

This is the accepted manuscript made available via CHORUS. The article has been published as:

## Quantum control through measurement feedback

H. Uys, H. Bassa, P. du Toit, S. Ghosh, and T. Konrad

Phys. Rev. A **97**, 060102 — Published 27 June 2018

DOI: [10.1103/PhysRevA.97.060102](https://doi.org/10.1103/PhysRevA.97.060102)

# Quantum Control through a Self-Fulfilling Prophecy

H. Uys,<sup>1,2,\*</sup> Humairah Bassa,<sup>3</sup> Pieter du Toit,<sup>4</sup> Sibasish Gosh,<sup>5</sup> and T. Konrad<sup>3,6,†</sup>

<sup>1</sup>*National Laser Centre, Council for Scientific and Industrial Research, Pretoria, South Africa*

<sup>2</sup>*Department of Physics, Stellenbosch University, Stellenbosch, South Africa*

<sup>3</sup>*School of Physics, University of KwaZulu-Natal, Durban, South Africa*

<sup>4</sup>*National Metrology Institute of South Africa, Meiring Naude Rd., Pretoria, South Africa*

<sup>5</sup>*Institute of Mathematical Sciences, Chennai, India*

<sup>6</sup>*National Institute of Theoretical Physics, UKZN Node*

Measurement combined with feedback that aims to restore a presumed pre-measurement quantum state will yield this state after a few measurement-feedback cycles even if the actual state of the system initially had no resemblance to the presumed state. Here we introduce this mechanism of *self-fulfilling prophecy* and show that it can be used to prepare finite-dimensional quantum systems in target states or force them into target dynamics. Using two-level systems as an example we demonstrate that self-fulfilling prophecy protects the system against noise and tolerates imprecision of feedback up to the level of the measurement strength. By means of unsharp measurements the system can be driven deterministically into arbitrary, smooth quantum trajectories.

PACS numbers: 03.65.Ta, 03.67.Pp, 03.67.-a

The control of individual quantum systems, for example of trapped atoms and ions or single photons, enabled experimental tests of quantum theory [1] and its foundations as well as the application of quantum effects for information processing, communication and metrology purposes [2, 3]. The monitoring of observables based on continuous or sequential unsharp (sometimes called weak) measurement [4–9] has paved the way for quantum control in real-time with closed-loop feedback. This kind of control already has been applied to photons in microwave cavities [10] and superconducting qubits [11].

Here we introduce a new control scheme called self-fulfilling prophecy (SFP), which is related to quantum state monitoring [12–15]. Both schemes are based on the convergence of different states to a common state subject to sequential measurements with the same measurement results. SFP allows one to prepare quantum systems in a target state and protect it against decoherence in the presence of noise and feedback errors. Moreover, it can be employed to drive the system into target dynamics and protect these dynamics.

The SFP technique uses unitary feedback to return the system into a particular pre-measurement state. The pre-measurement state can also be restored probabilistically by means of filters or additional measurements. Such measurement reversals have been used to suppress decoherence [16–18] or to protect entanglement [19, 20].

In what follows, we first revise the formalism for measurement and feedback and describe the protocol of SFP. Then we address the question of which measurements are needed for SFP for systems with finite-dimensional Hilbert space and prove the convergence to the target state for the ideal case without noise or feedback errors.

By means of numerical simulations we study the asymptotic fidelity in the presence of noise and imperfect feedback for two-level systems. In addition, we employ SFP to protect Rabi oscillation against noise. We close with two examples of driving two-level systems into target dynamics – a figure of eight on the Bloch sphere and accelerated Rabi oscillations.

The statistics of measurements in quantum mechanics can be described by means of positive operators  $E_i$ , so-called *effects*, whose expectation values determine the probabilities for the measurement results numbered by the index  $i = 1, 2, \dots$

$$p_i(\psi) = \langle \psi | E_i | \psi \rangle. \quad (1)$$

Since the probabilities sum to unity for any state  $|\psi\rangle$ , the effects sum to the identity operator,  $\sum E_i = \mathbb{I}$ , and generate a so-called positive-operator valued measure (POVM) [21]. On the other hand, the state of the system after the measurement in general depends on the measurement result  $i$  and can be expressed by so-called Kraus operators  $M_i$  [21]:

$$|\psi\rangle \xrightarrow{\text{result } i} |\psi_i\rangle \equiv \frac{M_i}{\sqrt{p_i}} |\psi\rangle. \quad (2)$$

The Kraus operators can be decomposed like complex numbers into *phase* and *modulus*:

$$M_i = U_i |M_i|, \quad (3)$$

where the *phase* operator  $U_i$  is unitary and can be interpreted as measurement-outcome dependent feedback [which can be part of the measurement operation or externally applied](#) [6, 22]. The modulus is related to the effect via  $|M_i| \equiv \sqrt{M_i^\dagger M_i} = \sqrt{E_i}$ . An unsharp measurement of a non-degenerate observable  $O = \sum_{j=1}^d o_j |o_j\rangle\langle o_j|$  with measurement results  $o_1, \dots, o_d$  is given by a POVM

\* hermann.uys@gmail.com

† konradt@ukzn.ac.za

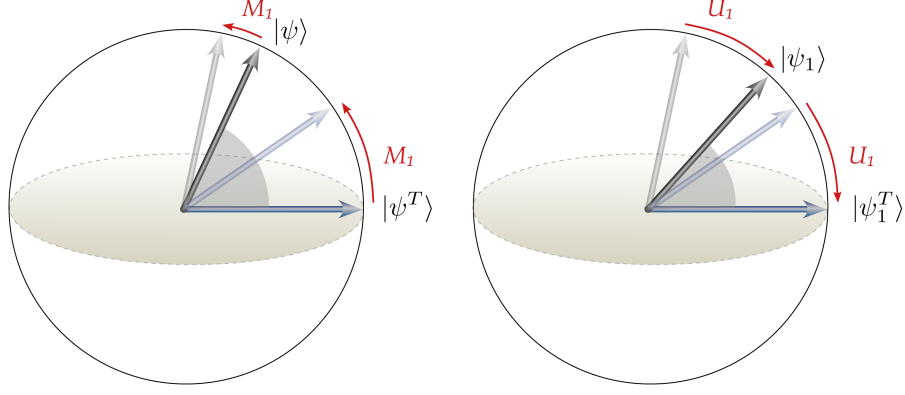


FIG. 1. In this example of Self-fulfilling Prophecy for a two-level system, the most probable outcome of an unsharp measurement of the pseudo spin z-component  $\sigma_z$  drives the state  $|\psi\rangle$  towards the north pole of the Bloch sphere (left diagram), which represents the closest eigenstate of the observable. The unitary feedback  $U_1$  (right diagram) is chosen to compensate the back action  $M_1$  of the measurement and return the system into its pre-measurement state under the assumption that this was the target state  $|\psi^T\rangle$ . Afterwards, the system's state  $|\psi_1\rangle$  is closer to the target state  $|\psi_1^T\rangle = |\psi^T\rangle$  (shaded angle in the right diagram) than before (shaded angle in the left diagram).

with commuting effects

$$E_i = \sum_{j=1}^d \lambda_{ij} |o_j\rangle\langle o_j| \quad \text{where } (\lambda_{ij}) \text{ is invertible,} \quad (4)$$

such that from the statistics  $p_i(\psi) = \langle \psi | E_i | \psi \rangle$  the probability to measure any  $o_j$  can be determined via  $|\langle o_j | \psi \rangle|^2 = \sum_i \lambda_{ij}^{-1} p_i(\psi)$ . For example, for a two-level system a measurement of the (pseudo-) spin z-component,  $\sigma_z = |\uparrow\rangle\langle\uparrow| - |\downarrow\rangle\langle\downarrow|$ , is given by the effects:

$$\begin{aligned} E_0 &= (1 - p_0) |\downarrow\rangle\langle\downarrow| + p_0 |\uparrow\rangle\langle\uparrow| \\ E_1 &= p_0 |\downarrow\rangle\langle\downarrow| + (1 - p_0) |\uparrow\rangle\langle\uparrow|, \end{aligned} \quad (5)$$

Here the squared difference between the eigenvalues of  $E_0$ ,  $0 < (\Delta p)^2 = (2p_0 - 1)^2 \leq 1$ , measures the strength of the measurement with  $(\Delta p)^2 = 0$  a fully weak (unsharp) and  $(\Delta p)^2 = 1$  a strong (von Neumann) measurement of  $\sigma_z$  [15, 22].

Self-fulfilling prophecy transfers a quantum system with Hilbert space  $\mathcal{H}$  of finite dimension  $d$  into a target state  $|\psi_T\rangle \in \mathcal{H}$  by assuming that the system is initially in the target state  $|\psi_T\rangle$  (even though it may not be). After a measurement unitary feedback is imposed which would return the system into its pre-measurement state  $|\psi_T\rangle$ , had the assumption been correct. The condition for the unitary *reversal* feedback  $U_i$  after a measurement with Kraus operator  $|M_i| = \sqrt{E_i}$  thus reads

$$|\psi^T\rangle \xrightarrow{\text{result } i} |\psi_i^T\rangle \equiv \frac{U_i \sqrt{E_i}}{\sqrt{w_i}} |\psi^T\rangle = |\psi^T\rangle, \quad (6)$$

where the normalisation constant is given by  $w_i = \langle \psi^T | E_i | \psi^T \rangle$ . The SFP protocol consists of a number of consecutive executions of the measurement-feedback cycle described above on a system in an unknown state.

Executing the SFP protocol with suitable measurements, the state of the system comes on average closer to the target state in each measurement-feedback cycle. This is explained graphically for the special case of a qubit measurement in Fig.1. The proximity (similarity) between the actual state  $|\psi\rangle$  and the target state  $|\psi^T\rangle$  can be quantified by the target fidelity, i.e., the squared modulus of the overlap between both states:

$$F(\psi, \psi^T) = |\langle \psi | \psi^T \rangle|^2. \quad (7)$$

The change of fidelity  $\Delta F$  due to a measurement with feedback averaged over the possible measurement results amounts to

$$\begin{aligned} \Delta F &= \sum_i p_i |\langle \psi_i | \psi_i^T \rangle|^2 - |\langle \psi | \psi^T \rangle|^2 \\ &= \sum_i \frac{|\langle \psi | E_i | \psi^T \rangle|^2}{w_i} - |\langle \psi | \psi^T \rangle|^2. \end{aligned} \quad (8)$$

In general, any measurement carried out on two equal systems with the same measurement result brings an arbitrary pair of states  $|\psi\rangle, |\psi^T\rangle \in \mathcal{H}$  on average closer together or keeps the fidelity the same (monotonicity of the average fidelity of selective operations) [23]. This can be seen by rewriting the average change of fidelity  $\Delta F$ , and observing that it is positive or zero:

$$\Delta F = \sum_i \frac{|\langle \psi | (\mathbb{I} - |\psi^T\rangle\langle\psi^T|) E_i | \psi^T \rangle|^2}{w_i} \geq 0. \quad (9)$$

For SFP, we choose the measurements such that the average fidelity change due to measurement combined with feedback is strictly positive unless the system is in the target state, i.e.,  $|\psi\rangle = |\psi^T\rangle$ . This implies that on average the fidelity between the state of the system and the target state grows due to the sequence of measurements

until the system reaches the target state. Since the target state is invariant under the action of SFP, the system remains in the target state subsequently.

Here we show that there are different kinds of measurement that lead to  $|\psi\rangle = |\psi^T\rangle$  (i.e.  $F = 1$ ) being a necessary and sufficient condition for  $\Delta F = 0$ . For this purpose, we express the state of the system without restriction of generality as  $|\psi\rangle = \alpha|\psi^T\rangle + \beta|\psi^R\rangle$ , where  $|\psi^R\rangle \in \mathcal{H}$  is orthogonal to the target state  $|\psi^T\rangle$ . It follows that  $\alpha = \langle\psi^T|\psi\rangle$ . Moreover,

$$\Delta F = 0 \Leftrightarrow \sum_{i \in \Omega} \frac{|\langle\psi|\langle\mathbb{I} - |\psi^T\rangle\langle\psi^T|E_i|\psi^T\rangle|^2}{w_i} = 0. \quad (10)$$

Since each summand in the last equation is greater or equal to zero, all summands must vanish. This is the case if, and only if,

$$\begin{aligned} \langle\psi|E_i|\psi^T\rangle &= w_i\langle\psi|\psi^T\rangle \text{ for all } i \in \Omega. \\ \Leftrightarrow (\alpha^*\langle\psi^T| + \beta^*\langle\psi^R|)E_i|\psi^T\rangle &= w_i\langle\psi|\psi^T\rangle \text{ for all } i \in \Omega. \\ \Leftrightarrow \beta^*\langle\psi^R|E_i|\psi^T\rangle &= 0 \text{ for all } i \in \Omega. \end{aligned} \quad (11)$$

Hence,  $\beta^* = 0$  and thus  $|\alpha|^2 \equiv F = 1$  if, and only if, the vectors  $E_i|\psi^T\rangle$  span the Hilbert space  $\mathcal{H}$  of the system, i.e., for all states  $|\psi^R\rangle \in \mathcal{H}$  there is a measurement result  $i$  such that  $\langle\psi^R|E_i|\psi^T\rangle \neq 0$ . Thus we found a criterion for SFP to drive a quantum system in the absence of noise into the target state.

Accordingly, SFP works for any target state  $|\psi^T\rangle \in \mathcal{H}$  with informationally-complete measurements, which possess effects  $E_i$  that span the space of linear operators on  $\mathcal{H}$ . This follows from

$$\begin{aligned} 0 &= \text{Tr} [|\psi^T\rangle\langle\phi|E_i] = \langle\phi|E_i|\psi^T\rangle \text{ for all } i \in \Omega \\ \Rightarrow |\phi\rangle &= 0. \end{aligned} \quad (12)$$

Another important kind of measurement suitable for SFP are unsharp measurements of a non-degenerate observable. For such measurements with results  $i = 1, \dots, d$  the vectors  $E_i|\psi^T\rangle$  form a basis if, and only if,

$$\begin{aligned} 0 &\neq \det(E_1|\psi^T\rangle, \dots, E_d|\psi^T\rangle) = \det((\lambda_{ij}\langle o_j|\psi^T\rangle)) \\ \Leftrightarrow 0 &\neq \det(\Psi\lambda) = \det(\Psi)\det(\lambda). \end{aligned} \quad (13)$$

This means that neither the determinants of  $\Psi \equiv \sum_l \langle o_l|\psi^T\rangle\langle l|\langle l|$  nor the determinant of  $\lambda \equiv \sum_{ij} \lambda_{ij}|j\rangle\langle i|$  must vanish for SFP to work. This condition requires the target state to be a superposition of all eigenstates  $|o_l\rangle$  of the measured non-degenerate observable. Note, that  $\det(\lambda) \neq 0$  is satisfied for sharp and unsharp measurements of non-degenerate observables (Eq. (4)). **For sharp non-degenerate measurements the target state is reached after one step of SFP - independent of the dimension of the Hilbert space of the system.**

We now study the performance of the SFP protocol numerically for qubit control with unsharp measurements, with the example of the observable  $\sigma_z$  (5). Figure 2 shows convergence of the state preparation fidelity as

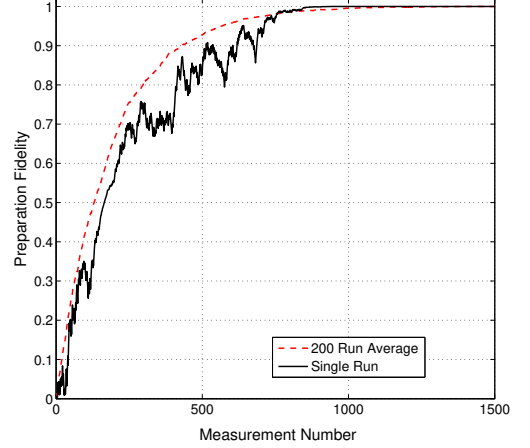


FIG. 2. Demonstration of convergence of state preparation fidelity. Even though the actual initial state of the system was orthogonal to the target state, an asymptotic fidelity  $F = 1$  is reached. Here the dashed red line gives the average over 200 runs, while the black run shows the evolution of the fidelity in a single run.

a function of the number of measurement-and-feedback steps taken. In this case we chose the target state to be  $|\psi^T\rangle = e^{-i\frac{\pi}{8}\hat{\sigma}_x}|\downarrow\rangle$ , and the initial actual state orthogonal to it. The solid black line represents the fidelity in a single run of the simulation, while the dashed red line is the average over 200 runs. The individual measurement strength was  $p_0 = 0.45$ . It is conventional to define the strength of a sequence of measurements as  $\gamma = \Delta p^2/\tau$ , where  $\tau$  is the measurement periodicity. Thus in this case  $\gamma = 0.01$  in units of the inverse measurement periodicity. Asymptotically a fidelity of  $F = 1$  is clearly reached demonstrating that successful state preparation was achieved.

In this first example we assumed the absence of any external noise influences. Now we test the behaviour of the asymptotic fidelity both under the influence of dephasing noise and imperfections in the measurement reversal feedback angle. In both cases we assume that the noise obeys a white noise spectrum and we characterize the strength of the noise by comparing the root-mean-square angular deviation,  $\theta_{Noise}$ , that the noise causes between successive measurements, to the measurement reversal angle,  $\theta_R := \arccos(\text{Re}(\langle\psi^T|U_i^\dagger|\psi^T\rangle)) = \arccos(\text{Re}(\langle\psi^T|\frac{\sqrt{E_i}}{\sqrt{w_i}}|\psi^T\rangle))$ , where  $\text{Re}$  indicates the real part. In Fig. 3 we plot the asymptotic fidelity by averaging over 6000 measurement and feedback operations in a state preparation run, having used the target state  $|\psi^T\rangle = (|\downarrow\rangle + i|\uparrow\rangle)/\sqrt{2}$ . Above 90% state preparation fidelity can be achieved as long as  $\theta_{Noise} \lesssim \theta_R/2$  for both dephasing noise, circles, and noise in the reversal, diamonds. The error bars indicate the root-mean-square deviations above and below the mean. This demon-

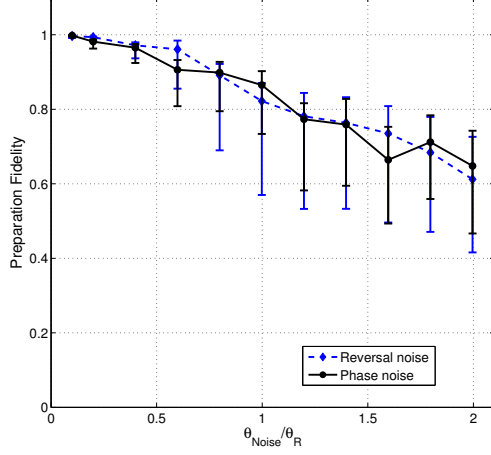


FIG. 3. Comparison of loss of asymptotic state preparation fidelity due to dephasing noise (black diamonds) or noise in the reversal operations (blue circles). In both cases a white noise spectrum was assumed.

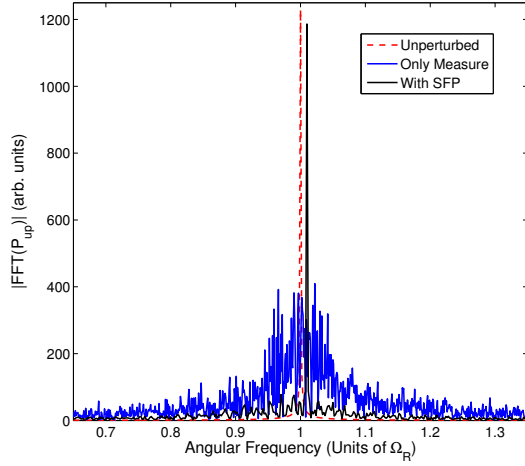


FIG. 4. SFP forces a qubit oscillating at frequency  $\Omega_R = 1$  (red, dashed curve) to oscillate instead at a target frequency  $\Omega_T = 1.01$  (black curve). Measurement without reversal allows state estimation [15], but also leads to significant broadening of the oscillation spectrum (blue curve).

states that the feedback scheme can preserve qubit states asymptotically long with high fidelity while tolerating modest noise influences. The scheme does require that the target qubit state is known.

Now we employ the measurement reversal protocol to influence a separate underlying unitary dynamics. In particular we study a qubit undergoing Rabi oscillations at an angular frequency of  $\Omega_R = 1.00$ . We desire that the qubit oscillates instead at a target angular frequency of  $\Omega_T = 1.01$ . In addition, a target state is taken to initially be orthogonal to the initial actual state. The

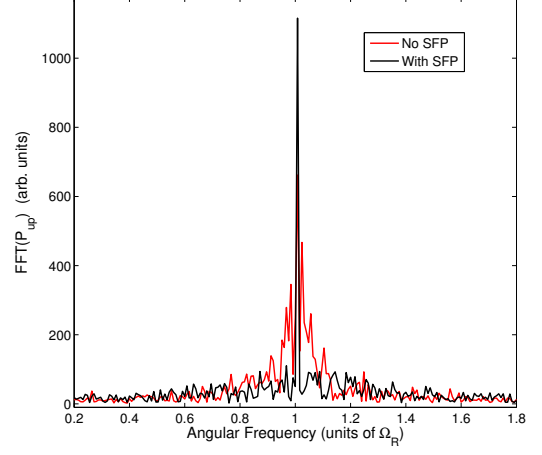


FIG. 5. Suppression of noise using SFP. The red curve shows the spectrum of a qubit oscillating in the presence of white noise on the drive field amplitude. The black curve shows the stabilized spectrum.

actual- and the target state are then time evolved by Rabi oscillations at the actual- and target frequencies respectively. To control the actual frequency a self-fulfilling prophecy approach is again used. We simply assume that the actual state is undergoing the dynamics of the target state. A sequence of unsharp measurements are made on the actual state, but each time the measurement is reversed by assuming that it is instead in the target state as predicted by the target dynamics. In Fig. 4 we plot the discrete Fourier transform of the probability for the qubit to be in the upper state. Without measurement and reversal the dashed red line indicates that the system is oscillating at the Rabi frequency  $\Omega_R = 1$ . The blue curve indicates that attempts to monitor the qubit using unsharp measurements induce significant measurement noise, leading to significant broadening of the oscillation spectrum. Once the reversals are initiated the noise is suppressed and the frequency shifts to the target frequency. This approach works as long as the sequential measurement strength,  $\gamma$ , is larger than the frequency detuning  $\delta = \Omega_R - \Omega_T$ .

SFP can also be used to suppress noise present in the unitary dynamics. In Fig. 5 we show the noise spectrum of a qubit oscillating in the presence of white noise on the amplitude of the drive field. The root-mean white noise field amplitude was  $1/2$  the drive field strength, thus leading to the broadened spectrum (red curve). Implementing SFP with  $\gamma = 0.4$  clearly leads to a strong suppression of the noise. We used 40 measurements per Rabi oscillation cycle and  $p_0 = 0.45$ .

Finally, we show that the state preparation scheme can be adapted to elicit qubit dynamics on its own, without an additional unitary dynamics. To this end we imagine the target state to change dynamically and adapt the feedback reversal to the instantaneous target state, but

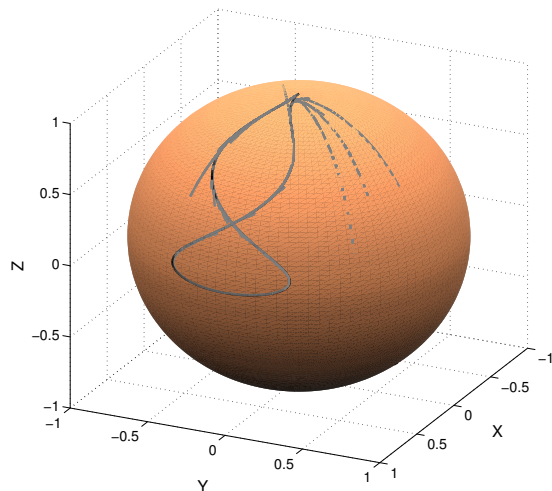


FIG. 6. A target dynamics forming a figure of eight on the Bloch sphere is imagined. SFP forces a qubit to execute this dynamics. Here the different gray curves are due to different choices for the actual initial state. Each curve quickly converges onto the target dynamics.

still execute the same unsharp measurement. As long as the imagined dynamics is slow compared to the timescale of convergence, the actual state will follow the target dynamics. This expectation is clearly borne out in Fig. 6.

Here we chose a figure-of-eight trajectory on the Bloch sphere for the target dynamics and three different starting points for the actual state. Over the trajectory completion time 10 000 measurements were executed using  $p_0 = 0.45$  as the strength of individual measurements. In units of the trajectory completion time the strength of the measurement sequence was  $\gamma = 100$ , indicating that the dynamics is strongly dominated by the measurement and feedback. From all three starting points the actual state quickly converges to the target state and then dynamically follows it. In the continuous measurement limit this constitutes a new class of measurement and feedback driven qubit dynamics. Unlike pure unitary evolution the dynamics can be preserved in the long time limit even in the presence of modest noisy influences.

The work in this paper was supported in part by the National Research Foundation of South Africa through grant no. 93602 as well as an award by the United States Airforce Office of Scientific Research, award no. FA9550-14-1-0151.

- 
- [1] W. Rosenfeld, D. Burchardt, R. Garthoff, K. Redeker, N. Ortegel, M. Rau, and H. Weinfurter. Event-ready bell test using entangled atoms simultaneously closing detection and locality loopholes. *Phys. Rev. Lett.*, 119:010402, 2017.
  - [2] Michael A. Nielsen and Isaac L. Chuang. *Quantum Computation and Quantum Information: 10th Anniversary Edition*. Cambridge University Press, New York, NY, USA, 10th edition, 2011.
  - [3] V. Giovannetti, Lloyd S., and Maccone L. Event-ready bell test using entangled atoms simultaneously closing detection and locality loopholes. *Nature Photonics*, 5:222, 2011.
  - [4] L. Diósi. Continuous quantum measurement and its formalism. *Phys. Lett. A*, 129:419–423, 1988.
  - [5] V. P. Belavkin. Nondemolition measurement, nonlinear filtering, and dynamic programming of quantum stochastic process. In Austin Blaquiére, editor, *Modeling and Control of Systems*, volume 121 of *Lecture Notes in Control and Information Sciences*, pages 245–265. Springer Berlin Heidelberg, 1989.
  - [6] H. M. Wiseman and G. J. Milburn. Quantum theory of field-quadrature measurements. *Phys. Rev. A*, 47:642, 1993.
  - [7] H. Carmichael. *An Open Systems Approach to Quantum Optics*. Springer Verlag, Berlin, 1993.
  - [8] A. N. Korotkov. Selective quantum evolution of a qubit state due to continuous quantum measurement. *Phys. Rev. B*, 63:115403, 2001.
  - [9] J. Audretsch, T. Konrad, and A. Scherer. A sequence of unsharp measurements enabling a real-time visualization of a quantum oscillation. *Phys. Rev. A*, 63:052102, 2001.
  - [10] C. Sayrin *et al.* Real-time quantum feedback prepares and stabilizes photon number states. *Nature*, 477:73, 2011.
  - [11] R. Vijay, C. Macklin, D.H. Slichter, S.J. Weber, K.W. Murch, R. Naik, A.N. Korotkov, and I. Siddiqi. Stabilizing rabi oscillations in a superconducting qubit using quantum feedback. *Nature*, 490:77–80, 2012.
  - [12] A.C. Doherty, S.M. Tan, A.S. Parkins, and D.F. Walls. State determination in continuous measurement. *Phys. Rev.*, A 60:2380, 1999.
  - [13] L. Diósi, T. Konrad, A. Scherer, and J. Audretsch. Coupled ito equations of continuous quantum state measurement and estimation. *J. Phys A*, 39:L575–L581, 2006.
  - [14] N.P. Oxtoby, J. Gambetta, and H.M. Wiseman. Model for monitoring of a charge qubit using a radio-frequency quantum point contact including experimental imperfections. *Phys. Rev.*, B 77:125304, 2008.
  - [15] T. Konrad and H. Uys. Maintaining quantum coherence in the presence of noise through state monitoring. *Phys. Rev. A*, 85:012102, 2012.
  - [16] M. Koashi and M. Ueda. Reversing measurement and probabilistic quantum error correction. *Phys. Rev. Lett.*, 82(12):2598, 1999.
  - [17] A.N. Korotkov and K. Keane. Decoherence suppression by quantum measurement reversal. *Phys. Rev. A*, 81:040103R, 2010.
  - [18] Jong-Chan Lee, Youn-Chang Jeong, Yong-Su Kim, and Kim Yoon-Ho. Experimental demonstration of decoherence suppression via quantum measurement reversal. *Optics Express*, 19(17):16309, 2011.
  - [19] Zhon-Xiao Man, Yun-Jie Xia, and Nguyen Ba An. Manipulating entanglement of two qubits in a common environment by means of weak measurements and quantum measurement reversals. *Phys. Rev. A*, 86:012325, 2012.



- [20] Y.S. Kim, J.C. Lee, O. Kwon, and Y.H. Kim. Protecting entanglement from decoherence using weak measurement and quantum measurement reversal. *Nat. Phys.*, 8:117, 2012.
- [21] K. Kraus. *States, Effects, and Operations*. Springer-Verlag, Berlin, 1983.
- [22] J. Audretsch, L. Diósi, and T. Konrad. Evolution of a qubit under the influence of a succession of weak measurements. *Phys. Rev. A*, 66:022310, 2002.
- [23] P. Rouchon. Fidelity is a sub-martingale for discrete-time quantum filters. *IEEE Transactions on Automatic Control*, 56(11):27432747, 2011.