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Probing single unit-cell resolved electronic structure modulations in oxide superlattices with standing-wave photoemission

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1 **ABSTRACT**

2 Control of structural couplings at the complex-oxide interfaces is a powerful platform for
3 creating new ultrathin layers with electronic and magnetic properties unattainable in the bulk.
4 However, with the capability to design and control the electronic structure of such buried layers
5 and interfaces at a unit-cell level, a new challenge emerges to be able to probe these engineered
6 emergent phenomena with depth-dependent atomic resolution as well as element- and orbital
7 selectivity. Here, we utilize a combination of core-level and valence-band soft x-ray standing-
8 wave photoemission spectroscopy, in conjunction with scanning transmission electron
9 microscopy, to probe the depth-dependent and single-unit-cell resolved electronic structure of an
10 isovalent manganite superlattice $[\text{Eu}_{0.7}\text{Sr}_{0.3}\text{MnO}_3/\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3]\times 15$ wherein the electronic-
11 structural properties are intentionally modulated with depth via engineered oxygen octahedra
12 rotations/tilts and A-site displacements. Our unit-cell resolved measurements reveal significant
13 transformations in the local chemical and electronic valence-band states, which are consistent
14 with the layer-resolved first-principles theoretical calculations, thus opening the door for future
15 depth-resolved studies of a wide variety of heteroengineered material systems.

16 **I. INTRODUCTION**

17 Rational design and understanding of the electronic properties of new functional
18 materials is a dominant theme in modern experimental and theoretical condensed matter physics
19 and materials science [1-5]. Over the past two decades, epitaxial complex-oxide
20 heterostructuring and interface engineering have emerged as powerful and versatile experimental
21 platforms, enabling the synthesis of electronic, magnetic and structural phases, which are
22 unattainable in bulk crystals or thin films [6-12]. Concurrently, significant strides in the
23 development and refinement of modern materials theories, including various modalities of

24 density functional theory (DFT) [5,13] and dynamical mean-field theory (DMFT) [14,15], have
25 led to the availability of advanced first-principles tools for guiding the synthesis of such
26 heterostructures and interfaces, as well as interpreting experimental results.

27 Engineering structural couplings at the epitaxial interfaces between perovskite oxides is a
28 promising avenue for atomic-level control of the electronic and magnetic properties in such
29 structures [16-18]. Recent studies of the isovalent $\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3/\text{Eu}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$
30 (LSMO/ESMO) and $\text{La}_{0.5}\text{Sr}_{0.5}\text{MnO}_3/\text{La}_{0.5}\text{Ca}_{0.5}\text{MnO}_3$ (LSMO/LCMO) superlattices revealed that
31 the emerging highly-localized lattice distortions and non-bulk-like rotations of oxygen octahedra
32 can lead to new electronic and magnetic properties, and provide a way to enhance or suppress
33 functional properties, such as electronic bandwidth and ferromagnetism [19-21]. Furthermore,
34 varying the thicknesses of individual layers within a superlattice above and below the interfacial
35 coupling lengths (2-8 unit cells) adds a powerful control mechanism for tuning these properties
36 at the unit-cell level and as a function of depth. Thus, complex layered oxide structures with
37 custom electronic and magnetic properties, induced by carefully-engineered unit-cell-scale
38 structural modulations, can be constructed via advanced synthesis methods, such as oxide
39 molecular-beam epitaxy (MBE) [22-25].

40 At the present time, a major challenge in this emergent field is the measurement of
41 highly-depth-dependent electronic properties in such complex layered nanomaterials at the unit-
42 cell scale. The majority of conventional probes of the electronic structure, although extremely
43 useful, provide either surface-sensitive or depth-averaged electronic-structural information (*e.g.*
44 angle-resolved photoemission, scanning-probe spectroscopy, and x-ray absorption). Here, we
45 demonstrate that a combination of core-level and valence-band soft-x-ray standing-wave
46 photoemission spectroscopy (SW-XPS) [26-28] and high-resolution scanning transmission

47 electron microscopy (HRSTEM) [17,20,29] can be utilized to probe the coupling between the
48 electronic and structural properties in an ESMO/LSMO superlattice at the unit-cell level. We
49 extract both core-level and valence-band depth-resolved electronic-structural information from
50 the three individual unit cells of the topmost ESMO layer, which exhibit engineered structural
51 modulations of the A-site-cation positions as well as oxygen-octahedral rotations and tilts. Our
52 experimental results suggest significant local modulations in the valence-band DOS, which
53 exhibit excellent agreement with the first-principles theory and suggest the emergence of a
54 reconstructed ESMO layer at the surface.

55 II. RESULTS AND DISCUSSION

56 A. Structural depth profiling with HRSTEM

57 For this study, an epitaxial [3-u.c. LSMO / 3-u.c. ESMO] \times 15 superlattice was
58 synthesized on top of a single-crystalline $(\text{La}_{0.3}\text{Sr}_{0.7})(\text{Al}_{0.65}\text{Ta}_{0.35})\text{O}_3$ (001) substrate by oxide
59 MBE; deposition conditions are reported in Ref. 19. High-resolution scanning transmission
60 electron microscopy (HRSTEM) in conjunction with STEM modeling was used to confirm the
61 presence of structural modulations in the superlattice and to quantify the amplitudes and
62 directions of the A-site cation displacements in each layer (see Supplemental Material for details
63 [30]). Figure 1 provides the summary of the results of this nano-structural analysis as well as the
64 theoretical calculations, starting with the high-angle annular dark-field (HAADF) image of the
65 superlattice along the $[100]_{\text{pc}}$ projection [Fig. 1(a)]. The superlattice layering is immediately
66 evident due to the modulations in the brightness of the A-site atomic columns, with the heavier
67 A-site cations (Eu in ESMO) appearing brighter and the lighter ones (La in LSMO) appearing
68 dimmer. The interfaces appear abrupt, with a minimal interfacial intermixing confined to a single
69 unit cell, which is consistent with our prior measurements of similar samples [19,20].

70 The inset in the top-left corner of Fig. 1(a) shows a magnified view of a typical measured
71 area, containing several atomic layers and highlighting the local A-site projected displacements
72 in the ESMO layer, which are marked with red arrows. Notable zig-zag-like modulations in the
73 projected A-site cation positions are evident in the inset and are quantified for the entire image in
74 Fig. 1(b). Here, the atomic sites of the A-site cations are color-coded according to the magnitude
75 and direction of the measured displacement ΔX_c (see figure caption for the measurement
76 uncertainties). The bottom two atomic layers shown in the figure correspond to the substrate,
77 which is used as a zero-displacement reference. Thus, as expected, most of the sites in the
78 substrate appear dark-violet – the color of the center of the Hue-Saturation-Value (HSV) wheel
79 in the legend of Fig. 1(b). This picture changes abruptly above the substrate, where significant
80 depth-dependent A-site shifts are evident from the color modulations in the first few atomic
81 planes, corresponding to the three-unit-cell-thick ESMO layer. The zig-zag-like pattern, which is
82 shown locally in the inset of Fig. 1(a), appears to be a general trend within the ESMO layers,
83 with the alternating amplitudes of approximately $+0.3 \text{ \AA}$ (predominantly green-colored layers)
84 and approximately -0.3 \AA (predominantly magenta-colored layers). The presence of A-site
85 displacements is consistent with the structure of bulk ESMO, which crystallizes in the *Pbmn*
86 orthorhombic perovskite variant and exhibits A-site displacements in the plane perpendicular to
87 the in-phase octahedral rotation axis [40]. The modulations are comparatively smaller in the
88 LSMO layers, as evidenced by the broad dark-violet slabs appearing between the ESMO layers.
89 The suppression of the A-site displacements in LSMO is also consistent with its bulk
90 rhombohedral structure, in which the A-site occupies the ideal corner position of the pseudocubic
91 perovskite cell [41]. Additional Fig. S1 in the Supplemental Material section [30] shows a
92 differently-color-coded representation of the data, quantifying the absolute changes of the A-site

93 displacements in an atomic plane, as referenced to the plane immediately below. This 'gradient
94 map' is instrumental in emphasizing large displacement gradients in the ESMO layers.

95 The experimental results for the A-site cation displacements ΔX_c are in full qualitative
96 and close quantitative agreement with the atomic positions predicted by the first-principles
97 DFT+*U* calculations (see Supplemental Material for details [30]), shown for a typical
98 ESMO/LSMO bilayer within the superlattice. Figure 1(c) shows the plot of calculated atomic-
99 plane-averaged displacements, with the error-bars accounting for the variations within the
100 individual A-site monolayers. A characteristic zig-zag trend is observed, with prominent
101 modulations in the ESMO layer, which is fully-consistent with the experimental results in Fig.
102 1(b). The calculated displacement magnitudes of approximately ± 0.2 Å (plus the intra-
103 monolayer variations of approximately ± 0.1 Å) are also in good agreement with the experiment
104 (± 0.3 Å).

105 Figure 1(d) shows the high-resolution annual bright field (ABF) image of a magnified
106 area within the same probed region. ABF imaging is sensitive to the oxygen atoms, which do not
107 exhibit sufficient contrast in HAADF due to their low atomic number, as compared to the other
108 elements in the superlattice (Eu, La, Sr, and Mn). Therefore, ABF imaging can be instrumental in
109 detecting and quantifying lattice distortions induced by the changes in the tilt and rotation angles
110 of the oxygen octahedra in perovskite structures [20,42,43]. Such distortions are immediately
111 apparent in Fig. 1(d), where oxygen atoms appear as the smallest elongated grey spots in-
112 between the largest black A-site cations. These apparent elongations of the oxygen sites occur
113 due to the variations in the octahedral tilts and rotations (as defined in the schematic diagram
114 below) within the $[100]_{pc}$ -projected atomic columns and appear to be significantly larger in the
115 ESMO layers. This is fully-consistent with the STEM simulation results, overlaid on the

116 experimental data (yellow dotted boxes) and shown in the magnified insets, as well as the
117 results of the first-principles DFT+ U calculations shown in Fig. 1(e) (see caption for details),
118 which predict a $\sim 4.5^\circ$ increase in both the tilt and rotation angles relative to LSMO.

119 In summary, the HRSTEM imaging and simulations confirm the presence of engineered
120 structural modulations in the [ESMO/LSMO] $\times 15$ superlattice, in good qualitative and
121 quantitative agreement with the first-principles DFT+ U calculations, and consistent with the
122 prior study on similar samples [19,20]. The modulations, manifested as varying A-site cation
123 displacements and oxygen octahedral rotations and tilts, are prominently enhanced in the ESMO
124 layers. In the following, we examine the unit-cell-resolved depth-dependent electronic-structure
125 modulations which accompany these significant lattice distortions in the ESMO layer.

126 **B. Electronic and chemical depth profiling with soft x-ray SW-XPS**

127 In order to selectively probe the depth-resolved electronic structure of each unit cell of
128 the topmost ESMO layer and the ESMO/LSMO interface, we used soft x-ray standing-wave
129 (SW) photoemission spectroscopy (SW-XPS) at the high-resolution ADRESS beamline of the
130 Swiss Light Source equipped with a SPECS PHOIBOS-150 hemispherical electrostatic analyzer
131 [44]. In SW-XPS, depth resolution is accomplished by setting-up an x-ray SW field within a
132 periodic superlattice sample, which in the first-order Bragg reflection acts as a SW generator [see
133 Fig. 2(a)]. The antinodes of the SW (regions of high E -field intensity) are then translated
134 vertically through the sample by scanning (rocking) the x-ray incidence angle [26-28]. All
135 measurements were carried out at the photon energy of 833.5 eV, at the onset of the La M_5
136 ($3d_{5/2}$) absorption threshold (characterized in-situ via x-ray absorption spectroscopy), in order to
137 maximize the x-ray optical contrast between ESMO and LSMO, which in-turn lead to the
138 significant enhancement of the SW modulation amplitude [27,45]. p -polarized x-ray beam with

139 the horizontal and vertical footprint dimensions of 75 μm and 32 μm (± 4 μm , depending on the
140 angle of incidence) on the sample, respectively, was used. All the measurements were done in
141 the near-normal emission geometry ($\pm 4^\circ$ from sample normal, depending on the angle of
142 incidence). The acceptance angle of the analyzer was set to $\pm 8^\circ$ (parallel to the analyzer slit). The
143 total energy resolution was estimated to be approximately 100 meV, and the sample temperature
144 was set at 30 K.

145 High-angular-resolution ($< 0.01^\circ$) soft x-ray reflectivity data (see Fig. S2 in the
146 Supplemental Material [30]), recorded ex-situ at the Calibration and Standards beamline 6.3.2 of
147 the Advanced Light Source (LBNL), confirmed the presence and the approximate angular
148 position of the superlattice Bragg peak in the soft x-ray regime at the photon energy used for the
149 SW-XPS measurements described below.

150 SW-XPS core-level photoemission intensities were measured in the near-Bragg-angle
151 variable-incidence experimental geometry shown schematically in Fig. 2(a). At least one core-
152 level peak from every constituent element of the multilayer was recorded as a function of grazing
153 incidence angle from 16 to 21 $^\circ$ (SW photoemission yield rocking-curve measurement) and fitted
154 to the SW photoemission yield rocking-curves generated using an x-ray optical code which
155 accounts for the multiple reflections at interfaces, differential electronic cross section of each
156 orbital, as well as the elastic attenuation lengths (EAL) within each layer [46]. Only the
157 thicknesses of the layers and the interface roughness (interdiffusion length) were allowed to vary
158 in the model. To constrain the model, all the ESMO and all the LSMO layers in the superlattice
159 were assumed to be uniform. The thickness of the surface-adsorbed atmospheric contaminant
160 layer (5 \AA) was obtained from the fit and confirmed using the SESSA simulation package [47]
161 by comparing relative intensities of the contaminant (C 1s peak) and nearby sample core-level

162 peaks. It is important to note that, although the entire superlattice, including the substrate and the
163 surface-adsorbed atmospheric contaminant, must be considered by the model, only the topmost
164 layers are actually relevant for our photoemission measurement due to the limited EAL of
165 photoelectrons at 833.5 eV (~ 20 Å) [48].

166 Experimental results for Eu 4*d*, La 4*d*, Mn 3*p*, and Sr 3*d* (circular markers) as well as the
167 best theoretical fits to the data (solid curves) are shown in Fig. 2(b), exhibiting good agreement
168 in terms of both amplitudes and relative phases. The La 4*d* and Eu 4*d* photoemission yield
169 rocking-curves (RCs) exhibit a 180° phase-shift due to the fact that the La and Eu cations reside
170 in different layers and the period of the SW, in the first order approximation, equals to the period
171 of the superlattice [26,46]. The Mn 3*p* and Sr 3*d* photoemission intensities originate from the
172 elements residing in both layers and are thus dominated by the contributions from the top
173 (ESMO) layer, exhibiting similar phase to the Eu 4*d* RC and suppressed amplitudes, as expected
174 [27,45,49]. It is important to note that the La 4*d* and Mn 3*p* experimental RCs exhibit noticeable
175 deviations from the theoretical fits in their modulation amplitudes. These excursions could be
176 attributed to the depth-dependent structural and electronic inhomogeneity of the LSMO layers
177 expected and observed in this structure, as well as several known interfacial phenomena
178 investigated in-depth in prior studies (*i.e.* changes in the electronic-structural properties near the
179 interface [27,45], resonant effects near the La M_5 edge [45], and possible element-dependent
180 interfacial interdiffusion [50]).

181 Figure 2(c) shows a schematic diagram of several topmost layers of the superlattice,
182 obtained using the set of best-fit parameters. The individual thicknesses of the three-unit-cell-
183 thick layers of ESMO (11.41 Å) and LSMO (11.64 Å) are consistent with the unit-cell constants
184 reported in prior studies [19,20,45]. The thickness of the surface-adsorbed atmospheric

185 contaminant (labelled “C/O”) is 5 Å, also consistent with prior studies [45,49]. The blue-to-white
186 color contrast in Fig. 2(c) shows the simulated intensity of the x-ray SW electric field (E^2) as a
187 function of the grazing incidence angle (along the horizontal axis). The SW exhibits maximum
188 contrast of approximately 34% in the vicinity of the Bragg condition ($\sim 19^\circ$). The intensity is
189 maximized in the topmost ESMO layer and exhibits a grazing incidence-angle dependence,
190 plotted as a series of vertical line-cuts on the right side of the panel. It is evident that at the
191 incidence angles of 18.7° , 19.2° and 19.8° , the peak intensity of the topmost antinode of the SW
192 preferentially highlights the top, middle and bottom ESMO unit cells, respectively. Due to small
193 interfacial intermixing, the bottom unit cell could also be considered an ESMO/LSMO interfacial
194 layer. According to the prior SW studies, the depth-resolution of the SW-XPS in the soft x-ray
195 regime can be approximately estimated as 1/10 of the multilayer period [28,45,49]. For our
196 sample, the resultant estimate of ~ 2.3 Å is well within the unit-cell limit. Therefore, we can
197 expect to be able to extract unit-cell-resolved depth-dependent information from the top ESMO
198 layer.

199 This capability becomes clearly evident upon the examination of the O 1s RC, shown as a
200 photoemission intensity (color) map in Fig. 3(a). The horizontal axis represents the binding
201 energy, the vertical axis corresponds to the variable grazing incidence angle and is therefore
202 related to the vertical position of the SW within the layer, as discussed above. The plot in Fig.
203 3(a), therefore, contains the depth-resolved information regarding the distribution and evolution
204 of the chemical and electronic states of the oxygen atoms within the probing range of the SW
205 and limited by the EAL of ~ 20 Å.

206 Three horizontal line-cuts at 18.7° , 19.2° and 19.8° [see discussion of Fig. 2(c) above]
207 yield the unit-cell-specific O 1s spectra shown in Fig. 3(b). It is important to note that the SW

208 does not exclusively probe any one given unit-cell within a 3 u.c.-thick layer, but rather amplifies
209 the spectral features originating from that unit-cell, according to the depth-dependent E -field
210 intensity distribution within the sample. It is, therefore, expected that we should observe a
211 superposition of multiple spectral components originating from various depths, which either
212 grow or decay in intensities as the antinode of the SW propagates vertically through the layer.

213 These four distinct spectral components, easily identifiable in Fig. 3(b), were decoupled
214 via simultaneous fitting of the O 1s spectra with five simple Voigt peaks, and plotted separately
215 in Figs. 3(c)-(f) for the three probing depths selected by the SW by varying the grazing incidence
216 angle [see Fig. 2(c) for reference]. The quality of the fit and the decomposition is shown in Fig.
217 3(g), with the four most prominent components labeled 1-4, and an additional fifth component of
218 negligible intensity near the inelastic-background tail of the peak (at ~ 535.5 eV). The complete
219 dataset, including fits for the spectra recorded at all three above-mentioned angles of incidence,
220 is shown in the Supplemental Figure S3 [30].

221 Each one of the four most prominent O 1s components exhibits a unique angle-dependent
222 behavior. The lowest-binding-energy component (~ 529.1 eV) exhibits a near-linear growth in
223 intensity with increasing incidence angle, and therefore must originate from the deepest 'bottom'
224 unit cell at the ESMO/LSMO interface. The second component (at $E_B \approx 530.1$ eV) grows in
225 intensity as the SW antinode propagates toward the center of the ESMO layer, and then decays
226 as it approaches the bottom ESMO/LSMO interface. This suggests that it originates from the
227 'middle' unit cell of the ESMO layer - the SW antinode passes through it, causing an increase in
228 intensity at intermediate angles. The third component (at $E_B \approx 531.7$ eV) continuously decays in
229 intensity with increasing grazing incidence angle and therefore must originate from the 'top' unit
230 cell of the ESMO layer - the SW antinode is continuously moving downward and away from it.

231 Finally, the highest-binding-energy component (at $E_B \approx 533.2$ eV) decays in intensity at
232 intermediate angles but shows a small upturn at the highest angle of 19.8° . Due to its binding
233 energy, this spectral component can be assigned to the oxygen in the surface-adsorbed
234 contaminant [51]. The upturn in intensity at 19.8° is caused by another SW antinode grazing the
235 surface of the sample at higher incidence angles, resulting in enhanced photoemission signal
236 from the surface adsorbates. It is important to note that the individual spectral components of the
237 O 1s peak exhibit different widths, possibly due to the variations in the local bonding
238 environments surrounding each O atom in a continuously distorted lattice.

239 In summary, the unique angle-dependent SW-induced behavior of the distinct spectral
240 components of the O 1s spectrum allows for an unambiguous assignment of these components to
241 the distinct layers in the structure. Below, we verify this assignment via x-ray optical analysis.

242 In Figure 3(h), we plot the experimental SW RCs of the three lower-binding-energy
243 components of the O 1s peak (solid markers). It is immediately apparent that the three
244 experimental RCs are shifted with respect to each other in angular position (phase), suggesting
245 different depths-of-origin [see Fig. 2(c)] [49,52], which is consistent with our prior analysis, as
246 shown in Figs. 3(c)-(f). The solid curves overlaying the experimental data are the x-ray optical
247 simulations [46] of the RCs for each individual unit-cell comprising the top-most ESMO layer in
248 the superlattice, defined to be 3.803 \AA -thick, consistent with the model in Fig. 2(c), as well as the
249 unit-cell constants reported in prior studies [19,20,45]. The bottom simulated unit-cell includes
250 the interface with the LSMO underlayer. Agreement between experiment and simulation is
251 observed, in particular, with respect to the shifts in the angular positions of the peaks, which, in
252 turn, correspond to the differences in the depths-of-origin for the maximum photoemission
253 signal. It is important to note that all three experimental peaks occur within the angular range

254 between 18° and 20° and exhibit the lineshape and the phase similar to that of the Eu $4d$ RC,
255 shown in Fig. 2(b) (black spectrum). This serves as an additional verification that all three
256 components originate from the different depths within the ESMO (and not the LSMO or the
257 C/O) layer.

258 Our x-ray optical simulations, therefore, confirm the observed eV-scale unit-cell-
259 dependent changes in the binding-energy of the O $1s$ core-level peak within the 3 u.c.-thick
260 ESMO layer, and thus suggest significant depth-dependent transformations in the
261 chemical/electronic environment around the oxygen atoms within this layer. Such unit-cell-
262 specific variations, undetectable by the conventional depth-averaging and/or surface-sensitive
263 characterization techniques, are not unexpected in view of our HRSTEM results (see Fig. 1),
264 which reveal significant structural modulations within the ESMO layer, consistent with the first-
265 principles DFT+ U calculations. Furthermore, symmetry-breaking due to the presence of the
266 surface (as well as strain) may lead to both structural and electronic surface reconstruction
267 phenomena, which could account for the ~ 0.6 eV increase in the binding energy of the O $1s$ core-
268 level for the topmost ESMO unit cell (with respect to the unit-cell below).

269 In order to understand the significant increase in the binding energy of the O $1s$ core level
270 at the surface, in Fig. 3(i) we show the results of the DFT+ U calculation for the integrated
271 electronic charge on the oxygen atoms for the top three unit-cells of ESMO. It should be noted
272 that only the $2s$ and $2p$ orbitals were included in the calculation, with the Wigner radius of
273 integration set to 1 \AA , in order to sample the deeper levels, rather than the bonding electrons. The
274 resultant values for the integrated electronic charge exhibit a nearly-linear (inverse) correlation
275 with the O $1s$ binding energies, with the surface unit-cell exhibiting the lowest charge ($\sim 5.034 e^-$)
276 and the highest binding energy (531.7 eV), as expected from basic considerations [53].

277 The relatively-large shift in the binding energy of the O 1s core level in the topmost
278 (surface) unit-cell of ESMO suggests the possibility of surface reconstruction/relaxation. We
279 explore this likely scenario below, using depth-resolved SW valence-band photoemission
280 measurements [27,52] in conjunction with the first-principles DFT+*U* density-of-states (DOS)
281 calculations.

282 **C. Depth-resolved valence-band electronic-structure measurements and** 283 **calculations**

284 Figure 4(a) shows the calculated structures of the three topmost unit cells of ESMO (top
285 view). While the bottom and the middle unit cells exhibit structural modulations, which are
286 consistent with our HRSTEM measurements (A-side displacements and oxygen octahedral
287 rotations/tilts), the topmost layer exhibits a new relaxed structure, characterized by the
288 emergence of tilted MnO₄ oxygen tetrahedra (with triangular bases), interspersed among the
289 typical MnO₅ oxygen square pyramids (surface truncated octahedra). The two above-mentioned
290 Mn-O polyhedra are identified and shown in the insets of Fig.4(a). The change in transition-
291 metal coordination and valence at the surface within our model is due to truncating the crystal.
292 The ordered arrangement we identified results from the ordered A-cations in the simulation cell;
293 the experimental surface geometry, however, may be more complex or exhibit a different
294 ordered arrangement of the tetrahedral and square-pyramidal polyhedra. Below, we demonstrate
295 that such surface-relaxation phenomena, which occur only in the top unit-cell of an epitaxial
296 oxide film (ESMO), can be probed by the depth-resolved SW-XPS of the valence-bands with
297 single-unit-cell resolution.

298 Figure 4(b) shows the effects of the oxygen-mediated surface reconstruction on the layer-
299 resolved valence-bands DOS calculated via DFT+*U*. The region near the Fermi level is

300 dominated by the strongly-hybridized O $2p$ -Mn $3d$ states. We therefore only show the O $2p$ -
301 projected partial DOS for each atomic plane containing equatorial and apical oxygens. The most
302 significant changes are predicted to occur within the binding-energy window between 0 and 3
303 eV. In particular, we observe a broadening and a shift to lower binding energy of feature A (at
304 ~ 2.5 eV), as well as the emergence of a new state at ~ 1 eV (labeled B and B'), which is
305 particularly strongly-pronounced for the equatorial (surface-like) oxygens (B).

306 Our unit-cell-resolved experimental SW-XPS valence-band spectra [Fig. 4(c)] exhibit
307 agreement with the theoretical DOS, both in terms of the energies and the systematic trends in
308 the relative intensities of the relevant features near the Fermi level. It is important to note that we
309 expect to see smaller effects in our experimental data (compared to theory), since the SW
310 contrast is estimated to be approximately 34% [see Fig. 2(c)], which means the unit-cell-
311 dependent changes will ride on a strong depth-averaged background signal. Furthermore, feature
312 B (B') is expected to be prominent in all spectra due to its surface-origin. Nevertheless, we
313 clearly observe a theoretically-predicted shift to lower binding-energy for feature A (at ~ 2.5 eV,
314 consistent with the calculations). Furthermore, we similarly observe an enhancement in intensity
315 of feature B, B' (at ~ 1 eV) in the surface (top) ESMO unit-cell.

316 In order to help facilitate easier visualization of the major differences between the
317 spectra, we plot the difference between the 'top' and 'bottom' unit-cell spectra in the lower panel,
318 with the features A and B (B') labeled. It is important to note that additional excursions are
319 observed at higher binding energies (3-5 eV), where the orbital character is dominated by the
320 strongly hybridized Eu, La, and Sr states, which are not modeled in the O $2p$ pDOS.

321 Finally, it is important to note that the spectral features in the experimental data may also
322 be affected by the proximity and a potential chemical/electronic interaction with the surface

323 contamination layer, which has not been included in the DFT+ U model. If present, we expect
324 such effects to be manifested most prominently in the top-ESMO-sensitive experimental
325 geometry (18.7°) and, conversely, be suppressed in the bottom-ESMO-sensitive geometry
326 (19.8°), wherein the signal from the ESMO-C/O interface is suppressed by as much as 34% by
327 the node of the standing wave. Since the structure of the contaminant layer is unknown, further
328 investigation, which is beyond the scope of the current experiments, is required to definitively
329 disentangle surface contamination effects. Our relaxed surface structural model from the DFT+ U
330 calculations, however, provides an initial configuration from which to model the surface
331 contaminant layer; specifically, any model should be constructed to ensure dangling bond of the
332 truncated octahedra/tetrahedral are passivated as does our presented model.

333 III. SUMMARY AND CONCLUSIONS

334 In summary, our unit-cell-resolved experimental data for the ESMO/LSMO superlattice,
335 obtained via multiple depth-resolved spectroscopic and microscopic techniques, exhibit excellent
336 agreement with the first-principles layer-resolved DFT+ U calculations at several important
337 levels. First, the atomic structure measured via HRSTEM in the bulk of the superlattice is in both
338 qualitative and quantitative agreement with the structure predicted by the theory (see Fig. 1).
339 Second, the depth-dependent shifts in the binding-energy of the O 1s core-level, measured via
340 SW-XPS, exhibit near-linear correlation with the calculated integrated electronic charge on the
341 oxygen atoms for each unit cell of the topmost ESMO layer (see Fig. 3). Third, the depth-
342 dependent SW-XPS of the valence bands, in conjunction with the DOS calculations within the
343 same self-consistent DFT+ U model, strongly suggest the emergence of a surface-reconstructed
344 (relaxed) ESMO layer, characterized by the presence of sites with tetrahedral oxygen
345 coordination (see Fig. 4).

346 In addition to revealing a new reconstructed surface phase of ESMO, as well as the
347 significant unit-cell-resolved modulations of the core-level and valence-band electronic structure
348 in this transition-metal oxide induced by heterostructuring and strain, these results demonstrate
349 both the power and necessity of depth-resolved x-ray techniques (such as SW-XPS) that are
350 capable of probing buried layers and interfaces and thus go beyond conventional surface-specific
351 or depth-averaging electronic-structure studies. In the future studies, it could be highly beneficial
352 to use the combination of valence-band SW-XPS and HRSTEM with electron energy-loss
353 spectroscopy (HRSTEM-EELS) [54,55], which can probe the unoccupied density of states and
354 provide complementary electronic-structural and chemical information on the atomic scale.

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FIGURES CAPTIONS

FIG. 1. Atomic structural modulations: experiment and theory. (a) HRSTEM-HAADF image of the superlattice along the $[100]_{\text{pc}}$ projection. The top-left inset shows a magnified image, highlighting local A-site projected displacements. (b) A-site cation positions and displacements (ΔX_c) determined using the HAADF signal. Atomic sites are color-coded according to the amplitude and direction of the cation displacement with the uncertainties of 0.04 \AA and 12.94° , respectively. (c) Atomic-plane-averaged A-site displacement amplitudes calculated via DFT+ U , with the error-bars accounting for the variations within the individual A-site monolayers. (d) High-resolution ABF image along the $[100]_{\text{pc}}$ projection overlaid by the simulated ABF images (yellow dotted boxes). Magnified simulations for ESMO and LSMO are shown in the insets. (e) Oxygen octahedral rotation and tilt angles, as defined in the diagram on the left side, calculated via DFT+ U . The rotation angle is displayed for the equatorial oxygens, while the tilt is shown for the apical oxygens.

FIG. 2. SW-XPS experiment and x-ray optical simulations. (a) Schematic diagram of the sample and the experimental geometry, showing the soft x-ray beam, incident at the grazing angle corresponding to the first-order Bragg condition, and the resultant x-ray SW within the superlattice. (b) The best fits between the experimental (circular markers) and calculated (solid curves) SW RCs for the Eu $4d$, La $4d$, Mn $3p$, and Sr $3d$ core levels. Calculated curve for Sr $3d$ appears behind the overlaid experimental markers. (c) The resultant model of the superlattice, which self-consistently describes the shapes and amplitudes of the RCs for every constituent element in the structure (O is shown separately, in Fig. 3). The white-to-blue color scale represents the simulated intensity of the x-ray SW E -field (E^2) inside the superlattice as a function of depth and grazing incidence angle. The line-cuts and the corresponding E -field

intensity plots on the right side show that at the grazing incidence angles of 18.7° , 19.2° and 19.8° the SW preferentially highlights the top, middle and bottom unit-cells of ESMO, respectively.

FIG. 3. Oxygen-derived unit-cell-resolved electronic structure. (a) 2D intensity plot of the depth-dependent evolution of the O $1s$ core-level, with the three key line-cuts, corresponding to the depths of the bottom (green), middle (blue) and top (red) ESMO unit-cells. (b) Depth-specific O $1s$ spectra extracted from the line-cuts in (a). (c)-(f) Spectral components originating from the bottom (c), middle (d) and top (e) ESMO unit cells, as well as the oxygen-containing surface-adsorbed atmospheric contaminant (f). (g) Typical fit and spectral decomposition of the O $1s$ spectrum (at 19.2°) using five Voigt peaks, with the four most prominent components labeled 1-4. (h) SW RCs of the unit-cell-specific O $1s$ spectral components (solid symbols) and the x-ray optical RC simulations for each individual unit-cell comprising the top-most ESMO layer in the superlattice. (i) Plot of the correlation between the experimental binding-energies of the unit-cell-specific O $1s$ spectral components and the DFT+ U -calculated integrated charge on the O atoms in the top three unit cells of ESMO (shown for the Eu(Sr)O and MnO₂ planes separately).

FIG. 4. Unit-cell-resolved valence-band electronic structure. (a) DFT+ U calculations of the atomic structure of the top three unit-cells of ESMO. The layers exhibit structural modulations (in agreement with the HRSTEM measurements), as well the surface-layer reconstruction, characterized by the emergence of the tilted oxygen tetrahedra (see left outset). (b) Atomic-plane-resolved O $2p$ -projected pDOS for the atomic planes containing the equatorial and apical oxygen atoms in the topmost ESMO layer. (c) Unit-cell-resolved SW valence-band photoemission spectra, probing the corresponding depth-resolved changes in the matrix-element-

weighted DOS. Spectral differences between the ‘top’ and ‘bottom’ experimental spectra are shown in the lower panel to help visualize most prominent excursions [features A and B (B’)].