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XUV-assisted high-order harmonic generation spectroscopy

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Using the analytic time-dependent effective range theory, we study two-color high-order harmonic generation (HHG) involving a weak extreme ultraviolet (XUV) pulse and an intense infrared laser field. Our analysis shows that XUV-assisted HHG spectra contain multiple additional plateau structures originating from absorption of one or more XUV photons at the photorecombination step of HHG. We show also that the HHG rate corresponding to the n th plateau can be presented in a factorized form involving the XUV-assisted (multiphoton) photorecombination cross section (PRCS) corresponding to absorption of n XUV photons of energy Ω and emission of a harmonic of energy Ω_h . This factorization allows one to extract the PRCS from the HHG spectrum and to retrieve the cross section of the inverse process: the photoionization cross section involving absorption of a single photon of energy Ω_h and emission of n XUV photons of frequency Ω . The analytic HHG results are in excellent agreement with numerical solutions of the 3D time-dependent Schrödinger equation.

I. INTRODUCTION

High-order harmonic generation (HHG), produced by atoms or molecules in a strong infrared (IR) laser field, has attracted unflagging attention over the past few decades owing to the potential widespread impact of its many practical applications, including, e.g., the generation of coherent soft X-ray radiation [1–3], the production of attosecond pulses [4–6], and the detection and monitoring of ultrafast phenomena [7, 8] (such as, e.g., light-induced electron tunnelling [5, 6, 9] or nuclear motion [10]). The rapidly developing area of HHG-based spectroscopy [11–13] provides a unique way of observing the electronic structure of atoms and molecules. It allows one to obtain single-photon photoionization cross sections (PICS) [12–19] and to image molecular orbitals [11, 20–22]. The latter applications are based on the factorization of HHG rates in terms of a target-independent electron wave packet (EWP) and a single-photon photorecombination cross section (PRCS) [14–16, 23] that is related to the PICS by the principle of detailed balance [24–26]. This factorization is based on the well-established three-step scenario of HHG in an IR field involving ionization, electron propagation in the laser field, and recombination of the laser-accelerated electron to the initial bound state of the target with emission of a high-energy photon [27].

The range of HHG applications may be extended by using a perturbative high-frequency XUV pulse in combination with a strong IR field. In experiments, the sources of the external XUV field are either a harmonic generated by the IR pulse itself [28–30] or the field of a synchronized free-electron laser (FEL) [31]. The presence of an additional XUV field significantly increases the number of possible channels in the HHG process and leads to novel structures in the HHG spectrum. Enhancement of the harmonic yield due to XUV-induced resonance-like pop-

ulation of excited states of the target was investigated in Refs. [32–35]. Studies of XUV-enhanced HHG on the single-atom level have been carried out for either an attosecond pulse train [36–39] or an isolated attosecond pulse [40, 41]. These studies have shown that the XUV pulse or pulses can be employed to control the ionization step and to select a specific electron trajectory contributing to the HHG yield. The addition of a weak XUV field was shown in Refs. [42, 43] to result in extensions of the usual IR-field-induced HHG plateau. These plateau extensions were found to be one-electron phenomena and were attributed to XUV-field-induced ac-Stark modulations of the ground state and the returning EWP as recombination occurs [43]. Studies have also been carried out concerning the effects of XUV field population of resonant excited states from the valence shell of an atom, such as, e.g., Rabi oscillations [44–46].

If the energy of the XUV photon is large enough, inner-shell electrons may become involved in the HHG process, leading to an increase of the HHG plateau cutoff energy owing to the larger binding energy of core electrons [47–49]. The addition of an XUV field also leads to an extension of HHG spectroscopy methods that enable one to obtain information about inner-electron dynamics. Such extensions have been carried out to study Auger processes [50, 51] and effects of resonant XUV-induced core-valence shell transitions [52].

Most studies cited above are focused on the HHG channel involving absorption of an XUV photon during the initial (ionization) step of the three-step HHG scenario. However, even in the single-active-electron approximation, there exist other channels for XUV-assisted HHG that remain so far insufficiently explored. Some of these additional channels may be ignored. Indeed, if the XUV photon is emitted at the ionization step, it effectively increases the ionization energy of an intermediate (virtual) state of the target thereby suppressing IR-tunneling from

81 this virtual state [53]. Clearly that reduces the contribu- 135
 82 tion of this channel to the HHG process. If interaction
 83 with the XUV field happens during the propagation step, 136
 84 then it induces multiple rescattering of the active elec- 137
 85 tron, which, although important for low-energy harmon-
 86 ics, is negligible for the high-energy part of the harmonic
 87 spectrum [54, 55]. Finally, the interaction with an XUV 138
 88 photon may be taken into account during the recombina- 139
 89 tion step of HHG. In this case, emission of an XUV pho-
 90 ton leads to a shortening of the high-energy plateau and
 91 hence the contribution of this channel is always masked
 92 by the contribution of the direct (XUV-free) IR chan-
 93 nel. However, absorption of an XUV photon during the
 94 recombination step leads to an extension of the HHG
 95 plateau [55].

96 In this paper, we focus on XUV-assisted HHG pro- 147
 97 cesses involving this latter channel. When an XUV pulse
 98 of frequency Ω is added to a strong IR field, multiplateau
 99 structures are formed in the HHG spectrum [42, 43].
 100 We show that the n th additional plateau is associated
 101 with the absorption of n XUV photons at the recombina-
 102 tion step. We also show that the harmonic rate on the
 103 n th plateau is proportional to the PRCS with simulta-
 104 neous absorption of n XUV photons of frequency Ω and
 105 emission of a single photon having the higher frequency
 106 $\Omega_h = n\Omega + E_n + I_p$, where E_n is the returning electron's
 107 kinetic energy and I_p is the ionization potential of the
 108 atom from which the active electron originated. [Atomic
 109 units (a.u.) are used throughout this paper, unless spec-
 110 ified otherwise.] Finally, we show that the HHG rate in
 111 this channel can be presented in a factorized form in-
 112 volving the XUV-free EWP and the XUV-assisted (mul-
 113 tiphoton) PRCS. This factorization allows one to extract
 114 the corresponding PRCS from the HHG spectrum and to
 115 find the cross section of the inverse process, i.e., the PICS
 116 involving absorption of a single photon of frequency Ω_h
 117 and emission of n XUV photons of frequency Ω .

118 This paper is organized as follows: In Sec. II we dis-
 119 cuss time-dependent effective range (TDER) results for
 120 the HHG amplitude in a strong IR field and a weak XUV
 121 field. We also extend our model TDER results to the
 122 case of a neutral atomic system. In Sec. III we present
 123 a comparison of our analytic TDER results for XUV-
 124 assisted HHG with results obtained by numerical solution
 125 of the 3D time-dependent Schrödinger equation (TDSE).
 126 We also present the procedure for retrieving multiphoton
 127 atomic PRCSs from the XUV-assisted HHG spec-
 128 tra. Our main results are summarized in Sec. IV and
 129 we discuss there the possibility of experimentally mea-
 130 suring the multiphoton PRCSs. Finally, in Appendix A
 131 we present a detailed derivation of the factorized result
 132 for the XUV-assisted HHG amplitude within the TDER
 133 approach, including the explicit form of the TDER result
 134 for the two-photon PRCS amplitude.

II. THEORETICAL ANALYSIS

A. TDER Theory Results for the XUV-Assisted HHG Amplitude

138 We consider the dipole interaction of an atomic system
 139 with linearly polarized IR and XUV fields,

$$\mathbf{F}(t) = \hat{\mathbf{z}} [F \cos(\omega t) + F_\Omega \cos(\Omega t)], \quad (1)$$

140 where F , ω and F_Ω , $\Omega = k\omega$ (k is an integer, $k \gg 1$)
 141 are the field strengths and frequencies of the IR and
 142 XUV components, respectively. We assume the inter-
 143 action of the atomic system with the IR field is real-
 144 ized in the tunneling regime (i.e., the Keldysh param-
 145 eter $\gamma = \kappa\omega/F \ll 1$, $\kappa = \sqrt{2I_p}$), while the interaction
 146 with the XUV field may be treated in the perturbative
 147 regime ($\gamma_\Omega = \kappa\Omega/F_\Omega \gg 1$). In order to describe the in-
 148 teraction of an atom with a two-color field (1), we use
 149 the TDER approach [56, 57]. General prescriptions for
 150 obtaining the analytical [beyond the strong field approx-
 151 imation (SFA)] result for the HHG amplitude within the
 152 TDER approach have been presented in Ref. [23]. Here
 153 we omit calculations which are specific to the TDER the-
 154 ory (see Appendix A for details) and proceed directly to
 155 the general results. Since the XUV field is weak, we ex-
 156 pand the exact HHG amplitude in a series in F_Ω , while
 157 keeping the nonperturbative contribution of the IR field.
 158 The *zero-order* in the XUV field result for the the HHG
 159 amplitude has the well-known factorized form [14–16, 23,
 160 58]:

$$\mathcal{A}^{(0)}(\Omega_h) = a(E_0)f_{\text{rec}}^{(0)}(E_0), \quad E_0 = \Omega_h - I_p, \quad (2)$$

161 where E_0 is the returning electron's energy and Ω_h is
 162 the harmonic energy. The laser-induced factor $a(E_0)$,
 163 which describes the tunneling and propagation steps of
 164 the three-step scenario, has the form,

$$a(E_0) = \frac{C_0}{\sqrt{2\pi i}} \frac{1}{T} \int_0^T dt \int_{-\infty}^t dt' \frac{e^{i(E_0+I_p)t-i\mathcal{S}(t,t')}}{(t-t')^{3/2}}, \quad (3)$$

165 where $\mathcal{S}(t, t')$ is the classical action for the active electron,
 166 which moves along a closed trajectory in the IR field with
 167 starting and ending times t' and t , respectively:

$$\mathcal{S}(t, t') = I_p(t-t') + \frac{1}{2} \int_{t'}^t P_0^2(\tau; t, t') d\tau, \quad (4)$$

$$\mathbf{P}_0(\tau; t, t') = \frac{1}{c} \left[\mathbf{A}_0(\tau) - \frac{1}{t-t'} \int_{t'}^t \mathbf{A}_0(\tau') d\tau' \right],$$

$$\mathbf{A}_0(t) = -\mathbf{e}_z c \frac{F}{\omega} \sin(\omega t).$$

168 The recombination amplitude, $f_{\text{rec}}^{(0)}(E_0)$, is the amplitude
 169 for a dipole transition from the continuum state $\psi_{\mathbf{k}_0}^{(+)}$

170 (satisfying outgoing wave asymptotic boundary condi-
171 tions, with $\mathbf{k}_0 = k_0 \hat{\mathbf{z}}$) to the bound state $\psi_0(\mathbf{r})$:

$$f_{\text{rec}}^{(0)}(E_0) = \langle \psi_0 | z | \psi_{\mathbf{k}_0}^{(+)} \rangle, \quad E_0 = k_0^2/2.$$

172 For the case of an atomic system with a single bound
173 s -state, we have

$$f_{\text{rec}}^{(0)}(E_0) = -i\sqrt{\pi\kappa}\mathcal{C}_0 \frac{k_0^2}{\Omega_h^2}, \quad (5)$$

174 where \mathcal{C}_0 is the dimensionless asymptotic coefficient of
175 the field-free wave function in a short-range potential:

$$\psi_0(\mathbf{r})|_{\kappa r \gg 1} \rightarrow \mathcal{C}_0 \sqrt{\frac{\kappa}{4\pi}} \frac{e^{-\kappa r}}{r}, \quad \kappa = \sqrt{2I_p}. \quad (6)$$

176 The HHG rate is given by the product of the EWP,
177 $W(E_0)$, and the PRCS, $\sigma^{(0)}(E_0)$ [14–16, 23, 58],

$$R^{(0)}(\Omega_h) = \frac{\Omega_h^3}{2\pi c^3} |\mathcal{A}^{(0)}(\Omega_h)|^2 = W(E_0)\sigma^{(0)}(E_0), \quad (7)$$

178 where

$$W(E_0) = k_0 |a(E_0)|^2, \quad \sigma^{(0)}(E_0) = \frac{\Omega_h^3 |f_{\text{rec}}^{(0)}(E_0)|^2}{2\pi c^3 k_0}. \quad (8)$$

179 In the *first order* in F_Ω , the partial HHG amplitude
180 with absorption of an XUV photon at the recombination
181 step, $\mathcal{A}^{(1)}(\Omega_h)$, can be also presented in a factorized form
182 (for details, see Appendix A):

$$\mathcal{A}^{(1)}(\Omega_h) = F_\Omega a(E_1) f_{\text{rec}}^{(1)}(E_1), \quad (9)$$

183 where $E_1 = \Omega_h - \Omega - I_p$ is the returning electron energy
184 and $F_\Omega f_{\text{rec}}^{(1)}(E_1)$ is the amplitude for electron recombina-
185 tion (assisted by absorption of an XUV-photon) with
186 spontaneous emission of a photon having linear polariza-
187 tion along the z -axis. The matrix element $f_{\text{rec}}^{(1)}(E_1)$ can
188 be expressed in terms of the atomic Green function $G_{\mathcal{E}}$:

$$f_{\text{rec}}^{(1)}(E_1) = \langle \psi_0 | z G_{E_1 + \Omega} z | \psi_{\mathbf{k}_1}^{(+)} \rangle + \langle \psi_0 | z G_{E_1 - \Omega_h} z | \psi_{\mathbf{k}_1}^{(+)} \rangle, \quad (10)$$

189 where $E_1 = k_1^2/2$, $\mathbf{k}_1 = k_1 \hat{\mathbf{z}}$. For the case of an initial s -
190 state $\psi_0(\mathbf{r})$, the dipole matrix element has the form [59]:

$$f_{\text{rec}}^{(1)}(E_1) = -\frac{\sqrt{\pi\kappa}\mathcal{C}_0}{2\Omega\Omega_h} \left\{ \frac{k_1^2}{\Omega\Omega_h} + \frac{1}{\Omega_h - \Omega} + \frac{1}{\mathcal{R}_0(E_1)} \left[\frac{\kappa + ik_1}{\Omega_h - \Omega} + \frac{\kappa^3 + ik_1^3 - ik_\Omega^3 - ik_{\Omega_h}^3}{3\Omega\Omega_h} \right] \right\}, \quad (11)$$

191 where

$$k_1 = \sqrt{2E_1}, \quad k_\Omega = \sqrt{2(E_1 + \Omega)}, \quad (12)$$

$$k_{\Omega_h} = \sqrt{2(E_1 - \Omega_h)},$$

192 and $\mathcal{R}_0(E)$ is defined by the s -wave scattering phase,
193 $\delta_0(E)$:

$$\mathcal{R}_0(E) = \sqrt{2E} [\cot \delta_0(E) - i]. \quad (13)$$

194 We emphasize that the laser factor $a(E_1)$ has the same
195 form as for the XUV-free case [see Eq. (3)], while, for the
196 same harmonic energy Ω_h , the returning electron energy,
197 E_1 , is shifted by the energy of the XUV photon from E_0 .

198 Although both amplitudes $\mathcal{A}^{(0)}(\Omega_h)$ and $\mathcal{A}^{(1)}(\Omega_h)$ con-
199 tribute to the total HHG amplitude, their contributions
200 are significant in two different energy ranges in Ω_h . In-
201 deed, $\mathcal{A}^{(0)}(\Omega_h)$ contributes in the range $\Omega_h < \Omega_{\text{cut}}^{(0)} \approx$
202 $1.324I_p + 3.17u_p$ [where $u_p = F^2/(4\omega^2)$] in which plateau
203 effects induced by the IR field are prominent; in this en-
204 ergy range $|\mathcal{A}^{(0)}(\Omega_h)| \gg |\mathcal{A}^{(1)}(\Omega_h)|$. For $\Omega_h > \Omega_{\text{cut}}^{(0)}$,
205 the amplitude $\mathcal{A}^{(0)}(\Omega_h)$ rapidly decreases, while $\mathcal{A}^{(1)}(\Omega_h)$
206 oscillates with a smooth amplitude and gives the major
207 contribution. Thus, for $\Omega_h > \Omega_{\text{cut}}^{(0)}$, the contribution from
208 all other channels can be neglected and the HHG rate,
209 $R \equiv R(\Omega_h)$, is given by the amplitude $\mathcal{A}^{(1)}(\Omega_h)$:

$$R \approx R^{(1)}(\Omega_h) = \frac{\Omega_h^3}{2\pi c^3} |\mathcal{A}^{(1)}(\Omega_h)|^2 = W(E_1)\sigma^{(1)}(E_1), \quad W(E_1) = k_1 |a(E_1)|^2, \quad (14)$$

210 where $\sigma^{(1)}$ is the XUV-assisted PRCS with absorption of
211 a single XUV photon:

$$\sigma^{(1)}(E_1) = \frac{\Omega_h^3 F_\Omega^2}{2\pi c^3 k_1} |f_{\text{rec}}^{(1)}(E_1)|^2. \quad (15)$$

212 The EWPs $W(E_{0,1})$ in Eqs. (8) and (14) can be an-
213 alytically estimated for those energies at which only
214 one or two closed electron trajectories contribute signifi-
215 cantly [16, 58] (i.e., near the caustic energies [60–62]),

$$W(E) = \mathcal{I}(F, \omega) \mathcal{W}(E), \quad (16)$$

216 where the factors on the right side are defined as follows:

217 The *ionization factor*, $\mathcal{I}(F, \omega)$, is proportional to the
218 detachment rate in the “effective” static electric field [63],

$$\mathcal{I}(F, \omega) = \frac{4\tilde{\gamma}^2}{\pi\kappa} \Gamma_{\text{st}}(\tilde{F}), \quad (17)$$

$$\Gamma_{\text{st}}(\tilde{F}) = I_p \mathcal{C}_0^2 \frac{\tilde{F}}{2\kappa^3} e^{-\frac{2\kappa^3}{3\tilde{F}}}, \quad (18)$$

219 where $\tilde{F} \approx 0.95F$ is the instantaneous electric field (at
220 the moment of ionization) and $\tilde{\gamma} = \omega\kappa/\tilde{F}$ is the corre-
221 sponding “effective” Keldysh parameter.

222 The *propagation factor*, $\mathcal{W}(E_n)$, can be written in
223 terms of the Airy function $\text{Ai}(\zeta)$:

$$\mathcal{W}(E_n) = \sqrt{2E_n} (\delta F^2)^{-2/3} \frac{\text{Ai}^2(\zeta)}{\Delta t^3}, \quad (19)$$

$$\zeta = \frac{E_n - E_{\text{max}}}{(\delta F^2)^{1/3}}, \quad n = 0, 1,$$

224 where $\Delta t \approx 0.65T$ is the electron travel time in the laser
225 field, $E_{\text{max}} \approx 3.17u_p + 0.324I_p$ is the maximum energy
226 gained, and $\delta = 0.536$.

227 B. Generalization of the TDER Results to Real 228 Atomic Systems

229 Although our analytical TDER results are truly valid
230 for the case of a short-range potential supporting only a
231 single bound state [cf. Eq. (6)], they cannot be directly
232 applied for the case of a neutral or positively-charged sys-
233 tem involving the long-range Coulomb interaction of the
234 active (valence) electron with the core. However, based
235 on a quasiclassical analysis [64], it was argued that in
236 HHG the Coulomb field primarily affects the ionization
237 step, enhancing it by a few orders of magnitude [65, 66],
238 while its effect on the electron's propagation in a strong
239 laser field is only a slight perturbation. We thus intro-
240 duce a Coulomb correction in accord with Ref. [64], which
241 in fact consists in the replacement of the detachment rate
242 in Eq. (16) by the corresponding atomic ionization rate:

$$W(E_n) \longrightarrow W_{\text{at}}(E_n) = \left(\frac{2\kappa^3}{F}\right)^{2\nu} W(E_n), \quad (20)$$

243 where $\nu = Z/\kappa$ is an effective quantum number and Z is
244 the charge of the atomic core. The factorization proposed
245 in Eqs. (7) and (14) requires also the replacement of the
246 TDER XUV-assisted PRCS by the corresponding atomic
247 counterpart: $\sigma^{(n)}(E_n) \rightarrow \sigma_{\text{at}}^{(n)}(E_n)$, $n = 0, 1$. As a result,
248 we obtain:

$$R^{(n)}(\Omega_h) = W_{\text{at}}(E_n)\sigma_{\text{at}}^{(n)}(E_n). \quad (21)$$

249 III. RESULTS AND DISCUSSION

250 A. Numerical results

251 In order to check the accuracy of our analytical re-
252 sults, we first compare the HHG rate calculated using
253 the analytic Eq. (14) with first-order in XUV TDER re-
254 sults [55]. Calculations were done for an IR field with
255 $\omega = 1$ eV ($\lambda = 1.2 \mu\text{m}$), intensity $I = 2 \times 10^{14}$ W/cm²,
256 $\Omega = 41$ eV with $I_\Omega = I$, $\mathcal{C}_0 = 2$, and $I_p = 13.65$ eV. The
257 HHG spectra are presented in Fig. 1. It can be seen that
258 even for equal intensities of the IR and XUV field compo-
259 nents, for $\Omega_h < \Omega_{\text{cut}}^{(0)}$ the XUV-assisted HHG channel is
260 four orders of magnitude less than HHG rate produced by
261 the IR field alone. However, in the energy region above
262 the IR field cutoff ($\Omega > \Omega_{\text{cut}}^{(0)}$, marked in Fig. 1 by the
263 left-hand vertical dotted line) the analytical result (14)
264 for the HHG rate is found to be in excellent agreement
265 with the TDER result [55] for energies ≥ 113 eV.

266 In Fig. 2(a) we compare our analytic results appropri-
267 ate for a neutral system with numerical solutions of the
268 3D TDSE. The TDSE was solved by a split-step method
269 using a fast Fourier transform for propagation along the
270 z axis and a Hankel transformation for propagation in
271 the transverse direction [67]. The hydrogen atom sys-
272 tem in the TDSE calculations was modeled by using a

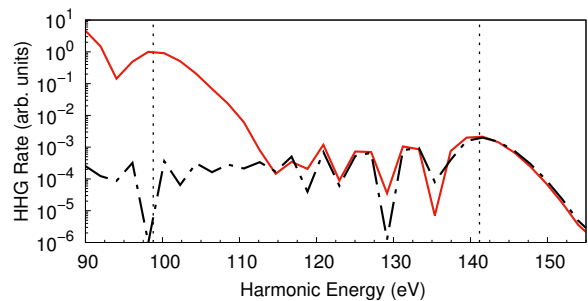


FIG. 1. Comparison of HHG rates for an atomic system with $I_p = 13.65$ eV in the two-color field (1) obtained using the analytic result in Eq. (14) (dot-dashed black line) with first-order in XUV TDER model results [55] (solid red line). The parameters of the IR field are $\omega = 1$ eV ($\lambda = 1.2 \mu\text{m}$) and $I = 2 \times 10^{14}$ W/cm²; the intensity of the XUV field is the same as for the IR field and its frequency is $\Omega = 41$ eV. Vertical dotted lines mark HHG plateau cutoff positions. Left-hand dotted line: $\Omega_h = \Omega_{\text{cut}}^{(0)}$; right-hand dotted line: $\Omega_h = \Omega_{\text{cut}}^{(0)} + \Omega$.

273 soft-Coulomb potential:

$$U(r) = -\alpha \text{sech}^2(r/a) - \tanh(r/a)/r, \quad (22)$$

274 with $\alpha = 0.3$, $a = 2.17$, which supports a $1s$ bound state
275 having an ionization potential $I_p = 13.65$ eV. In our cal-
276 culations the $1s$ state is the initial state. The laser pulse
277 in our TDSE calculations for the field (1) was chosen
278 to have a smoothed-trapezoidal envelope $f(t)$ comprised
279 of a 6-cycle flat top of constant intensity and a 2-cycle
280 \sin^2 -ramp for turn-on and turn-off,

$$f(t) = \begin{cases} \sin^2(\pi t/4T), & 0 < t \leq 2T \\ 1, & 2T < t \leq 8T \\ \cos^2(\pi t/4T), & 8T < t \leq 10T \\ 0, & t \leq 0, t > 10T, \end{cases} \quad (23)$$

281 where $T = 2\pi/\omega$ is the period of the IR field. We obtain
282 converged TDSE results for uniform grids of time and z
283 coordinates with $\Delta t = 0.02$ a.u., $\Delta z = 0.3$ a.u., and a
284 total number of z -axis grid nodes $N_z = 2048$. In the per-
285 pendicular plane, for the polar coordinate (ρ) we used a
286 nonuniform grid with $\rho_{\text{max}} = 74$ a.u. and a total number
287 of nodes in the radial direction of $N_\rho = 380$. To avoid
288 wave reflection effects, in our calculations we introduced
289 absorption layers of width 30 a.u. [67].

290 It is seen from Fig. 2(a) that the XUV-assisted HHG
291 spectrum exhibits multiple plateau-like structures sepa-
292 rated by the XUV photon energy Ω with cutoffs near
293 99 eV, 141 eV, and 184 eV. The first plateau is pro-
294 duced by the IR field and its cutoff is found to agree
295 with the expected value of $3.17u_p$. The second plateau
296 results from the absorption of an XUV photon by an
297 electron in the strong IR laser field and its cutoff is given
298 by $\Omega^{(1)} = \Omega_{\text{cut}}^{(0)} + \Omega$. The shapes of both plateaus ob-
299 tained by our TDSE calculations agree with the results
300 of our analytic predictions in Eq. (21), where the cross

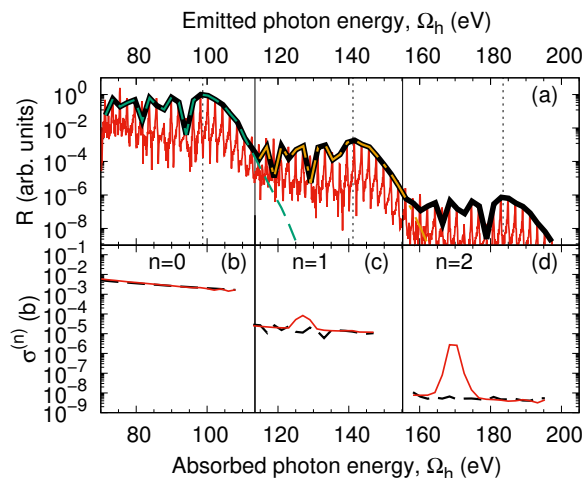


FIG. 2. Comparison of analytic and TDSE results for (a) XUV-assisted HHG spectra for a model system described by the potential (22) having an ionization potential ($I_p = 13.65$ eV) equal to that of the H atom and (b)-(d) corresponding multiphoton PICS results (for absorption of an XUV photon of energy Ω_h and emission of n XUV photons of energy $\Omega = 41$ eV) in three energy regions of the HHG spectra. The laser field parameters are the same as in Fig. 1. The vertical dotted lines mark plateau cutoff positions according to Eq. (24), and the vertical solid thin lines mark the energy regions over which the HHG rates $R^{(n)}$ with $n = 0, 1, 2$ are dominant. Curves in (a): Solid thin red line: TDSE results; solid thick black line: analytic result (25); dashed green line: analytic result (21) for $n = 0$; dot-dashed thick orange line: analytic result (21) for $n = 1$. Curves in (b)–(d): Solid red lines: TDSE results (see text for details); dashed black lines show $\sigma^{(n)}$ retrieved from the HHG spectrum in (a).

sections, $\sigma_{\text{at}}^{(n)}$, were calculated numerically. Moreover, our highly precise TDSE calculations also show a third plateau, which we associate with absorption of two XUV photons in this XUV-assisted HHG process. This observation suggests an extension of Eq. (21) for any $n \geq 0$ with $E_n = E_0 - n\Omega$ and $\sigma_{\text{at}}^{(n)} \propto F_{\Omega}^{2n}$, which is the n -XUV-photon-assisted PRCS in the lowest order in F_{Ω} .

Each rate $R^{(n)}(\Omega_h)$ contributes significantly only in the prescribed range of harmonic energies $\Omega_{\text{cut}}^{(n-1)} < \Omega_h < \Omega_{\text{cut}}^{(n)}$, where

$$\begin{aligned} \Omega_{\text{cut}}^{(n)} &= \Omega_{\text{cut}}^{(0)} + n\Omega, \quad \text{for } n = 0, 1, 2, \dots, \\ \Omega_{\text{cut}}^{(0)} &= 1.324I_p + 3.17u_p. \end{aligned} \quad (24)$$

Since each rate $R^{(n)}(\Omega_h)$ contributes mainly in a unique range of frequency Ω_h , we propose the following general expression for the “total” XUV-assisted HHG rate:

$$R(\Omega_h) = \sum_{n=0}^{\infty} R^{(n)}(\Omega_h). \quad (25)$$

B. Retrieval of Multiphoton PICSs

The factorization (21) provides an extension of HHG-based spectroscopy that allows one to retrieve multiphoton PICS. Consider HHG peaks in XUV-assisted HHG spectra separated by the XUV photon energy Ω . According to Eq. (21), HHG rates for these peaks are determined by the same value of the EWP $W_{\text{at}}(E_n)$. Thus the ratio of any two rates is given by the ratio of the corresponding XUV-assisted PRCSs:

$$\frac{R^{(n+q)}(\Omega_h + q\Omega)}{R^{(n)}(\Omega_h)} = \frac{\sigma_{\text{at}}^{(n+q)}(E_{n+q})}{\sigma_{\text{at}}^{(n)}(E_n)}, \quad (26)$$

where n and q are positive integers. If the “reference” peak lies in the first (IR-field-induced) plateau, then we can express the XUV-assisted PRCS, $\sigma_{\text{at}}^{(n)}$, in terms of the field-free PRCS, $\sigma_{\text{at}}^{(0)}$ (which, for instance, can either be retrieved from XUV-free HHG spectra [12–15, 17, 18, 21, 22] or calculated numerically [68, 69] using the principle of detailed balance [24–26]):

$$\sigma_{\text{at}}^{(n)}(E_n) = \frac{R^{(n)}(\Omega_h + n\Omega)}{R^{(0)}(\Omega_h)} \sigma_{\text{at}}^{(0)}(E_0). \quad (27)$$

The algorithm for obtaining an n -photon XUV-assisted PRCS for an arbitrary atom comprises three steps: (i) measuring the XUV-assisted HHG spectrum; (ii) calculating the ratio of HHG yields separated by the energy of n XUV photons, and (iii) multiplying this ratio by the XUV-free PRCS according to Eq. (27). The PRCS thus obtained is directly related to the PICS in the field of a two-color XUV pulse: the PRCS for the frequency Ω_h of the emitted photon and n absorbed Ω -photons corresponds to the PICS for the inverse process, namely, the absorption of a single Ω_h -photon and emission of n Ω -photons.

Figures 2(b)–(d) show PICSs corresponding to the emission of $n = 0, 1$, and 2 XUV photons with energy $\Omega = 41$ eV retrieved using Eq. (27) and the numerically calculated HHG spectrum shown in Fig. 2(a). As expected for the H atom, the PICSs are smooth, slowly-decreasing functions of the absorbed XUV photon energy Ω_h . We compared retrieved PRCSs with the TDSE results obtained from numerical solution of the TDSE for a long two-color linearly polarized XUV pulse with carrier frequencies Ω and Ω_h . In order to obtain the PICS from the TDSE results, we calculate the momentum distribution of the photoelectrons along the field polarization axis and select those peaks corresponding to absorption of a single Ω_h -photon and emission of several Ω -photons. Using the principle of detailed balance [24–26], we convert the ionization cross section to the corresponding PICS.

As seen in Figs. 2(b)–(d), the results of these calculations agree everywhere except in the neighborhoods of photoelectron energies $E = n\Omega - I_p$ for $n = 1, 2$. In these energy ranges, the direct TDSE method greatly

overestimates the true value of the PICSs. This deviation originates from interference of the various possible pathways from a given initial state to the same final state. When an atom is ionized by a two-color field with an integer frequency ratio $m = \Omega_h/\Omega$, the energy of an electron that absorbs a photon of frequency Ω_h and emits n photons of frequency Ω exactly equals the energy of an electron that absorbs $m - n$ photons of frequency Ω . The probability of the second (absorption) process can be significantly larger than that of the first (absorption/emission) process. Consequently, the directly-calculated TDSE PICS results contain peaks at photoelectron energies $E = (m - n)\Omega - I_p$, where $n \geq 1$, that do not exist in the PICSs retrieved from the XUV-assisted HHG spectra. These artifacts are clearly seen in Figs. 2(c), (d), where the peaks corresponding to $m = 3$, $n = 1$ and $m = 4$, $n = 2$ overestimate the PICSs by one and three orders of magnitude, respectively. This pronounced overestimation is because the probability of absorption of two “soft” photons of frequency Ω significantly exceeds the probability of absorption of one photon of higher frequency $\Omega_h = 3\Omega$ or $\Omega_h = 4\Omega$ with subsequent emission of one or two photons of frequency Ω , respectively.

C. Measurement of Multiphoton PICSs

Direct measurements of two-photon (or multiphoton) PICS in the XUV region confront a number of difficulties. At present, standard FEL-based two-color sources are well-developed only for fixed frequencies close to harmonics of the seeding pulse [70, 71], and, despite significant progress [70, 71], frequency tuning over a wide energy range is still difficult. Another difficulty of direct multiphoton PICS measurements occurs if the frequency ratio of the XUV components is close to an integer. In this case, different multiphoton channels may result in the same final state of the ionized electron thus leading to an interference between alternative transition amplitudes. Although this interference has stimulated a great interest recently concerning the coherent control of two- and three-photon ionization [72], it prevents measurements of the separate contributions of the interfering multiphoton channels. The XUV-assisted HHG spectroscopy method proposed in this paper avoids contributions from alternative ionization channels and thus opens up the unique possibility for extracting the partial cross sections of individual photoionization channels in two-color XUV ionization processes for a wide range of XUV frequencies.

IV. SUMMARY AND CONCLUSIONS

In summary, we have used TDER theory to investigate XUV-assisted HHG and have shown that the n th additional HHG plateau made possible by the XUV field

(with photon energy Ω) originates from absorption of n XUV photons at the photorecombination step of HHG (where $n = 0$ is the usual HHG plateau produced by the IR field alone). We have also shown that the HHG rate corresponding to the n th plateau can be presented in a factorized form involving the XUV-assisted (multiphoton) PRCS corresponding to absorption of n XUV photons of energy Ω and emission of a harmonic of energy Ω_h . This factorization allows one to extract the corresponding PRCS from the HHG spectrum and to find the cross section of the inverse process (using the principle of detailed balance [24–26]), i.e., the PICS involving absorption of a single photon of energy Ω_h and emission of n XUV photons of frequency Ω .

We have also shown that a possible alternative method for finding n -XUV-photon-assisted PICSs, based on direct measurement of the photoelectron energy distribution in a two-color XUV field fails to provide correct results for the case when the XUV frequency Ω_h is an integer multiple of the frequency Ω ($\Omega_h = m\Omega$) owing to the interference of different ionization channels having typically very different magnitudes. Our proposed HHG-based method of finding multiphoton PICS allows one to select a particular ionization channel and works for all values of the photoelectron energy. It also appears to offer a much simpler means for experimental realization.

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Appendix A: TDER derivation of Eqs. (16) – (19)

The HHG amplitude for the N -th harmonic with frequency $\Omega_h = N\omega$ and polarization vector \mathbf{e}_h in a periodic field with period $T = 2\pi/\omega$ has the form:

$$\mathcal{A}(\Omega_h) = \mathbf{e}_h^* \cdot \mathbf{d}(N),$$

where $\mathbf{d}(N)$ is the N -th Fourier coefficient of the dual dipole moment of the quantum system [73]. One obtains $\mathbf{d}(N)$ from analysis of the complex quasienergy of the system in a two-component field described by the vector potential $\mathbf{A}'(t)$,

$$\mathbf{A}'(t) = \mathbf{A}(t) + \mathbf{A}_h(t), \quad (\text{A1})$$

where $\mathbf{A}(t)$ is the vector potential of the IR and XUV fields,

$$\mathbf{A}(t) = \mathbf{A}_0(t) + \mathbf{A}_1(t), \quad (\text{A2a})$$

$$\mathbf{A}_0(t) = -\mathbf{e}_z c \frac{F}{\omega} \sin(\omega t), \quad (\text{A2b})$$

$$\mathbf{A}_1(t) = -\mathbf{e}_z c \frac{F_\Omega}{\Omega} \sin(\Omega t), \quad (\text{A2c})$$

and $\mathbf{A}_h(t)$ is the vector potential of the harmonic field with frequency Ω_h and polarization vector \mathbf{e}_h :

$$\mathbf{A}_h(t) = c \frac{F_h}{\Omega_h} \text{Im} [\mathbf{e}_h e^{-i\Omega_h t}]. \quad (\text{A3})$$

Here c is the speed of light and F_h is the amplitude of the probe harmonic field. The dipole moment $\mathbf{d}(N)$ can be presented as a derivative in $\mathbf{F}_h^* \equiv F_h \mathbf{e}_h^*$ of the first-order in F_h quasienergy ϵ' in the two-component field (A1) [73]:

$$\mathbf{d}(N) = -2 \frac{\partial \epsilon'}{\partial \mathbf{F}_h^*} \Big|_{F_h=0}. \quad (\text{A4})$$

Within the TDER approach, the exact (without expansion in F_h) eigenvalue problem for the complex quasienergy ϵ' reduces to an infinite homogeneous system of linear equations for the Fourier coefficients f'_k of a periodic function $f'(t)$ [56, 57]:

$$\sum_{k'} [\mathcal{R}_0(\epsilon' + 2k\omega) \delta_{k,k'} - M'_{k,k'}(\epsilon')] f'_{k'} = 0, \quad (\text{A5})$$

$$M'_{k,k'}(\epsilon') = \frac{1}{\sqrt{2\pi i}} \frac{1}{T} \int_0^T dt \int_{-\infty}^t dt' \frac{e^{i\epsilon'(t-t') + 2ik\omega t - 2ik'\omega t'}}{(t-t')^{3/2}} \times [e^{-iS'(t,t')} - \delta_{k,k'}], \quad (\text{A6})$$

$$S'(t,t') = \frac{1}{2} \int_{t'}^t P^2(\tau; t, t') d\tau, \quad (\text{A7})$$

$$\mathbf{P}(\tau; t, t') = \frac{1}{c} \left[\mathbf{A}'(\tau) - \frac{1}{t-t'} \int_{t'}^t \mathbf{A}'(\tau') d\tau' \right], \quad (\text{A8})$$

$$\mathcal{R}_0(E) = \sqrt{2E} [\cot \delta_0(E) - i], \quad (\text{A9})$$

where $\delta_0(E)$ is the s -wave scattering phase in the effective range approximation [24]. Since F_h is weak, the complex quasienergy ϵ' may be expressed as a sum of two terms: the complex quasienergy ϵ in the laser field described by vector potential $\mathbf{A}(t)$ and the linear in F_h correction, $\Delta\epsilon$, induced by the harmonic field described by the vector potential (A3):

$$\epsilon' = \epsilon + \Delta\epsilon.$$

Thus Eq. (A4) can be written in the equivalent form:

$$\mathbf{d}(N) = -2 \frac{\partial \Delta\epsilon}{\partial \mathbf{F}_h^*}. \quad (\text{A10})$$

Expanding the matrix elements $M'_{k,k'}(\epsilon')$ in a power series in F_h , one obtains an explicit expression for $\Delta\epsilon$:

$$\Delta\epsilon = -\frac{\kappa \mathcal{C}_0^2}{2} \sum_{k,k'} f_k [m_{k,k'}(\Omega_h) + m_{k,k'}(-\Omega_h)] f_{k'}, \quad (\text{A11})$$

where $f_k = f'_k|_{F_h=0}$, \mathcal{C}_0 is the dimensionless asymptotic coefficient of the atom's valence electron wave function [see Eq. (6)], and the matrix elements $m_{k,k'}(\pm\Omega_h) \propto F_h$ can be expressed in terms of two-dimensional time integrals. Specifically, the matrix elements $m_{k,k'}(\Omega_h)$ describe emission of a harmonic with frequency Ω_h and thus determine the HHG amplitude, while the matrix elements $m_{k,k'}(-\Omega_h)$ describe the absorption of a harmonic photon. In order to obtain perturbative results in F_Ω for the HHG amplitude, we further expand the matrix elements $m_{k,k'}(\Omega_h)$ in a power series in F_Ω :

$$m_{k,k'}(\Omega_h) \approx m_{k,k'}^{(0)}(\Omega_h) + m_{k,k'}^{(1)}(\Omega_h), \quad (\text{A12a})$$

$$m_{k,k'}^{(0)}(\Omega_h) = \frac{-i}{\sqrt{2\pi i}} \frac{1}{T} \int_0^T dt \int_{-\infty}^t dt' \frac{e^{-iS(t,t') + 2ik\omega t - 2ik'\omega t'}}{(t-t')^{3/2}} \times S_h^{(0)}(t,t'), \quad (\text{A12b})$$

$$m_{k,k'}^{(1)}(\Omega_h) = \frac{-i}{\sqrt{2\pi i}} \frac{1}{T} \int_0^T dt \int_{-\infty}^t dt' \frac{e^{-iS(t,t') + 2ik\omega t - 2ik'\omega t'}}{(t-t')^{3/2}} \times [S_h^{(1)}(t,t') - iS_h^{(0)}(t,t')S_\Omega(t,t')]. \quad (\text{A12c})$$

where the functions $S_\Omega(t,t')$ and $S_h^{(n)}(t,t')$ originate from the first-order correction to the action $\mathcal{S}(t,t')$ in both the XUV and harmonic fields:

$$\mathcal{S}(t,t') = I_p(t-t') + \frac{1}{2} \int_{t'}^t P_0^2(\tau; t, t') d\tau, \quad (\text{A13a})$$

$$S_\Omega(t,t') = \int_{t'}^t P_0(\tau; t, t') P_1(\tau; t, t') d\tau, \quad (\text{A13b})$$

$$S_h^{(n)}(t,t') = \int_{t'}^t P_n(\tau; t, t') P_h(\tau; t, t') d\tau, \quad (\text{A13c})$$

$$\mathbf{P}_n(\tau; t, t') \equiv \mathbf{P}_n(\tau) = \frac{1}{c} [\mathbf{A}_n(\tau) - \frac{1}{t-t'} \int_{t'}^t \mathbf{A}_n(\tau') d\tau'], \quad n = 0, 1, \quad (\text{A13d})$$

$$\mathbf{P}_h(\tau; t, t') \equiv \mathbf{P}_h(\tau) = \frac{1}{c} [\mathbf{A}_h^{(+)}(\tau) - \frac{1}{t-t'} \int_{t'}^t \mathbf{A}_h^{(+)}(\tau') d\tau'], \quad (\text{A13e})$$

$$\mathbf{A}_h^{(+)}(t) = -c \frac{\mathbf{F}_h^*}{2i\Omega_h} e^{i\Omega_h t}. \quad (\text{A13f})$$

In Eqs. (A12) we have neglected the Stark shift and laser-induced width of the atomic level in the IR field.

It should be noticed that for the two-component field (A2), the coefficients f_k should also be expanded in a power series in F_Ω . However, as was shown in Ref. [55], this correction to the coefficients f_k gives a negligible contribution to the total harmonic amplitude. Thus, in all

496 further calculations we assume that the coefficients f_k 511
 497 originate from the IR field alone, i.e., $f_k \approx f_k^{(0)}$. The 512
 498 coefficients $f_k^{(0)}$ satisfy the eigenvalue system of equa- 513
 499 tions (A5) with the substitution $\mathbf{A}'(t) \rightarrow \mathbf{A}_0(t)$.

500 Both $S_\Omega(t, t')$ and $S_h^{(0)}(t, t')$ involve a product of 514
 501 a rapidly-oscillating function, $P_1(\tau)$ or $P_h(\tau)$, and a 515
 502 smooth function, $P_0(\tau)$. Now, for a smooth function $\varphi(t)$ 516
 503 and a rapidly-oscillating function $g(t)$, one can make the 517
 504 approximation,

$$\int_{t'}^t \varphi(\tau)g(\tau)d\tau \approx \varphi(t)G(t) - \varphi(t')G(t'), \quad (\text{A14})$$

$$G(t) = \int_{t'}^t g(\tau)d\tau.$$

505 Using the approximation (A14), the functions $S_\Omega^{(0)}(t, t')$,
 506 $S_h^{(0)}(t, t')$, and $S_h^{(1)}(t, t')$ can be presented in the form:

$$S_h^{(0)}(t, t') = \frac{(\mathbf{F}_h^* \cdot \mathbf{e}_z)}{2\Omega_h^2} \chi_0(\Omega_h), \quad (\text{A15a})$$

$$S_\Omega^{(0)}(t, t') = \frac{F_\Omega}{2\Omega^2} [\chi_0(-\Omega) + \chi_0(\Omega)], \quad (\text{A15b})$$

$$\chi_0(\Omega) = P_0(t)e^{i\Omega t} - P_0(t')e^{i\Omega t'}, \quad (\text{A15c})$$

$$S_h^{(1)}(t, t') = \frac{(\mathbf{F}_h^* \cdot \mathbf{e}_z)}{4i\Omega_h\Omega} [\chi_1(-\Omega; \Omega_h) - \chi_1(\Omega; \Omega_h)], \quad (\text{A15d})$$

$$\chi_1(\Omega; \Omega_h) = \frac{e^{i(\Omega_h+\Omega)t} - e^{i(\Omega_h+\Omega)t'}}{\Omega_h + \Omega} + \frac{(e^{i\Omega_h t} - e^{i\Omega_h t'}) (e^{i\Omega t} - e^{i\Omega t'})}{i\Omega_h\Omega(t - t')}. \quad (\text{A15e})$$

507 Substituting Eqs. (A15) into Eqs. (A12) and calculat-
 508 ing the derivative in (A10), we obtain an explicit form
 509 for $\mathbf{d}(N)$:

$$\mathbf{d}(N) \approx \mathbf{d}^{(0)}(N) + \mathbf{d}^{(-1)}(N) + \mathbf{d}^{(+1)}(N), \quad (\text{A16})$$

$$\mathbf{d}^{(i)}(N) = \mathbf{e}_z \sum_{k, k'} f_k d_{k, k'}^{(i)}(N) f_{k'}, \quad i = 0, \pm 1$$

510 where

$$d_{k, k'}^{(i)}(N) = \frac{1}{T} \int_0^T dt \int_{-\infty}^t dt' \frac{e^{2ik\omega t - 2ik'\omega t'}}{(t - t')^{3/2}} \times e^{-i\mathcal{S}(t, t')} g_i(t, t'), \quad i = 0, \pm 1, \quad (\text{A17})$$

$$g_0(t, t) = -\kappa \mathcal{C}_0^2 \sqrt{\frac{1}{2\pi i} \frac{1}{\Omega_h^2}} \chi_0(\Omega_h). \quad (\text{A18})$$

$$g_{\pm 1}(t, t') = \pm \kappa \mathcal{C}_0^2 \sqrt{\frac{1}{2\pi i} \frac{F_\Omega}{4\Omega_h\Omega}} \times \left[\chi_1(\pm\Omega, \Omega_h) \pm \frac{i}{\Omega\Omega_h} \chi_0(\pm\Omega) \chi_0(\Omega_h) \right]. \quad (\text{A19})$$

The dipole $\mathbf{d}^{(0)}(N)$ describes HHG in the IR field, while
 512 $\mathbf{d}^{(+1)}(N)$ and $\mathbf{d}^{(-1)}(N)$ describe HHG in the IR field
 513 assisted by emission and absorption, respectively, of an
 514 XUV photon. We thus focus our further analysis on the
 515 $\mathbf{d}^{(0)}(N)$ and $\mathbf{d}^{(-1)}(N)$ dipoles.

516 In the quasiclassical limit, $\mathbf{d}^{(0)}(N)$ can be presented in
 517 the factorized form [16, 23, 58, 74]:

$$\mathbf{d}^{(0)}(N) = \mathbf{e}_z a(E_0) f_{\text{rec}}^{(0)}(E_0), \quad E_0 = \Omega_h - I_p, \quad (\text{A20})$$

518 where $a(E_0)$ is a universal laser-induced factor,

$$a(E_0) = \frac{\mathcal{C}_0}{\sqrt{2\pi i}} \frac{1}{T} \int_0^T dt \int_{-\infty}^t dt' \frac{e^{i(E_0+I_p)t - i\mathcal{S}(t, t')}}{(t - t')^{3/2}}, \quad (\text{A21})$$

519 and $f_{\text{rec}}^{(0)}(E_0)$ is the TDER photorecombination ampli-
 520 tude for a model atomic system having a single bound
 521 s -state [cf. Eq. (5)]:

$$f_{\text{rec}}^{(0)}(E_0) = -i\sqrt{\pi\kappa}\mathcal{C}_0 \frac{k_0^2}{\Omega_h^2}, \quad k_0 = \sqrt{2E_0}. \quad (\text{A22})$$

522 In order to obtain a beyond-SFA result for the HHG
 523 amplitude with absorption of a single XUV photon,
 524 we use the first-order rescattering approximation, i.e.,
 525 we present both coefficients f_k and matrix elements
 526 $d_{k, k'}^{(-1)}(N)$ as a sum of direct (with bar) and rescattering
 527 (with tilda) terms:

$$\begin{aligned} \overline{d}_{k, k'}^{(-1)} &\approx \overline{d}_{k, k'}^{(-1)} + \widetilde{d}_{k, k'}^{(-1)}, \\ \overline{f}_k &\approx \overline{f}_k + \widetilde{f}_k. \end{aligned}$$

528 The direct and rescattering results for the coefficients f_k
 529 are [75, 76]:

$$\begin{aligned} \overline{f}_k &= \delta_{k, 0}, \\ \widetilde{f}_k &= \frac{M_{k, 0}}{\mathcal{R}_0(-I_p + 2k\omega)}, \end{aligned}$$

530 where the matrix element $M_{k, 0} \equiv M_{k, 0}(-I_p)$ can be
 531 obtained from $M'_{k, 0}(\epsilon')$ by making the replacements
 532 $\mathbf{A}'(t) \rightarrow \mathbf{A}_0(t)$ and $\epsilon' \rightarrow -I_p$:

$$M_{k, 0} = \frac{1}{\sqrt{2\pi i}} \frac{1}{T} \int_0^T dt \int_{-\infty}^t dt' \frac{e^{2ik\omega t - i\mathcal{S}(t, t')}}{(t - t')^{3/2}}, \quad (\text{A23})$$

533 where $\mathcal{S}(t, t')$ is given by Eq. (A13a).

534 The direct and rescattering terms for the matrix ele-
 535 ment $d_{k, k'}^{(-1)}(N)$ originate from different parts of the inte-
 536 gral (A17). The direct term is given by the contribution
 537 of the boundary limit $t' \approx t$ to the integral (A17), while
 538 the rescattering term is given by the saddle-point con-
 539 tribution to the integral (A17). Up to the first-order
 540 rescattering approximation (defined above), the dipole
 541 moment $\mathbf{d}^{(-1)}(N)$ can be presented as follows:

$$\begin{aligned} \mathbf{d}^{(-1)}(N) &\approx \mathbf{e}_z \overline{d}^{(-1)}(N), \\ \overline{d}^{(-1)}(N) &= \sum_k \overline{d}_{0, k}^{(-1)}(N) \overline{f}_k + \widetilde{d}_{0, 0}^{(-1)}(N), \quad (\text{A24}) \end{aligned}$$

542 where $\overline{d_{0,k}^{(-1)}}(N)$ is the matrix element for the “direct”
 543 dipole and $\widetilde{d_{0,0}^{(-1)}}$ is that for the “rescattering” dipole.
 544 Analysis of the direct dipole matrix elements for a given
 545 harmonic number N shows that the matrix element
 546 $\overline{d_{0,k}^{(-1)}}(N)$ with $k = \bar{k} = (\Omega_h - \Omega)/(2\omega)$ exceeds all oth-
 547 ers by a factor of order $\sim (F/\kappa^3)^{-2}$. The leading term
 548 for this matrix element can be calculated analytically by
 549 evaluating the integral (A17) near the boundary limit
 550 $t' \approx t$:

$$\overline{d_{0,\bar{k}}^{(-1)}}(N) = -\kappa\mathcal{C}_0 \frac{F_\Omega}{4\Omega_h\Omega} \times \left[\frac{\kappa + ik_1}{\Omega_h - \Omega} + \frac{\kappa^3 + ik_1^3 - ik_\Omega^3 - ik_{\Omega_h}^3}{3\Omega\Omega_h} \right], \quad (\text{A25})$$

551 where

$$\kappa = \sqrt{2I_p}, \quad k_1 = \sqrt{2E_1}, \\ k_\Omega = \sqrt{2(E_1 + \Omega)}, \quad k_{\Omega_h} = \sqrt{2(E_1 - \Omega_h)}, \quad (\text{A26})$$

552 and $E_1 = \Omega_h - I_p - \Omega$ is the returning electron energy.
 553 The explicit form (A25) for the direct term can be also
 554 found analytically as the value of $\overline{d_{0,k}^{(-1)}}(N)$ in the limit
 555 $F \rightarrow 0$ [23].

556 In order to evaluate the rescattering term $\widetilde{d_{0,0}^{(-1)}}(N)$
 557 in (A24), we note that the function $g_{-1}(t, t')$ in Eq. (A19)
 558 involves four terms, which correspond to different scenar-
 559 ios for interaction of the electron with either the XUV or
 560 the harmonic field. In this paper, our focus is exclusively
 561 on the channels in which the electron absorbs one or more
 562 XUV photons and emits a harmonic at the recombina-
 563 tion step of HHG, i.e., at the moment t . To separate out
 564 the channel involving absorption of one XUV photon, we
 565 replace the functions χ_0 and χ_1 in (A15) by:

$$\chi_0(-\Omega) \rightarrow P_0(t)e^{-i\Omega t}, \quad (\text{A27a})$$

$$\chi_0(\Omega_h) \rightarrow P_0(t)e^{i\Omega_h t}, \quad (\text{A27b})$$

$$\chi_1(-\Omega, \Omega_h) \rightarrow \frac{e^{i(\Omega_h - \Omega)t}}{\Omega_h - \Omega}. \quad (\text{A27c})$$

566 The approximations (A27) follow from Eqs. (A15c) and
 567 (A15e) by neglecting terms involving exponents depen-
 568 dent on the time t' and also the term $\sim (t - t')^{-1}$ in
 569 Eq. (A15e), since it is smaller than the term $\sim (t - t')^0$
 570 by a factor of order ω/Ω . Taking into account the ap-
 571 proximations (A27), the rescattering part of the dipole
 572 matrix element for the desired channel can be presented
 573 in the form:

$$\widetilde{d_{0,0}^{(-1)}}(N) = -\kappa\mathcal{C}_0 \sqrt{\frac{1}{2\pi i}} \frac{F_\Omega}{4\Omega_h\Omega} \frac{1}{T} \\ \times \int_0^T dt \int_{-\infty}^{t-0} dt' \frac{e^{-iS(t,t') + i(\Omega_h - \Omega)t}}{(t - t')^{3/2}} \\ \times \left(\frac{1}{\Omega_h - \Omega} + \frac{P_0^2(t)}{\Omega_h\Omega} \right). \quad (\text{A28})$$

574 The integrations in the rescattering terms for \widetilde{f}_k in
 575 Eq. (A23) and for $\widetilde{d_{0,0}^{(-1)}}$ in Eq. (A28) are done using
 576 saddle-point methods [77]. In this approximation, the
 577 smooth function $P_0(t)$ can be replaced by its value at the
 578 corresponding saddle point, $P_0(t) \rightarrow k_1$, leading to the
 579 following result for the dipole matrix element $\mathbf{d}^{(-1)}(N)$:

$$\mathbf{d}^{(-1)}(N) = F_\Omega a(E_1) f_{\text{rec}}^{(1)}(E_1). \quad (\text{A29})$$

580 The laser factor, $a(E_1)$, has the same form as for an IR
 581 field alone [see Eq. (A21)], and $f_{\text{rec}}^{(1)}(E_1)$ is the *exact* two-
 582 photon TDER recombination amplitude for absorption
 583 of an Ω photon and emission of an Ω_h photon [59]:

$$f_{\text{rec}}^{(1)}(E_1) = -\frac{\sqrt{\pi}\kappa\mathcal{C}_0}{2\Omega\Omega_h} \left\{ \frac{k_1^2}{\Omega\Omega_h} + \frac{1}{\Omega_h - \Omega} + \frac{1}{\mathcal{R}_0(E_1)} \left[\frac{\kappa + ik_1}{\Omega_h - \Omega} + \frac{\kappa^3 + ik_1^3 - ik_\Omega^3 - ik_{\Omega_h}^3}{3\Omega\Omega_h} \right] \right\}. \quad (\text{A30})$$

584 In Eq. (A30) the definitions in Eqs. (A26) and (13) have
 585 been used.

586 The laser factor, $a(E_n)$, takes its simplest analytical
 587 form in the energy region close to the cutoff of the HHG
 588 plateau. It is well-known that only two closed classical
 589 electron trajectories with the highest returning energy
 590 contribute to the total HHG amplitude in this energy
 591 region. The calculations of the two-fold integrals can
 592 be carried out by using a combination of saddle-point
 593 methods appropriate for separate and for merging sad-
 594 dle points. The explicit form of the laser factor can be
 595 expressed in terms of an Airy function $\text{Ai}(z)$ [16, 58]:

$$a(E_n) = \frac{\tilde{\gamma} \sqrt{\Gamma_{\text{st}}(\tilde{F})} e^{i\Phi_0}}{\pi\kappa^{1/2} (\delta F^2)^{1/3} \Delta t^{3/2}} \text{Ai} \left[\frac{E_n - E_{\text{max}}}{(\delta F^2)^{1/3}} \right], \quad (\text{A31})$$

596 where $\Gamma_{\text{st}}(\tilde{F})$ is the detachment rate in a static electric
 597 field [see Eq. (18)], $\tilde{F} \approx 0.95F$ is the instantaneous elec-
 598 tric field at the moment of ionization, $\tilde{\gamma} = \omega\kappa/\tilde{F}$ is an
 599 “effective” Keldysh parameter, $\Delta t \approx 0.65T$ is the elec-
 600 tron travel time, $E_{\text{max}} \approx 3.17u_p + 0.324I_p$ is the max-
 601 imum energy gained, $\delta = 0.536$, and Φ_0 is the phase
 602 gained. Thus, in accordance with Eq. (14), one obtains
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