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Spin injection enhancements in van der Waals magnetic tunnel junctions through barrier engineering

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The weak interlayer coupling in two-dimensional materials enables the formation of sharp crystalline magnetic tunnel junctions without the epitaxial constraints found in the bulk. Amid the large number of heterostructures that can be formed using these layered materials, a means to guide the experimental design of systems with enhanced responses is desired. Here we show that meaningful improvements in spin injection are attained by tailoring the tunneling barriers through the choice of the metal electrodes. Owing to the weak coupling between layers, the barrier engineering can be rationalized from properties of bulk components from first principles calculations leading to superior spin injection and magnetoresistance. Analysis of CrI_3 junctions formed with transition metal dichalcogenide electrodes shows that junction conductivities increase by nearly three orders of magnitude with respect to those experimentally demonstrated with graphite leads. Moreover, we find that tunneling magnetoresistance significantly augments with low work function electrodes when carriers are injected near the CrI_3 conduction band edge. The predictive approach employed in this work shows good agreement with detailed quantum transport calculations and can potentially accelerate the design of tunnel junctions based on two-dimensional materials.

Heterostructures formed with two-dimensional (2D) materials are receiving considerable attention as platforms for a variety of novel physical phenomena, which benefit from sharp interfaces, few-atom-thicknesses and the weak van der Waals (vdW) coupling [1, 2]. Recent experimental demonstrations of magnetic tunnel junctions (MTJs) based on few-layer CrX_3 ($X = \text{Cl}, \text{Br}, \text{and I}$) reported tunneling magneto-resistance (TMR) values ranging between 50-200% for bilayer junctions [3, 4], which can be substantially enlarged in multilayer CrI_3 channels through electrostatic gating [5–8]. Despite the colossal TMR achieved in these devices, the use of graphitic leads in concert with the CrX_3 channel yields low conductivity values ($\lesssim 10^{-7} \text{ S}\cdot\mu\text{m}^{-2}$ in bilayer junctions [3, 4]) due to graphene’s vanishing density of states near the Fermi level. In addition to facilitating read-out, high current densities (in- and out-of-plane) may be exploited as switching mechanisms via spin-orbit torque [9, 10]. Therefore, identifying alternative electrode materials is imperative to meaningfully advance the development of these junctions.

Development of 2D material spintronic devices has spawned a variety of efforts [11]. For instance, theoretical studies have proposed the use of Cu leads in CrI_3 junctions [12], or magnetic transition metal dichalcogenides (TMDs) such as magnetic 1T- VX_2 ($X = \text{Se and Te}$) as lead or channel materials [13–15] as mechanisms to enhance the TMR response. Complementarily, experimental endeavors have also explored changes in the channel or electrodes to form improved junctions based on 2D materials [16–21]. However, the continuously growing family of 2D materials and the absence of epitaxial constraints between layers gives innumerable material combinations,

demanding guiding principles for the optimal design of these vdW heterostructures.

Here we exploit barrier engineering to form 2D material heterostructures with increased conductivities and TMR. Design guidelines are based on the physical properties of individual constituents (electrodes and channels) obtained from first principles. In exemplary systems with CrI_3 tunneling barriers and TMD electrodes, we find that TMD electrodes yield conductivities nearly three orders of magnitude larger than those attained with graphite electrodes. More importantly, we anticipate meaningful improvements in TMR using low work function electrodes in CrI_3 (and CrBr_3) junctions. We compare the predictions of this approach to more detailed descriptions of tunneling using the non-equilibrium Green’s function (NEGF) formalism. We discuss the virtues and limitations of this design scheme that, by prioritizing material candidates using bulk or isolated properties, may foster rapid advancements in the realization of 2D material based tunnel junctions.

Improvements of MTJ characteristics must ensure either an increase in tunneling current or TMR, ideally both. In order to enhance these quantities, two physical parameters of vdW heterostructures are *a priori* key: (i) energy dependent tunneling rates of the channel associated to evanescent states and (ii) a large density of states (DOS) in the electrodes near the Fermi level. In 2D material heterostructures, such as CrI_3 magnetic insulators and TMD leads, features of individual layers are, to some extent, preserved due to the weak vdW coupling between them. To characterize these features, we perform first principles calculations within the density functional theory (DFT) using the generalized-gradient approximation of the exchange-correlation potential [22], including dispersion forces (vdW-DF-C09) [23–25]. Atomic cores are represented via projector augmented wave (PAW) pseudopotentials [26, 27] using cutoff energies of 50 Ry and

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500 Ry regarding the Kohn-Sham wave functions and densities, respectively. The Brillouin zone (BZ) is sampled through a $12 \times 12 \times 8$ mesh [28] for bulk electrodes and a $12 \times 12 \times 1$ for heterostructures, employing the Quantum Espresso software [29, 30].

Here, the effective energy-dependent decay rates in a tunneling barrier are gauged from the complex band structure (CBS) of its bulk form [31, 32]. For a magnetic state m , not only complex wave vectors (κ) vary between spin populations, but also show prominent dependence on the in-plane crystal momentum ($\mathbf{k}_{\parallel}$) and the energy of the evanescent mode propagating through the channel. Figure 1(a) illustrates the bulk CrI_3 CBS for both FM and AFM magnetic configurations along the Γ -A, M-L, and K-H symmetry lines of the hexagonal crystal. Despite its larger band gap, the FM spin minority (red) population have imaginary wave vectors that are comparable or at times shorter (e.g. Γ -A symmetry path near the CrI_3 mid-gap) than the FM spin majority (blue) or the AFM cases. This counter-intuitive feature tampers magnetic state differentiation based on spin currents as discussed below.

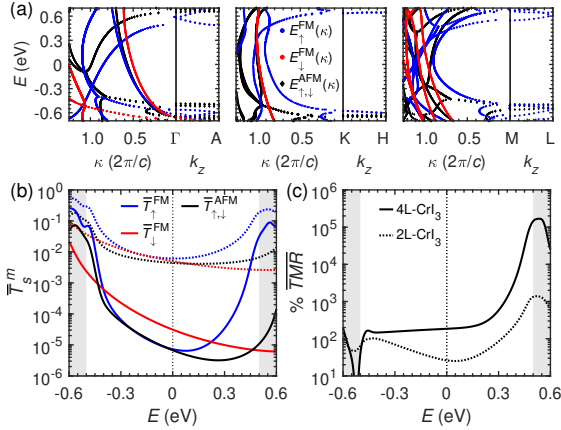


FIG. 1. (a) CBS for bulk CrI_3 along different symmetry lines (from left to right): Γ -A, K-H, and M-L. Blue (red) lines represent majority (minority) evanescent states produced in the FM configuration while gray lines correspond to states in the AFM configuration. (b) Approximate transmission probabilities $\bar{T}_s^m(E)$ and (c) corresponding TMR results obtained from CBS for CrI_3 channels with bilayer (2L) and tetralayer (4L) thicknesses. Energies are referenced to the FM CrI_3 spin majority mid gap and shaded areas denote the location of the valence and conduction bands.

Within the Landauer formalism, ballistic conductivity in the magnetic state m is given by $\sigma_m = G_0/A \sum_{s=\uparrow,\downarrow} T_s^m(E)$, where $G_0 = e^2/h \approx 38.7 \mu\text{S}$ represents the conductance quantum [33] and A represents the channel's cross-sectional area. The quantity $T_s^m(E)$ denotes the transmission probability corresponding to the spin channel s ($s = \uparrow, \downarrow$) [34, 35]:

$$T_s^m(E) = \frac{A}{(2\pi)^2} \int dE \int_{\text{2D-BZ}} d^2\mathbf{k}_{\parallel} \sum_{i,j} t_{i,j}^{s,m}(\mathbf{k}_{\parallel}, E) \frac{df}{dE}, \quad (1)$$

where $t_{i,j}^{s,m}(\mathbf{k}_{\parallel}, E)$ and $f(E)$ are the transmission of individual modes and the Fermi distribution, respectively. We first estimate the energy dependent transmission $\bar{T}_s^m(E)$ solely using CBS of CrI_3 barriers, assuming that all regions of the BZ contribute equally. This ansatz is based on the uniform coverage of the BZ by the electrode's Fermi surface and is further discussed later. To this end, each mode transmission is computed as

$$\bar{t}_{i,j}^{s,m}(\mathbf{k}_{\parallel}, E) = \exp[-2\kappa_{s,m}(\mathbf{k}_{\parallel}, E)\ell], \quad (2)$$

in terms of their complex wave vectors $\kappa_{s,m}(\mathbf{k}_{\parallel}, E)$. The tunneling barrier thickness $\ell = Nd$ is expressed in terms of the number of layers N and the interlayer distance ($d \approx 6.4 \text{ \AA}$ for CrI_3).

Spin-resolved transmission estimates $\bar{T}_s^m(E)$ for bilayer (2L) and tetralayer (4L) CrI_3 channel in the FM and AFM configurations are obtained using Eqs. 1 and 2. As channel thickness increases, transmissions diminish by nearly an order of magnitude per layer for energies within the majority mid gap, where longer complex wave-vectors reside. As anticipated from the CBS, at these energy levels, spin majority transmissions in the 4L- CrI_3 FM configuration subside with respect to the minority spin transmissions while remain comparable to transmissions in the AFM configuration (black). Near the band edges, the FM spin majority transmission decays more slowly and becomes significantly different than those in the AFM configuration.

Differentiation in conductivity between magnetic states is quantified by the TMR:

$$\text{TMR} = \frac{|\sigma_{\text{FM}} - \sigma_{\text{AFM}}|}{\sigma_{\min}}, \quad (3)$$

where $\sigma_{\min} = \min(\sigma_{\text{AFM}}, \sigma_{\text{FM}})$ is the least conductive magnetic configuration. In Fig. 1(c) we present the energy dependent TMR corresponding to 2L- and 4L-thick CrI_3 channels using CBS-derived transmissions $\bar{T}_s^m(E)$, aligning the spin majority valence band edges. Overall, the TMR enhances as channel thickness increases albeit with smaller junction conductivities. More importantly, TMR estimates raise by few orders of magnitude near the conduction band edge (CBE) owing to the smaller decay rates of spin majority carriers at these energy levels (Fig. 1) but drastically shrinks near the valence band edge (VBE). Therefore, low work function electrodes, injecting carriers near the CBE, may significantly improve TMR values in these junctions.

To test these predictions, we consider electrode candidates formed by metallic transition metal dichalcogenides (TMD), which not only belong to one of the most widely studied 2D material families but also adopt various polymorphs (e.g. 1T, 2H, 1T' and pbca) [36, 37]. We then screen the most stable transition metal polymorphs using DFT [38] and compute their work functions ϕ . For these systems, work functions range from $\sim 4 \text{ eV}$ to 7 eV , as illustrated for those with hexagonal lattices (1T and 2H) in Fig. 2(a) and for the remaining (1T' and Pbca) phases

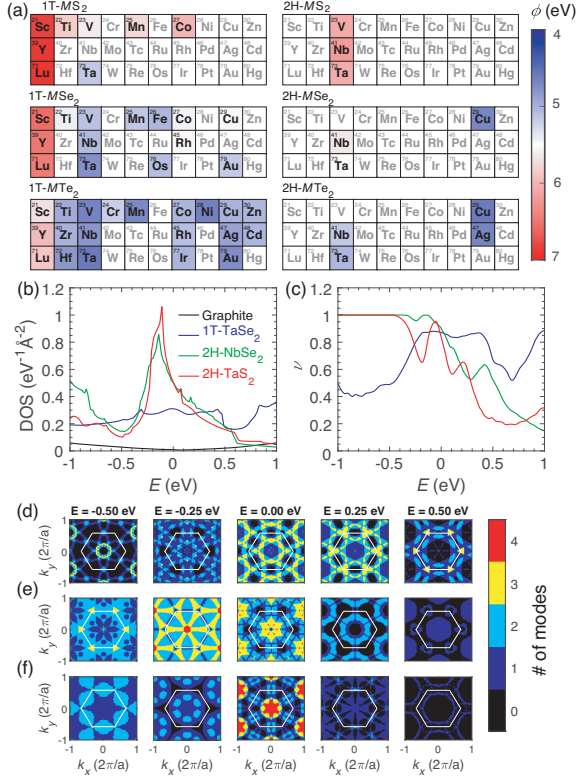


FIG. 2. (a) Colormap of most stable metallic TMD monolayer work functions as obtained from DFT, where transition metals are arranged following their location in the periodic table; each row corresponds to a different chalcogen element: sulfur (top), selenium (middle), and tellurium (bottom); columns denote 1T (left) and 2H (right) phase. (b) DOS for monolayer TMDs: 1T-TaSe₂ (blue), 2H-NbSe₂ (green), and 2H-TaS₂ (red). For comparison graphene is also shown. (c) 2D-BZ filling factor $\nu(E)$ for TMD bulk electrodes with 2×2 epitaxy. Electron modes of bulk electrodes at various energy levels: (d) 1T-TaSe₂, (e) 2H-NbSe₂, and (f) 2H-TaS₂. Hexagons denote the 2D BZ edges.

in the Supplemental Information [38]. Subsequently we narrow down the TMD candidates by selecting those realized experimentally [39–43], allowing supercells tractable within DFT with small lateral strain ($\lesssim 3\%$) and offering different band alignments with CrI₃. Considering these criteria, we focus our efforts on 1T-TaSe₂ [44] ($\phi = 4.8$ eV), 2H-NbSe₂ [39] ($\phi = 5.6$ eV), and 2H-TaS₂ [45] ($\phi = 6.1$ eV) as cases with a low, intermediate and high work function, respectively.

The Fermi level DOS of these TMD electrodes is substantially larger than that of graphene [Fig. 2(b)]. Furthermore, we analyze the distribution of states (modes) within the 2D BZ, accounting for the 2×2 epitaxy used in this work when forming interfaces with CrI₃. We define the filling factor $\nu(E)$ as the fraction of the bulk electrode 2D-BZ which hosts transport modes at a certain energy level as portrayed in Fig. 2. For the chosen TMD electrodes, nearly ideal Fermi level filling factors ($\nu(E_F) \sim 1$) are observed, a key assumption in our CBS

estimates (Eq. 2).

Next, we analyze the electronic properties and spin transport in TMD/CrI₃ heterostructures composed of 2L- or 4L-CrI₃ channels. In these model supercells, three TMD layers on each side of the channel serve as electrodes (Fig. 3). The epitaxy of these cells accommodates (1×1) -CrI₃ on (2×2) -TMD layers. Equilibrium configurations for all TMD/CrI₃ heterojunctions are obtained by holding the in-plane lattice constant fixed to that of CrI₃ ($a = 6.79$ Å) while varying the out-of-plane lattice parameter. Assuming periodic boundary conditions in all three dimensions, systems are relaxed until atomic forces fall below 0.01 eV/Å.

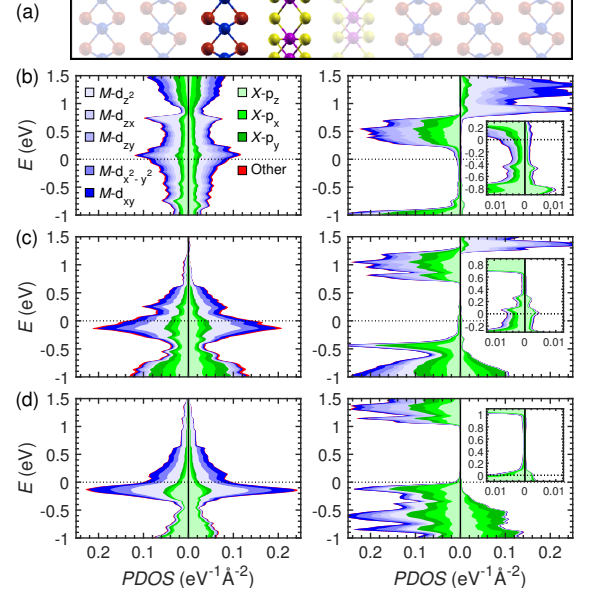


FIG. 3. (a) Schematic of a (2×2) -TMD/ (1×1) -CrI₃ magnetic tunnel junction, where solid lines mark the supercell of the structure. Layer resolved PDOS for the 2L-CrI₃ channel and different metal electrodes (from top to bottom): (b) 1T-TaSe₂, (c) 2H-NbSe₂, and (d) 2H-TaS₂. Spin-resolved projections onto localized atomic orbitals on one of the CrI₃ layers and its adjacent TMD layer are plotted in the right and left column respectively.

To visualize the resulting TMD/2L-CrI₃ heterojunction band alignments, in Fig. 3 we compare the spin-resolved projected DOS (PDOS) of neighboring TMD and CrI₃. For 1T-TaSe₂ (low work function) electrodes, the Fermi level falls 0.2 eV below the spin majority CrI₃ CBE; for 2H-NbSe₂ and 2H-TaS₂ electrodes, Fermi energies reside 0.4 eV and 0.1 eV above the spin majority VBE of CrI₃, respectively. Owing to enhanced magnetic coupling in these systems, the spin majority FM CBE is nearly 0.1 eV below the AFM counterpart, while VBES remain aligned [35, 38].

Spin polarization in the neighboring TMD layer is minor compared to that of the CrI₃ channel. Evidenced in the layer resolved PDOS decomposition, the emergence of metal-induced gap states (MIGS) reveals non-negligible interlayer coupling between the electrode and

channel layers in the cases of 1T-TaSe₂ and 2H-NbSe₂ electrodes. Seen in the insets of Fig. 3, MIGS formed in MTJs with 1T-TaSe₂ electrodes extend through the entire band gap, comprised of mainly I p-orbitals followed by Cr d-orbitals. For 2H-NbSe₂ electrodes, these states primarily entail I p-orbitals and vanish in the upper half due to the low DOS in the adjacent TMD.

Next, transmissions T_s^m are obtained using coefficients $t_{i,j}^{s,m}(E, \mathbf{k}_{\parallel})$ in Eq. 1 obtained employing the NEGF method implemented in the transportPAO code [46, 47], which offers a more accurate (and computationally demanding) characterization of transport. The transmissions for the 2L- and 4L-CrI₃ junctions in the FM and AFM configurations using a $36 \times 36 \mathbf{k}_{\parallel}$ -mesh exhibit most of the features predicted from the CBS (Fig. 4): (i) large transmission values near the band edges; (ii) net transmissions drop roughly an order of magnitude per CrI₃ layer for carrier energies within the gap; and (iii) for FM cases, current contributions from spin minority modes at times surpass those of the spin majority.

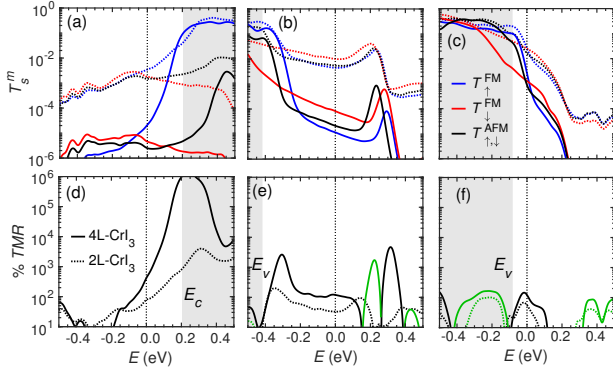


FIG. 4. Transmissions T_s^m (top) and corresponding TMR (bottom) for 2L- and 4L-thick CrI₃ MTJs with different electrodes: (a) 1T-TaSe₂, (b) 2H-NbSe₂, and (c) 2H-TaS₂. For the FM configuration we plot the spin majority (blue) and minority (red) contributions; while for the AFM configuration we average spin channels (black). Shaded areas denote CrI₃ spin majority band edges. Curves for bilayer (tetralayer) junctions are indicated by dotted (solid) lines. Black portions of the TMR curves denote $\sigma_{\min} = \sigma_{\text{AFM}}$ while green ones allude to $\sigma_{\min} = \sigma_{\text{FM}}$.

Around the Fermi level, heterostructures with 1T-TaSe₂ (low work function) electrodes produce spin majority transmissions in the FM configuration that dominate those of the spin minority or the AFM configuration [Fig. 4(a)], as expected from the CBS (Fig. 1). In contrast, differences between FM and AFM spin majority transmissions [Fig. 4(c)] dwindle with high work function leads (2H-TaS₂) as the valence band edges align [38]. For 2H-NbSe₂ electrodes [Fig. 4(b)], spin transmissions that occur far from band edges are governed by the spin minority and present spikes at energies ~ 0.25 eV above the Fermi level attributed to MIGS (Fig. 3) that effectively shorten the barrier thickness. Accounting for these interactions require full atomistic descriptions of the interfaces and cannot be anticipated from their bulk

properties.

In 1T-TaSe₂/4L-CrI₃ junctions, TMR is twice that of graphite/2L-CrI₃ systems at similar conductivity levels while conductivities in thinner junctions (1T-TaSe₂/2L-CrI₃) increase by two orders of magnitude with only a small detriment to TMR [35]. Near the Fermi level, TMR values of $\sim 80\%$ and $\sim 420\%$ are achieved in 2L and 4L-CrI₃ systems, respectively (Fig. 4). Moreover, in agreement with CBS estimates, these values surge rapidly as carrier energies approach the CrI₃ CBE, a feature that may be accessed via electrostatic gating [8]. Similar results supporting these findings are also obtained for NiTe₂/CrBr₃ heterostructures [38]. For the 2H-NbSe₂ or 1T-TaS₂ leads, the TMR order of magnitude near the Fermi level is on par with results in junctions with graphite leads and CBS predictions. However, TMR results can deviate significantly from those predictions near the midgap and the VBE. These departures emerge due to the reduced filling factor ν for carrier energies moving into the gap [Fig. 2(c)], the presence of MIGS, and the similar FM and AFM decay rates near the VBE.

The applicability of our approach to 2D materials heterostructures stems from the weak interlayer coupling, allowing properties of the system to be decomposed in terms of their material constituents. An important consideration that ensures successful CBS predictions is a large electrode filling factor $\nu(E)$. CBS estimates face limitations when dominant contributions to transmissions originate from reduced regions of the BZ due to either strong coherence between electrodes and tunneling barriers [48] or the electronic dispersion of these layers [49, 50]. While full detailed calculations presented here are limited to those with hexagonal lattices, CBS estimates are expected to be valid in other crystal structures (e.g. 1T' and pcba). We thus anticipate that other low work function metals – such as 1T-VTe₂ [51], 1T-NiTe₂ [43], 1T'-MoTe₂ [52], 1T'-WTe₂ [53], or 1T'-ReSe₂ [54] – may also yield similar results.

In summary, enhanced conductivities and TMR in 2D materials heterostructures are demonstrated through barrier engineering. We predict TMR improvements using low work function TMD electrodes through spin injection near the CrI₃ conduction band edge. Away from the band edges, where evanescent modes decay more rapidly, results are susceptible to lead/channel interactions. Additionally, these systems offer up to three orders of magnitude greater conductivities than those with graphitic electrodes. The approach employed here enables the data-driven design of 2D material heterostructures and shows good agreement with the NEGF approach. The method is based on first principles calculations of bulk electrodes and channels, allowing computationally challenging descriptions of the electronic structure and transport of full heterostructures to be circumvented. By identifying candidate materials based on their bulk properties, our methodology may accelerate the guided design of devices based on the growing family of 2D materials, expanding the properties of advanced functional materi-

als.

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