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1 **Pressure Effect on Kohn Anomaly and Electronic Topological**
2 **Transition in Single-Crystal Tantalum**

3

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21

22 **ABSTRACT**

23 The Kohn anomaly and topological change of Fermi surface in *d*-block metals can
24 occur under high pressure with affiliated significant changes in elastic, mechanical,
25 and transport properties. However, our understanding on their origin and associated
26 physical phenomena remains limited both experimentally and theoretically. Here we
27 study the pressure effect on the Kohn anomaly, electronic topological transition (ETT),
28 and the associated anomalies in physical properties of body-centered cubic (*bcc*)
29 single-crystal Tantalum (Ta). The phonon dispersions of Ta crystal were directly
30 measured up to ~ 47 GPa using high-energy resolution inelastic x-ray scattering in a
31 diamond anvil cell (DAC) with hydrostatic helium medium. A Kohn anomaly in Ta
32 was observed and became significantly stronger at 47.0 GPa at the reduced wave
33 vector of ~ 0.7 in the longitudinal acoustic mode along the $[\xi, 0, 0]$ direction. Our
34 theoretical and experimental results indicate that the electron-phonon coupling and
35 Fermi surface nesting mainly contribute to the Kohn anomaly, and the latter plays a
36 dominant role at high pressures of 17–47 GPa. First-principles calculations further
37 reveal an ETT with a topology change of Fermi surface to occur at ~ 100 GPa in Ta,
38 which causes a softening in the elastic constants (C_{11} and C_{44}) and mechanical
39 properties (shear, Young's, and bulk moduli). Our study shows that the *d*-orbital
40 electrons in Ta play a key role in the stability of its electronic topological structure,
41 where electron doping in Ta could significantly depress its ETT and elastic anomaly at
42 high pressures. It is conceivable that our observed Kohn anomaly and ETT in a
43 representative *bcc* Ta are much more prevalent in *d*-block transition metals under
44 compression than previously thought.

45

I. INTRODUCTION

The d -block transition metals of the periodic table display many interesting yet complex physical properties at high pressure due to their partially-filled d outer electronic shells [1-3]. Specifically, compression could significantly affect the d -electron interactions and distribution and the atomic vibrations in transition metals [4-6], which may induce some novel phenomena at high pressure, such as Fermi surface nesting [7], strong electron-phonon coupling [8,9], nonadiabatic correction effect [10], and electronic structure transition [11-13], to name a few. The high-pressure properties in d -block transition metals have attracted intensive interests as critical benchmarks for first-principles calculations [4,14,15], for importance in syntheses of advanced materials [16-18] as well as for the understanding of the geodynamics [19-22].

The Kohn anomaly is one of the most important anomalies in the phonon dispersions of the transition metals [4,15,23-27], where the lattice vibrations are partly screened by virtual electronic excitations on the Fermi surface. This screening can change rapidly on certain wave vector points of the Brillouin zone so the phonon energy can vary abruptly with the wave vector, determined by the shape of the Fermi surface [27]. Consequently, it usually shows a singularity or sharp dip in the phonon dispersions and a maximum in the phonon linewidth [28,29]. It is believed that the Kohn anomaly can efficiently affect the superconductivity of some conventional superconductors [28], the lattice-dynamical instability [25,30,31], and the formation of spin density waves [32] in elemental metals. Recently, Kohn anomalies were observed in some d -block transition metals such as V [31], Nb [28], and Mo [24,33] at various pressure and/or temperature (P-T). However, the mechanism of the Kohn anomaly still lacks of thorough understanding due to the challenge of the direct measurements. In particular, it is necessary to understand the formation and nature of the Kohn anomaly on compression [5], which is expected to hold generally for some d -block transition metals.

The Lifshitz type electronic topological transition (ETT) [34] is another interesting issue in high-pressure physics. It was predicted by I. Lifshitz (1960) as the

76 existence of 2.5 order phase transitions possibly due to a topological change of the
77 Fermi surface in some materials under special conditions. Across the ETT transition,
78 there would be singularities in the third derivatives of the thermodynamic potentials at
79 which the topological transition occurs. Such topology change, ETT, has been
80 observed in many d transition metals [11-13,19,35] at variable P-T conditions by
81 theoretical calculations and experiments in the past decades. The electronic band
82 structure, electron-phonon scattering, and/or phonon dispersions could be affected due
83 to ETTs, which can lead to significant changes in their physical properties, such as
84 lattice parameter, elasticity, transport properties, thermodynamic properties, and
85 magnetism [36,37]. For instance, pressure-volume discontinuity [11], elastic constant
86 anomalies [12,38], and lattice constant c/a ratio anomalies [13] were found at high
87 pressures in d -block transition metals across ETTs from first-principles calculations or
88 *in situ* x-ray diffraction in a DAC experiment. It will be important to investigate the
89 Fermi surface behavior, especially its stability, to understand the related physical
90 property anomalies at high pressure.

91 We chose a typical $5d$ transition metal, tantalum (Ta), to investigate its Kohn
92 anomaly and electronic topological structure because it has a simple and stable *bcc*
93 structure but shows numerous unusual physical properties under high pressure
94 [6,39-46]. Inelastic x-ray scattering (IXS) experiments on polycrystalline Ta in a DAC
95 showed an aggregate shear wave velocity softening at ~ 100 GPa [41], which was
96 interpreted as a result of the softening in the transverse acoustic phonon mode TA
97 $[\xi, 0, 0]$ [5]. Meanwhile, a yield strength softening was also observed in powder
98 tantalum at the similar pressure ranges [6]. The phonon dispersion in single-crystal Ta
99 was measured at ambient conditions using inelastic neutron scattering (INS) [47]. The
100 results show that the longitudinal acoustic phonon mode LA $[\xi, 0, 0]$ at $q = \sim 0.5$ to ~ 0.7
101 remains flat at ambient pressure. Based on previous density functional theory (DFT)
102 calculations, a phonon dip likely occurs at the wave vector of ~ 0.6 – 0.7 in the
103 LA $[\xi, 0, 0]$ at high pressure [45,48], suggesting a Kohn anomaly in Ta. However, the
104 origin and physical manifestations of the Kohn anomaly remain largely lacking. In
105 addition, first-principles calculations predicted that Ta alloying with neighbors of less

106 (hafnium) and more (tungsten) d electrons could destabilize and stabilize its bcc
107 structure at high pressure, respectively [4]. However, the nature of these phenomena
108 in Ta at high pressure still remains unclear. Therefore, it can be an excellent candidate
109 to further understanding the mechanisms of the pressure-induced anomalies in phonon
110 dispersions, electronic band structure, and physical properties from both experimental
111 and theoretical pictures.

112 Here, we apply high-energy resolution inelastic X-ray scattering (HERIX) in
113 diamond anvil cells to directly investigate the phonon dispersions of single-crystal Ta
114 along high symmetry directions under a hydrostatic compression up to 47 GPa, which
115 is one direct way to extract the information on the lattice dynamics of materials
116 [49,50]. A Kohn anomaly in the longitudinal mode along the $[\xi,0,0]$ direction was
117 clearly observed at 47.0 GPa. Our obtained full elastic moduli by experiments and
118 theoretical calculations show a softening anomaly of C_{11} and C_{44} at high pressure,
119 which is driven by an ETT in compressed Ta. Our density functional theory
120 calculations show that the d -orbital electrons in Ta play a key role in the stability of
121 electronic topological structure under high pressure.

122

123 II. METHODS OF EXPERIMENT AND COMPUTATION

124 We carried out HERIX experiments in bcc -Ta at pressures of 17.0(5), 42.0(5),
125 and 47.0(5) GPa at room temperature (~ 300 K) at Sector 30 of the Advanced Photon
126 Source (APS), Argonne National Laboratory. The incident X-ray beam had an energy
127 of 23.724 keV (X-ray wavelength of 0.5224 Å) and overall energy resolution of 1.4
128 meV of full width at half maximum (FWHM) is achieved using spherically curved
129 analyzer [51,52]. The X-ray beam was focused onto a beam size of $35 \times 15 \mu\text{m}^2$
130 (FWHM) on the sample, which matched our sample size well (a disc with diameter
131 $\sim 45 \mu\text{m}$). A high-quality single-crystal Ta with [100] cut (Supplementary Fig. S1 [53])
132 was used and loaded into a DAC using helium as a pressure medium to ensure
133 hydrostatic conditions (Supplementary Fig. S2 [53]). The single-crystal quality of Ta
134 was checked under high pressure before the HERIX experiments (Supplementary Fig.
135 S3 [53]). The pressures of the sample chamber were determined by the ruby

136 fluorescence and cross-checked with the sample's equation of state (EOS) from X-ray
137 diffraction of the sample at pressures [54,55]. Technical details are given in Appendix
138 A. The spectra were collected in constant q scans, and the energy values of the
139 phonons $E(\mathbf{q})$ were extracted by fitting the measured spectra using the Gaussian
140 function (DAVE2). Representative spectra of the scans in the longitudinal acoustic
141 mode are shown in Supplementary Fig. S4 [53], which were measured in the Brillouin
142 zone around (200) Bragg reflection along the $[\xi,0,0]$ direction at 47.0 GPa.

143 We performed first-principles calculations based on the DFT for the phonon
144 dispersions and the electronic structure of Ta in the first Brillouin zone at high
145 pressures. The phonon dispersions were calculated using a finite displacement method
146 with the Vienna ab initio simulation package (VASP) code [56,57] and the Phonopy
147 code [58] with the supercell size $8\times 8\times 8$. To ensure the accuracy of the interatomic
148 forces, we used an energy convergence criterion of 10^{-6} eV and a maximum
149 Hellmann-Feynman force on each atom less than 10^{-3} eV \AA^{-1} . The projector
150 augmented waves approximation and local density approximation were employed to
151 calculate the electronic structure [57,59]. A kinetic energy cutoff of 350 eV and a
152 $40\times 40\times 40$ Γ -centered k -point (Monkhorst-Pack grid) were used for the primitive cell
153 simulations. As shown in Fig. 1, the calculated phonon dispersions are overall
154 consistent with previous experiments by INS at ambient conditions along the high
155 symmetry directions [47]. The phonons calculated by VASP were cross-checked with
156 Abinit program and the both can reproduce the experiments with minor differences
157 [40,60]. The electron-phonon coupling coefficient and Fermi surface nesting function
158 were calculated using the density functional perturbation theory (DFPT) method
159 implemented in the Abinit code with a norm-conserving Troullier-Martins
160 pseudopotential within LDA for the exchange-correlation function [61,62]. To
161 calculate the electron-phonon coupling properties under high pressure, we used a
162 $40\times 40\times 40$ Γ -centered k -point (Monkhorst-Pack) mesh together with a $10\times 10\times 10$
163 q -point (Monkhorst-Pack) grid, a Gaussian smearing of 0.001 Ha, and a cut-off
164 energy of 35 Ha. The total energies converged to within 1×10^{-16} Hartree for the
165 ground state and 1×10^{-7} Hartree for a given q point.

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III. RESULTS AND DISCUSSION

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A. Phonon dispersions and pressure effect on the Kohn anomaly.

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B. Elastic anomaly and mechanical properties.

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The measured Ta phonon dispersions for the longitudinal (LA) and transverse acoustic (TA) modes at high pressures and ambient temperature are shown in Fig. 1, along the high symmetry directions $[\xi, 0, 0]$ (Γ -H) and $[\xi, \xi, 0]$ (Γ -N). The phonon energy increases with increasing pressure and our DFT results are generally in good agreement with the HERIX measurements. The LA and TA modes along the Γ -H direction cross each other at a reduced wave vector of $q \approx 0.7$. At 0 and 17 GPa: one can see that the phonon energy from $q = \sim 0.5$ to ~ 0.7 still remains flat and the phonon dispersions keep the same shape in the $[\xi, \xi, 0]$ LA mode. By increasing the pressure up to 47.0 GPa, a Kohn anomaly is clearly observed at $q = \sim 0.7$. These results indicate that compression can enhance the Kohn anomaly in Ta. Our calculated phonon dispersion can reproduce the Kohn anomaly very well up to 47 GPa (Fig. 1). Dispersions along the Γ -N direction at high pressures stiffen but have almost the same shape as the one at ambient conditions. Meanwhile, the phonon linewidth exhibits a sharp peak at the same wave vector as Kohn anomaly occurs, which can be seen in Fig. 2a. At 47.0 GPa, the linewidth becomes broader and more substantial than the one at 17.0 GPa, reaching a maximum of ~ 5 meV. This broader linewidth at the Kohn anomaly location mainly originates from the electron-phonon coupling, since the phonon-phonon scattering is limited due to the bunching acoustic phonon dispersions [63].

The elastic constants (C_{11} , C_{12} , and C_{44}) in Ta were obtained from the initial slope of the phonon dispersions of the LA and TA modes along the $[\xi, 0, 0]$ and $[\xi, \xi, 0]$ directions using a linear fitting of the measured inelastic energy shift (E) as a function of the lower momentum transfer (Q) [50] (Supplementary Fig. S5 [53]). Our results are consistent with the previous measurements of powder Ta by IXS at high pressures in Fig. 2b [41]. A likely shear softening C_{44} in Ta was indicated above ~ 100 GPa by

196 powder IXS measurements [41]. We calculated the elastic constants of Ta (C_{11} , C_{12} ,
 197 and C_{44} , respectively) from first-principles at high pressures (solid lines in Fig. 2b).
 198 The calculated elastic constants can reproduce our measured results. At pressures of
 199 above ~ 100 GPa (solid-line arrows in Fig. 2b), the calculations show elastic softening
 200 for both the C_{11} and C_{44} . The softening of the shear constant C_{44} overall agrees with
 201 previous powder-Ta IXS data [41], while the IXS data does not show the C_{11} softening.
 202 We also calculated the mechanical properties of Ta at high pressures including shear
 203 modulus (G), Young's modulus (E), Bulk modulus (K), and Poisson's ratio (ν) in Figs.
 204 3a to 3d. The results show that the shear and Young's modulus have a significantly
 205 softening ($\sim 20\text{--}30\%$) between 100 GPa and 160 GPa, while the bulk modulus only
 206 shows a gentle softening. Correspondingly, the Poisson's ratio shows a pronounced
 207 increase of $\sim 17\%$ and reaches a maximum value at ~ 130 GPa across the ETT.

208 The calculations of the phonon dispersions to the full high symmetry directions
 209 were extended up to 400 GPa, including Γ -H, Γ -N, and Γ -P-H ($[\xi, \xi, \xi]$) branches,
 210 using the same parameters verified by our experiments (Fig. 4a). One can see that the
 211 Kohn anomaly at $q = \sim 0.7$ in the LA mode along Γ -H becomes stronger with the
 212 pressure increase. The Kohn anomaly has the same shape from 100 to 400 GPa
 213 without soft phonon mode, indicating no first-order structure phase transition at such
 214 high pressures. A phonon anomaly in the longitudinal acoustic phonon along the H-P
 215 direction is also observed, which is a common characteristic in most of *bcc* transition
 216 metals, such as V [15], Nb [64], Mo [24], Fe [65], Cr [66], and W [67] etc. However,
 217 this phonon anomaly along H-P direction still has a stable shape in *bcc*-Ta even up to
 218 400 GPa. Therefore, the full phonon dispersions of high-pressure *bcc* Ta still indicate
 219 dynamic stability at ultrahigh pressures of 400 GPa.

220

221 **C. Electron-phonon coupling and Fermi surface nesting.**

222 To understand the mechanism of the observed Kohn anomaly in compressed Ta,
 223 we investigated the electron-phonon interaction and the Fermi surface nesting under
 224 high pressure [25,68]. A q -dependent electron-phonon coupling (EPC) λ_{qv} is given by
 225 [69]:

$$\lambda_{qv} = \frac{1}{N_F \omega_{qv}} \sum_{mn, \mathbf{k}} w_{\mathbf{k}} |g_{mn}^v(\mathbf{k}, \mathbf{q})|^2 \delta(\epsilon_{kn}) \delta(\epsilon_{\mathbf{k}+\mathbf{q}m}), \quad (1)$$

226 where δ is the Dirac delta function, \mathbf{k} is the wavevector, ϵ_{kn} is the energy of the
 227 electronic state of wave vector \mathbf{k} and band index n , and $w_{\mathbf{k}}$ is the weights of the
 228 \mathbf{k} -points. $g_{mn}^v(\mathbf{k}, \mathbf{q})$ is the electron-phonon matrix element, which represents the
 229 changing of the potential felt by the electrons due to the phonon vibration (with
 230 crystal momentum \mathbf{q} , branch v , and frequency ω_{qv}) [70]. We obtained the
 231 electron-phonon coupling strength (λ_{qv}) of the LA mode along the high-symmetry
 232 directions, where the Kohn anomaly occurs. We also derived the isotropic
 233 electron-phonon coupling parameter (λ_{iso}) in Ta, based on the calculated λ_{qv} , which is
 234 comparable with previous reports (see Supplementary Fig. S6 [53,71-73]). The
 235 calculated λ_{qv} for the LA mode is shown in Fig. 4b at pressures of 0, 47, and 110 GPa.
 236 At ambient pressure, it shows significant electron-phonon coupling with a maximum
 237 λ_{qv} of 0.41 near $q = \sim 0.7$ along the Γ -H direction, which matches well with the wave
 238 vector where the phonon energy becomes flat between $q = \sim 0.5$ and 0.7, so the
 239 coupling may contribute to the occurrence of the Kohn anomaly. By increasing the
 240 pressure to 47 GPa and 110 GPa, the coupling peak remains at a fixed wave vector but
 241 its strengths show a 10–20% decrease, indicating that pressure depresses the
 242 magnitude of the electron-phonon coupling. The decrease of the electron-phonon
 243 coupling effect usually lowers down the superconducting critical temperature (T_c) for
 244 a conventional superconductor, which is consistent with the recent observation of the
 245 T_c reduction in Ta under compression [73]. Therefore, the suppression of the
 246 electron-phonon coupling effect at high pressure doesn't contribute to the stronger
 247 Kohn anomaly upon compression.
 248

249 Then, we assessed the Fermi-surface properties in Ta at high pressures. The 3D
 250 Fermi surfaces of Ta were mapped at ambient (Fig. 5a) and high pressures (Fig. 5b
 251 and Supplementary Fig. S7a [53]) using the WANNIER90 [74]. The nesting of the
 252 Fermi surface could be described by a nesting function $\xi(\mathbf{q})$, which quantifies the
 253 overlap of the Fermi surface with an image of itself shifted by a vector \mathbf{q} [25]:

$$\xi(\mathbf{q}) = (2/N_k) \sum_{mn, \mathbf{k}} \delta(\epsilon_{kn}) \delta(\epsilon_{\mathbf{k}+\mathbf{q}m}), \quad (2)$$

255 where N_k is the number of \mathbf{k} points in the summation. Along the Γ -H direction, one
 256 can see that a wave vector \mathbf{q} connects the parallel Fermi spheres (Fig. 5b), shown as
 257 the red arrow in its cross-section of the Fermi surface as well (Supplementary Fig. S8
 258 [53]). Thus, the topology does lend itself to effective nesting. The Fermi surface area
 259 for the effective nesting becomes flatter and the density of spanning vectors increases
 260 with increasing pressures, which can provide heavier nesting. As a result, the
 261 increased nesting effect can greatly enhance the number of possible electronic
 262 transitions at the nesting wave vectors compared to other wave vectors, leading to a
 263 strong Kohn anomaly in the phonon dispersion. As Fig. 4c shows, the calculated $\zeta(\mathbf{q})$
 264 has a strong feature along the Γ -H direction, which generally corresponds to the wave
 265 vector where the Kohn anomaly occurs. It can be seen that the nesting peak becomes
 266 enhanced with pressure increase, contributing to the stronger Kohn anomaly at higher
 267 pressure. Furthermore, the wave vector of nesting peak along the Γ -H direction
 268 increases from $q = \sim 0.5$ to ~ 0.65 with increasing pressure to 47 GPa, corresponding to
 269 the wave vector of the Kohn anomaly gradually changing with pressure increase, as
 270 shown in Fig. 1a. This so-called imperfect surface Fermi nesting could cause a Fermi
 271 nesting vector ($q = 2k_F + \delta$, δ is the deviation wave vector) slightly deviating from a
 272 phonon anomaly wave vector [75,76]. We, therefore, conclude that both the
 273 electron-phonon coupling and Fermi surface nesting can contribute to the observed
 274 Kohn anomaly at ambient conditions, but the latter can play a much more important
 275 role on the enhanced Kohn anomaly at high pressures.

276

277 **D. Pressure-induced electronic topological transition.**

278 From the 3D Fermi Surfaces of Ta, we observed an ETT of the Fermi surface at 110
 279 GPa (Fig. 5b), where new voids formed near an existing continuous part of the Fermi
 280 surface. The voids of the Fermi surfaces grow continuously with increasing pressures,
 281 as shown at 125 GPa (Supplementary Fig. S7a [53]). The observed shear velocity (C_{44})
 282 softening in Ta at ~ 100 GPa [41] occurs very close to the calculated transition
 283 pressure of the ETT, indicating that the pressure-induced ETT most likely causes the
 284 elastic softening [77] (Appendix B) and the anomalies of mechanical properties as

285 shown in Fig. 3. The C_{ij} could recover normal behavior upon further pressure increase
286 and after the ETT in Fig. 2b. Besides Ta, ETT-induced elastic anomalies under
287 pressure were also reported on other transition metals, such as Cd [12], Os [38], and
288 Co [78].

289 The electronic band structure and orbital projected density of state [79] of Ta
290 near the Fermi surface at ambient and high pressures were computed and shown in
291 Figs. 5c and 5d, respectively. It shows that the d -electrons play a dominant role near
292 the Fermi surface. Therefore, the observed ETT in Ta at 110 GPa mainly results from
293 the Fermi surface changes of the d -electrons. We found that the energy bands around
294 the high symmetry point P shifts upward the Fermi level, but the ETT is not shown
295 clear here. We then particularly investigated the local energy band in Fig. 5e for a
296 better track of the pressure evolution of the ETT, where the new void of Fermi surface
297 is formed (direction along A and B in Fig. 5b). With increasing pressures from 80 to
298 110 GPa, the result show that the energy band shifts up and crosses the Fermi level at
299 ~ 100 GPa, which shows the onset of the ETT.

300

301 **E. The effect of d -orbital electrons on the ETT and elastic anomaly.**

302 To further understand the effects of d -electrons on the elastic anomaly of Ta under
303 high pressure, we artificially modified the number of the electrons of Ta atom to tune
304 the electronic band structure under pressure [80] and investigated the corresponding
305 change of the elastic constants. An extra 0.15 electrons were doped to the one-unit cell
306 of Ta, and the modified local energy band at 110 GPa is shown in Fig. 5f. Compared
307 with pristine Ta, the electron-doped Ta has a significantly lower energy band, which
308 does not cross the Fermi level. The 3D Fermi surface in electron-doped Ta under
309 pressure was shown in Supplementary Fig. S7b [53]. It indicates no ETT up to 160
310 GPa and the critical pressure of ETT increases from ~ 100 GPa to ~ 180 GPa after
311 doping electrons in Ta. Consequently, the doped electrons could stabilize Ta's
312 electronic topological structure at high pressures, which could depress and postpone
313 the ETT.

314 The elastic constants were also calculated for the electron-doped Ta (dashed
315 lines in Fig. 2b). It clearly shows that the doped electrons remove the softness of
316 elastic constants at ~ 100 GPa and postpone to the higher pressure of ~ 160 GPa
317 (dashed-line arrow), where the ETT occurs in the electron-doped Ta. Our results
318 overall agree with the previous first-principles calculations [4], in which Ta alloying
319 with its nearest neighbor element such as W with a higher d -transition metal could
320 remove its elastic softening and stabilize the bcc structure under high pressure.

321

322 **F. Kohn anomaly and ETT in other d -block transition metals.**

323 Our studies provide the mechanism and kinetics of the pressure-induced Kohn
324 anomaly and ETT in a representative d -block transition metal Ta at high pressures,
325 which may be valid for other d -block transition metals. As the structure (bcc) and
326 topology of the Fermi surface are very similar to each other in the group-VB elements
327 (V, Nb, and Ta), a common origin for the pressure-induced Kohn anomaly may exist
328 in these metals. A Kohn anomaly at $q \approx 0.7$ in LA mode along the $[\xi, 0, 0]$ direction in
329 both V [81] and Nb [64] have been observed at ambient conditions, where it becomes
330 much stronger in V under high pressure [31]. Other bcc transition metals such as Cr
331 and Mo also show Kohn anomalies [8,24], therefore it is a common phenomenon in
332 d -block transition metals, especially at high pressure. The pressure-enhanced Fermi
333 surface nesting plays an important role in the Kohn anomaly of the transition metals.

334 In addition, the group-VB elements may have a similar ETT at high pressures,
335 which contributes to their elastic anomaly as well. Besides Ta, elastic softening of V
336 was reported by single-crystal IXS experiments [31] and of Nb was predicted by
337 theory[4,77,82], though they occur at different pressure moving down from $5d$ (Ta,
338 ~ 100 GPa) to $3d$ (V, ~ 30 GPa) transition metals [4,31]. Therefore, our study here
339 indicates that ETT mainly contributes to the elastic anomaly in the group-VB
340 transition metals under high pressure [5]. On the other hand, V undergoes a structural
341 phase transition from bcc to rhombohedral under ~ 30 GPa (nonhydrostatic
342 compression) to ~ 62 GPa (hydrostatic compression) at room temperature [83,84],
343 while Nb and Ta have the relatively higher stability of the bcc structure than the V

344 under compression. The earlier shear softening in V likely contributes to the lattice
345 distortion at higher pressures [31]. ETTs have been reported in some other *d*-block
346 transition metals such as *3d* metals of Fe [19] and Zn [11,85,86], *4d* metals of Cd
347 [12,35,87], *5d* metals of Os [13,38]. Therefore, ETT is much more prevalent than our
348 previous thought. Because it can significantly modify the physical and mechanical
349 properties, it is possible to design new materials with improved properties through
350 ETT [88].

351

352

IV. CONCLUSION

353 We have investigated the phonon dispersions of *bcc*-Ta at hydrostatic high
354 pressures using inelastic x-ray scattering measurements and DFT computations. A
355 Kohn anomaly in Ta was observed experimentally in the longitudinal acoustic branch
356 and becomes much more pronounced up to 17–47.0 GPa. The calculated
357 mode-specific electron-phonon coupling strength and Fermi-surface nesting function
358 show peaks at the Kohn anomaly location, where the latter becomes enhanced and the
359 density of spanning vectors increases upon compression. Therefore, our study
360 indicates that the Kohn anomaly in Ta originates from both electron-phonon coupling
361 and Fermi surface nesting, where the Fermi surface nesting plays a more important
362 role on the pressure-enhanced Kohn anomaly. With increasing pressure up to ~100
363 GPa, we find the occurrence of a topology change of the electronic band structure at
364 Fermi energy (ETT) in Ta, which contributes to the softening of the elastic constants
365 (C_{11} and C_{44}). The shear modulus, Youngs' modulus, and bulk modulus in Ta show the
366 softening with the occurrence of the ETT as well. We also find that the *d*-electron
367 doping in Ta can significantly stabilize the electronic topological structure under
368 compression, which can depress the anomalies in Ta's elasticity and mechanical
369 properties at high pressures. It is believed that the pressure-induced Kohn anomaly
370 and ETT are ubiquitous and important in *d*-block transition metals.

371

372

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388 COMPRES.

389

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APPENDIX

391

A: Sample preparation and experimental details.

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401

402

High-quality *bcc*-Ta single crystal in (100) orientation was purchased from Princeton Scientific Corporation. As shown in Supplementary Fig. S1a [53], the initial size was ~ 500 μm width and ~ 150 μm thick. X-ray diffraction (XRD) analysis of the crystal showed the lattice parameter to be $3.3030(10)$ \AA at ambient conditions. Samples were cut to a disc of $45(2)$ μm diameter and a thickness of $15(1)$ μm using a focused ion beam (FIB) system [24,89] (FEI VERSA 3D type) at the Center for High Pressure Science and Technology Advanced Research (HPSTAR), Shanghai, as shown in Figs. S1b and S1c. Gallium ions (Ga^+) was accelerated through an electrical field of 30 kV to produce a field emission focused ion beam. To protect the crystal quality, we used a small ion beam current of 15 nA to cut the sample. The prepared samples were then retrieved from the initial sample and then cleaned for experiments.

403 Selected pieces of the FIB-shaped samples were loaded into wide-opening
404 symmetric diamond anvil cells (DACs) with 300 μm or 400 μm culets of diamond,
405 and rhenium gaskets, together with a ruby sphere as the pressure calibrant [90]
406 (Supplementary Fig. S2 [53]). Inert helium gas was used as the pressure medium to
407 ensure hydrostatic compression in the sample chamber and to be more resistant to
408 chemical reactions with the samples at high pressures (Supplementary Fig. S2 [53]).

409 The quality of each loaded crystal was checked using XRD before HERIX
410 experiments at the 13ID-D station, GeoSoilEnviroConsortium for Advanced
411 Radiation Sources (GSECARS) of the Advanced Photon Source (APS), Argonne
412 National Laboratory (ANL). The incident X-ray beam of 0.3344 \AA in wavelength was
413 focused down to ~ 2 μm in diameter (FWHM). A typical diffraction pattern of a Ta
414 sample at high pressure is recorded using a Pilatus detector as shown in
415 Supplementary Fig. S3 [53]. The image was recorded by rocking the sample from -2°
416 to $+2^\circ$. The diffraction data in Supplementary Figs. S3a and S3b show that the sample
417 was at 17.0 and 47 GPa [53], respectively, based on the previously determined
418 equation of state of Ta [54]. Our measured lattice parameters in Ta crystal up to 47
419 GPa are consistent with the previous study in Supplementary Fig. S3d [53,54]. The
420 diffraction spots have a circular shape, and the diffraction spectrum at the [200], [220],
421 and [310] reflections have small FWHM of less than 0.09° , indicating a high quality
422 of the crystal at 17.0 GPa. At higher pressure up to ~ 47.0 GPa in our experiments, we
423 did not observe evidence of degradation in crystalline quality upon increasing
424 pressure from XRD patterns.

425 The X-ray beam was focused onto a beam size of 35×15 μm^2 (FWHM) on the
426 sample, which matched our sample size well (a disc with diameter ~ 45 μm). The
427 pressures of the sample chamber were determined by the ruby fluorescence and
428 cross-checked with the sample's equation of state (EOS) from XRD of the sample at
429 pressures [54]. The pressure uncertainty of the sample was ~ 0.5 GPa, which was
430 determined by averaging the pressures determined by the lattice parameters and the
431 ruby fluorescence before and after the HERIX experiments, respectively. The incident
432 X-ray beam at Sector 30 of the Advanced Photon Source (APS) had an energy of

433 23.724 keV (X-ray wavelength of 0.5224 Å) and overall energy resolution of 1.4 meV
 434 of full width at half maximum (FWHM) is achieved using spherically curved analyzer
 435 [51,52]. The spectra were collected in constant q scans, and the energy values of the
 436 phonons $E(\mathbf{q})$ were extracted by fitting the measured spectra using the Gaussian
 437 function (DAVE2). Supplementary Fig. S4 shows the measured spectra in the
 438 longitudinal acoustic mode in the Brillouin zone around (200) Bragg reflection along
 439 the $[\xi, 0, 0]$ direction at 47.0 GPa [53].

440

441 **B: C_{ij} instability across the ETT**

442 We considered a critical energy E_c , where the Fermi surface undergoes an ETT.
 443 The ETT contribution to the elastic constants C_{ij} can be approximately described by
 444 an equation [77]:

$$445 \quad C_{ij} = \frac{1}{V} \frac{\partial^2 E_{band}}{\partial \varepsilon_i \partial \varepsilon_j} \approx \frac{1}{4\pi^2 V |E^*|^{3/2}} \left[-\frac{E_F}{2} \Delta E^{-1/2} \right] \frac{\partial^2 \Delta E}{\partial \varepsilon_i \partial \varepsilon_j}, \quad (3)$$

446 where E_{band} is the band contribution from the ETT to the total energy, E_F is the energy
 447 of Fermi level, ε_i and ε_j are the strain components, E^* is a constant parameter, and ΔE
 448 = $E_F - E_c$. When the ETT happens, the difference between E_F and E_c reaches a
 449 minimum, so that the C_{ij} can be lowered suddenly. Upon increasing the pressure
 450 beyond the ETT, E_F moves away from E_c so that the contribution grows weaker and
 451 the C_{ij} recovers to normal behavior.

452

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591

592

593 **Figure Captions**

594 **Figure 1. Phonon dispersions for *bcc*-Ta at ambient and high pressures.** Phonon
595 energy as a function of pressure along the high symmetry directions $[\xi,0,0]$ **(a)** and
596 $[\xi,\xi,0]$ **(b)**. High-pressure experimental HERIX data in this study were measured at
597 17.0(5) GPa, 42.0(5) GPa, and 47.0(5) GPa at room temperature, respectively. Data at
598 ambient pressure are taken from [47]. Solid circles represent the LA modes; open
599 circles and triangles denote the TA1 and TA2 modes, respectively. Errors are smaller
600 than the symbol size and not plotted for clarity. The experimental data and errors are
601 listed in Table S1. The black, red, and blue lines represent DFT calculations of the
602 phonon dispersions at 0 GPa, 17.0 GPa, and 47.0 GPa, respectively, where the solid,
603 dashed, and short-dash lines represent the LA, TA1 and TA2 modes, respectively. A
604 significant Kohn anomaly at a reduced wave vector of ~ 0.7 in LA mode along the Γ -H
605 was observed at 47.0 GPa.

606

607 **Figure 2. Mode-specific phonon linewidths and the elastic constants of Ta at high**
608 **pressures. (a)** Phonon energy and the corresponding phonon linewidth in the LA
609 mode along the Γ -H direction as a function of reduced wave vector at 17.0 and 47.0
610 GPa, respectively, showing a Kohn anomaly at the phonon wave vector of $q = \sim 0.7$ at
611 47.0 GPa. The dash lines for the phonon linewidth are guides for the eye. **(b)** Elastic
612 constants (C_{ij}) as a function of pressure. Solid symbols represent our single-crystal
613 HERIX results, except the ones at ambient conditions by ultrasonic method [91].
614 Errors from HERIX measurements are smaller than the symbol size. Open symbols

615 represent the elastic constants deduced from the sound velocity measurements in
616 powder Ta by IXS in a DAC [41]. The solid lines are our calculated elastic constants
617 for Ta as a function of pressure, which show a softening in both C_{11} and C_{44} at ~ 100
618 GPa as indicated by solid-line arrows. The dashed lines represent the calculated
619 elastic constants for electron-doped Ta at high pressures, which show an elastic
620 softening at ~ 160 GPa as indicated by dashed-line arrows.

621

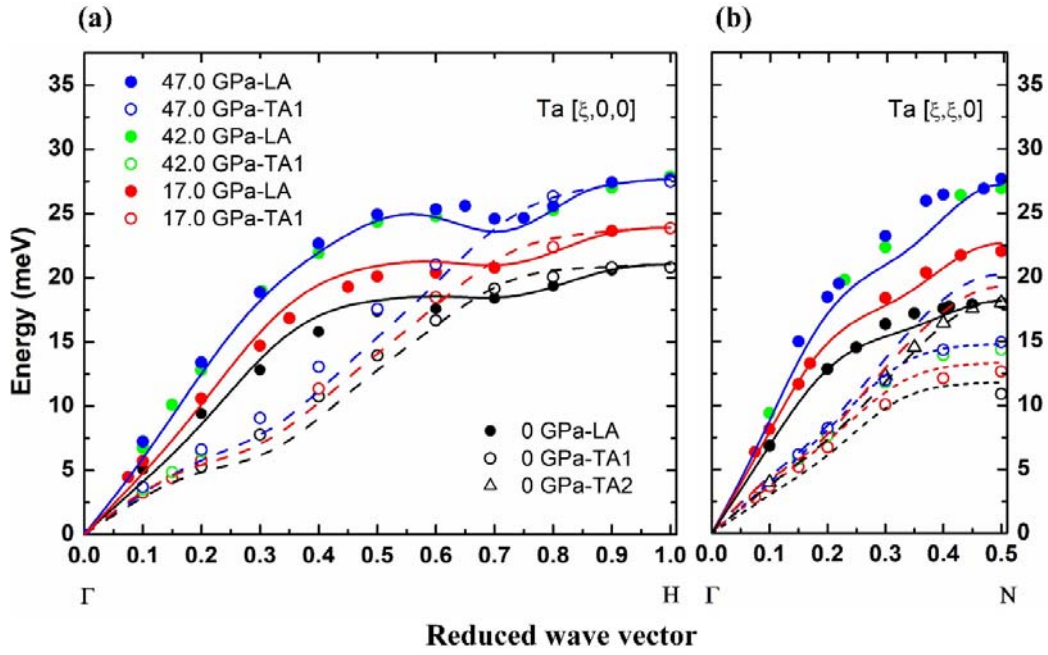
622 **Figure 3. Calculated mechanical properties of Ta up to 180 GPa. (a)** Shear
623 modulus (G), **(b)** Young's modulus (E), **(c)** Bulk modulus (K), and **(d)** Poisson's ratio
624 (ν) of Ta at high pressures. These moduli are connected via the equations for isotropic
625 materials: $2G(1 + \nu) = E = 3K(1 - 2\nu)$. The results show that the G , E , and K moduli
626 have significant softening anomalies above ~ 100 GPa, and they drop to minima at
627 around 130 GPa. Correspondingly, the ν has a pronounced increase at the similar
628 pressure range.

629

630 **Figure 4. Calculated phonon dispersions, mode-specific electron-phonon**
631 **coupling strength, and Fermi-surface nesting function at high pressures. (a)**
632 Calculated phonon dispersions for bcc -Ta along the high-symmetry directions Γ -H,
633 Γ -N, and Γ -P-H at 0, 17, 47, 110, 200, and 400 GPa, respectively. Calculated
634 mode-specific electron-phonon coupling strength (λ_{qv}) in the LA mode **(b)** and
635 aggregate Fermi-surface nesting function $\zeta(\mathbf{q})$ **(c)** at 0, 47, and 110 GPa, respectively.

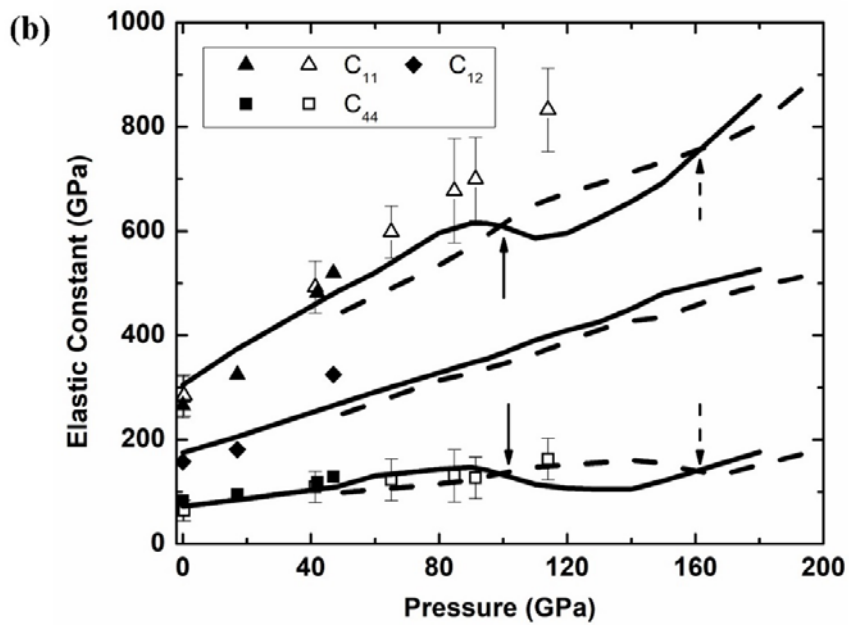
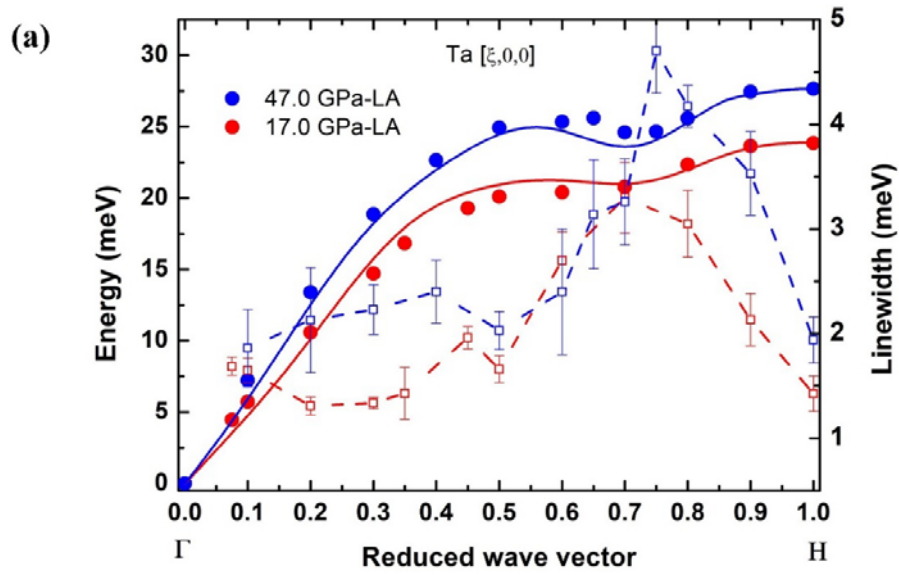
636

637 **Figure 5. Calculated 3-D Fermi surfaces, band structure, and density of states in**
638 ***bcc-Ta***. Fermi surfaces of Ta at ambient pressure **(a)** and 110 GPa **(b)**, respectively.
639 An ETT occurs at 110 GPa (red area). \mathbf{q} is the Fermi surface nesting vector along Γ -H
640 shown as red arrows. Calculated electronic band structure at 0 GPa (black lines), 110
641 GPa (red lines), and 180 GPa (blue lines), respectively **(c)** and projected DOS at 110
642 GPa **(d)** of Ta. The orange, green and blue colors represent the s , p , and d orbitals in
643 **(d)**, respectively. **(e)** Pressure evolution of band energy across the ETT from 80 to 110
644 GPa. **(f)** The comparisons of band energy between pristine Ta and electron-doped Ta
645 at 110 GPa. The doped electrons in Ta make the energy band moving down. “+e”
646 represents “doped electrons” in Ta. The position of A and B in the reciprocal space is
647 (0.203, 0.065, 0.203) and (0.301, 0.0969, 0.301) respectively as shown in (b). The
648 Fermi levels are set to be zero energy.
649



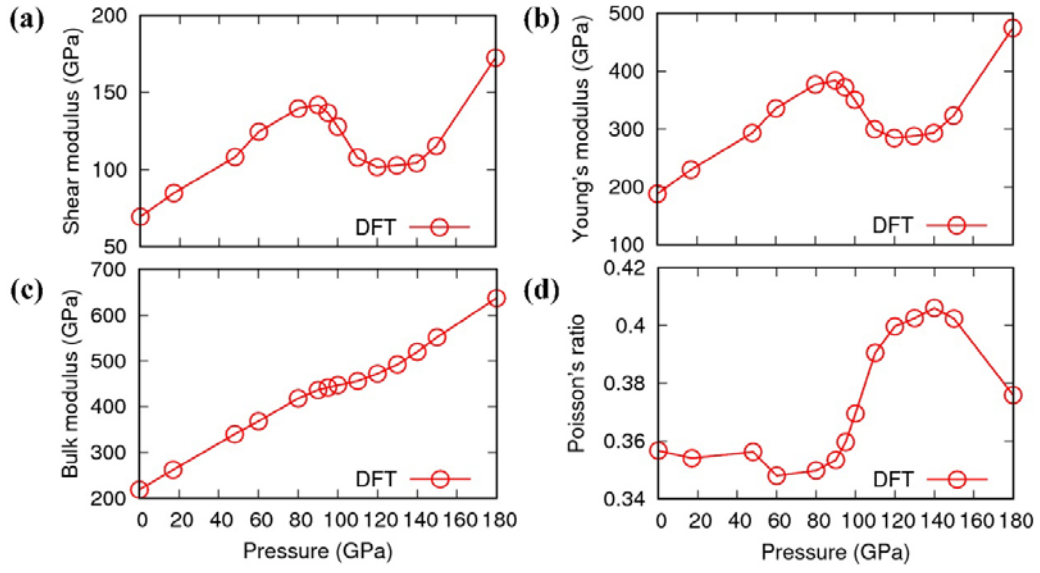
650

651 **FIG. 1**



652

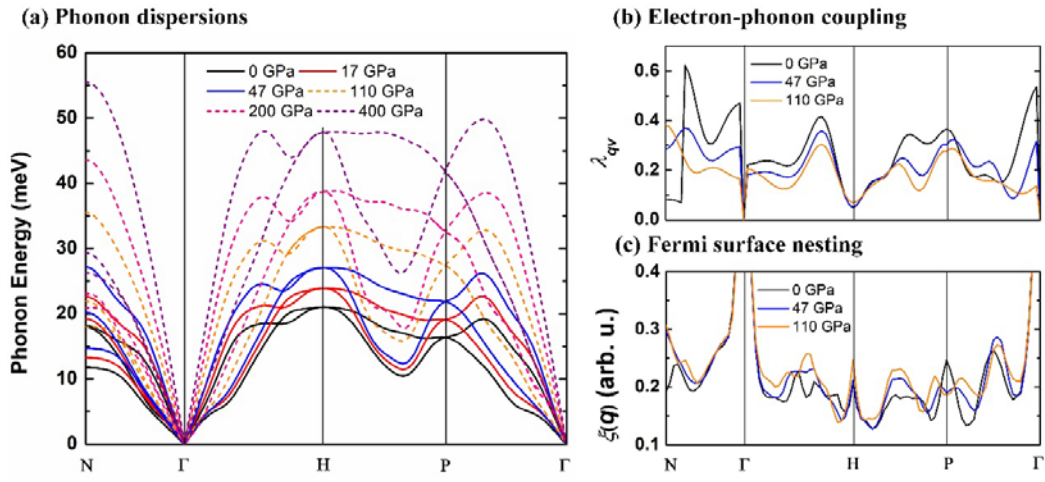
653 FIG. 2



654

655 **FIG. 3**

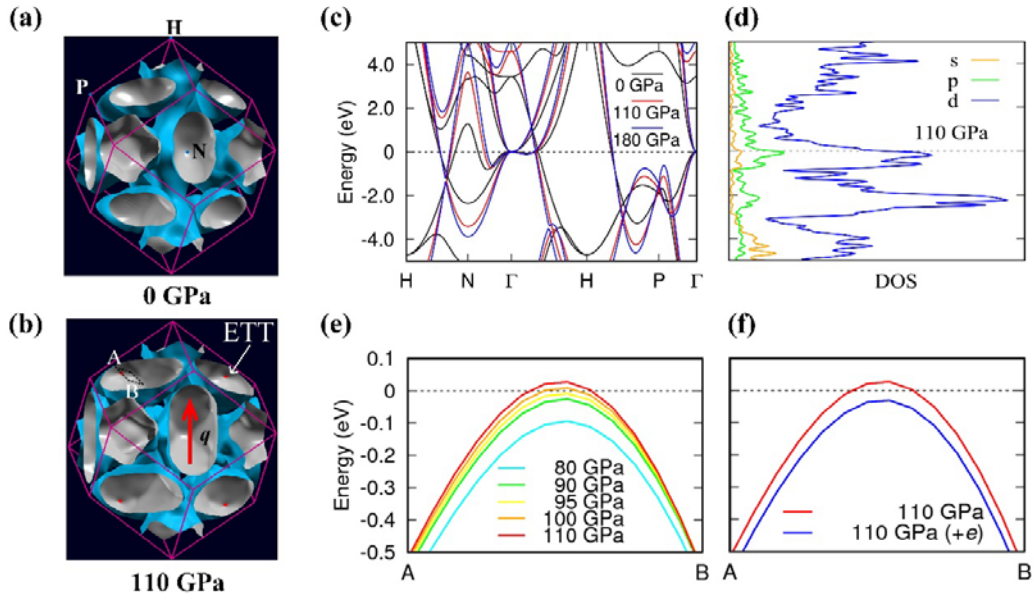
656



657

658 **FIG. 4**

659



660

661 **FIG. 5**