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Notch fracture toughness of glasses: Rate, age and geometry dependence

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Understanding the fracture toughness (resistance) of glasses is a fundamental problem of prime theoretical and practical importance. Here we theoretically study its dependence on the loading rate, the age (history) of the glass and the notch radius ρ . Reduced-dimensionality analysis suggests that the notch fracture toughness results from a competition between the initial, age- and history-dependent, plastic relaxation timescale τ_0^{pl} and an effective loading timescale $\tau^{ext}(\dot{K}_I, \rho)$, where \dot{K}_I is the tensile stress-intensity-factor rate. The toughness is predicted to scale with $\sqrt{\rho}$ independently of $\xi \equiv \tau^{ext}/\tau_0^{pl}$ for $\xi \ll 1$, to scale as $T\sqrt{\rho} \log(\xi)$ for $\xi \gg 1$ (related to thermal activation, where T is the temperature) and to feature a non-monotonic behavior in the crossover region $\xi \sim \mathcal{O}(1)$ (related to plastic yielding dynamics). These predictions are verified using novel 2D computations, providing a unified picture of the notch fracture toughness of glasses. The theory highlights the importance of timescales competition and far from steady-state elasto-viscoplastic dynamics for understanding the toughness, and shows that the latter varies quite significantly with the glass age (history) and applied loading rate. Experimental support for bulk metallic glasses is presented and possible implications for applications are discussed.

I. INTRODUCTION

The fracture toughness, i.e. the ability to resist failure in the presence of a crack, is a basic physical property of materials [1]. From a practical perspective, this property is a major limiting factor in the structural integrity of a broad range of systems and engineering applications. From a theoretical perspective, the fracture toughness challenges our understanding of the strongly nonlinear and dissipative response of materials under extreme conditions, approaching catastrophic failure. Consequently, obtaining a basic understanding of the fracture toughness of materials is a fundamentally important problem.

Quantitatively predicting the fracture toughness of glassy materials, which lack long-range crystalline order and are characterized by intrinsic disorder, is a particularly pressing problem in condensed-matter, materials and applied physics. Glassy materials exhibit unique and intriguing physical properties as compared to their crystalline counterparts [2–10]. For example, glassy solids typically exhibit a strength and an elastic limit that significantly exceed those of crystalline alloys of similar composition due to the absence of mobile dislocation defects. Instead, glassy solids deform irreversibly by immobile and localized structural rearrangements [11–13], at sites termed Shear-Transformation-Zones (STZ), which are not yet fully understood.

Glassy materials are intrinsically out-of-equilibrium, hence their physical properties depend on their preparation protocol, history and age (see, for example, [14, 15]). Moreover, these materials typically do not feature strain-hardening, i.e. an increase in the deformation resistance with increasing deformation, which is commonly observed in crystalline alloys [2–10]. Finally, glassy materials feature rate effects that are far from understood [2–10].

In the last few decades significant improvement in the glass-forming ability (GFA) of multi-component amorphous alloys has been achieved, allowing the use of conventional casting techniques to obtain amorphous alloys in bulk forms, the so-called Bulk Metallic Glasses (BMG) [16–22]. The emergence of this new family of glasses has triggered intense research activity and holds a great promise for a wide range of functional and structural engineering applications [2–10]. The reason for this is two-fold. First, BMG are exceptionally strong and elastic compared to conventional engineering materials, and exhibit other appealing mechanical and magnetic properties (such as good wear strength, corrosion resistance and hard-magnetic properties at room-temperature). Second, BMG can be processed as plastics into near-net shapes, that are impossible to achieve using conventional metals, due to stable viscous flow in a wide supercooled-liquid region and minute shrinkage at the glass transition [16–22].

A major stumbling block for a widespread usage of BMG as structural engineering materials in load-bearing applications is their limited ductility and typically low fracture toughness. This severe limitation triggered an extensive search for improving the toughness of BMG by either exploring new alloys/compositions with excellent GFA and physical properties or by manipulating existing alloys [3–10]. Unfortunately, this search is not yet based on well-established theoretical predictions, but rather on trial-and-error procedures and phenomenological correlations between various physical properties [3–10]. For example, a large body of work has been focussed on some phenomenological correlations between the elastic moduli of BMG and important properties such as their fracture toughness and GFA [3–10]. It is highly desirable to put these efforts on solid theoretical grounds which will enhance the predictability of the fracture toughness

of glasses and hence pave the way to a broader range of engineering applications.

This challenge has attracted considerable attention and triggered much recent work [23–54]. Yet, there is no complete understanding of the resistance of glassy materials to catastrophic failure in the presence of a notch defect — the notch fracture toughness — and its dependence on various physical parameters. Our goal in this paper is to offer a comprehensive theoretical picture of the dependence of the notch fracture toughness of glasses on the loading rate, the glass age/history, the notch radius and the temperature (below the glass transition temperature).

Our main result, obtained through a reduced-dimensionality theoretical analysis and extensive 2D spatiotemporal computations based on a novel numerical method [55], highlights the essential role played by timescales competition in determining the notch fracture toughness of glassy materials. The competing timescales involve an effective applied loading timescale (depending on the notch radius of curvature, and on the global geometry and loading rate) and the initial, age-dependent, plastic (dissipative) relaxation timescale (depending on the glass cooling rate, age and history) [56]. Once properly identified, the ratio of the two timescales ξ is shown to control the fracture toughness over a wide range of physical conditions. A master curve describing the dependence of the toughness on ξ is quantitatively derived and is shown to feature a *non-monotonic* behavior.

These results are shown to be consistent with previously unexplained experimental data and offer various new predictions. The theory suggests a way to predict the variation of the notch fracture toughness of glasses over a broad range of physical conditions based on relatively few measurements. It also delineates the range of physical parameters where BMG could be manipulated, e.g. by controlled heat treatments, to achieve improved toughness for engineering applications. In particular, the minimum of the toughness master curve in terms of ξ is an important rate-dependent material parameter that can guide toughening strategies (e.g. by manipulating the preparation procedure) and put on more solid grounds related phenomenological criteria [51]. Moreover, the theory suggests that phenomenological correlations between elastic moduli and toughness may be somewhat superficial and of limited predictive power. Finally, this work offers tools to quantify the rate-dependence of the toughness, which is essential for using BMG in future load-bearing engineering applications.

II. PROBLEM FORMULATION

The fracture toughness quantifies the amount of dissipation involved in crack propagation. Consequently, one needs to account for the irreversible deformation of the material, and its interplay with reversible (elastic) deformation. Inertia plays little role in fracture *initiation* un-

der a wide range of conditions and standard engineering testing protocols, hence we focus on quasi-static stress equilibrium described by

$$\nabla \cdot \boldsymbol{\sigma} = \mathbf{0}, \quad (1)$$

where $\boldsymbol{\sigma}$ is the Cauchy stress tensor. We consider then a general hypo-elasto-viscoplastic material described by

$$\mathbf{D}^{tot}(\mathbf{v}) = \mathbf{D}^{el}(\boldsymbol{\sigma}, \mathbf{v}) + \mathbf{D}^{pl}(\boldsymbol{\sigma}, \dots). \quad (2)$$

Here $\mathbf{D}^{tot} = \frac{1}{2}[\nabla \mathbf{v} + (\nabla \mathbf{v})^T]$ is the total rate of deformation tensor, where $\mathbf{v}(\mathbf{r}, t)$ is the Eulerian velocity field and (\mathbf{r}, t) are the spatiotemporal coordinates. $\mathbf{D}^{el} = \partial_t \boldsymbol{\epsilon} + \mathbf{v} \cdot \nabla \boldsymbol{\epsilon} + \boldsymbol{\epsilon} \cdot \boldsymbol{\omega} - \boldsymbol{\omega} \cdot \boldsymbol{\epsilon}$ is the elastic rate of deformation tensor, where $\boldsymbol{\omega} = \frac{1}{2}[\nabla \mathbf{v} - (\nabla \mathbf{v})^T]$ is the spin tensor and the strain tensor $\boldsymbol{\epsilon}$ is related to $\boldsymbol{\sigma}$ through Hooke's law $\boldsymbol{\sigma} = K \text{tr} \boldsymbol{\epsilon} \mathbf{1} + 2\mu (\boldsymbol{\epsilon} - \frac{1}{3} \text{tr} \boldsymbol{\epsilon} \mathbf{1})$. K and μ are the bulk and shear moduli, respectively. $\mathbf{D}^{pl}(\boldsymbol{\sigma}, \dots)$ is the plastic rate of deformation tensor, which encapsulates the relevant physics of the dissipative deformation of glasses. The ellipsis stands for additional dependencies, e.g. on the temperature and on structural internal state variables.

We adopt the non-equilibrium thermodynamic Shear-Transformation-Zones (STZ) model, as in [35], where

$$\mathbf{D}^{pl}(\mathbf{s}, T, \chi) = e^{-\frac{e_z}{k_B \chi}} \frac{\mathcal{C}(\bar{s}, T)}{\tau} \left[1 - \frac{s_y}{\bar{s}} \right] \frac{\mathbf{s}}{\bar{s}}, \quad (3)$$

$$c_0 \dot{\chi} = \frac{\mathbf{D}^{pl} : \mathbf{s}}{s_y} (\chi_\infty - \chi), \quad (4)$$

for $\bar{s} \geq s_y$ and $\mathbf{D}^{pl} = 0$ otherwise. This model, despite its relative simplicity, has been shown to capture a wide range of driven glassy phenomena [13, 35, 57–70]. $\mathbf{s} = \boldsymbol{\sigma} - \frac{1}{3} \text{tr} \boldsymbol{\sigma} \mathbf{1}$ in Eqs. (3)-(4) is the deviatoric stress tensor, its magnitude is $\bar{s} \equiv \sqrt{\mathbf{s} : \mathbf{s} / 2}$, and s_y is the shear yield stress. χ is an effective disorder temperature which quantifies the intrinsic structural state of the glass [57, 63], e_z/k_B is a typical STZ formation energy over Boltzmann's constant, $\mathcal{C}(\bar{s}, T)/\tau$ is the rate at which STZ make transitions between their internal states. τ^{-1} is a molecular vibration rate and T is the bath temperature, assumed to be well below the glass temperature (such that spontaneous aging is neglected in Eq. (4)). c_0 is an effective dimensionless heat capacity and χ_∞ is the steady state value of χ .

The STZ transition rate is taken to be of the form

$$\mathcal{C}(\bar{s}, T) = \begin{cases} e^{-\frac{\Delta}{k_B T}} \cosh \left[\frac{\Omega \epsilon_0 \bar{s}}{k_B T} \right] & \text{for } \Omega \epsilon_0 \bar{s} < \Delta \\ \frac{\Omega \epsilon_0 \bar{s}}{2\Delta} & \text{for } \Omega \epsilon_0 \bar{s} \geq \Delta \end{cases} \quad (5)$$

It corresponds to a linearly stress-biased thermal activation process at relatively small stresses, where Δ is the typical energy activation barrier, Ω is the typical activation volume and ϵ_0 is the typical local STZ strain. In the presence of the high stresses near a tip of a crack, $\Omega \epsilon_0 \bar{s}$ may become larger than Δ , in which case we assume that the exponential thermal activation form crosses over to a

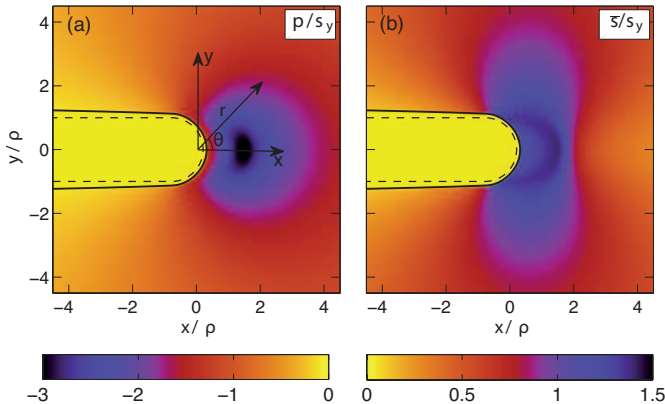


FIG. 1. (Color online) The problem setting and an example of a numerical solution in the near notch root region. (a) The hydrostatic pressure and (b) the magnitude of the deviatoric stress, both normalized by the shear yield stress s_y , are shown. The dashed-dotted line corresponds to the initial notch state and the solid line to a deformed state with $K_I = 30 \text{ MPa}\sqrt{\text{m}}$. A small portion of the simulation domain $-20 \leq x/\rho, y/\rho \leq 20$, near the notch root, is shown. A fixed coordinate system located a distance $\rho/5$ behind the initial notch root, with both Cartesian (x, y) and polar (r, θ) coordinates, is shown on panel (a). The calculation was done using a 1025×1025 grid.

much weaker dependence associated with a linear, non-activated, dissipative mechanism [61]. As $\Delta \gg k_B T$, the two regimes connect continuously, but not differentially. This crossover in the form of the STZ transition rates, from exponential thermal activation to a much weaker athermal power-law (here a linear relation, which allows for analytic progress), will turn out below to have important implications for the toughness.

This elasto-viscoplasticity model is used to formulate a plane-strain fracture problem where traction-free boundary conditions are imposed on a blunted straight notch (crack) with an initial root radius ρ (cf. Fig. 1) and the universal linear elastic mode I (tensile) crack tip velocity fields [71]

$$\mathbf{v}(r, \theta, t) = \frac{\dot{K}_I(t)}{\mu} \sqrt{\frac{r}{2\pi}} \mathbf{F}(\theta) \quad \text{for } r \gg \rho \quad (6)$$

are imposed on a scale much larger than ρ . Here \dot{K}_I is the mode I stress-intensity-factor rate, which measures the intensity of the linear elastic singularity $\nabla \mathbf{v} \sim 1/\sqrt{2\pi r}$ at $\rho \ll r \ll L$, where L is a macroscopic lengthscale in the global fracture problem (e.g. the sample size). (r, θ) is a polar coordinate system whose origin is set a distance $\rho/5$ behind the notch root, $\theta = 0$ is the symmetry axis and $\mathbf{F}(\theta)$ is a known universal function [35, 71]. In such a boundary layer formulation, the stress-intensity-factor uniquely couples the inner scales near the notch root to the outer scales, and hence can be controlled independently without solving the global fracture problem [71].

The notch fracture toughness is the critical value of the stress-intensity-factor, K_Q , at which crack propagation initiates and global failure occurs. Recent work [35, 40–49] suggests that this onset (and in fact also the subsequent propagation [35]) is controlled by a local cavitation instability occurring when the hydrostatic tension $\frac{1}{3} \text{tr} \boldsymbol{\sigma}$ surpasses a threshold level σ_c . We adopt this failure criterion here.

While a large part of the analysis below is performed in terms of dimensionless parameters, we nevertheless consider realistic material parameters corresponding to Vitreloy 1, a widely studied BMG, identical to those reported in [35]. That is, we use: $T = 400 \text{ K}$, $e_z/k_B = 21000 \text{ K}$, $s_y = 0.85 \text{ GPa}$, $\mu = 37 \text{ GPa}$, $K = 122 \text{ GPa}$, $\tau = 10^{-13} \text{ s}$, $\epsilon_0 = 0.3$, $\Omega = 300 \text{ \AA}^3$, $c_0 = 0.4$, $\Delta/k_B = 8000 \text{ K}$ and $\chi_\infty = 900 \text{ K}$. For the initial conditions we use $\boldsymbol{\sigma}(\mathbf{r}, t=0) = 0$ and $\chi(\mathbf{r}, t=0) = \chi_0$, where χ_0 describes the initial structural state of the glass which depends on its history. For example, it may be affected by the cooling rate at which the glass has been formed, annealing and other heat treatments, aging time and previous deformation. The model's setup is shown in Fig. 1.

III. THEORY AND ANALYSIS

Our major goal is to study the dependence of the notch fracture toughness K_Q on the initial structural state of the glass χ_0 , on the stress-intensity-factor rate \dot{K}_I , on the notch radius of curvature ρ and on the temperature T below the glass transition temperature. We address the problem of calculating $K_Q(\chi_0, \dot{K}_I, \rho, T)$ by a reduced-dimensionality theoretical analysis and 2D numerical computations. The latter, an example of which is shown in Fig. 1, are based on a recently developed numerical method that can handle physically realistic loading rates, which is essential for understanding the properties of the toughness. Preliminary numerical results addressing this problem appeared in [35].

To gain some analytic insight into the fracture toughness, we perform a reduced-dimensionality analysis which aims at describing the behavior of a representative material element near the notch root. We further simplify the problem by eliminating its tensorial nature, focusing only on the magnitude of the deviatoric component of the relevant tensors. In particular, we neglect altogether the hydrostatic part of the stress tensor $\boldsymbol{\sigma}$ and replace its deviatoric part $\mathbf{s}(\mathbf{r}, t)$ by a space-independent scalar $s(t)$, and $\chi(\mathbf{r}, t)$ by $\chi(t)$. Similarly, we replace the space-dependent elastic and plastic rate of deformation tensors in the problem by their space-independent scalar counterparts $\mathbf{D}^{el}(\mathbf{r}, t) \rightarrow \dot{s}(t)/\mu$ and $\mathbf{D}^{pl}(\mathbf{r}, t) \rightarrow D^{pl}(t)$, with $D^{pl}(s, \chi) = \tau^{-1} e^{-\frac{\epsilon_z}{k_B \chi}} \mathcal{C}(s, T) (1 - s_y/s)$.

The crucial last step is to relate the global loading and geometry of the system, captured by the stress-intensity-factor \dot{K}_I , and the effective total rate of the deformation near the notch root, taking into account both the strong stress amplification associated with the linear elas-

tic square root singularity and the characteristic length-scale inherited from the notch radius of curvature. A natural way to do this is through the replacement

$$\mathbf{D}^{tot}(\mathbf{r}, t) \rightarrow \frac{\dot{K}_I}{\mu\sqrt{2\pi\rho}}. \quad (7)$$

With these replacements, Eqs. (3)-(4) transform into a set of coupled nonlinear ordinary differential equations

$$\dot{s} = \frac{\dot{K}_I}{\sqrt{2\pi\rho}} - \mu D^{pl}(s, \chi), \quad (8)$$

$$c_0\dot{\chi} = \frac{D^{pl}(s, \chi)s}{s_y} (\chi_\infty - \chi). \quad (9)$$

Obviously, Eqs. (8)-(9) miss many features of the full 2+1 dimensional spatiotemporal dynamics of the problem, such as the tensorial nature of the basic quantities, the coupling between the deviatoric and hydrostatic parts of the deformation/stress, the time evolution of the radius of curvature $\rho(t)$ and the propagation of yielding fronts in the notch root region. Yet, as will be shown below, they capture important aspects of the fracture toughness. The first step in analyzing Eqs. (8)-(9) is to identify a proper set of dimensionless physical parameters that control their behavior. In this context, we stress that elasto-viscoplasticity is intrinsically linked to a competition between different timescales. Moreover, glassy response is sensitive to the *initial* structural state of the material (affected by its age, cooling rate, previous deformation, etc.), which must play a crucial role in far from steady-state physical properties such as the fracture toughness.

To capture this timescale competition, we define an *initial* plastic relaxation timescale (inverse rate) as $\tau_0^{pl}(\chi_0) \equiv \tau e^{\frac{e_z}{k_B\chi_0}}$, an effective applied timescale (again, an inverse rate) as $\tau^{ext}(\dot{K}_I, \rho) \equiv \mu\sqrt{2\pi\rho}/\dot{K}_I$ and their ratio

$$\xi(\chi_0, \dot{K}_I, \rho) \equiv \frac{\tau^{ext}}{\tau_0^{pl}} = \frac{\mu\sqrt{2\pi\rho}}{\tau\dot{K}_I} e^{-\frac{e_z}{k_B\chi_0}}. \quad (10)$$

This dimensionless quantity plays a central role in what follows. It is important to note that $1/\tau^{ext}$ is *not* the externally applied strain-rate, but rather the effective strain-rate experienced by the near notch region. The effective strain-rate at the innermost scale $r \simeq \rho$ is significantly amplified relative to the externally applied strain-rate, characterizing the outermost scale L , according to the linear elastic square root singularity.

We also define $\tilde{e}_z \equiv e_z/k_B\chi_0$, $\tilde{\chi}_\infty \equiv \chi_\infty/\chi_0$, $\tilde{\mu} \equiv \mu c_0/s_y$, $\tilde{s} \equiv s/s_y$, $\tilde{\chi} \equiv \chi/\chi_0$ and $\tilde{t} \equiv t\dot{K}_I/s_y\sqrt{2\pi\rho}$. In terms of these dimensionless quantities, Eqs. (8)-(9) take the form

$$\dot{\tilde{s}} = 1 - \xi f(\tilde{s}, \tilde{\chi}), \quad (11)$$

$$\tilde{\mu}\dot{\tilde{\chi}} = \xi f(\tilde{s}, \tilde{\chi}) \tilde{s} (\tilde{\chi}_\infty - \tilde{\chi}), \quad (12)$$

with $f(\tilde{s}, \tilde{\chi}) \equiv e^{\tilde{e}_z(1-\tilde{\chi}^{-1})}\mathcal{C}(s, T)(1 - \tilde{s}^{-1})$ for $\tilde{s} \geq 1$ (for $\tilde{s} < 1$ we have $f=0$). It should be noted that nondimensionalizing differential equations using an initial condition, in our case χ_0 , might appear unnatural. Yet, it is

a choice that is dictated by the physics of glasses, which exhibit a rather unique dependence on the initial state.

To proceed, we distinguish between two regimes, one in which the deviatoric stress significantly surpasses $\Delta/\Omega \epsilon_0$, where $\mathcal{C} \sim s$, and one in which the deviatoric stress remains close to $\Delta/\Omega \epsilon_0$, where \mathcal{C} varies exponentially with the stress (cf. Eq. (5)). We focus first on the former and for the sake of simplicity set $2\Delta/\Omega \epsilon_0 = s_y$, which means that we exclude thermal activation altogether in this part of the reduced-dimensionality analysis.

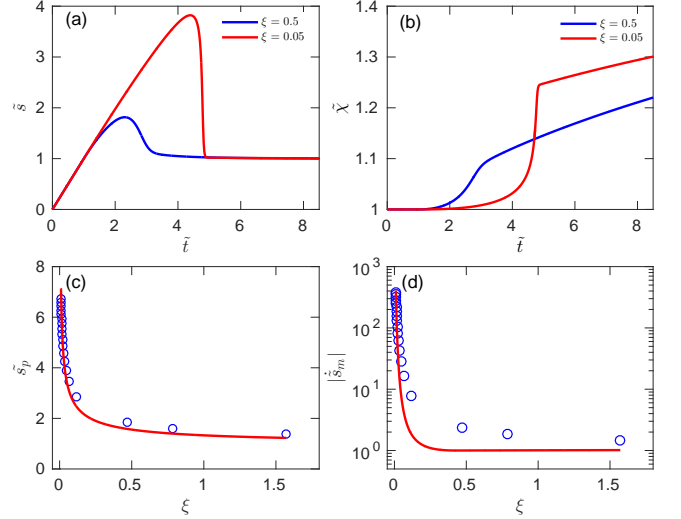


FIG. 2. (Color online) The solution of Eqs. (11)-(12), for two values of ξ (separated by an order of magnitude). The stress is shown in panel (a) and the effective temperature in panel (b). We used $\tilde{\mu} = 15.07$, $\tilde{e}_z = 35$, $\tilde{\chi}_\infty = 1.5$ and $\mathcal{C} = \tilde{s}$, with the initial conditions $\tilde{s}(0) = 0$ and $\tilde{\chi}(0) = 1$. (c) The analytic prediction for the peak stress \tilde{s}_p in Eq. (13) (solid red line) compared to the peak stress obtained from a numerical solution of Eqs. (11)-(12) (open blue circles). (d) The prediction of the maximal stress drop rate $|\dot{\tilde{s}}_m|$ in the post-peak regime following Eq. (14) (solid red line) compared to the maximal stress drop rate obtained from a numerical solution of Eqs. (11)-(12) (open blue circles).

In Fig. 2a-b we present $\tilde{s}(\tilde{t})$ and $\tilde{\chi}(\tilde{t})$ for two values of ξ which differ by an order of magnitude. It is observed that as ξ decreases, when τ^{ext} decreases relative to τ_0^{pl} , the yielding behavior of the material (i.e. the transition from elastic-dominated to plastic-dominated deformation) changes quite significantly. In particular, an elastic overshoot leads to a significant increase in the peak stress \tilde{s}_p with decreasing ξ , and the subsequent dynamics exhibit a sharp drop in the stress \tilde{s} and a sharp increase in the effective temperature $\tilde{\chi}$. These sharp post-yielding dynamics mark the emergence of a short timescale associated with strongly nonlinear material response.

Our next goal is to better understand this behavior and its relation to the fracture toughness. To that aim, we first try to estimate the peak stress \tilde{s}_p , for which $\dot{\tilde{s}} = 0$. The latter translates into the relation $e^{\tilde{e}_z(1-\tilde{\chi}_p^{-1})}(\tilde{s}_p - 1) =$

ξ^{-1} between \tilde{s}_p and $\tilde{\chi}_p \equiv \tilde{\chi}(\tilde{s}_p)$. An approximate solution for the stress peak can be derived in the form

$$\tilde{s}_p \simeq 1 - \frac{\zeta + \tilde{\mu}}{4\zeta} + \frac{\sqrt{8\zeta\tilde{\mu}\xi^{-1} + (\zeta + \tilde{\mu})^2}}{4\zeta}, \quad (13)$$

with $\zeta \equiv 1 + \tilde{e}_z(\tilde{\chi}_\infty - 1)$. $\tilde{\chi}_p$ is given by the exact relation $\tilde{\chi}_p(\tilde{s}_p) = (1 + \tilde{e}_z^{-1} \log[\xi(\tilde{s}_p - 1)])^{-1}$. In Fig. 2c we compare the analytic estimation in Eq. (13) to the peak stress obtained from the full numerical solution of Eqs. (8)-(9). It is observed that the analytic approximation accurately captures the increase in \tilde{s}_p with decreasing ξ . In light of the latter, we expect $\tilde{\chi}_p(\tilde{s}_p)$ given above to yield good approximations as well, which is indeed the case (not shown).

With \tilde{s}_p and $\tilde{\chi}_p$ at hand, we can estimate the stress drop rate in the post-peak dynamics, observed in Fig. 2a. As the plastic rate of deformation is strongly amplified during the drop, we neglect the external loading term $\dot{K}_I/\sqrt{2\pi\rho}$ in Eq. (8). With this approximation, which is expected to be valid for small ξ , we can eliminate $D^{pl}(s, \chi)$ between Eqs. (8)-(9), obtaining a differential equation for $\chi(s)$ (i.e. time becomes a parameter). The solution, which is expected to be valid deep inside the stress drop region, takes the form $\tilde{\chi}(\tilde{s}) \simeq \tilde{\chi}_\infty - (\tilde{\chi}_\infty - \tilde{\chi}_p) \exp\left(\frac{\tilde{s}^2 - \tilde{s}_p^2}{2\tilde{\mu}}\right)$. Using the latter, we obtain the following estimate

$$\dot{\tilde{s}}(\tilde{s}) \simeq -\xi e^{\tilde{e}_z} [1 - \tilde{\chi}(\tilde{s})^{-1}] (\tilde{s} - 1) \quad (14)$$

for the stress rate during the drop, which should be valid for $1 < \tilde{s} < \tilde{s}_p$, not too close to either 1 or \tilde{s}_p .

It is important to note that $\tilde{\chi}(\tilde{s})$ in Eq. (14) depends on ξ also through \tilde{s}_p and $\tilde{\chi}_p(\tilde{s}_p)$, which give rise to a super-exponential increase in the maximal value of $\dot{\tilde{s}}(\tilde{s})$, $|\dot{\tilde{s}}_m|$, with decreasing ξ . The prediction in Eq. (14) is compared to the full solution in Fig. 2d, demonstrating reasonable agreement at small values of ξ , where it is expected to be valid. Note that $|\dot{\tilde{s}}_m|$ in Fig. 2d is one to two orders of magnitude larger than the effective external loading rate (which is unity in the dimensionless form, cf. Eq. (11)) for sufficiently small ξ , as assumed before. This analysis shows how nonlinear yielding in glassy materials can dynamically generate new, and much shorter, timescales.

In order to understand the implications of this reduced-dimensionality analysis on the toughness, we need to consider spatial interactions between different material elements and the coupling between the deviatoric and the hydrostatic components of the stress tensor. Both components obviously increase linearly with increasing K_I in the elastic regime. When a material element with the largest deviatoric stress yields, the stress will be redistributed to nearby material elements. In particular, when ξ is small and a sharp deviatoric stress drop accompanies yielding as shown in Fig. 2a, nearby material elements will experience a *sharp increase* in stress and hence the maximal stress will increase abruptly. This applies to

both the deviatoric \mathbf{s} and the hydrostatic $\frac{1}{3}\text{tr}\boldsymbol{\sigma}$ components of the stress tensor $\boldsymbol{\sigma}$, which are coupled through the stress equilibrium equation $\nabla \cdot \boldsymbol{\sigma} = 0$. To show this, we plot in Fig. 3a the maximum (in space) of the magnitude of the deviatoric stress \mathbf{s} , \bar{s}_m , and of the hydrostatic tension $\frac{1}{3}\text{tr}\boldsymbol{\sigma} \equiv -p$, $|p|_m$, obtained from a numerical solution of the full 2+1 dimensional problem with $\chi_0 = 595$ K and $\dot{K}_I = 25$ MPa $\sqrt{\text{m}}/\text{s}$ (corresponding to $\xi = 0.14$). We observe that indeed both quantities abruptly increase *together* at a certain applied stress-intensity-factor K_I .

If the increase in $|p|_m$ for the given ξ is sufficiently large, it can reach the threshold σ_c , which in our model implies failure (and hence the toughness is determined). Consider then what happens for yet smaller values of ξ , $\xi \ll 1$, corresponding to larger \dot{K}_I 's or smaller χ_0 's. In this case, we expect the threshold σ_c to be reached within the predominantly elastic regime and hence the toughness to be ξ -independent in this regime. This implies that there might be a range of ξ 's in which the toughness decreases when ξ increases. That is, this scenario implies that the toughness can vary *non-monotonically* with ξ . To test this, we plot in Fig. 3b $|p|_m$ for $\xi = 0.14$ (exactly the as in Fig. 3a) and also for $\xi = 4 \times 10^{-3} \ll 1$, along with $\sigma_c = 4.5s_y$ (horizontal line, the same value as in [35]). We indeed observe that the threshold is reached at a smaller K_I for the larger ξ , i.e. that the fracture toughness is indeed non-monotonic.

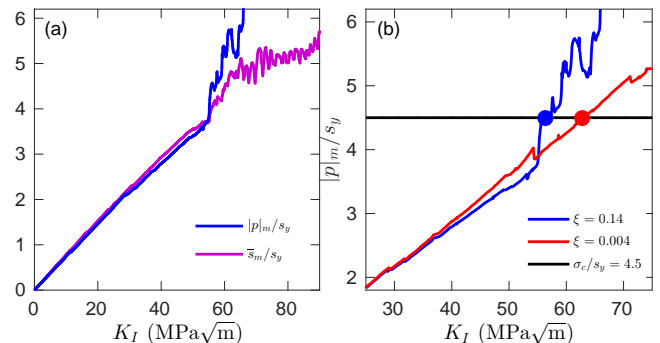


FIG. 3. (Color online) (a) The maximum (in space) of the hydrostatic tension $|p|_m$ and the magnitude of the deviatoric stress \bar{s} (both in units of s_y) as a function of K_I , obtained from a numerical solution of the full 2+1 dimensional problem with $\chi_0 = 595$ K, $\dot{K}_I = 25$ MPa $\sqrt{\text{m}}/\text{s}$ and $\rho = 65$ μm , corresponding to $\xi = 0.14$. It is observed that the two quantities experience an abrupt increase at the same value of K_I . (b) The maximum (in space) of the hydrostatic tension $|p|_m$ (in units of s_y) as a function of K_I , for $\xi = 0.14$ (as in panel (a)) and $\xi = 4 \times 10^{-3} \ll 1$, together with a cavitation threshold corresponding to $\sigma_c/s_y = 4.5$ (solid black horizontal line). It is observed that for the larger ξ , the cavitation threshold is exceeded at a smaller K_I , implying a non-monotonic behavior of the fracture toughness.

These predictions are tested over a wide range of parameters in Fig. 4a-b, where we plot the toughness as a function of K_I (panel (a), for various χ_0 's) and χ_0

(panel (b), for various \dot{K}_I 's), as obtained from the full 2+1 dimensional computations. The emergence of a non-monotonic dependence of the toughness for a large range of parameters is evident, as well as the saturation of the toughness for sufficiently small χ_0 and sufficiently large \dot{K}_I (corresponding to $\xi \ll 1$). The minimum in the toughness shifts systematically with χ_0 and \dot{K}_I . Note that while the non-monotonicity is not huge in magnitude, of the order of $10 \text{ MPa}\sqrt{\text{m}}$, it is a distinct and qualitative feature of strongly nonlinear yielding dynamics in our model. Note also that the non-monotonic behavior disappears for large enough χ_0 (cf. the $\chi_0 = 640 \text{ K}$ curve on panel (a)) and large enough \dot{K}_I (not shown on panel (b), it requires yet larger \dot{K}_I values).

Finally, we also plot in Fig. 4c the variation of the toughness with ρ (for various χ_0 's, with $\dot{K}_I = 20 \text{ MPa}\sqrt{\text{m}}/\text{s}$), to be discussed below. The toughness is obviously a monotonically increasing function of ρ , as increasing the notch radius of curvature implies enhanced plastic dissipation and less stress concentration. Yet the monotonic ρ dependence in panel (c) will be connected below to the non-monotonic behavior observed in panels (a)-(b) with respect to χ_0 and \dot{K}_I .

IV. THE MAIN RESULT

Up to now, in the analysis of the reduced-dimensionality model in Eqs. (8)-(9) we used $\mathcal{C} \sim s$. This cannot be valid in the large χ_0 and small \dot{K}_I limits (corresponding to large ξ), where stresses remain close to s_y and $\mathcal{C}(\cdot)$ in Eq. (5) is expected to be determined by thermal activation. Consequently, we would like now to gain some insight into the behavior of Eqs. (8)-(9) when $\mathcal{C}(s, T) = e^{-\Delta/k_B T} \cosh[\Omega \epsilon_0 s/k_B T]$. As the stress remains close to s_y , we assume that $\chi \simeq \chi_0$ and expand $\dot{s} = 0$ near s_y . We can then solve for the peak stress, which takes the form $\tilde{s}_p = 1 + \psi^{-1} W(2\psi \xi^{-1} e^{\Delta/k_B T} e^{-\psi})$, where $\psi \equiv \frac{\Omega \epsilon_0 s_y}{k_B T}$ and $W(\cdot)$ is the Lambert W-function. For realistic numbers, the argument of the latter is large and we have $W(x) \simeq \log(x)$. Consequently, \tilde{s}_p depends on ξ through $T \log \xi$, a clear signature of thermal activation. We *hypothesize* that the toughness K_Q features the same dependence when ξ is large, i.e. when stresses remain relatively small.

We are now ready to put all elements of the analysis into a unified prediction for $K_Q(\chi_0, \dot{K}_I, \rho, T)$. The analysis above suggests that the natural quantity to consider is actually $K_Q/\sqrt{\rho}$ (which can be made dimensionless using a stress scale, say s_y). Consequently, we have

$$\frac{K_Q(\chi_0, \dot{K}_I, \rho, T)}{\sqrt{\rho}} \sim \begin{cases} \text{Const.} & \text{for } \xi \ll 1 \\ g(\xi) & \text{for } \xi \sim \mathcal{O}(1) \\ T \log(\xi) & \text{for } \xi \gg 1, \end{cases} \quad (15)$$

where $g(\xi)$ features a non-monotonic behavior for not too large χ_0 and \dot{K}_I (i.e. $g(\xi)$ is not a unique function

of ξ for large enough χ_0 and \dot{K}_I). To test this major prediction, we re-plot in Fig. 5 the data appearing in Fig. 4 as $K_Q/s_y\sqrt{\rho}$ vs. ξ in linear-log scale. We observe that as predicted, all data collapse onto a single master curve in the $\xi \ll 1$ limit, where it is a constant, and in the $\xi \gg 1$ limit, where it varies as $\log(\xi)$, and feature a *non-monotonic* behavior for $\xi \sim \mathcal{O}(1)$ for a broad range of parameters.

Note in particular the data corresponding to variations in ρ , which fall onto the non-monotonic parts of the curve and on the $\log(\xi)$ part. That means that while K_Q is monotonic in ρ , when the proper dimensionless variables are used, it can reveal the non-monotonic behavior of the toughness master curve. Furthermore, it implies that the dependence of K_Q on ρ differs from the existing literature, both theoretical and experimental, where K_Q is expected to be either proportional to or linear in $\sqrt{\rho}$, mainly based on dimensional arguments [25, 28, 29, 31, 32, 36]. While this dependence would give *apparently* reasonable fits to the data in Fig. 4c, our analysis shows that there exists an *additional* and previously overlooked dependence on ρ through $\xi(\chi_0, \dot{K}_I, \rho)$, for both $\xi \sim \mathcal{O}(1)$ and $\xi \gg 1$.

It should be stressed that the crossover from the $\xi \ll 1$ behavior to the $\xi \gg 1$ behavior, with the possible non-monotonicity, is directly related to the change in the transition rate factor \mathcal{C} in Eq. (5) with increasing stress, from thermal activation at relatively small stresses to athermal processes at higher stresses. This change in behavior, which is not commonly discussed in the literature, implies that different parts of the function $K_Q(\chi_0, \dot{K}_I, \rho, T)$ will depend differently on the temperature (note, though, that we have not considered other possible dependencies on the temperature). In particular, we have verified that the $\log(\xi)$ dependence originates from thermal activation (e.g. it disappears if thermal activation is eliminated altogether and its logarithmic slope varies in proportion to T), which suggests that glasses exhibit appreciable thermal effects well below their glass temperature. These predictions can be tested by systematically performing notch toughness experiments at different temperatures.

Figure 5, which summarizes our main result, provides a comprehensive picture of the notch toughness of glasses and various testable predictions. It shows that the toughness emerges from a competition between the *initial* (i.e. far from steady-state) plastic relaxation timescale, which depends on the glass history/age, and an effective loading timescale near the notch root, which depends on the global problem through \dot{K}_I and on the notch geometry through ρ . It also shows that the notch fracture toughness of glasses can vary quite substantially, as was claimed in [35], by changing ξ . The toughness shown in Figs. 4-5 implies a variation of more than an order of magnitude in the fracture energy $\Gamma \propto K_I^2/\mu$ [1].

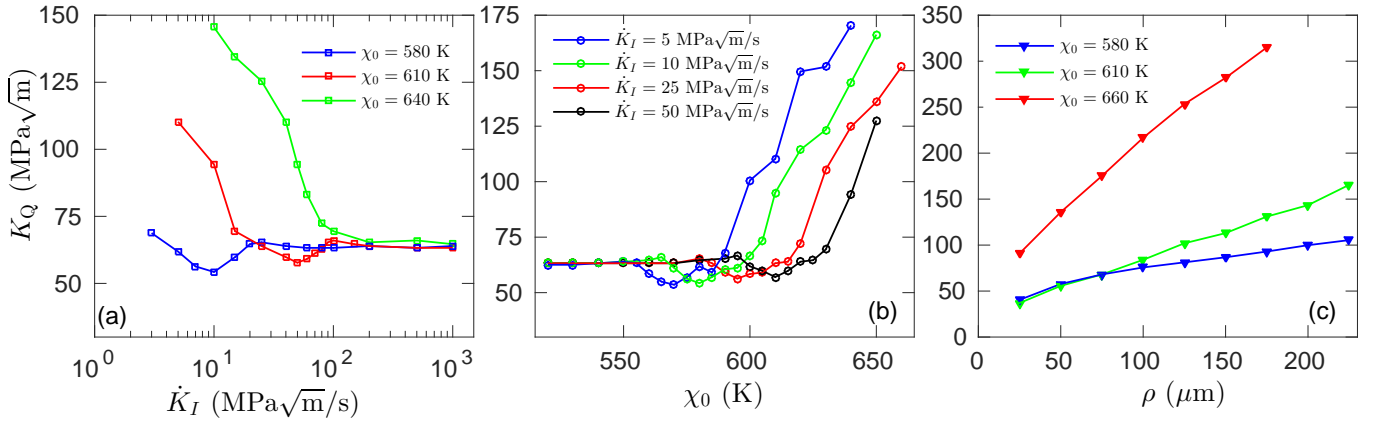


FIG. 4. (Color online) The notch fracture toughness $K_Q(\chi_0, \dot{K}_I, \rho, T)$ as obtained from numerical solutions of the full 2+1 dimensional problem. (a) K_Q as a function of \dot{K}_I for various χ_0 's, with $\rho = 65 \mu\text{m}$ and $T = 400 \text{ K}$. (b) K_Q as a function of the initial structural state χ_0 for various \dot{K}_I 's, with $\rho = 65 \mu\text{m}$ and $T = 400 \text{ K}$. (c) K_Q as a function of the notch radius ρ for various χ_0 's, with $\dot{K}_I = 20 \text{ MPa}\sqrt{\text{m}}/\text{s}$ and $T = 400 \text{ K}$.

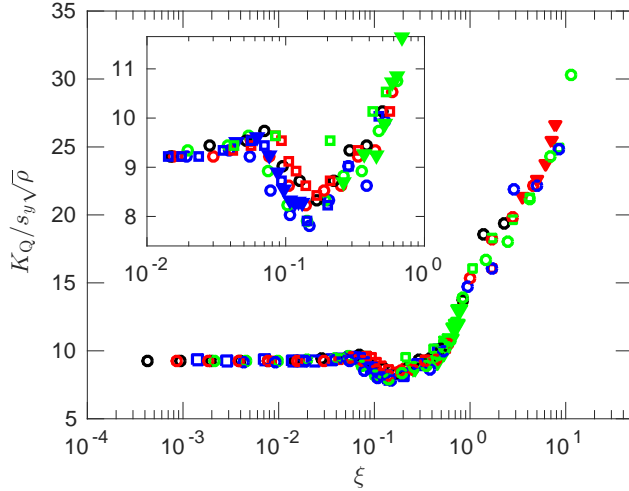


FIG. 5. (Color online) The dimensionless notch toughness $K_Q(\chi_0, \dot{K}_I, \rho, T)/(s_y\sqrt{\rho})$ as a function of ξ , using all the data presented in Fig. 4. As predicted theoretically in Eq. (15), all data sets (except one in the non-monotonic part of the curve) collapse on a single master curve being a constant for $\xi \ll 1$, varying as $\log(\xi)$ for $\xi \gg 1$ and featuring a non-monotonic behavior for $\xi \sim \mathcal{O}(1)$. (inset) Zooming in on the non-monotonic part of the toughness master curve. Note in particular that data corresponding to the monotonic variation of the toughness with ρ in Fig. 4c nicely collapse on the non-monotonic part of the master curve (filled triangles) and that one data set (open green squares) do not exhibit a non-monotonic behavior (corresponding to the $\chi_0 = 640 \text{ K}$ data set in Fig. 4a).

V. EXPERIMENTAL EVIDENCE

While the toughness of glasses was experimentally studied by various groups, there are relatively few works that systematically vary the stress-intensity-factor rate,

the age of the glass and the notch radius over a large range. In Fig. 6 we show three experimental data sets for BMG available in the literature, where the notch toughness was measured as a function of \dot{K}_I (panels (a) and (b)) and ρ (panel (c)).

Inspired by the theoretical prediction in Eq. (15) and its numerical validation in Fig. 5, we re-plot in Fig. 6a-b the data of [27] and of [28], respectively, as K_Q vs. $\log(1/\dot{K}_I)$. The data in panel (a) are consistent with our predictions as they feature a quasi-logarithmic dependence on $\log(1/\dot{K}_I)$ for small \dot{K}_I and indicate the existence of a plateau for large \dot{K}_I 's. There is, however, a gap of nearly 4 orders in magnitude in \dot{K}_I in the data, so the possible non-monotonic behavior at intermediate \dot{K}_I 's cannot be tested. The data on panel (b) feature all of the predicted trends, including a non-monotonicity of a similar magnitude compared to our prediction, though there are too few experimental points to test functional dependencies.

In Fig. 6c we re-plot the data of [29] as $K_Q/\sqrt{\rho}$ vs. $\log(\sqrt{\rho})$. The experimental data, where ρ ranges from $65 \mu\text{m}$ to $250 \mu\text{m}$, seem to be consistent with the decreasing part of the toughness master curve in Fig. 5 and possibly indicate the existence of a minimum. A broader range of ρ 's, together with varying also χ_0 and \dot{K}_I , are needed in order to test the predicted functional dependencies, but it is already clear that re-plotting the existing data inspired by to our theory reveals new features of the toughness. Our theory certainly calls for additional experiments, as it offers various new qualitative and quantitative predictions.

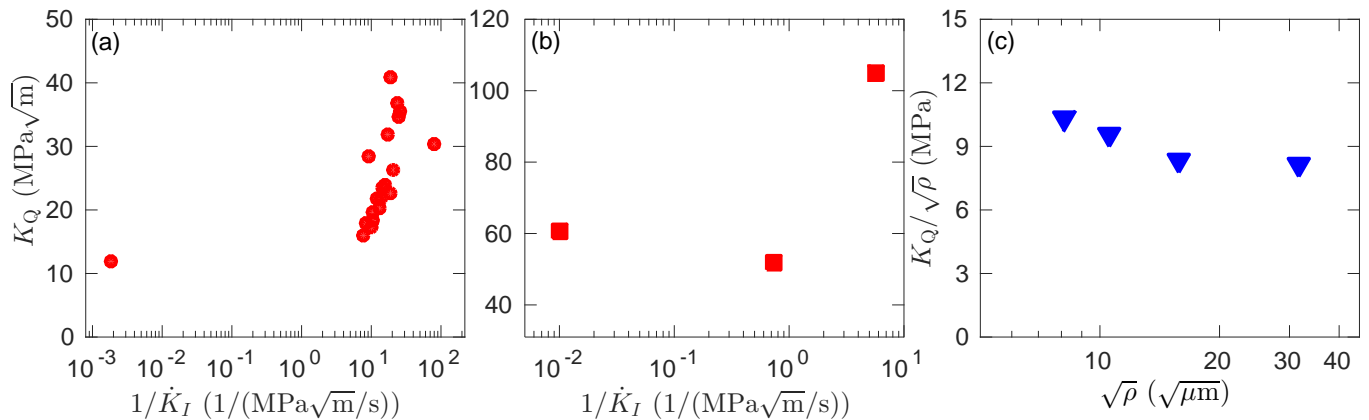


FIG. 6. (Color online) Experimental support for Bulk Metallic Glasses (BMG). (a) The notch fracture toughness data $K_Q(\dot{K}_I)$ of [27] re-plotted as K_Q vs. $\log(1/\dot{K}_I)$, following the theoretical prediction in Eq. (15). (b) The same as panel (a), but for the data of [28]. (c) The notch fracture toughness data $K_Q(\rho)$ of [29] re-plotted as $K_{Ic}/\sqrt{\rho}$ vs. $\log(\sqrt{\rho})$, following the theoretical prediction in Eq. (15).

VI. DISCUSSION, IMPLICATIONS FOR APPLICATIONS AND PROSPECTS

In this paper we provided a comprehensive theory of the notch fracture toughness of glassy solids, focussing on its dependence on the structural state of the glass (quantified by the initial value of the effective disorder temperature χ_0), on the stress-intensity-factor rate \dot{K}_I , on the notch radius of curvature ρ and on the temperature T below the glass transition temperature. The main results are the theoretical prediction in Eq. (15) and its numerical validation in Fig. 5 based on a novel computational method [55]. The theory highlights the underlying competition between an intrinsic plastic relaxation timescale and an extrinsic driving timescale, as well as the roles played by nonlinear yielding dynamics and a crossover between thermal/athermal rheological processes. The theoretical predictions are shown to be consistent with existing experimental data.

These results may have implications for the usage of BMG in load-bearing engineering applications. The master curve in Fig. 5, which features a minimum at $\xi_m \sim \mathcal{O}(1)$, shows that for $\xi \ll \xi_m$ the normalized toughness reaches a low-value plateau, while for $\xi > \xi_m$ it increases as $\log(\xi)$. Since ξ is monotonic in χ_0 , this means that for fixed \dot{K}_I and ρ , varying χ_0 in the range $\xi < \xi_m$ has little effect on the glass (which will be relatively brittle), while increasing χ_0 in the range $\xi > \xi_m$ can significantly enhance the toughness (making the glass more ductile). In the latter regime, we have $K_Q \sim \log(\xi) = \text{const.} - \frac{e_z}{k_B \chi_0}$, where typically $e_z \gg k_B \chi_0$. Therefore, small variations of χ_0 in this regime can have a significant effect on the toughness.

The value of χ_0 , as stressed above, is affected by the history of the glass, which includes the preparation protocol, the cooling rate, the age of the glass, heat treatments and previous deformation. For example, by annealing

near the glass temperature χ_0 can be reduced, and if it is in the range $\xi > \xi_m$, it will lead to the annealing-induced embrittlement [23, 24, 35, 38, 51]. On the other hand, by increasing the cooling rate by which the BMG is formed (but still resulting in bulk samples) [51, 54] and by rejuvenating the glass through a specially designed heat treatment after it was cast (as, for example, was recently suggested in [52]), the glass can be significantly toughened in the range $\xi > \xi_m$. As ξ also incorporates \dot{K}_I , similar effects can be obtained depending on the typical loading rate relevant for a given device or application.

It is therefore important to identify ξ_m for a given glass and typical performance/external conditions. Related ideas were recently developed in [51], where the phenomenological notion of a critical fictive temperature was discussed. In fact, our main result in Fig. 5 bears resemblance to Fig. 1a of [51], though in the latter only the macroscopic bending strain to failure was measured (and not the fracture toughness itself) and the loading rate was not varied. We believe that the resemblance is well-founded and consequently that our theoretical results substantiate and significantly expand the results of [51], making them relevant to various applications.

We also note that as the elastic moduli are expected to be well-defined functions of χ_0 , some correlations between them and the toughness might emerge occasionally. Even when such correlations appear to exist, our results show that they are not causal, i.e. that the elastic moduli do not determine the toughness, but rather that both are affected by χ_0 [35]. In fact, the dependence of the toughness on χ_0 is much stronger and is responsible for the toughness-control opportunities offered in this work. Consequently, we do not expect correlations between elastic moduli and the fracture toughness to offer well-founded predictive tools for the design of improved BMG for engineering applications.

The analysis presented has been based on a simple

version of the non-equilibrium thermodynamic Shear-Transformation-Zones (STZ) model [35]. We suspect that despite its relative simplicity, the model captures some salient features of glassy rheology that are not specific to the STZ model, which in turn account for generic properties of the notch fracture toughness of glasses. In particular, the model features a competition between internal plastic relaxation rates and externally applied deformation rates, taking into account the universal stress-intensity-factor fields and the notch geometry. Furthermore, the model incorporates a dynamic structural variable (in our case the effective temperature χ) which accounts for both the dependence on the initial state (cooling rate, history of deformation etc.) and for the strongly nonlinear strain-softening behavior upon yielding. Finally, the model incorporates thermal activation below the glass transition temperature and a threshold for fracture initiation. We believe that any elastic-viscoplastic model should incorporate these generic features, which were shown above to give rise to the fracture toughness master curve in Fig. 5.

Other physical effects that were already identified in our numerical solutions, such as the time evolution of the notch curvature $\rho(t)$ and the propagation of plastic yielding fronts, will be reported on separately, along with discussing the post-cavitation dynamics. The latter were shown in [35] to lead to catastrophic failure, as we assumed in this work, though we did not discuss them at

all. More elaborate models and quantitative predictions will be explored in the future once additional experimental data become available.

A few important directions for future investigations emerge from the present analysis. Most notably, one would be interested in calculating the *intrinsic* toughness K_{Ic} , as opposed to the notch toughness K_Q , in the limit of $\rho \rightarrow 0$, where the notch/tip radius of curvature is not the dominant lengthscale in the problem. This touches upon a fundamental problem in glass physics, i.e. the existence on an intrinsic glassy lengthscale. Within the adopted non-equilibrium thermodynamic framework, such a lengthscale may appear in the macroscopic theory in an effective diffusion term proportional to $\nabla^2 \chi$ in Eq. (4) [60, 70]. This will be discussed in separate report. Finally, it would be interesting to see whether variations in the glass composition, and their effect of the toughness, can be incorporated into the proposed theoretical framework [51].

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