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## Generalizable Framework of Unpaired Domain Transfer and Deep Learning for the Processing of Real-Time Synchrotron-Based X-Ray Microcomputed Tomography Images of Complex Structures

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## 17 **Abstract**

18 Mitigating greenhouse gas emissions by underground carbon dioxide storage or by  
19 coupling intermittent renewable energy with underground hydrogen storage are  
20 solutions essential to the future of energy. Of particular importance to the success of  
21 underground storage is the fundamental understanding of geochemical reactions with  
22 mineralogical phases and flow behavior at the length scale at which interfaces are well  
23 resolved. Fast synchrotron-based three-dimensional (3D) X-ray micro-computed  
24 tomography ( $\mu$ -CT) of rocks is a widely used technique that provides real-time  
25 visualization of fluid flow and transport mechanisms. However, fast imaging results in  
26 significant noise and artifacts that complicate the extraction of quantitative data beyond  
27 the basic identification of solid and void regions. To address this issue, an image-  
28 processing workflow is introduced that begins with unpaired domain transfer by  
29 CycleGAN, which is used to transfer synchrotron-based micro-CT images containing  
30 fast-imaging-associated noise to long-scan, high-quality  $\mu$ -CT images that have paired  
31 ground truth labels for all phases. The second part of the workflow is multi-mineral  
32 segmentation of images using convolutional neural networks (CNNs). Four CNNs were  
33 trained using the transferred dynamic-style  $\mu$ -CT images. A quantitative assessment of  
34 physically meaningful parameters and material properties is carried out. In terms of  
35 physical accuracy, the results show a high variance for each network output, which  
36 indicates that the segmentation performance cannot be fully revealed by pixelwise  
37 accuracy alone. Overall, the integration of unpaired domain transfer with CNN-based  
38 multi-mineral segmentation provides a generalizable digital material framework to  
39 study the physics of porous materials for energy-related applications, such as  
40 underground CO<sub>2</sub> and H<sub>2</sub> storage.

43 **Keywords**

44 Real-time  $\mu$ -CT imaging; Deep learning; Image domain transfer; Multi-mineral  
45 segmentation; Physical analysis; Mixed-wetting flow simulation.

46 **1. Introduction**

47 The adoption of the Paris Agreement in 2015 renewed enthusiasm toward greenhouse  
48 gas emission mitigation and the transition from fossil fuels to renewable-energy-based  
49 systems [1–3]. The capture and geological storage of emitted CO<sub>2</sub> (CCS) is a promising  
50 method for reducing atmospheric greenhouse gas, and underground hydrogen storage  
51 (UHS), which stores excess renewable energy in the form of hydrogen in geological  
52 structures, is an emerging means of resolving renewable energy intermittency [4–8].  
53 When it comes to the research regarding containment, capillary trapping and hydrogen  
54 loss are two key factors that determine the feasibility of CCS and UHS. The  
55 performance of CO<sub>2</sub> capillary trapping significantly depends on the wettability of the  
56 surrounding minerals [9,8], and hydrogen loss is mainly controlled by the mineral types  
57 and their dissolution and precipitation processes [10–12]. Therefore, understanding  
58 reservoir rock mineralogy and the corresponding flow behavior is essential for  
59 deciphering the CO<sub>2</sub> and H<sub>2</sub> storage efficiencies.

60  
61 With the aid of digital rock physics (DRP), rock mineralogy and flow dynamics can be  
62 characterized comprehensively on the length scale at which interfaces are well  
63 resolved [13,14,10,15]. The workflow for DRP provides a generalizable technique for  
64 mineralization and flow analyses in complex micrometer-sized structures, which starts  
65 with image acquisition, image processing, and subsequent physical measures and/or  
66 numerical simulation of flow and transport mechanisms [16,17,17,18,13,19]. To date,  
67 the focus has extended from static to dynamic analysis, such as dynamic image  
68 acquisition by synchrotron-based X-ray micro-computed tomography ( $\mu$ -CT), which  
69 provides 3D real-time images of fluid flow and transport phenomena in porous  
70 media [20,21]. This dynamic imaging technique can be potentially used to capture the  
71 dynamics of CO<sub>2</sub> and H<sub>2</sub> distribution, flow behavior, and reaction with minerals in  
72 porous rocks. However, the fast acquisition time results in a relatively low-intensity  
73 signal in comparison to standard imaging techniques, where acquisition times are an  
74 order of magnitude greater. The low signal-to-noise ratio results in images that are  
75 challenging to process, especially for image segmentation. Therefore, these images are  
76 segmented into void and solid phases; however, for many physical processes, the actual  
77 distribution of the constitutive minerals and their interactions with the flowing fluids  
78 are important. With multiphase flow, the varying mineralogy and associated wetting  
79 properties are important. Thus, without the development of an image processing  
80 workflow for dynamic data, it is challenging to capture accurate pore structures for the  
81 simulation of physical processes within a digital framework.

82  
83 3D  $\mu$ -CT is a non-invasive and non-destructive imaging tool that has been used to  
84 capture rock features and fluid/gas distributions to support compositional

85 characterization, pore network modeling, and the assessment of mineralogy and other  
86 petrophysical parameters [22–25]. Numerical simulations of fluid flow at the pore scale,  
87 such as multi-mineral reactive transport and single-phase and two-phase flow, can be  
88 directly performed on  $\mu$ -CT images, which are highly related to underground CO<sub>2</sub> and  
89 H<sub>2</sub> storage [26,27]. Several studies have substantiated that numerical simulations of 3D  
90  $\mu$ -CT images reasonably agree with experimental results [28–31]. However, several  
91 hours are required to obtain high-quality 3D  $\mu$ -CT images, which limits their utilization  
92 for the imaging of dynamic processes [32,33]. Important fundamental scientific  
93 questions remain regarding the role of transient processes during multiphase  
94 flow [20,34]. To address these issues, synchrotron-based  $\mu$ -CT imaging has attracted  
95 attention owing to its extremely high photon flux, which makes it possible to capture  
96 full 3D images within seconds [35]. Therefore, real-time 3D dynamic imaging can be  
97 achieved with synchrotron-based  $\mu$ -CT [20] but at the expense of image quality [36].

98  
99 Accurate feature characterization and flow simulation of  $\mu$ -CT images rely on image  
100 segmentation. Image segmentation in DRP refers to the process of partitioning  $\mu$ -CT  
101 images into multiple mineral phases, pores, and fluid/gas phases [18]. To date, several  
102 studies have performed  $\mu$ -CT image segmentation by proposing machine-learning  
103 methods, especially the use of advanced convolutional neural networks (CNNs) [37–  
104 41]. A CNN is built within a deep learning framework that performs multiphase  
105 segmentation with the benefit of eliminating user judgment of the parameters associated  
106 with segmentation. CNNs can capture informative features and semantics from images  
107 with receptive fields by stacking several convolutional layers with nonlinearities and  
108 down-sampling layers. CNNs have been widely used in the field of computer vision,  
109 including object detection [42], image classification [43,44], super-resolution [45],  
110 image-to-image translation [46] and image segmentation [47,48]. In regards to CNN-  
111 based semantic segmentation, compared to traditional segmentation techniques  
112 including edge detection methods, thresholding methods, or region-based methods,  
113 CNN relies less on the voxel intensity frequency distribution and reduces the  
114 requirement of expert intervention [49,50]. A study of segmentation with both a  
115 watershed segmentation technique and CNN methods demonstrated that CNN gives a  
116 better segmentation result in terms of phase boundaries and connectivity [51]. A study  
117 of dual-energy X-ray absorptiometry images to distinguish between bone and soft tissue  
118 compared the segmentation accuracy between Otsu’s thresholding and a deep learning  
119 method [52]. The pixel-based accuracy using deep learning was significantly higher  
120 than Otsu’s thresholding method. These results suggest that CNNs have matured to the  
121 point that they outperform other methods for semantic segmentation [52–54].

122  
123 Specifically to DRP, multi-mineral segmentation of  $\mu$ -CT images can be a complex and  
124 time-consuming task using traditional segmentation algorithms because the voxel  
125 values for different minerals are incompletely differentiated due to similar X-ray  
126 attenuation coefficients and Poisson–Gaussian noise [55]. Therefore, most studies treat  
127 all minerals as a single solid phase and pores as the other phase [56,57]. However, this  
128 is not appropriate in all cases, especially for CCS and UHS. Certain types of minerals

129 will cause hydrogen loss, which is observed in the reaction between H<sub>2</sub>-saturated brine  
130 and calcite [12]. In addition, CO<sub>2</sub> trapping is characterized by the wettability of  
131 different types of minerals [25,58,59]. The utilization of CNN-based multi-mineral  
132 segmentation could be one approach to resolve this issue.

133  
134 For CCS and UHS studies, high pixelwise accuracy does not necessarily guarantee that  
135 petrophysical parameters such as permeability or relative permeability are accurately  
136 captured. As shown in previous studies, petrophysical parameters are highly sensitive  
137 to small segmentation errors [39]. Therefore, the sensitivity of quantitative  
138 measurements to these errors must be considered. A segmentation of six mineral phases  
139 on a sandstone sample was performed using several CNNs, including SegNet and U-  
140 Net [37]; segmentation errors were evaluated in terms of both pixelwise accuracy and  
141 physical accuracy. Overall, 95% pixelwise accuracy was achieved, whereas the  
142 segmentation results displayed high variance in terms of physical measurements. The  
143 commonly occurring phases such as quartz are the main contributors to pixelwise  
144 accuracy, while the accuracy of the less commonly occurring mineral phases is lower  
145 than the overall accuracy. For example, A study reported that in zircon the phase  
146 accuracy was only 60% with an overall pixelwise accuracy of 94% [38]. The issue is  
147 that networks tend to overestimate commonly occurring phases and underestimate the  
148 less commonly occurring phases when the training data of each phase are imbalanced.  
149 This issue was exemplified in the dataset used by [37,38], which contained 61% quartz  
150 phase but only 0.21% mica phase as a volume fraction. Less commonly occurring  
151 phases are likely recognized as noise by the network and networks; therefore, they train  
152 for the commonly occurring phases that dominate the accuracy. It is difficult to balance  
153 sparse phases because these minerals are rare in rocks, and obtaining training data in  
154 the first place is difficult because of the high expense. Data argumentation of sparsely  
155 occurring phases and judicious selection of the loss function during training can be  
156 carried out to reduce the imbalance to an extent; for example, focus loss reduces the  
157 weight of the easy-to-segment phases and forces the network to focus more on the loss  
158 of less common phases [60]. However, because the CNN-based segmentation method  
159 is a data-driven task, such imbalances are unavoidable when it comes to less commonly  
160 occurring phases.

161  
162 In addition,  $\mu$ -CT scanning noise, which is commonly regarded as a random signal and  
163 is characterized by a probability density function, is known to significantly influence  
164 segmentation results [61,62]. The noise mainly includes Gaussian and Poisson  
165 processes [55]. Gaussian noise results from the random distribution of independent  
166 signals, whereas Poisson noise is commonly found in situations where photons are  
167 accumulated over a detector, such as charge-coupled device (CCD) cameras [63]. The  
168 boundary of each mineral phase is difficult to define with Poisson and Gaussian noise  
169 because edge detection is highly sensitive to noise, and the image quality is reduced  
170 significantly. Therefore, it is common to leverage several noise removal filters before  
171 segmentation. These filters mainly include the non-local mean filter and Gaussian filter,  
172 which were introduced as edge-preserving denoising and blurring filters to remove

173 additive Gaussian noise [64]. Laplacian, Canny, and Sobel filters are sharpening and  
174 edge-detection filters commonly used for boundary detection and feature extraction for  
175 supervised machine learning segmentation [65]. However, denoising filters can cause a  
176 certain degree of degradation of the details in images and remove the “real information”  
177 and fine structures, especially for less-common mineral phases [66]. Although these  
178 degraded effects might be acceptable for binary segmentation, they should be strictly  
179 avoided in multi-mineral/phase segmentation because these fine structures need to be  
180 preserved for segmenting the less-prevalent minerals. For real-time synchrotron-based  
181  $\mu$ -CT imaging, noise associated with dynamic imaging occurs across all images because  
182 the exposure time for each collected radiograph is significantly reduced. Therefore, it  
183 is difficult to segment the images. Moreover, CNN-based segmentation is becoming  
184 increasingly difficult to perform because of the limited availability of real-time ground-  
185 truth datasets due to the time and cost expense. Overall, performing accurate multi-  
186 mineral segmentation on real-time data with common Gaussian and Poisson noise is  
187 essential for fine structure characterization and dynamic image processing.

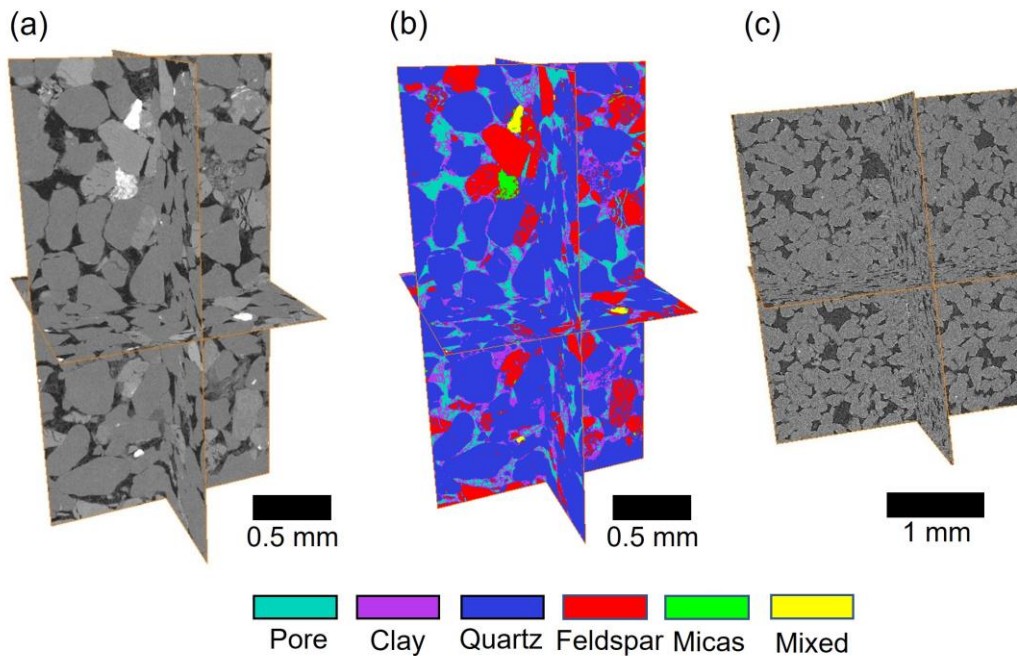
188  
189 The aim of this study is to develop an advanced digital material workflow that is  
190 generalizable to various physical problems, particularly imaging systems that have  
191 complex micrometer-sized structures composed of multiple phases. Our target system  
192 is sandstone rock for CCS and UHS applications, while other applicable systems  
193 include but are not limited to fuel cells [67], negative compressibility materials [68],  
194 flexible metal-organic frameworks [69] and high-thermal-conductivity porous  
195 media [70]. We investigated the potential of unpaired domain transfer between real-  
196 time synchrotron-based  $\mu$ -CT images with associated noise and long-scan traditional  $\mu$ -  
197 CT images to provide a framework for the imaging of dynamic processes. Domain  
198 transfer is performed to provide a robust framework because ground-truth segmented  
199 data for real-time, synchrotron-based  $\mu$ -CT images are not always readily available.  
200 CycleGAN is widely used for unpaired image-to-image translation [71] and is thus used  
201 for transferring synchrotron-based noise to a long-scan  $\mu$ -CT dataset with a ground-  
202 truth counterpart. A total of four CNN architectures were trained to segment the real-  
203 time data into six mineral phases. In terms of error assessment, in addition to the  
204 commonly used pixelwise accuracy, region-based and physical accuracy are essential  
205 metrics. This is because the purpose of segmentation is to facilitate the quantitative  
206 assessment of physically meaningful quantities such as interface determination,  
207 topological connectivity, and permeability, which are key design parameters for CCS  
208 and UHS applications. Overall, this study evaluates a digital material platform for the  
209 quantitative assessment of complex porous materials using an unpaired domain transfer  
210 method for dynamic synchrotron-based  $\mu$ -CT, providing real-time analysis of physical  
211 processes where multiple phases and complex structures are present.

## 212 213 **2. Materials and Methods**

### 214 **2.1 Datasets**

215 Unpaired domain transfer was performed between raw  $\mu$ -CT data and synchrotron-

216 based  $\mu$ -CT data. A miniplug from the Mt. Simon sandstone reservoir was cored to 5  
 217 mm in length and 3 mm in diameter and scanned using  $\mu$ -CT at the University of New  
 218 South Wales. The surface of the sample was polished for subsequent mineral  
 219 classification by quantitative evaluation of minerals using scanning electron  
 220 microscopy (QEMSCAN). The 2D QEMSCAN mineral maps were then registered to  
 221 the corresponding cross section of the 3D  $\mu$ -CT voxel [26]. Following this process, 3D  
 222 mineral segmentation in the  $\mu$ -CT image was performed based on the X-ray intensity  
 223 differences of minerals as guided by the registered 2D QEMSCAN images, which serve  
 224 as ground truth for the 3D Mt. Simon sandstone  $\mu$ -CT data. Further details on the data  
 225 preparation can be found in [37]. In total, the full-size, raw  $\mu$ -CT data and  
 226 corresponding GT data were  $1100 \times 1100 \times 2200$  voxels, as shown in Figure 1 (a) and  
 227 (b). The GT images are comprised of six phases, labeled from 0 to 5: pore, clay, quartz,  
 228 feldspar, micas, and a mixed group of less-common high-density minerals.



229 Figure 1: (a) Full-size, raw  $\mu$ -CT data with a voxel size of  $1100 \times 1100 \times 2200$ . (b) Segmented  
 230 GT dataset with six phases presented. (c) Full-size, synchrotron-based  $\mu$ -CT data containing  
 231 fast-imaging-associated noise, measuring  $1000 \times 1000 \times 1200$ .

232  
 233 Synchrotron-based  $\mu$ -CT images were obtained from GeoSoilEnviroCARS Sector 13  
 234 at the Argonne National Laboratory Advanced Photon Source (APS). Miniplug  
 235 Bentheimer sandstone was obtained by cutting the rock to 5 mm in diameter and 10  
 236 mm in length. The real-time, 3D-synchrotron-based  $\mu$ -CT scan was then conducted with  
 237 X-ray photon fluxes of approximately  $10^{12}$ – $10^{14}$  photons  $s^{-1}$  during a waterflooding  
 238 experiment. The 3D images were collected in approximately 20 s at a resolution of 3.5  
 239  $\mu$ m; further details are provided in [32]. It is noted that there is always a tradeoff  
 240 between sample size and image resolution. While a higher resolution image may capture  
 241 fine features of geometry, it will limit the field of view and spatial information at a  
 242 larger scale that are affecting determination of properties of porous media. For typical

243 flow characterization of sandstone, the resolution is often around 2 to 5  $\mu\text{m}$  [72–74].  
 244 The synchrotron-based  $\mu\text{-CT}$  image of the dry Bentheimer sandstone is  
 245  $1000 \times 1000 \times 1200$  voxels in size, as shown in Figure 1 (c). This dataset contains fast-  
 246 imaging-associated noise that exists across all phases, which makes any attempt at  
 247 multiphase segmentation challenging. Table 1 gives a summary of the samples and  
 248 datasets used in this study.

249

250 Table 1: Basic information for the samples and datasets used in this study. The nomenclature of  
 251 each dataset is used throughout this paper.

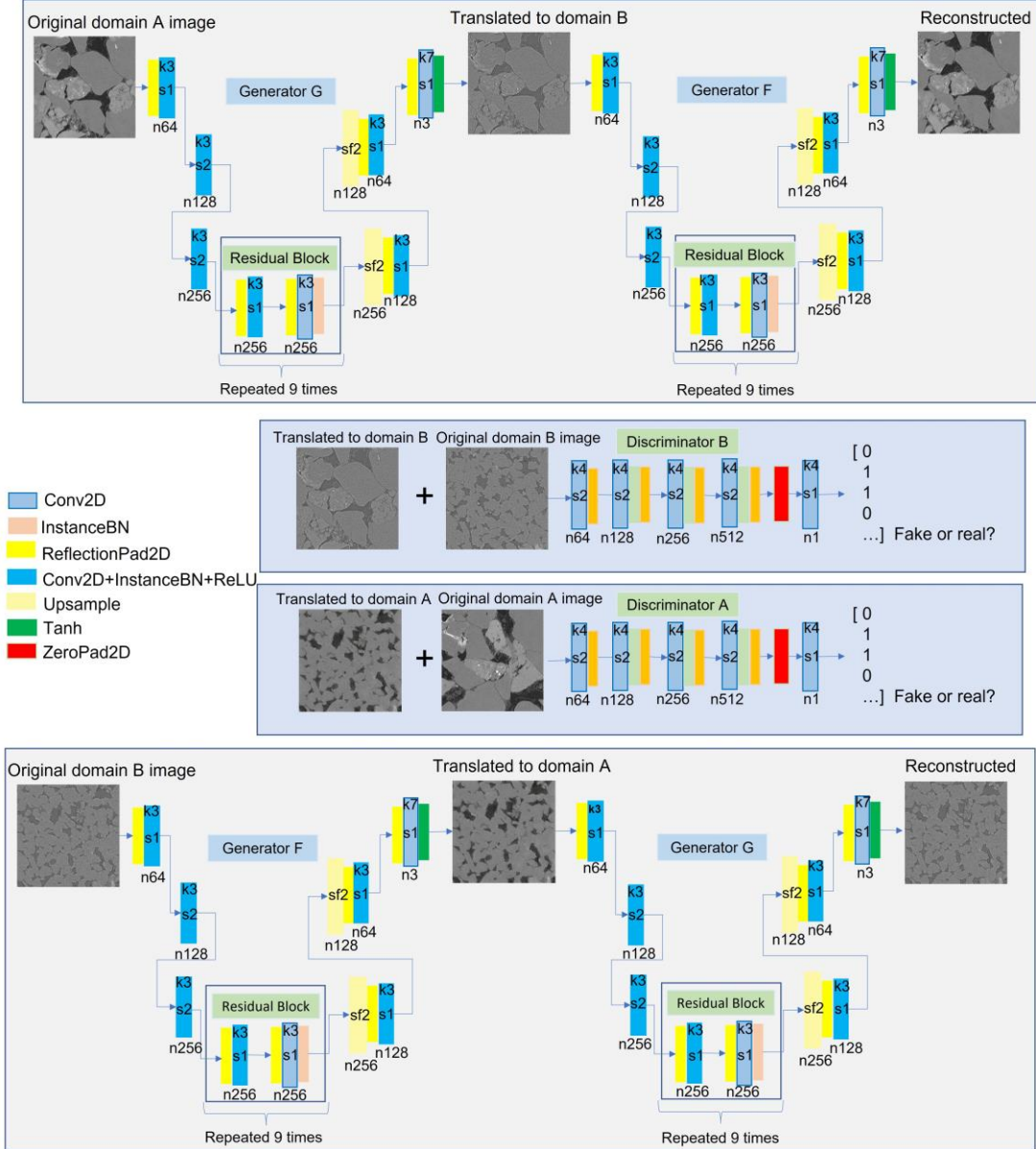
Samples	Datasets	Nomenclature	Voxel Size
Mt. Simon Sandstone	Long-scan Mt. Simon sandstone $\mu\text{-CT}$ data	<i>Raw <math>\mu\text{-CT}</math> data</i>	$1100 \times 1100 \times 2200$
Mt. Simon Sandstone	Domain-transferred Mt. Simon $\mu\text{-CT}$ data	<i>Dynamic-based <math>\mu\text{-CT}</math> data</i>	$1100 \times 1100 \times 2200$
Bentheimer sandstone	Synchrotron-based Bentheimer sandstone $\mu\text{-CT}$ data	<i>Synchrotron-based <math>\mu\text{-CT}</math> data</i>	$1000 \times 1000 \times 1200$
Bentheimer sandstone	Domain-transferred Bentheimer synchrotron-based $\mu\text{-CT}$ data	<i>Static-styled synchrotron data</i>	$1000 \times 1000 \times 1200$

252

## 253 2.2 Domain transfer by CycleGAN and image degradation

254 Noise from the real-time, synchrotron-based  $\mu\text{-CT}$  data was transferred to the raw  $\mu\text{-CT}$   
 255 data using CycleGAN. CycleGAN comprises two generators and two discriminators  
 256 that perform unpaired image-style transfer. The generators were based on an encoder-  
 257 decoder structure that applies three convolutional layers in the down-sampling steps,  
 258 followed by nine residual blocks and sequential decoding steps using up-sampling  
 259 layers instead of transposed convolutional layers to avoid prediction artifacts [75].  
 260 Instance normalization was used because of its advantage in image style transfer, which  
 261 normalizes each image individually without considering the image content of the entire  
 262 batch [76]. Using this process, the features of the two  $\mu\text{-CT}$  sandstone datasets were  
 263 captured and transferred between each other by the generators. Two styles of fake  $\mu\text{-CT}$   
 264 sandstone images and real  $\mu\text{-CT}$  sandstone images were then processed through two  
 265 discriminators; PatchGAN [46] was used as a discriminator to determine whether an  
 266  $N \times N$  output was fake or real. Overall, two discriminators were trained to distinguish  
 267 the fake and real images, while the two generators were trained to produce fake images  
 268 that appear similar to real images. The detailed workflow of the CycleGAN is shown  
 269 in Figure 2.





270

271 Figure 2: Architecture for CycleGAN. Two generators and two discriminators were used, and  
 272 the PatchGAN was used as the output for the discriminators. Unpaired domain transfer is  
 273 performed between raw  $\mu$ -CT data and synchrotron-based  $\mu$ -CT data. The letter k refers to the  
 274 kernel size of the layer, n to the number of channels, s to the stride, and sf to the scale factor for  
 275 upsampling.

276

277 The objective loss function for training the CycleGAN contains two types of loss: (1)  
 278 adversarial loss and (2) cycle consistency loss. Adversarial loss acts as the loss for the  
 279 discriminator, whereas the cycle consistency loss acts as the loss for the generator. The  
 280 total objective loss function is

$$281 \quad L(G, F, D_X, D_Y) = L_{GAN}(G, D_Y, X, Y) + L_{GAN}(F, D_X, X, Y) + L_{cyc}(G, F) \quad (1)$$

282 where  $X, Y$  are the two different domains.  $L_{GAN}(G, D_Y, X, Y)$  includes the loss  
 283 between the fake image generated by the mapping function  $G$  and the real image that  
 284 needs to be minimized and the loss for the discriminator  $D_Y$  to distinguish the fake and

285 real image that needs to be maximized. A similar objective loss,  $L_{GAN}(F, D_X, X, Y)$ , is  
 286 used for another mapping function  $F$  and discriminator  $D_X$ .  $L_{cyc}(G, F)$  refers to the  
 287 losses during feature mapping, including the loss between the real image and the fake  
 288 image, the fake image with the reconstructed real image, and the real image with the  
 289 reconstructed real image. The loss function for the adversarial loss is

$$290 \quad L_{MSE} = \frac{1}{n} \sum_{t=1}^n (y - f(x_t))^2 \quad (2)$$

291 The  $L1$  function used for cycle consistency loss is

$$292 \quad L_{L1} = \frac{1}{n} \sum_{t=1}^n |y - f(x_t)| \quad (3)$$

293 where  $y$  is the GT pixel value and  $f(x_t)$  is the network prediction. CycleGAN was  
 294 trained with an initial learning rate of 0.0001 using the Adam solver with a batch size  
 295 of  $8 \times 192 \times 192$ . In total, the 2D datasets comprised 4800 raw  $\mu$ -CT images and 4800  
 296 synchrotron-based  $\mu$ -CT images. The data were then split into 4000 images for training  
 297 and 800 images for testing.

298

### 299 **2.3 Image degradation**

300 Gaussian–Poisson noise that commonly exists in  $\mu$ -CT images results in reduced image  
 301 quality and subsequently affects segmentation results and further DRP analyses. In  
 302 addition, the utilization of denoising filters may add additional artifacts and/or smooth  
 303 finer details of the pore structure. Therefore, by considering the fine structure and  
 304 topology as highly essential for the segmentation of sparsely occurring minerals, we  
 305 simulated a scenario where there is a certain degree of Gaussian–Poisson noise in our  
 306 raw  $\mu$ -CT data and tested the capability of CNN-based multi-mineral segmentation  
 307 methods to distinguish minerals with such noise. Gaussian and Poisson noises were  
 308 manually added to the raw  $\mu$ -CT data by using a Gaussian filter and Poisson distribution  
 309 in Numpy and Scipy packages in Python after domain transfer. Random Gaussian noise  
 310 was added to each image using a Gaussian filter with a standard range of 2–3. Poisson  
 311 noise was added with the expectation of intervals based on the pixel values of each  
 312 image. To test whether the degree of noise was realistic, no-reference image quality  
 313 metrics called BRISQUE and NIQE were calculated using the statistical features of the  
 314 input image to evaluate the similarity of our data to other dynamic synchrotron-based  
 315  $\mu$ -CT data. Overall, after domain transfer and image degradation, the raw  $\mu$ -CT data  
 316 that contained noise associated with dynamic synchrotron scanning and commonly  
 317 occurring Gaussian–Poisson noise were used to mimic the realistic fast synchrotron-  
 318 based scanning results. Therefore, instead of attempting to segment directly on the  
 319 synchrotron-based  $\mu$ -CT data, the CNN-based network could be trained using the  
 320 domain-transferred Mt. Simon  $\mu$ -CT image. This trained network could then be used  
 321 later to segment a real synchrotron-based  $\mu$ -CT image.

322

### 323 **2.4 Segmentation CNNs architectures and training schedules**

324 Multi-mineral segmentation was performed in 2D using four CNN architectures based  
 325 on the encoder–decoder structure, which exploits features from the encoding step and

326 recovers the spatial resolution from the decoding step. We decided to train all networks  
 327 in 2D because the QEMSCAN image was generated in 2D and the domain transfer by  
 328 CycleGAN was performed in 2D. As shown by [37], networks in 2D and 3D provide  
 329 similar pixelwise and physical accuracy, while networks in 2D are computationally  
 330 more efficient compared to 3D because they have fewer trainable parameters. The CNN  
 331 networks that contain both pre-trained and non-pre-trained models are U-  
 332 ResNet [37,43], U-ResNet-cGAN [46], U-Net with EfficientNet-B3 as the backbone  
 333 (EfficientU-Net), and EfficientU-Net-cGAN. The advantages of each network and the  
 334 main differences are listed in Table 2. The main reasons for selecting these networks  
 335 are as follows:

- 336
- 337 (1) U-ResNet has been proven to perform better in multi-mineral segmentation  
 338 than SegNet and U-Net, which are commonly used for semantic-segmentation  
 339 CNN networks [37]. Therefore, U-ResNet is tested as a baseline to check  
 340 whether other networks can perform better.
  - 341 (2) With the addition of the cGAN module, both binary-cross-entropy loss and  
 342 cross-entropy loss have been used to regulate training, which is beneficial in  
 343 preventing overfitting and edge determination.
  - 344 (3) One of the state-of-the-art network architectures in image classification is  
 345 EfficientNet, which has the advantage of a high-balancing network depth,  
 346 width, and resolution. Therefore, we used EfficientNet for feature extraction to  
 347 improve the training efficiency. In addition, although EfficeintU-Net has more  
 348 trainable parameters than U-ResNet, the total network size is only 60% of that  
 349 of U-ResNet.
  - 350 (4) EfficientU-Net-cGAN, which is combined with the cGAN module, has been  
 351 proposed to regulate training with high efficiency.
- 352

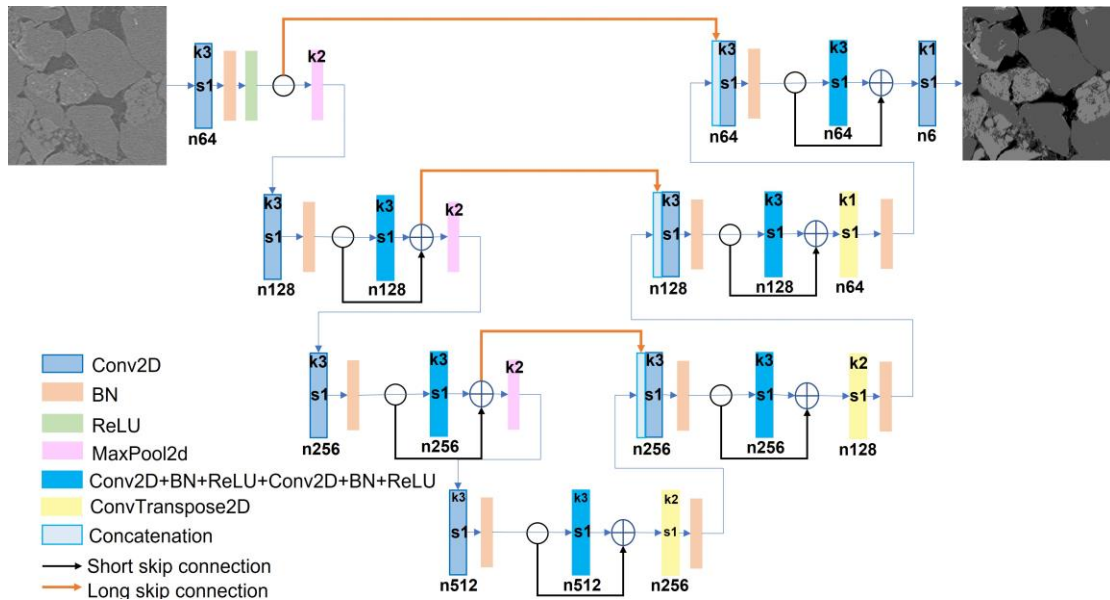
353 Table 2: A comparison of the tested networks.

Networks	Total parameters	Total network size (MB)	Pre-trained	Loss function	Advantages
U-ResNet	8,761,858	3107	No	Cross Entropy loss	Utilization of long skip connection and short skip connection
U-ResNet-cGAN	19,926,211	3233	No	Cross entropy loss + Binary cross entropy loss	cGAN module is used to further distinguish the output image and binary-cross-entropy loss added to regulate the training

EfficientU-Net	26,063,594	2043	Yes	Cross-entropy loss	Efficient feature extraction by balancing depth, width, and resolution
EfficientU-Net-cGAN	37,225,899	2169	Yes	Cross-entropy loss + binary-cross-entropy loss	Combines the advantages of cGAN module and EfficientNet

354

355 A non-pretrained, symmetric U-ResNet with a structure similar to that used by [37] was  
 356 employed in this study instead of the U-Net with pretrained ResNet as an encoder. The  
 357 main reason for this choice is that ResNet was originally designed for image  
 358 classification that contains several repeated residual blocks. However, when it comes  
 359 to segmentation, a particularly deep and complex encoding process for a symmetric  
 360 encoder–decoder structure would require a similarly complex decoding process. This  
 361 means that a large amount of feature information is lost because of the many  
 362 upsampling layers in the decoding process. Therefore, the U-ResNet used herein  
 363 requires short-skip connections between each block to preserve shallow image  
 364 information. Moreover, long-skip connections, which link the encoder blocks to their  
 365 equivalent decoder blocks and are used in the U-Net, are also used to retain the shallow  
 366 features of the input image. The U-ResNet architecture is shown in Figure 3.



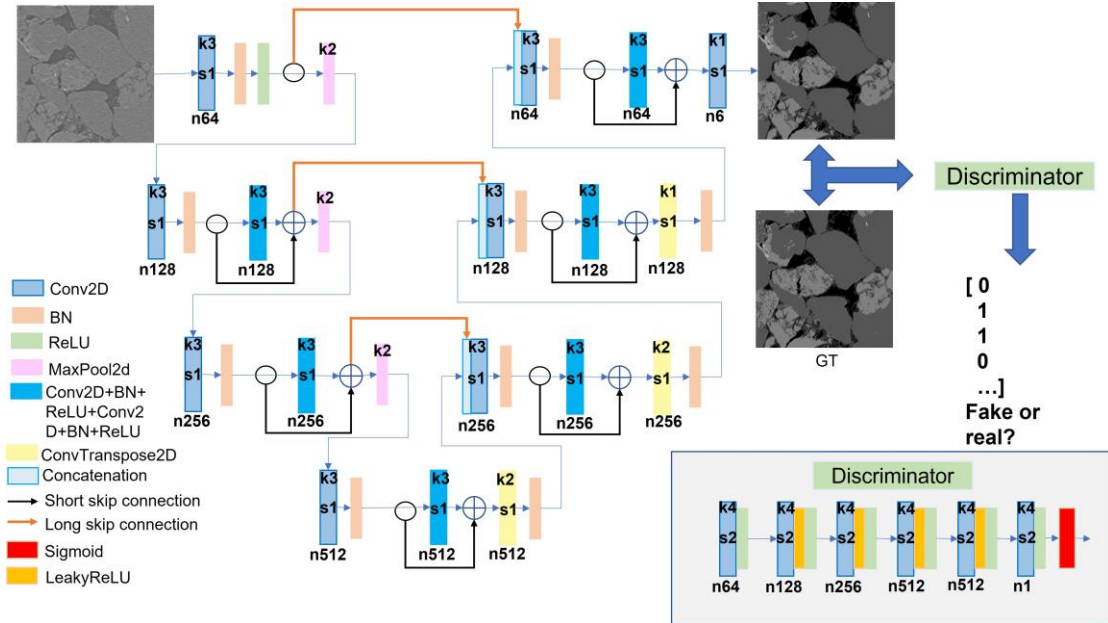
367

368 Figure 3: Architecture of the U-ResNet, containing both a short-skip and long-skip connection.  
 369 The input image contains three channels, and the output has six channels, indicating six  
 370 different phases.

371

372 Based on the U-ResNet structure, an advanced U-ResNet architecture combined with a  
 373 conditional adversarial network (U-ResNet-cGAN) was introduced. Conditional  
 374 adversarial networks are designed mainly for image-to-image translation tasks. For the

375 segmentation task, output segmented images are produced after the encoder–decoder  
 376 structure, and then both the GT and segmented outputs are passed through a  
 377 discriminator to distinguish whether the image is real or fake. PatchGAN is used here  
 378 because of its advantage of generating a fixed-size patch instead of a single number  
 379 after the discriminator. By applying conditional adversarial networks, U-ResNet works  
 380 as a generator and then competes with the discriminator during training. The detailed  
 381 architecture of the U-ResNet-cGAN is shown in Figure 4.

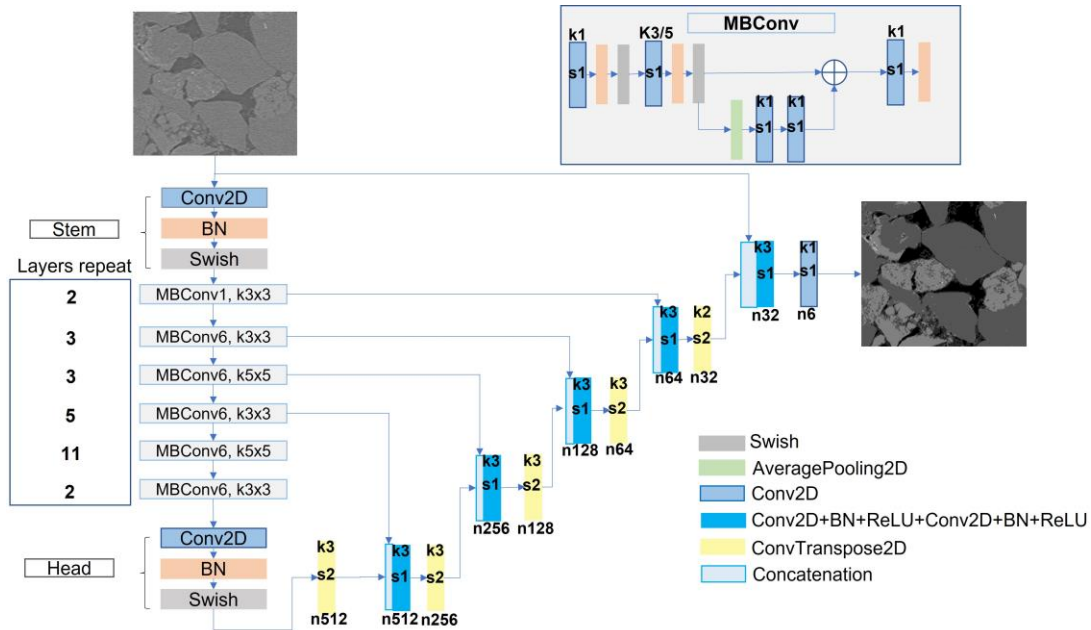


382  
 383 Figure 4: Architecture of the U-ResNet-cGAN. The encoder–decoder structure is similar to the  
 384 early U-ResNet structure. The output for the U-ResNet with the corresponding GT image is  
 385 further passed to the discriminator. After application of the Sigmoid function, the output for the  
 386 patch ranges from 0 to 1.

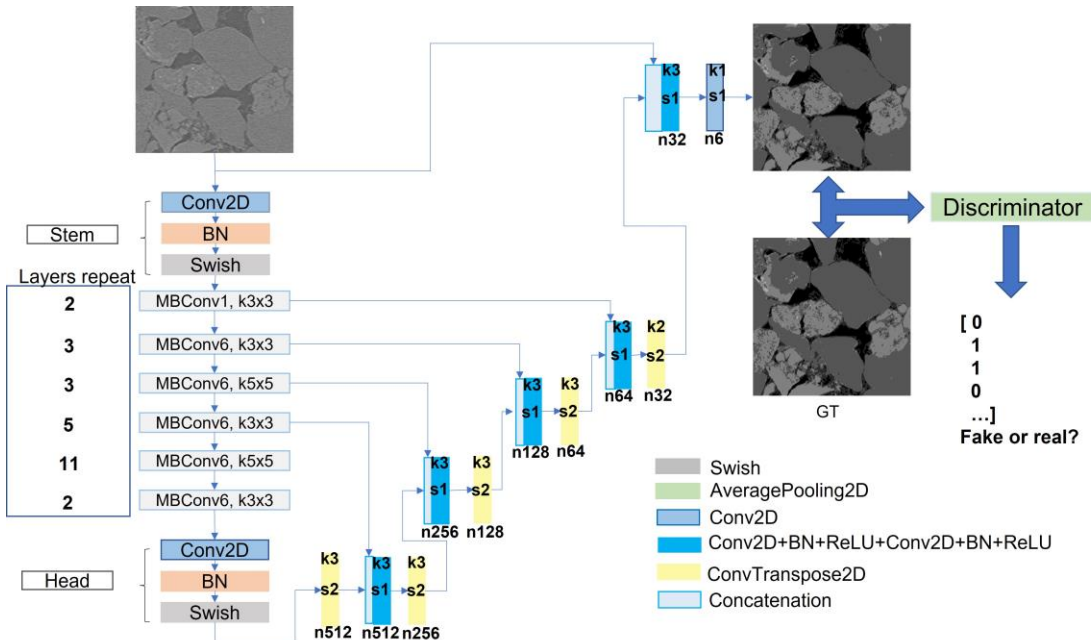
387  
 388 As discussed previously, for symmetric encoder–decoder structures—particularly for  
 389 deep, pretrained CNNs that are designed for image classification tasks—are inefficient  
 390 during the decoding step. However, an encoder–decoder asymmetric structure that  
 391 contains a deep, complex network structure in the encoding step and a simple network  
 392 structure in the decoding step could solve this issue and further improve feature  
 393 extraction and identification. Therefore, in this study, EfficientNet-B3 [44] which  
 394 achieves high accuracy and efficiency in image-classification tasks, was used as a  
 395 feature extractor in the encoding step. Several transposed, convolutional layers were  
 396 used directly to increase the feature shape to the same size as the input image to avoid  
 397 feature loss. Several long-skip connections were used to retain the shallow features of  
 398 the input image. The network architecture of the EfficientU-Net-B3 is shown in Figure  
 399 5. The core structure contained 25 blocks that use a structure similar to MobileNet [77].  
 400 The overall design concept was based on the utilization of inverted residual structures  
 401 and residual blocks. A  $1 \times 1$  convolution was used before the  $3 \times 3$  or  $5 \times 5$  network  
 402 structure to increase the dimension, and an attention mechanism that assigns a weight  
 403 to each feature of the image was added after the  $3 \times 3$  or  $5 \times 5$  network structure.

404

405 An advanced EfficientU-Net was then proposed by introducing a conditional  
 406 adversarial network called EfficientU-Net-cGAN, and its architecture is shown in  
 407 Figure 6. The discriminator that distinguishes the output segmented image from the GT  
 408 had a similar structure to that of the U-ResNet-cGAN, as shown in Figure 4.



409  
 410 Figure 5: Architecture of the EfficientU-Net-B3. The encoder contains a Stem layer, 25  
 411 MBConv blocks, and Head layer. The decoder contains five upsampling layers with long-skip  
 412 connections between encoding layers.



413  
 414 Figure 6: Architecture of EfficientU-Net-cGAN. The encoder–decoder structure is identical to  
 415 the previous EfficientU-Net-B3 structure. The addition of a discriminator would further force  
 416 the output segmented image to appear similar to GT. The discriminator structure is identical to  
 417 the discriminator depicted in Figure 4.

418



419 All networks were trained for 40 epochs with an initial learning rate of 0.0001 using  
 420 the Adam solver. The input images were cropped to  $454 \times 454$  with a batch size of five.  
 421 The learning rate is reduced by a factor of 0.5 when the loss reaches a plateau for eight  
 422 epochs. The loss function used to train all networks is the cross-entropy loss:

$$423 \quad \text{cross entropy} = -\sum_{k=1}^N (p_k \log q_k) \quad (4)$$

424 where  $p$  is the GT target in scalar, and  $q$  is the prediction after the softmax function.  
 425 For the U-ResNet-cGAN and EfficientU-Net-cGAN, an extra binary cross-entropy loss  
 426 is applied to regulate the training of the discriminator:

$$427 \quad \text{binary cross entropy} = -\sum_{k=1}^N w_k [p \log q_k + (1 - p) \log(1 - q_k)] \quad (5)$$

428 The training dataset contained 8,800 degraded  $\mu$ -CT images split into 7,200 for training  
 429 and 1,600 for testing. The training was implemented on PyTorch, using an Nvidia RTX  
 430 3090 graphics-processing unit.

431

## 432 2.5 Physical Accuracy Measurements

433 Aside from pixelwise accuracy, physical accuracy was also important to measure  
 434 because in DRP the objective of segmentation is to isolate each mineral phase for  
 435 subsequent physical analyses. In this study, physical accuracy was first evaluated by  
 436 connectivity and porosity. Other non-trivial physical parameters that reveal the behavior  
 437 of fluid displacement in rock are absolute permeability and relative permeability.  
 438 Absolution permeability is sensitive to porous structure, while relative permeability is  
 439 sensitive to both porous structure and mineral occurrence that are determined by multi-  
 440 phase segmentation [78]. These parameters are calculated based on a mixed-wetting  
 441 condition that commonly occurs in reservoir rock and can be qualified by comparing  
 442 the network output with the GT [79,58,80]. The Euler characteristic ( $\chi$ ) is used as an  
 443 indication for connectivity, which is calculated as the difference between the number of  
 444 loops and the number of disconnected pixels. The equation for calculating  $\chi$  is

$$445 \quad \chi = \text{objects} - \text{loops} + \text{holes} \quad (6)$$

446 In addition, the volume fraction was determined for all phases, which was calculated  
 447 by dividing the phase volume by the total volume; the pore-phase volume fraction is  
 448 the porosity of the sample. The absolute permeability was calculated using an MRT-  
 449 LBM (multirelaxation time lattice Boltzmann method) preconditioned with a domain-  
 450 decomposed Laplace solver [81–83], and the relative permeability was solved using the  
 451 MorphLBM method [27].

452 MorphLBM utilizes a multiphase LBM simulation routine directly in the pore space of  
 453 the image and performs morphological updates on the phases to emulate steady-state  
 454 fluid configurations in an accelerated manner compared to directly simulating the co-  
 455 injection of fluids, which requires significant simulation time to move through the  
 456 domain. Fluid configurations are updated with small increments of erosion or dilation  
 457 (depending on drainage or imbibition) to target saturation. LBM simulation was  
 458 performed continuously as these small morphological increments were performed, and

459 when the target saturation was reached, the LBM simulation was run until the capillary  
460 number converged. When the capillary number converges, the steady-state relative  
461 permeability point is recorded, and the morphological updates are started again. In this  
462 study, morphological imbibition was performed on the domains initialized by simulated  
463 primary drainage to residual saturation. The morphological updates were performed at  
464 a distance of 0.1 by interpolating the phase indicator value, and relaxation was  
465 performed for 1000 LBM timesteps between morphs. The saturation increments were  
466 5%, and the capillary number tolerance was set to less than  $1 \times 10^{-3}$  per 1000 time steps  
467 of the 50,000 timestep exponential moving average, and the relative variance was less  
468 than 0.01. The system capillary number was maintained at  $1 \times 10^{-5}$  to emulate capillary-  
469 dominated two-phase flow. Mixed wettability was easily modeled using the LBM  
470 method (Color LBM) by simply assigning the contact angle as an affinity between  $-1$   
471 (water) and  $+1$  (oil) for various solid voxels, where the static contact angle is equivalent  
472 to the inverse cosine of the affinity [84].

### 474 **3 Results and Discussion**

#### 475 **3.4 Image degradation results**

476 The raw  $\mu$ -CT data was degraded by transferring fast-imaging-associated noise from  
477 the synchrotron-based  $\mu$ -CT data, followed by the addition of Gaussian–Poisson noise.  
478 This unpaired domain transfer was performed using CycleGAN. A sample output from  
479 CycleGAN is provided in Figure 7, which includes the dynamic-styled  $\mu$ -CT data and  
480 static-styled synchrotron data. Because this study mainly focuses on the segmentation  
481 of degraded images, only the domain transfer from raw  $\mu$ -CT data to dynamic-styled  $\mu$ -  
482 CT data was required. Furthermore, the output dynamic-styled  $\mu$ -CT data was further  
483 degraded by adding Gaussian–Poisson noise, as shown in Figure 7 (e). Figure 7 (f)  
484 shows an example of a GT image. To determine whether the degree of noise added was  
485 realistic, two image-quality metrics were calculated using predictable statistical  
486 features to compute a quality score: the blind/referenceless image spatial quality  
487 evaluator (BRISQUE) and the naturalness image quality evaluator (NIQE), which  
488 provide a qualitative measure of noise. These two methods do not require a paired  
489 reference image for image quality measurement, as required for the presented data,  
490 because domain transfer is performed between unpaired images. A detailed theoretical  
491 background of BRISQUE and NIQE can be found in [85,86]. The BRISQUE and NIQE  
492 metrics were compared between the raw  $\mu$ -CT data, synchrotron-based  $\mu$ -CT data, and  
493 degraded dynamic-styled  $\mu$ -CT data, as well as two other existing dynamic-  
494 synchrotron-based  $\mu$ -CT images that are accessible on the Digital Rock Portal  
495 (<https://www.digitalrocksportal.org>). The first dataset is the synchrotron-based  $\mu$ -CT  
496 image of Ketton limestone [87] and the second is the synchrotron-based  $\mu$ -CT image of  
497 Gildehauser Sandstone [88]. The results of the BRISQUE and NIQE metrics for each  
498 dataset are listed in Table 3. For both metrics, a lower score reflects better image quality.  
499 As shown in Table 3, the raw  $\mu$ -CT data had the best image quality, while the other  
500 dynamic datasets were of lower quality. For the degraded dynamic-styled  $\mu$ -CT data,  
501 both metrics demonstrated that the image quality after domain transfer and degradation



502 was within a reasonable range of commonly used dynamic synchrotron-based  $\mu$ -CT  
 503 data.

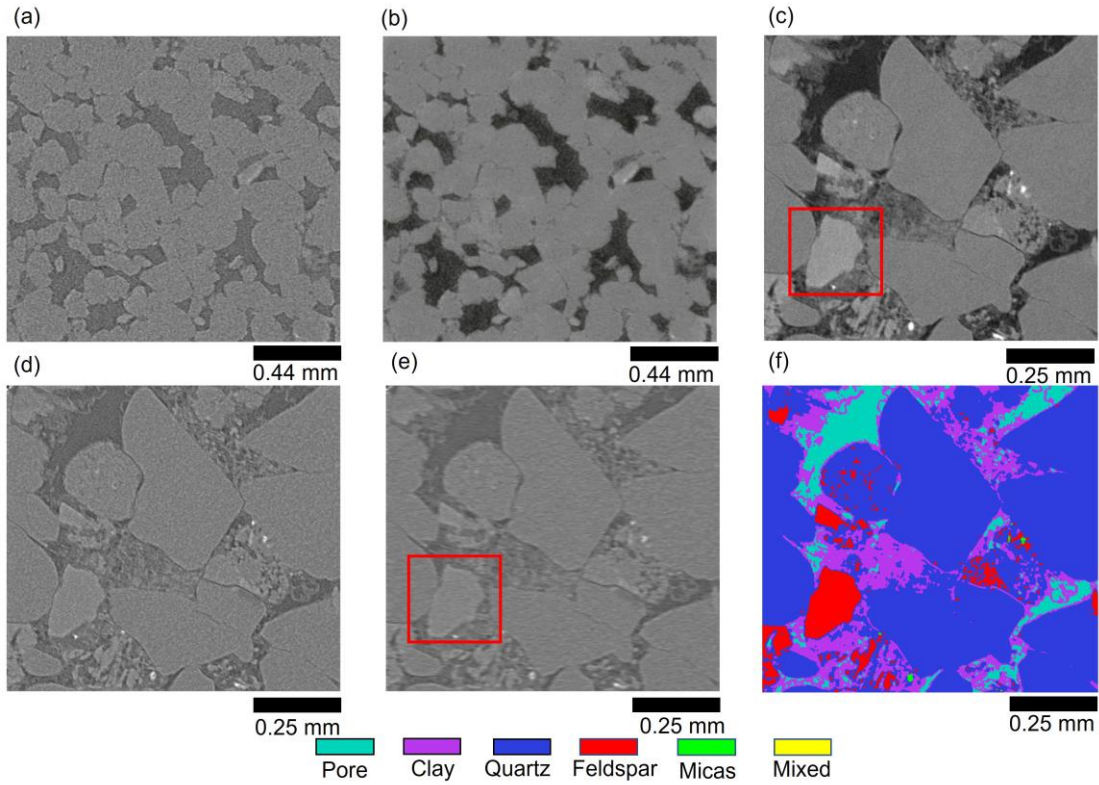
504

505 Table 3: Image-quality measurement of five datasets. Both BRISQUE and NIQE were  
 506 calculated slice by slice, and the average value of all slices was taken. The final degraded  
 507 dynamic-styled  $\mu$ -CT data had a similar image quality as the other three synchrotron-based  $\mu$ -  
 508 CT datasets.

	BRISQUE	NIQE
Raw $\mu$ -CT data	17.9	3.4
Synchrotron-based $\mu$ -CT data	42.3	11.0
Degraded dynamic-styled $\mu$ -CT data	43.4	8.9
Synchrotron-based Gildehauser Sandstone $\mu$ -CT data	30.5	6.8
Synchrotron-based Ketton limestone $\mu$ -CT data	40.8	6.2

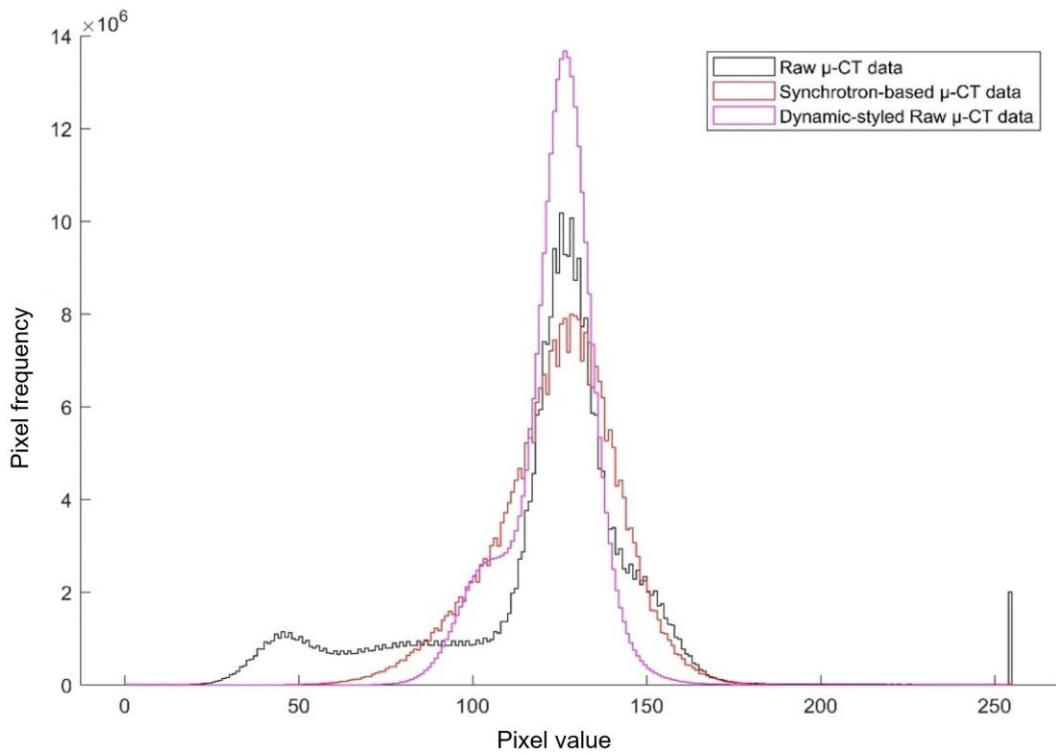
509

510 Comparing the red regions in Figure 7 (c) and (e), it can be seen that the pixel value  
 511 difference between feldspar and quartz is reduced after degradation, which could result  
 512 in errors during segmentation. To evaluate the performance of CycleGAN, the voxel  
 513 distribution of the 3D volume ( $500 \times 500 \times 1200$ ) of raw  $\mu$ -CT data, synchrotron-based  
 514  $\mu$ -CT data, and dynamic-styled  $\mu$ -CT data were compared, as shown in Figure 8. The  
 515 variances of the normalized images that show the difference in voxel distribution were  
 516 then measured to validate the performance of the domain transfer. It should be noted  
 517 that because both Mt. Simon sandstone and Bentheimer sandstone consist of a  
 518 significant amount of quartz, the pixel distribution peaks (indicating the quartz phase)  
 519 for the raw  $\mu$ -CT data and synchrotron-based  $\mu$ -CT data are at the same location. In  
 520 addition, the results demonstrate that the raw  $\mu$ -CT data and synchrotron-based  $\mu$ -CT  
 521 data have different pixel distributions in a range lower than the pixel value of 100 and  
 522 at the location where the pixel value is 255 (with a variance of 0.015). After applying  
 523 domain transfer, the pixel value of the dynamic-styled  $\mu$ -CT data was consistent with  
 524 the synchrotron-based  $\mu$ -CT data, with a variance of 0.002, indicating that CycleGAN  
 525 is sufficient for the domain transfer of synchrotron noise. The degraded dynamic-styled  
 526  $\mu$ -CT data were subsequently used in the next step of multi-mineral segmentation.



527

528 Figure 7: (a) Sample image transferring from synchrotron-based  $\mu$ -CT data to the (b) static-  
 529 styled synchrotron data, and (c) raw  $\mu$ -CT data to the (d) dynamic-styled  $\mu$ -CT data. (e)  
 530 Further degradation of dynamic-styled  $\mu$ -CT data by the addition of Gaussian-Poisson noise.  
 531 (f) Ground truth QENSCAN slice of the corresponding  $\mu$ -CT slice.



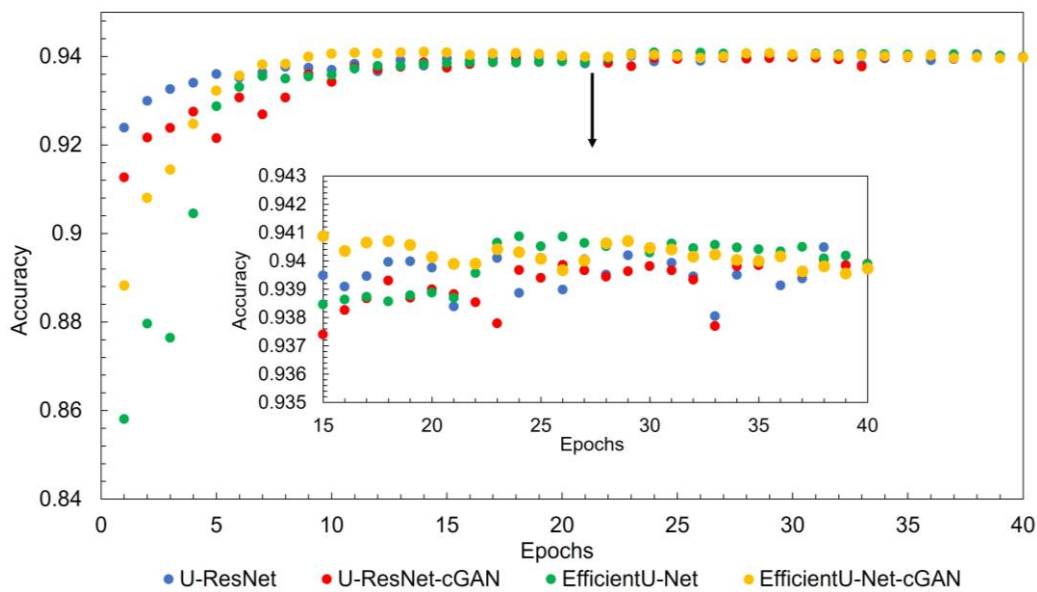
532

533 Figure 8: Pixel value comparison between long-scan  $\mu$ -CT images, synchrotron images, and

534 the domain-transferred, dynamic-styled Mt. Simon images before adding Gaussian–Poisson  
 535 noise.  
 536

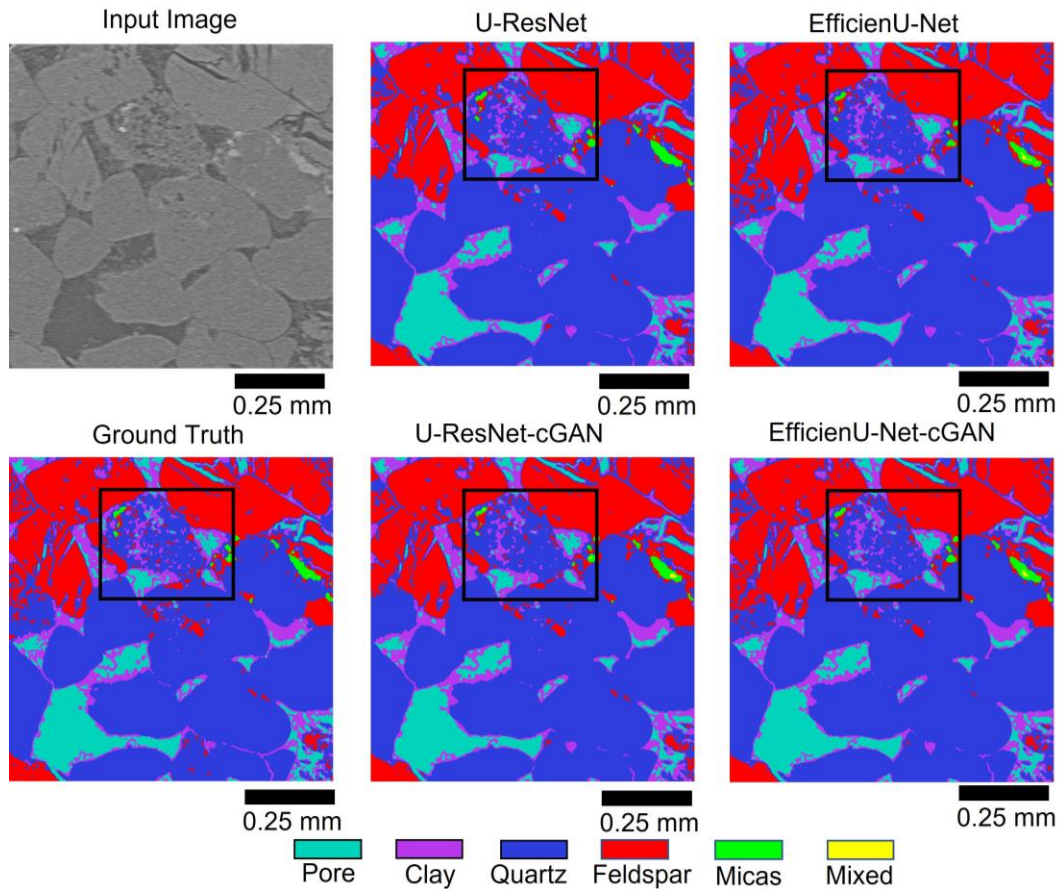
### 537 3.5 Multi-mineral Segmentation Accuracy

538 All networks were trained on data containing dynamic-styled  $\mu$ -CT data that were  
 539 cropped into a domain of  $454 \times 454 \times 7200$  voxels and test images of  $454 \times 454 \times 1600$   
 540 voxels. The weighted accuracy, which considers the correctly labeled pixels as well as  
 541 the volume fraction of each mineral, was utilized to evaluate each network. Phase  
 542 accuracies were also calculated to evaluate the ability of the networks when dealing  
 543 with different minerals. This was performed by averaging the phase accuracies of the  
 544 last 10 epochs, where the testing accuracy curve of each network reached a plateau. The  
 545 testing accuracies are shown in Figure 9, with the visualization of a region of interest  
 546 in Figure 11. The accuracy of all networks converged to approximately 0.94. The  
 547 accuracy for EfficientU-Net and EfficientU-Net-cGAN was marginally better than that  
 548 of U-ResNet and U-ResNet-cGAN, with a difference of approximately 0.1% in  
 549 pixelwise accuracy. Compared to the weighted accuracy used by [37], which included  
 550 the  $\mu$ -CT image as input, the accuracy was reduced by 3% as a result of degradation.  
 551 Overall, all networks performed well, even with synchrotron and Gaussian–Poisson  
 552 noises, which is also supported by the data given in Figure 10. In this figure, all  
 553 segmented outputs are visually similar to the GT slice, even for sparse minerals. For  
 554 example, in the black box presented in Figure 10, the clay and feldspar are sparsely  
 555 distributed across the quartz; these sparse clay and feldspar phases are easily  
 556 distinguishable as noise because the input synchrotron-styled Mt. Simon images  
 557 already contain several types of noise across the entire pore region. However, the  
 558 networks could correctly label these two minerals in the pore space (black region).  
 559 Some errors occur in the feldspar (black region) due to the reduction of the pixel value  
 560 difference between quartz and feldspar, as discussed further in Section 3.1.



561  
 562 Figure 9: Testing accuracy for four networks. The accuracy for all networks converged to

563 around 0.94 after 15 epochs. An amplified curve shows that EfficientU-Net and EfficientU-  
 564 Net-cGAN slightly outperformed the other two networks.



565  
 566 Figure 10: A slice of the testing dataset and output of four networks. All networks visually  
 567 performed well; however, in the black section, all networks failed to capture the fine bodies of  
 568 feldspar and clay that exist in quartz.

569  
 570 Because all networks performed similarly in terms of total accuracy, it was necessary  
 571 to investigate how they performed for each mineral phase; therefore, the phase accuracy  
 572 was calculated during each epoch. The average phase accuracy was obtained by  
 573 averaging the phase accuracy of the last 10 epochs, as listed in Table 4. U-ResNet and  
 574 U-ResNet-cGAN architectures achieved a higher pore-phase accuracy than the two  
 575 EfficientNet-based architectures, whereas EfficientU-Net and EfficientU-Net-cGAN  
 576 could better identify the clay phase. All networks performed best in the quartz phase,  
 577 with an accuracy higher than 0.96, because all networks tended to learn well with a  
 578 commonly occurring phase. EfficientU-Net-cGAN showed marginally higher accuracy  
 579 in the feldspar and mica phases. In sparsely occurring micas and mixed-mineral phases,  
 580 all networks resulted in low accuracy, with approximately 0.7 in micas and 0.5 in the  
 581 mixed-mineral phase, which further indicated that the volume fraction of each phase is  
 582 an essential factor that influences the CNN segmentation. Owing to the low phase-  
 583 volume fraction, the quantity of phase labels was imbalanced, and the networks tended  
 584 to learn more from the commonly occurring phases, sacrificing accuracy in sparsely  
 585 occurring phases. In general, all networks could achieve an accuracy in the 85–97%

586 range for the four most-common phases, indicating that even for the  $\mu$ -CT image with  
 587 synchrotron-based noise and for a certain degree of degradation, CNN networks  
 588 arguably provided accurate pixelwise results for multi-mineral segmentation.  
 589

590 Table 4: Average phase accuracy for identified minerals using four networks as well as the  
 591 volume fraction of each phase. Overall, each network has its strength in different mineral phases.

	Vol (%)	U-ResNet	U-ResNet-cGAN	EfficientU-Net	EfficientU-Net-cGAN
Pore	7.941	0.924	0.926	0.898	0.905
Clay	11.781	0.855	0.852	0.869	0.860
Quartz	63.185	0.963	0.966	0.965	0.963
Feldspar	16.460	0.901	0.891	0.895	0.904
Micas	0.293	0.694	0.708	0.675	0.713
Mixed	0.339	0.540	0.476	0.536	0.502

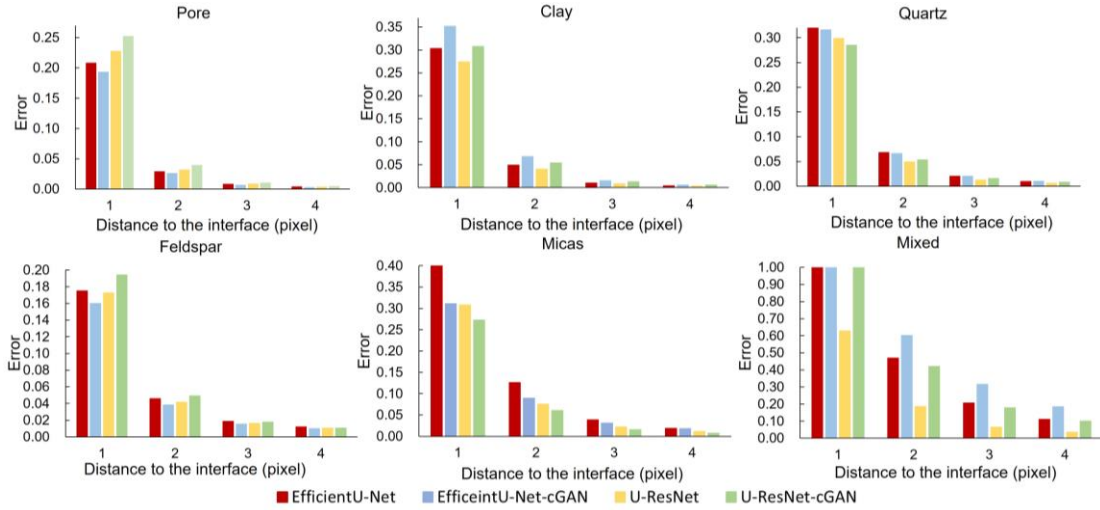
592  
 593 Furthermore, the Euclidean distance of the wrongly labeled pixels and the region-based  
 594 error from an interface were calculated for each  $530 \times 530 \times 1600$  voxel dataset. This  
 595 approach was used because the interface between phases is considered to be the most  
 596 complicated region to segment [89]. It is not directly evaluated by pixelwise accuracy  
 597 because the majority of the pixels are internal to a given phase and are thus easy to  
 598 segment. Considering that the objective of segmentation for DRP is to perform further  
 599 physical analyses, the interface-region-based accuracy and the influence of  
 600 segmentation on sequential pore characterization and pore-scale simulation should be  
 601 thoroughly evaluated to show how well each network segments difficult regions, thus  
 602 revealing the “true” phase structure [90,91]. Therefore, the data were prepared  
 603 according to the following steps:

- 604 1. Each phase’s wrongly labeled pixel distribution was first determined by  
 605 subtracting each network’s output from the GT. Meanwhile, the Euclidean  
 606 distance maps for each phase based on the GT images were generated.
- 607 2. The incorrectly labeled pixel distribution was multiplied with the Euclidean  
 608 distance map for the respective phase, which provides the Euclidean distance of  
 609 the wrongly labeled pixels from the interface of the given phase.
- 610 3. A histogram of the Euclidean distances for the GT data was generated to provide  
 611 the total number of pixels that are located at a given distance from the interface.
- 612 4. The region-based error was calculated by dividing the number of incorrectly  
 613 labeled pixels that are located at a given distance by the total number of pixels  
 614 in the GT that correspond to the given distance.

615  
 616 In Figure 11, wrongly labeled pixels in all six phases mainly arise from the Euclidean  
 617 distance within 1 pixel, indicating that most of the error for all networks is derived from  
 618 the interface determination. EfficientU-Net-cGAN shows the lowest error near an  
 619 interface in terms of pore and feldspar phases, indicating that EfficientU-Net-cGAN  
 620 provides better segmentation at the boundary of these phases. Moreover, U-ResNet  
 621 provides a better interface segmentation in the clay and mixed phases, whereas U-



622 ResNet-cGAN can handle the interface segmentation of the quartz and mica phases. It  
 623 is noteworthy that not all networks can provide an accurate segmentation of the mixed  
 624 phase near the interface, with the lowest region-based error being only 62%. This is  
 625 mainly because the mixed phase has an extremely high pixel value in the grayscale  
 626 image in the raw  $\mu$ -CT data, but in the synchrotron-based  $\mu$ -CT data, it does not contain  
 627 high-density mineral components. Therefore, after domain transfer, in the dynamic-  
 628 styled  $\mu$ -CT data, the grayscale value between the mixed phase and other phases  
 629 decreased, making the segmentation of the mixed phase more difficult.



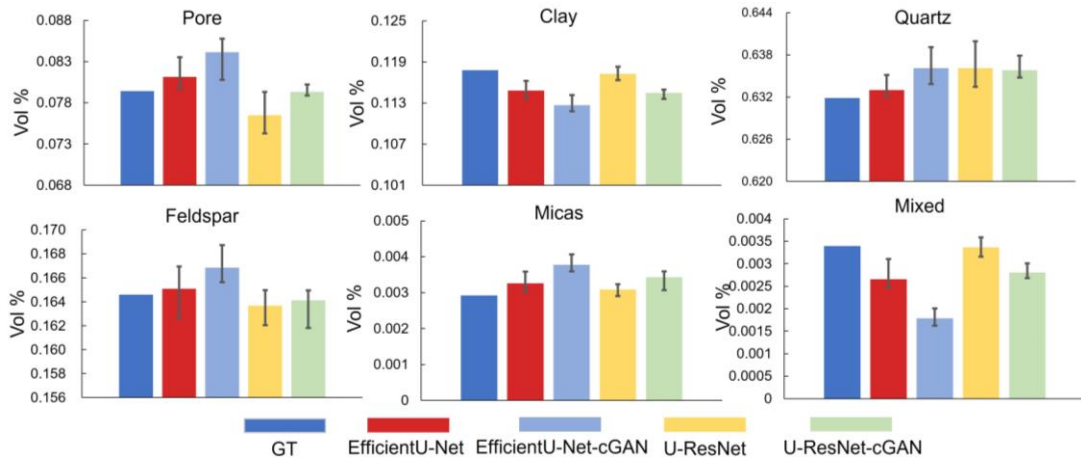
630

631 Figure 11: Histograms of the region-based segmentation error of the wrongly labeled pixels in  
 632 the interfaces of six phases for four networks. The main segmentation error arises from the  
 633 pixels closed to the phase interface.

634

### 635 3.6 Physical accuracy measurement

636 In addition to the pixelwise accuracy of each network, the physical accuracy of the  
 637 segmented outputs is critical for the DRP. Connectivity, volume fraction, absolute  
 638 permeability, and relative permeability were the physical parameters considered for a  
 639 mixed-wet condition. The physical accuracy was measured in the domain of  
 640  $530 \times 530 \times 1600$  voxels. First, the volume fraction of each phase was calculated, as  
 641 shown in Figure 12. It can be observed that U-ResNet-cGAN performed best in the  
 642 volume fraction of the pore phase, with a difference of less than 0.1% (i.e., porosity),  
 643 whereas EfficientU-Net yielded an accurate prediction for the quartz and feldspar  
 644 phases, with differences of 0.2% and 0.3%, respectively. Moreover, U-ResNet  
 645 performed best in clay, micas, and mixed phases, with differences of 0.4%, 0.6%, and  
 646 0.9%, respectively.



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Figure 12: Bar charts showing the volume fraction of each phase compared to the ground-truth result for four networks. Porosity is described by the pore volume fraction. Error bars represent the range of volume fraction calculated based on the top-five most accurately trained epochs of each network.

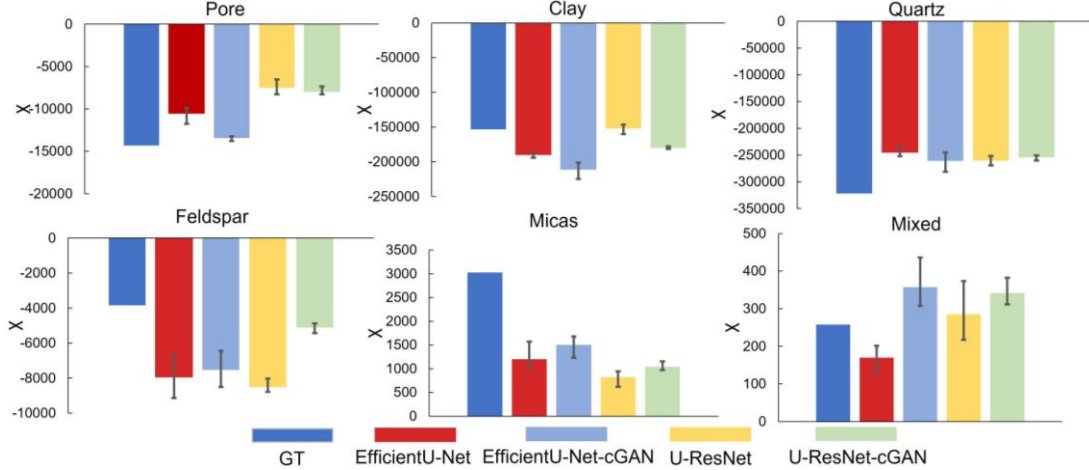
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The connectivity, as described by the Euler number ( $\chi$ ) of each phase, was determined from the segmented images, where  $\chi$  is a topological invariant defined by the number of objects, loops, and holes in a given phase [92]. The  $\chi$  values for each phase are presented in Figure 13. In terms of connectivity, it was found that none of the segmented datasets compare well with the GT data. In addition, the variability of the results across all networks was relatively high. The percent differences of  $\chi$  measured by each network in relation to the GT result are listed in Table 5. Interface pixel errors and existing disconnected small bodies are likely the main reasons for the variations in  $\chi$ .

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Specifically with respect to  $\chi$ , as shown in Eq. 6, a more positive value for a phase means that there are more isolated objects or fewer loops, indicating that the phase is less connected. On the other hand, a more negative value means that there are more loops than isolated objects, indicating that the phase is well connected. Therefore, it can be seen in Figure 13 that in the pore phase, the  $\chi$  value for GT is more negative than for any other network result. This suggests that all networks provided segmented images that were less connected than the GT. EfficientU-Net-cGAN provides the most accurate result in terms of the pore phase, being only 6% less negative compared to the GT. For Mt. Simon sandstone, clay fills the pore space, and the less-connected pore phase results in a more-connected clay phase. Therefore, all networks yielded a more negative value of  $\chi$  in the clay phase. U-ResNet performed best in the clay phase, with only a 1% difference compared to the GT, while the other three networks produced a larger difference compared to GT in the range of 17– 38%. The most accurate result for all networks was found for the  $\chi$  of the quartz phase. It might be concluded that because quartz is the most abundant phase, the networks tended to train for it more often compared to the other phases, resulting in the highest physical accuracy in terms of connectivity. Moreover, U-ResNet-cGAN provides the best result in the feldspar phase, but the difference was still  $\approx 33\%$  compared to GT, while all other networks provided a significantly negative value of  $\chi$  compared to GT. In addition, in the mixed-mineral

681 phase, the  $\chi$  of U-ResNet showed a mere 10% difference compared to GT, while other  
 682 networks yielded a difference greater than 30%. In addition, all networks  
 683 underestimated  $\chi$  in the mica phase, with a difference greater than 50%. This is because  
 684 the mica phase is rare and mainly consists of many small bodies; in many cases, the  
 685 networks misidentify these small bodies, which results in fewer isolated objects.



686

687 Figure 13: Bar charts depicting the Euler Characterization of each phase compared with the  
 688 ground-truth result for four networks. The value of  $\chi$  was calculated by averaging the  $\chi$  of the  
 689 network outputs with the top-five pixelwise accuracy. The error bars represent the range of  $\chi$   
 690 calculated based on the top-five most accurately trained epochs of each network.  
 691

692 Table 5: Differences of  $\chi$  measured by each network compared with GT.

	EfficientU-Net	EfficientU-Net-cGAN	U-ResNet	U-ResNet-cGAN
Pore	27%	6%	48%	45%
Clay	24%	38%	1%	17%
Quartz	24%	20%	19%	21%
Feldspar	107%	96%	122%	33%
Micas	60%	50%	73%	65%
Mixed	34%	39%	11%	32%

693 The flow characteristics of segmented images are an essential measure of the physical  
 694 accuracy. The absolute permeability of the pore phase was determined using single-  
 695 phase flow simulation. After simulating the whole volume, it was further cropped into  
 696 24 subblocks with a domain size of  $256^3$  voxels. The absolute permeability was then  
 697 calculated for each block, and the results are shown in Fig. 14. A close match was  
 698 achieved by the EfficientU-Net-cGAN output in terms of the absolute permeability of  
 699 the bulk volume, as shown in Figure 14 (a). A possible reason for this is that the  
 700 EfficientU-Net-cGAN provides the closest match in terms of  $\chi$  in the pore phase; the  
 701 absolute permeability is known to be sensitive to the pore-phase connectivity [93]. To  
 702 further test the absolute permeability, 24 subblocks were generated and simulated, as  
 703 shown in Figure 14 (b). The mean square error (MSE) is reported in Table 6, using these  
 704 subblocks for each network. It is noted that the absolute permeability could not be  
 705 calculated in the case where the subblock is formed without a pore phase. All networks  
 706 provided accurate absolute permeabilities in the majority of the subblocks, with

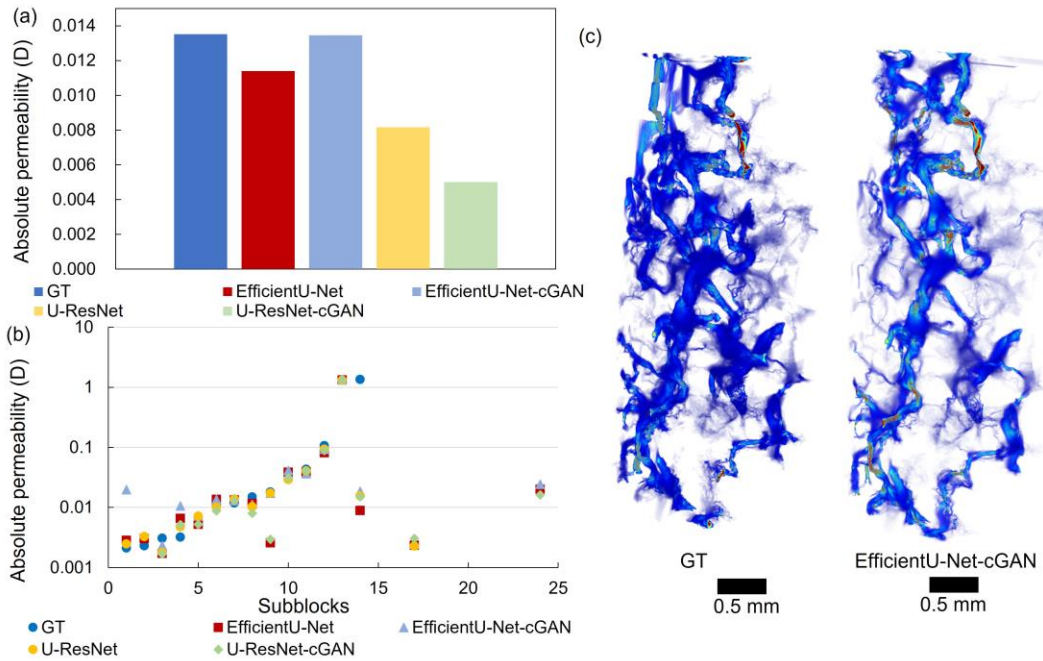


707 EfficientU-Net-cGAN providing a marginally lower MSE value; this further confirmed  
 708 that the connectivity of these blocks is an essential parameter that affects the bulk  
 709 absolute permeability. The accurate EfficientU-Net-cGAN result for the bulk absolute  
 710 permeability is highly related to the matched pore-phase connectivity.  
 711

712 Table 6: MSE results for the absolute permeability of these subblocks for each network.  
 713 EfficientU-Net-cGAN gives a marginally lower MSE, which is consistent with the whole block  
 714 absolute permeability result.

	U-ResNet	U-ResNet-cGAN	EfficientU-Net	EfficientU-Net-cGAN
MSE	0.1459	0.1460	0.1475	0.1453

715



716

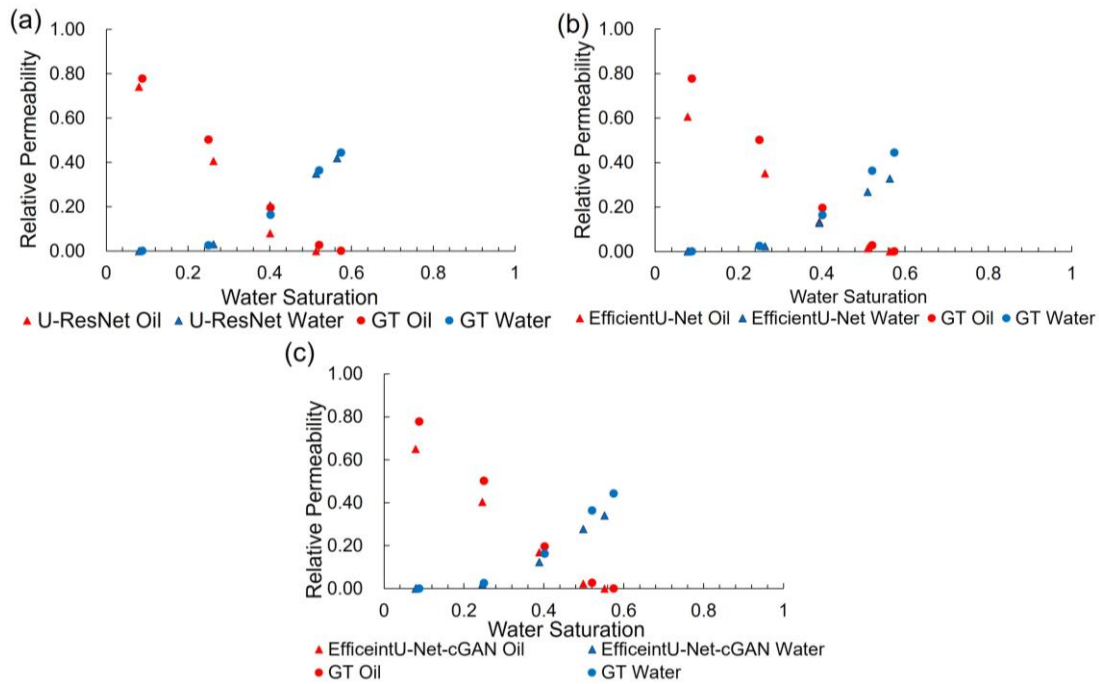
717 Figure 14: (a) Absolute permeability comparison of each network’s output domain, with the  
 718 closest match achieved by EfficientU-Net-cGAN; EfficientU-Net yielded the second-most-  
 719 accurate prediction. (b) Absolute permeability comparison of subblocks. All networks showed  
 720 an accurate estimation in the subblocks. (c) Visualization of the velocity field of the GT and the  
 721 best-matched network output, as obtained from MRT-LBM on a  $530 \times 530 \times 1600$  voxel domain.  
 722 The velocity field images are visually similar, but at some locations EfficientU-Net-cGAN  
 723 resulted in a higher velocity due to the narrow flow path.  
 724

725 The same domain used for the absolute permeability simulation was used for the  
 726 relative permeability simulation based on a mixed-wetting condition. The wettability  
 727 of the quartz phase varies from water wet (contact angle typically ranging from  $0^\circ$ – $55^\circ$ )  
 728 to intermediate oil water (contact angle typically ranging from  $100^\circ$ – $140^\circ$ ) [94]. In the  
 729 Mt. Simon sandstone sample, feldspar exists with quartz to form the grain, and the  
 730 wettability of both the quartz and feldspar phases in the simulation was assigned as  
 731 intermediate water wet, with contact angles of  $45^\circ$  and  $60^\circ$ , respectively [58]. For the  
 732 clay phase, the wettability ranges from intermediate water wet to intermediate oil wet,

733 which depends on the composition (e.g., kaolinite, illite, and montmorillonite) of the  
 734 clay minerals [58,95,96]. In this simulation, we assigned the clay phase as oil-wet with  
 735 a contact angle of  $120^\circ$  to provide a mixed-wetting condition. Micas and mixed phases  
 736 were sparsely occurring phases, so they were set to have a contact angle of  $0^\circ$ . The  
 737 relative permeability results for all datasets are shown in Figure 15.

738

739 The morphLBM method [27] first performs morphological initialization of primary  
 740 drainage using a local distance maximum transform with hydraulic connectivity  
 741 considered. Then, multiphase LBM simulations are performed until the system reaches  
 742 a steady-state configuration for the given saturation. This is determined by tracking the  
 743 system capillary number and identifying when the relative exponential moving average  
 744 of relative permeability diverges by less than  $1 \times 10^{-3}$ , and the relative variance is less  
 745 than 0.01. Once this point is reached, the relative permeability values for the given  
 746 saturation are recorded, and a negative morphological shell aggregation operation is  
 747 performed until the fluid saturations reach a desired incremental change, that is, 5%  
 748 saturation. Once this saturation is reached by morphological shell aggregation, LBM  
 749 relaxes phase distributions and redistributes the phases among the pore space until a  
 750 steady state is reestablished, as defined previously. An in-depth description of this  
 751 method is available in [80]. The shape of the relative permeability of the GT domain  
 752 simulated using mixed wetting conditions resembles the curves obtained in a similar  
 753 study of relative permeability in mixed-wetting Mt. Simon sandstones [58]. However,  
 754 for the U-ResNet-cGAN output domain, the simulation did not converge because of the  
 755 existence of an extremely narrow flow path. The extremely low absolute permeability  
 756 of U-ResNet-cGAN is also due to the same reason. Therefore, only the relative  
 757 permeability curves based on the output domains of the other three networks were  
 758 reported.



759

760 Figure 15: Relative permeability curves for (a) U-ResNet output with GT, (b) EfficientU-Net

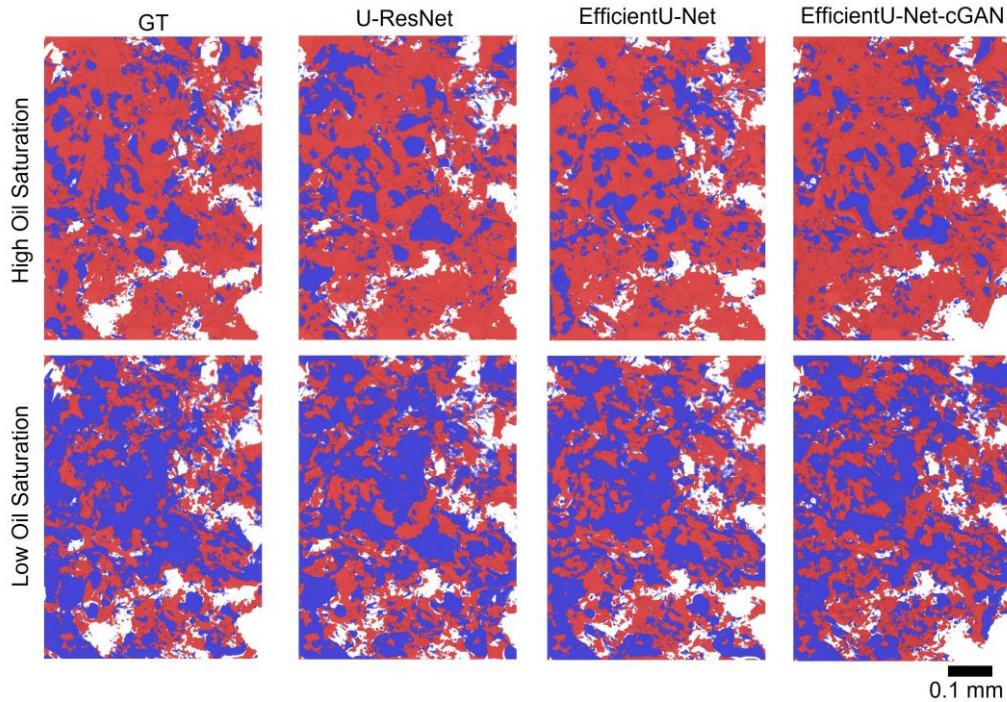
761 output with GT, and (c) EfficientU-Net-cGAN with GT, which have a similar shape. The U-  
 762 ResNet output gives the best match for the endpoint oil and water relative permeability relative  
 763 to GT, while EfficientU-Net gives the closest crosspoint value and irreducible oil saturation to  
 764 GT.

765  
 766 The simulation begins with a water saturation of 0.1 and oil saturation of 0.9, ending  
 767 when the two phases are hydraulically disconnected. The shape of the relative  
 768 permeability curves for all simulation domains was similar to that of the GT domain.  
 769 To further analyze the accuracy of the relative permeability, endpoint relative  
 770 permeability, irreducible saturation, and crosspoint values were compared for each  
 771 network’s output with the GT results, as shown in Table 7. These are particularly  
 772 important parameters for CCS and UHS applications with irreducible saturations,  
 773 defining how much of a given phase is trapped in the rock and the crosspoint defining  
 774 how saturation waves propagate through a reservoir [97]. The endpoint relative  
 775 permeability for the GT domain is 0.44 for water and 0.78 for oil. The best-performing  
 776 network is U-ResNet, where the relative permeability value is 0.35 for the water  
 777 endpoint and 0.74 for the oil endpoint. In addition, the crosspoint relative permeability  
 778 value for GT is approximately 0.4; the best performance was achieved by the domain  
 779 of EfficientU-Net, with a crosspoint value of 0.39. The most-accurate result in terms of  
 780 irreducible oil saturation was also produced by EfficientU-Net, with a value of 0.56  
 781 compared to 0.57 for the GT domain. It is worth noting that small clay features that are  
 782 easily washed-out during segmentation play a significant role in the relative  
 783 permeability; therefore, this further stresses the importance of preserving fine regions  
 784 such as fine clay structures and mineral interfaces during segmentation. Figure 16  
 785 visualizes the fluid-phase distributions, which shows the fluid distributions at high and  
 786 low oil saturations. The fluid distributions differ for each segmentation domain, which  
 787 means that the fluid flow and displacement in the porous media is directly influenced  
 788 by the multi-mineral phase segmentation. Overall, EfficientU-Net and U-ResNet are  
 789 visually more like the GT than EfficientU-Net-cGAN.

790  
 791 Table 7: Comparison of endpoint relative permeability value, irreducible oil saturation, and  
 792 crosspoint values between each network with the GT. The parameter  $k_{rwr}$  refers to water  
 793 endpoint relative permeability,  $k_{ror}$  to oil endpoint relative permeability,  $S_{wcp}$  to the water  
 794 saturation at the crosspoint, and  $S_{or}$  to irreducible oil saturation.

	GT	UResNet	EfficientNet	EfficientNetGAN
$k_{rwr}$	0.44	0.35	0.33	0.34
$k_{ror}$	0.78	0.74	0.61	0.65
$S_{wcp}$	0.40	0.34	0.39	0.39
$S_{or}$	0.57	0.51	0.56	0.55

795



796

797 Figure 16: Visualization of the simulated domain from top to bottom at 1,001,000 LBM  
 798 timesteps (high oil saturation) and 2,484,000 LBM timesteps (low oil saturation). The blue  
 799 region corresponds to water and red to oil.

800

801 To summarize the overall performance of the four tested networks, Table 8 includes all  
 802 the metrics that were used to evaluate the network performance. Only four commonly  
 803 occurring phases are considered here because both the mica phase and mixed phase are  
 804 rare. All networks have their own best metrics, e.g., EfficientU-Net-cGAN has a higher  
 805 number of accurate metrics. However, the network selection should be based on the  
 806 application they are designed for. For example, to capture the flow behavior in a clay  
 807 coated sample (such as Mt. Simon sandstone) where the clay commonly exists in the  
 808 pore, EfficientU-Net and U-ResNet might be more suitable, EfficientU-Net has a better  
 809 clay phase segmentation as well as relative permeability while U-ResNet show a better  
 810 clay connectivity and clay interface determination. If the sample contains insignificant  
 811 clay in the pore (Bentheimer sandstone), EfficientU-Net-cGAN is a better choice that  
 812 gives a better segmentation of pore phase. For mineral identification application, where  
 813 the flow behavior is not essential, the EfficientU-Net-cGAN might be selected since it  
 814 provides better segmentation result in the minerals apart from quartz. Additionally, if  
 815 there is limited training data, to avoid overfitting, U-ResNet might be the best option,  
 816 because the architecture is simpler than other three and has less training parameters  
 817 (Table 2). When considering these metrics, it should also be considered that consistency  
 818 in the processing workflow is of utmost importance, which is an attribute that all  
 819 networks provide.

820

821 Table 8: Summary of accuracy measurements for the four networks. The symbol  $\surd$  refers to the  
 822 best result in terms of the given metric, while  $\circ$  refers to the second-best result. For those

823 networks that have only one acceptable result, only the best performance is ticked. EfficientU-  
 824 Net-cGAN had the best overall performance, including five best-performance metrics and three  
 825 second-best metrics.

	Overall Pixel Accuracy	Phase Pixel Accuracy				Relative Permeability
		Pore	Clay	Quartz	Feldspar	
U-ResNet		○		○	○	
U-ResNet-cGAN		✓		✓		
EfficeintU-Net	✓		✓			✓
EfficientUnet-cGAN	○		○		✓	
	Euler Characteristic				Porosity	
	Pore	Clay	Quartz	Feldspar		
U-ResNet		✓	✓			
U-ResNet-cGAN		○		✓	✓	
EfficeintU-Net	○				○	
EfficientUnet-cGAN	✓		○			
	Region-based Accuracy				Absolute permeability	
	Pore	Clay	Quartz	Feldspar		
U-ResNet		✓	○	○		
U-ResNet-cGAN			✓			
EfficientU-Net	○	○				
EfficientUnet-cGAN	✓			✓	✓	

826

## 827 **4 Conclusion**

828 To perform multi-mineral segmentation on dynamic synchrotron-based images with a  
 829 certain degree of noise associated with fast imaging, unpaired domain transfer was  
 830 implemented using CycleGan. It performs unpaired domain transfers of synchrotron-  
 831 based  $\mu$ -CT data into raw  $\mu$ -CT data with ground-truth labels. Dynamic-styled  $\mu$ -CT  
 832 data are further degraded by adding Poisson and Gaussian noises, which are the

833 common noise in  $\mu$ -CT imaging. Four deep-convolutional neural networks were used  
834 to segment the degraded synchrotron-style Mt. Simon sandstone images. The pixelwise  
835 accuracy for all networks converged to approximately 94%. The accuracy for  
836 EfficientU-Net and EfficientU-Net-cGAN was marginally better than that of U-ResNet  
837 and U-ResNet-cGAN. Physical accuracy was also determined to further compare the  
838 segmentation results of each network. The physical measurements of connectivity  
839 showed higher variance, especially in the less-common phases. All networks provided  
840 an accurate prediction in the volume-fraction measurement, with a maximum difference  
841 of less than 5%. EfficientU-Net-cGAN provided an accurate measurement of absolute  
842 permeability and yielded the best performance for interface segmentation, whereas  
843 EfficientU-Net provided an accurate prediction in terms of relative permeability  
844 simulations for mixed-wetting conditions. From the high pixelwise and physical  
845 accuracy, we demonstrate that the unpaired domain transfer by CycleGAN can capture  
846 the semantic or style from an image and transfer into another image. It is helpful in  
847 reducing the quantity requirement of ground truth for semantic segmentation tasks.

848 With the integration of the dynamic-based image processing workflow of unpaired  
849 domain transfer and CNN methods, this research presents a application of real-time  
850 imaging and DRP for pore-scale CCS and UHS investigations. More specifically, the  
851 proposed image-processing workflow performs multi-mineral segmentation on a real-  
852 time image by transferring dynamic information from synchrotron-based scanning of a  
853 rock sample to a long-scanned, high-quality rock image without the requirement of real-  
854 time ground-truth data. A DRP with multiple phases can then be implemented with the  
855 validation of multiphase-flow experimental data generated during dynamic image  
856 scanning. The workflow is generalizable to studying any type of porous media with  
857 multiphases, flow, or transport. For example, it could be applied to  $H_2$  diffusion  
858 experiments with dynamic scanning in order to understand the relationship between  
859 diffusion and rock mineralogy, as well as to mineral dissolution and precipitation or the  
860 development of gas pockets within a hydrogen fuel cell that reduces overall transport  
861 efficiencies [98].

862 In addition to the specific case described in this study, the workflow serves as a semi-  
863 automatic process for the image processing of porous materials. Traditionally, the  $\mu$ -CT  
864 image is first subjected to several preprocessing steps such as filtering and pixel  
865 matching before segmentation, which requires a great amount of human effort,  
866 including human biases. By using the proposed workflow, an automatic “filtering” and  
867 “pixel matching” is implemented by unpaired domain transfer with CycleGAN, which  
868 is the major objective and contribution of the study. Domain transfer is then followed  
869 by an automatic multiphase segmentation without any human effort or bias. The  
870 objective is that training data and learning mappings from previous works can be  
871 applied to unseen works from completely different instruments and settings. Thus, the  
872 methodology allows for applying trained algorithms to a broad range of data in a  
873 consistent and objective way. A step toward the development of a fully automatic  
874 workflow is to couple the CycleGAN with the CNN segmentation networks into a



875 single network; this requires a more complex network structure and hyperparameter  
876 tuning to balance the loss functions along with a means to dynamically adjust the  
877 learning rate. Meanwhile, additional image information could also help to increase the  
878 segmentation performance. This could be different image modalities or the same  
879 modality with different settings, such as dual energy images or phase contrast images.  
880 The overall workflow provides a digital material platform for the study of physical  
881 processes within complex porous structures containing multiphases that can deal with  
882 the noise associated with dynamic real-time imaging.

883

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890 06CH11357, for imaging synchrotron-based X-ray data.

891

#### 892 **Statement**

893 All the data that support the findings of this study are available from the corresponding  
894 author upon reasonable request.

895

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