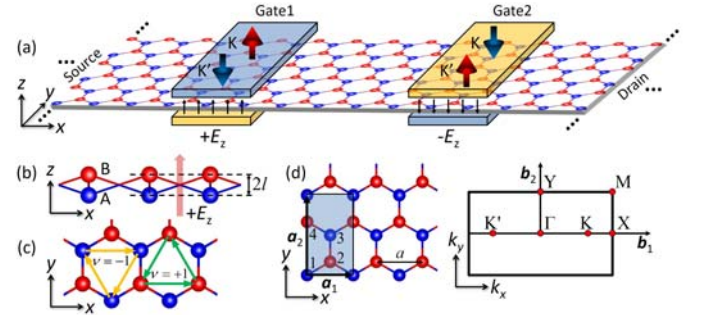


FIG. 2. (a) Schematic illustration of two-terminal device model. The two gates of width d_1 are separated by distance d_2 . E_z (black solid arrows) is the electric field generated by the gate voltage. The red and blue arrows denote spin orientation in the K and K' valleys for each gated region. (b) Side view of the monolayer structure. $2l$ is the A-B

sublattice buckling height. (c) Next-nearest-neighbor hopping for SOC. (d) Unit cell (left) and the first Brillouin zone (right) with high-symmetry k directions indicated, where $\mathbf{a}_1 = a\hat{x}$, $\mathbf{a}_2 = \sqrt{3}a\hat{y}$, $\mathbf{b}_1 = 2\pi/a\hat{x}$, $\mathbf{b}_2 = 2\pi/(\sqrt{3}a)\hat{y}$ and a is the lattice constant. Coordinates of the high symmetry k points are: $\Gamma(0, 0)$, $K(1/3, 0)$, $K'(-1/3, 0)$, $X(0.5, 0)$, $M(0.5, 0.5)$, $Y(0.0, 0.5)$.

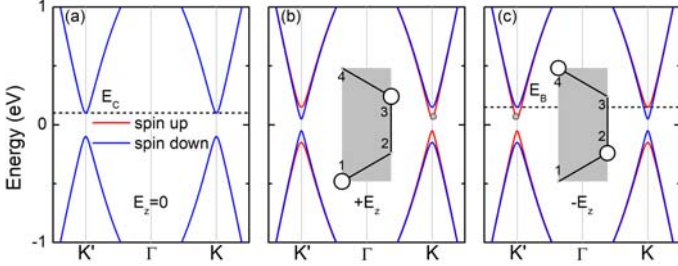


FIG. 3. Band structure of stanene along the X- Γ -X direction at the K and K' valleys for $E_z = 0$ (a), $E_z > 0$ ($E_z = 1.25$ V/nm) (b), and $E_z < 0$ ($E_z = -1.25$ V/nm) (c). The band structure along other k paths is shown in Fig. S1(a) of Supplemental Material.⁴⁴ Insets in (b) and (c) show site-projected eigenstates $|\psi_\Gamma|^2$ at the energies near the CBM (indicated by filled gray circles). The site-projected weight is proportional to the radius of the open circle. E_C denotes the CBM for $E_z = 0$. E_B denotes the spin-up (spin-down) CBM at the K (K') point.

Fig. 2(a) schematically shows the VSV structure, where the source (drain) electrode extends to $-\infty$ ($+\infty$) along the x -direction and two gates are placed within the central region. The polarity of each gate is controlled independently. When the gate voltages have the same magnitude and sign, we define the device state as “parallel”. When the gate voltages have the same magnitude but opposite sign, we define the device state as “antiparallel”. The figure of merit characterizing the VSV effect is the VSV conductance ratio η , which is defined as $\eta = (G_P - G_{AP}) / (G_P + G_{AP})$, with G_P (G_{AP}) being the total conductance for the device in the parallel (antiparallel) state. Fig. 2(d) shows the unit cell and the first Brillouin zone (BZ) used for the band structure calculations.

We first investigate the electronic band structure of stanene tunable by electric field E_z , using the Hamiltonian model of Eq. (1). The results are shown in Fig. 3. For $E_z = 0$ (Fig. 3(a)), a band gap of 0.2 eV is opened at the K and K' points by SOC. As expected, the bands are spin degenerate due to the combination of inversion and time-reversal symmetries. When E_z is non-zero ($E_z = 1.25$ V/nm in Fig. 3(b) and $E_z = -1.25$ V/nm in Fig. 3(c)), the spin degeneracy is lifted due to inversion symmetry being broken, and the band gap is reduced to 0.1 eV. The bulk band gap E_g is given by $E_g = 2|\tau\sigma_z\lambda_{SO} - lE_z|$,^{38,43} where $\tau = \pm 1$ denotes the valley index K or K' . At the critical field $E_{cr} = \lambda_{SO}/l = 2.5$ V/nm, the band gap closes, as confirmed by Supplemental Fig. S1(b).⁴⁴

Importantly, at finite E_z , the band structure is fully spin polarized around bottom (top) of the conduction (valence) band for each valley (K or K'). Comparing Fig. 3(b) to Fig. 3(c) reveals that the reversal of E_z from positive to negative switches the spin polarization within each valley. This is due to the reversed staggered potential on the two stanene sublattices.

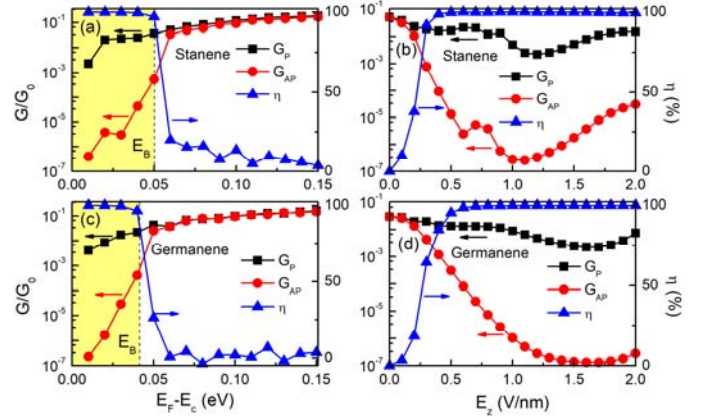


FIG. 4. Calculated conductance (G_P and G_{AP}) in units of $G_0 = 2e^2/h$ (left axis) and VSV conductance ratio η (right axis) as a function of the Fermi energy E_F for $|E_z| = 1.25$ V/nm (a, c) and electric field E_z for $E_F = 0.01$ eV (b, d) for stanene (a, b) and germanene (c, d), assuming $d_1 = 100a$ and $d_2 = 20a$. The vertical dashed lines denote the energy E_B indicated in Fig. 4(c). The yellow colored regions in (a) and (c) denote the energy window for achieving a giant VSV effect.

It is seen from the insets of Figs. 3(b) and 3(c) that, for the spin-up channel, the K valley eigenstate is mainly contributed by the orbitals on sites 1 and 3 (A sublattice in Fig. 2(d)), whereas the K' valley eigenstate is dominated by the orbitals on sites 2 and 4 (B sublattice in Fig. 2(d)). Switching E_z interchanges the roles of A and B sublattices, which in turn interchanges the K and K' valleys. This is consistent with the symmetry enforcement. Switching E_z is equivalent to space-inversion transformation, under which the wave vector K becomes K' ($-K$) while spin remains invariant. The electronic structure of germanene (not shown) demonstrates qualitatively similar behavior.

Next, we explore the VSV effect using quantum-transport calculations for the device model shown in Fig. 2(a). The theoretical formalism for conductance and scattering state calculations is given in the Supplemental Sec. 1.⁴⁴ Fig. 4(a) shows the calculated conductance versus the Fermi energy E_F for parallel (G_P) and antiparallel (G_{AP}) states of the VSV and the resulting VSV conductance ratio η for a stanene-based device. It is evident that when E_F is close to the CBM E_C , the G_P is about four orders of magnitude larger than G_{AP} and η is nearly 100%. Such a giant VSV effect is sustained in the whole region of $E_F < E_B$ (highlighted in yellow in Fig. 4 (a)),

where E_B is the spin-up (spin-down) CBM at the K (K') valley (Fig. 3(c)). This is due to the fact that in this region the valley spin analyzer (region 2 in Fig. 1(b)) is antiparallel aligned to the valley spin polarizer (region 1 in Fig. 1(b)) producing a potential barrier for either-spin electrons. When $E_F > E_B$ the incident energy becomes larger than the barrier height, resulting in an increase of G_{AP} and decrease of η . We note that the energy window to achieve the giant VSV effect (the yellow colored region in Fig. 4(a)) is determined by the staggered potential, i.e. $E_F < IE_z$.

Fig. 4(b) shows the calculated conductance, G_P and G_{AP} , and the resulting VSV conductance ratio η as a function of E_z for $E_F = 0.01$ eV. It is seen that at small E_z , G_{AP} sharply decreases with the field E_z due to the formation of a potential barrier for electron transport in both valleys. This leads to an increase in VSV conductance ratio η which saturates at about 100% when the field reaches the value of $E_z = 0.4$ V/nm. A qualitatively similar behavior is observed for a germanene-based VSV, as shown in Figs. 4(c) and 4(d). We note that for observing a giant VSV effect, the gate width d_1 is required to be sufficiently large. On the contrary, for the ballistic transport considered in this work, the gate separation distance d_2 is not essential, as seen from Supplemental Fig. S2.⁴⁴

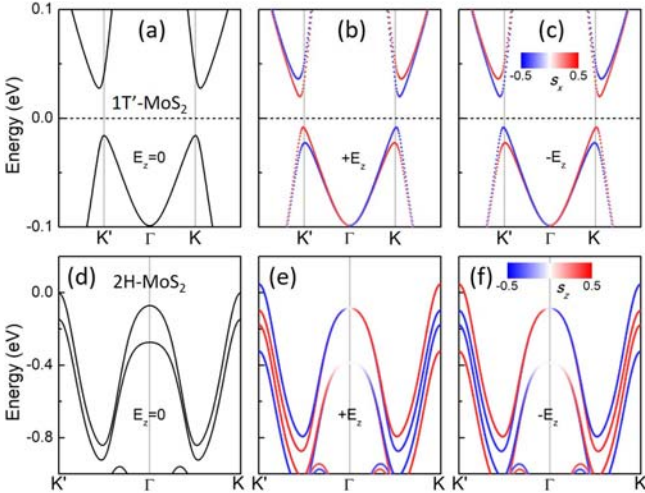


FIG. 5. Calculated band structure around the K and K' valleys for a $1T'$ - MoS_2 monolayer (a-c) and a $2H$ - MoS_2 bilayer (d-f) for $E_z = 5$ V/nm (b, c) and $E_z = 1$ V/nm (e, f). The color quantifies the expectation value of s_x (b, c) and s_z (e, f); the expectation values of the two other spin components being negligible.

The proposed working principle of the VSV has general importance and can be applied to other 2D materials provided that they have the inversion symmetry, well-separated valleys related by T symmetry and located at non-TRIM, and large SOC. In this regard, $1T'$ - MX_2 ML³⁶ and $2H$ - MX_2 BL²⁸ could also be suitable candidates for the electrically switchable spin polarization and the VSV realization. To illustrate their

switchable behavior, we perform first-principles calculations based on density functional theory (DFT), as described in Supplemental Sec. 2.⁴⁴

Figures 5 (a-c) show the calculated electronic structure of a $1T'$ - MoS_2 monolayer around the K and K' points. Similar to germanene and stanene, in the absence of electric field, the band structure exhibits two spin-degenerate valleys (Fig. 5(a)). Application of electric field E_z splits the bands into two spin subbands with opposite spin polarization at the two valleys K and K' (Fig. 5(b)). Importantly, the polarity of the valley-dependent spin polarization is reversed by changing the direction of the electric field (Fig. 5(c)).

The similar behavior is observed for a $2H$ - MoS_2 bilayer, as seen from Figures 5 (d-f). The spin degeneracy of the two-valley band structure is lifted by an applied electric field with spin polarization being opposite at the two valleys and being reversed with reversal of the electric field. It is noteworthy that the electric-field induced spin polarization has also been reported for a $2H$ - WSe_2 BL.²⁸ A further discussion regarding the physical origin for the switchable valley spin polarization can be found in Supplemental Secs. 6 and 7.⁴⁴ We conclude therefore that $1T'$ - MX_2 ML and $1H$ - MX_2 BL represent other promising candidates to design a VSV, in addition to germanene and stanene.

Regarding the experimental realization of the VSV concept, germanene²⁵, stanene²⁶, $1T'$ - MX_2 ML⁴⁵ and $2H$ - MX_2 BL²⁸ have been successfully synthesized. The double-gate technique has been widely employed in 2D material based devices.^{46,47} According to our calculations, electric fields in order of 1 V/nm are required to achieve the desired performance of the VSV. These fields are readily attainable experimentally across a dielectric gate layer placed on a ML material.⁴⁸ We also find that the predicted effect is robust with respect to disorder which is always present in practice. According to our calculations, for disorder providing realistic resistivity of stanene, the VSV ratio is maintained close to 100 % (Supplemental Sec. 9⁴⁴). Given the above arguments, the experimental demonstration for the proposed valley spin valve is feasible.

In summary, we have proposed a valley spin valve, which relies on spin-valley locking induced by an applied electric field in 2D materials, such as germanene, stanene, $1T'$ - MX_2 ML, and $1H$ - MX_2 BL. The electric field breaks inversion symmetry in these materials, which in conjunction with spin-orbit coupling lifts the spin degeneracy and creates spin polarization opposite at the K and K' valleys. This property allows the valley spin polarization to be controlled by a gate voltage. We have demonstrated that placing two gates in series along the monolayer enables a valley spin polarizer and a valley spin analyzer to control the electric transport. The valley spin valve is ON or OFF depending on the relative valley spin polarization of the polarizer and analyzer (i.e.

being the same or opposite). Using quantum-transport calculations based on an adequate tight-binding model, we have predicted a giant VSV ratio of nearly 100% for both germanene- and stanene-based VSV devices. We argued that the proposed VSV is feasible to realize experimentally. We hope that our results will stimulate the experimentalists working in the fields of 2D materials and electronic devices to verify our predictions.

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