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Dissociative recombination by frame transformation to Siegert pseudostates: A comparison with a numerically solvable model

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We present a simple two-dimensional model of the indirect dissociative recombination process. The model has one electronic and one nuclear degree of freedom and it can be solved to high precision, without making any physically motivated approximations, by employing the exterior complex scaling method together with the finite-elements method and discrete variable representation. The approach is applied to solve a model for dissociative recombination of H_2^+ in the singlet ungerade channels, and the results serve as a benchmark to test validity of several physical approximations commonly used in the computational modeling of dissociative recombination for real molecular targets. The second, approximate, set of calculations employs a combination of multi-channel quantum defect theory and frame transformation into a basis of Siegert pseudostates. The cross sections computed with the two methods are compared in detail for collision energies from 0 to 2 eV.

I. INTRODUCTION

Dissociative recombination, one of the most fundamental electron-induced chemical rearrangement processes, is important for understanding the chemical dynamics of interstellar clouds, as well as the chain of reactive processes in low temperature plasmas [1].

The frame transformation (FT) technique [2] is a well-established procedure to model rovibrationally inelastic collisions of electrons with neutral molecules and cations. Moreover, there has been a number of studies that successfully employed this technique for the dissociative recombination (DR) process



The molecular cations in these studies were H_2^+ [3], H_3^+ [4], LiH^+ [5, 6], NO_2^+ [7], $LiHe^+$ [8], LiH_2^+ [9], and HeH^+ [10, 11]. All of these calculations, except the LiH_2^+ and HeH^+ cases, used Siegert pseudostates [12, 13] for the nuclear vibrational basis and they all exploited the following two-step procedure:

1. The fixed-nuclei S matrix is frame-transformed into a subset of nuclear Siegert pseudostates $\phi_j(R)$. This subset contains real-valued bound states and complex-valued outgoing-wave states that discretize the nuclear continuum. Stability of the results is typically tested for various sizes of the nuclear box and number of the continuum states. The frame transformation formula comes as a modification of the well-known FT expression [2] with addition of a surface term as

$$S_{jj'} = \int_0^a dR \phi_j(R) S(R) \phi_{j'}(R) + i \frac{\phi_j(a) S(a) \phi_{j'}(a)}{K_j + K_{j'}}, \quad (2)$$

where $S(R)$ represent a body-frame fixed-nuclei S -matrix and the eigenmomenta K_j correspond to Siegert eigenenergies $E_j = K_j^2/2M$. This formula appears for the first time in work of Hamilton and Greene [3] in 2002 and in Hamilton's PhD thesis [14]. While it has a correct limit for small quantum defects (giving orthogonality of the Siegert pseudostates), its derivation has never been explained nor published. It has been called an *ad hoc* formula in [10] where its validity was questioned. Nonetheless, the expression (2) is one of the cornerstones for most of the studies listed above.

2. After the elimination of the closed electronic channels the DR rate is computed in a form of the missing electronic flux. The physical S matrix S^{phys} appears to be subunitary in

the nuclear basis of the bound and outgoing-wave Siegert pseudostates. This means that the electronic flux is being lost during the collision. Hamilton and Greene [3] realized that the only way to lose the electronic flux is through electronic recombination and following dissociation.

All the studies listed above used this physical reasoning and the DR cross section for the initial state labeled with j' was calculated as

$$\sigma_{j'} = \frac{\pi}{2(E - \epsilon_{j'})} \left[1 - \sum_j S_{jj'}^{phys}(E) S_{j'j}^{phys\dagger}(E) \right], \quad (3)$$

where E is the total energy and $\epsilon_{j'}$ is the initial channel energy.

While this two-step computational strategy produced the state-of-the-art theoretical DR cross sections data, it is clear that it contains two major theoretical leaps that require physical explanation or/and well-controlled numerical evidence. Therefore, the goal of the present study is to provide firmer theoretical grounds for the expression (2) and to convince the reader that the ideas behind the second step are indeed correct. For the latter we choose to provide numerical evidence by comparing the results with benchmark data which are obtained with a numerically solvable model of H_2^+ in two dimensions, with one electronic and one nuclear coordinate. The model is similar to the two-dimensional model of resonant electron-molecule collisions, which was introduced in [15] and used to test the local and nonlocal theory of nuclear dynamics of these collisions [15, 16]. The numerical technique used to solve this model is based on the exterior complex scaling approach combined with the finite-elements method and discrete variable representation [17, 18] and the same numerical approach is also used in the present study with limitations described below.

Adaptation of this 2D model of vibrational excitation and dissociative electron attachment for dissociative recombination of H_2^+ will be described in Sec. II. In Sec. III we demonstrate how the FT formula (2) can be derived more rigorously and we also describe the multi-channel quantum defect (MQDT) procedure applied to the present model system. The DR cross sections are compared in detail in Sec. IV for the collision energy range 0–2 eV. In Sec. V we comment on the differences between the two approaches and we also discuss possible improvement and outlook for the FT procedure. Finally, the mathematical detail of the expansion in Siegert basis pseudostates in our derivation of Eq. (2) are given in the Appendix.

If not stated otherwise, all relations and values in tables are in atomic units, in which $\hbar = m_e = e = 4\pi\epsilon_0 = 1$. Internuclear distances are given in units of the Bohr radius $5.291\,772 \times 10^{-11}$ m, cross sections in units of $\text{Bohr}^2 = 2.800\,285 \times 10^{-21}$ m².

II. NUMERICALLY SOLVABLE H_2^+ -LIKE MODEL

A. Theoretical description

Our goal is to abstain from all the physical approximations (e.g. Born-Oppenheimer approximation) and solve a 2-dimensional problem in which the nuclei are represented by the first dimension R and the electron is represented by the second dimension r . The model Hamiltonian employed in the present paper is

$$H(R, r) = H_0(R, r) + V(R, r) = H_N(R) + H_e(r) + V(R, r), \quad (4)$$

with

$$H_N = -\frac{1}{2M} \frac{\partial^2}{\partial R^2} + V_0(R), \quad (5)$$

$$H_e = -\frac{1}{2} \frac{\partial^2}{\partial r^2} - \frac{1}{r} + \frac{l(l+1)}{2r^2}, \quad (6)$$

where $V_0(R)$ is the ground state potential curve of the $2\Sigma_g^+$ state of the H_2^+ ion, approximated by the Morse potential in the present study

$$V_0(R) = D_0 \left(e^{-2\alpha_0(R-R_e)} - 2e^{-\alpha_0(R-R_e)} \right), \quad (7)$$

with $D_0 = 0.1027$ Hartree, $\alpha_0 = 0.69 \text{ Bohr}^{-1}$, $R_e = 2.0 \text{ Bohrs}$. The symbol $M = 918.076 \text{ a.u.}$ denotes the reduced mass of H_2^+ , and l is the angular momentum of the incoming electron. The interaction $V(R, r)$ coupling the electronic and nuclear degrees of freedom is taken from Edward Hamilton's PhD thesis [14]

$$V(R, r) = -\alpha_1 \left(1 - \tanh \frac{\alpha_2 - R - \alpha_3 R^4}{7} \right) \tanh^4 \left(\frac{R}{\alpha_4} \right) \frac{e^{-r^2/3}}{r}, \quad (8)$$

where $\alpha_1 = 1.6435$, $\alpha_2 = 6.2$, $\alpha_3 = 0.0125$, and $\alpha_4 = 1.15$. The form of the potential in Eq. (8) taken together with the potentials in Eqs. (5) and (6) is designed to mimic the $1\Sigma_u$ Rydberg states of H_2 as will be described in detail below.

The total wave function $\psi_E^+(R, r)$ satisfying the Schrödinger equation

$$(E - H)\psi_E^+(R, r) = 0 \quad (9)$$

can be split into the initial and scattered parts as

$$\psi_E^+(R, r) = \psi_{in}(R, r) + \psi_{sc}(R, r), \quad (10)$$

$$(E - H_0)\psi_{in}(R, r) = 0. \quad (11)$$

The scattered part is then a solution of the so-called driven Schrödinger equation

$$(E - H)\psi_{sc}(R, r) = V(R, r)\psi_{in}(R, r) \quad (12)$$

with the initial state

$$\psi_{in}(R, r) = \chi_{j'}(R)\Phi_{k_{j'}, l}(r), \quad (13)$$

constructed from a bound nuclear state $\chi_{j'}(R)$ and a free incoming electronic state defined by the momentum $k_{j'}$. These states are eigenstates of the following Hamiltonians

$$H_N(R)\chi_{j'}(R) = \epsilon_{j'}\chi_{j'}(R), \quad (14)$$

$$H_e(r)\Phi_{k_{j'}, l}(r) = \frac{k_{j'}^2}{2}\Phi_{k_{j'}, l}(r). \quad (15)$$

The function $\Phi_{k_{j'}, l}$ is thus an energy-normalized spherical Coulomb function and obviously $E = \epsilon_{j'} + k_{j'}^2/2$.

The asymptotic boundary condition for the scattered wave gives

$$\psi_{sc}(R, r) \xrightarrow{R \rightarrow \infty} \sqrt{\frac{2}{\pi k_{j'}}} \sum_n f_{j' \rightarrow n}^{\text{DR}} \rho_n(r) e^{iK_n R}, \quad (16)$$

where $f_{j' \rightarrow n}^{\text{DR}}$ is the DR scattering amplitude from the initial vibrational state j' to the final Rydberg state ρ_n . The Rydberg state function $\rho_n(r)$ of the electron satisfies

$$[H_e + V_\infty(r)]\rho_n(r) = E_n \rho_n(r), \quad (17)$$

where $V_\infty(r) = \lim_{R \rightarrow \infty} V(R, r)$ and again $E = E_n + \frac{K_n^2}{2M}$.

In case of dissociative recombination the outgoing states are a product of an unperturbed nuclear continuum state with momentum K_n with zero angular momentum and a bound n -th Rydberg state of the electron $\rho_n(r)$ with energy E_n

$$\psi_{out, n}(R, r) = \sqrt{\frac{2M}{\pi K_n}} \sin(K_n R) \rho_n(r). \quad (18)$$

These states are energy-normalized solutions to the Schrödinger equation with the DR channel Hamiltonian

$$H_{\text{DR}}(R, r) = -\frac{1}{2} \frac{\partial^2}{\partial r^2} - \frac{1}{2M} \frac{\partial^2}{\partial R^2} - \frac{1}{r} + \frac{l(l+1)}{2r^2} + V_\infty(r), \quad (19)$$

which is the limit of the original full Hamiltonian (4) for large internuclear distances R .

The T matrix for the DR channel is then expressed as

$$T_{j' \rightarrow n}^{\text{DR}}(E) = \langle \psi_{out, n} | V_{\text{DR}} | \psi_E^+ \rangle, \quad (20)$$

with the channel potential

$$V_{\text{DR}}(R, r) = V(R, r) - V_{\infty}(r) + V_0(R). \quad (21)$$

Finally, the resulting DR cross section for the initial vibrational state j' and the final Rydberg state n is given by

$$\sigma_{j' \rightarrow n}^{\text{DR}}(E) = \frac{4\pi^3}{k_{j'}^2} |T_{j' \rightarrow n}^{\text{DR}}(E)|^2. \quad (22)$$

B. Relation to the DR of H_2^+

The dissociative recombination of H_2^+ cations is initiated through several non-interacting (or weakly interacting) pathways [19, 20]. The pathways can be divided into singlet and triplet states. It has been shown [21] that the contribution of the triplets is generally smaller than that of the singlets by more than one order of magnitude. The next point to consider is the fact that the gerade and ungerade symmetries are qualitatively different. The singlet gerade symmetry $^1\Sigma_g$ has a direct pathway associated with the lowest doubly excited state $(2p\sigma_u)^2$, which is accessible already at zero collision energy. There have been numerous studies [22–26] describing quantitatively how this

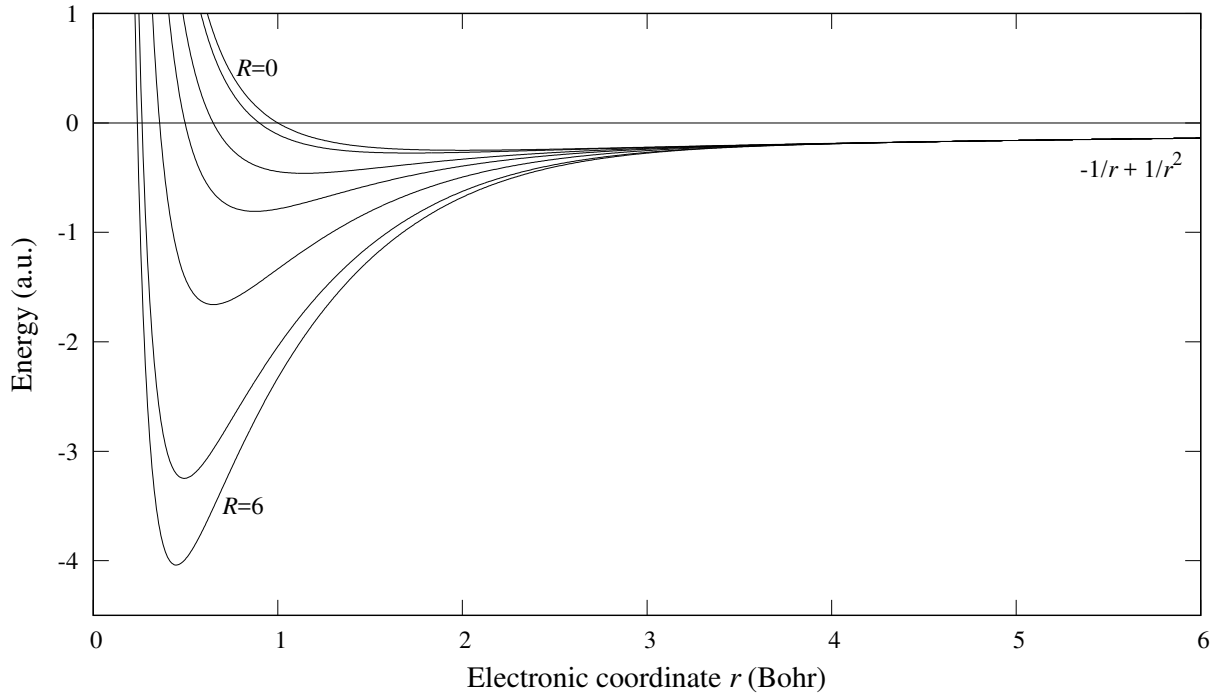


FIG. 1. The effective potentials $V(R, r) - 1/r + 1/r^2$ are shown as functions of the electronic distance r for 7 internuclear distances $R = 0, 1, 2, 3, 4, 5, 6$.

resonance perturbs the Rydberg states ($1s\sigma_g n s\sigma_g$) and ($1s\sigma_g n d\sigma_g$) leading to the double-minimum structures of $2^1\Sigma_g^+$ (EF), $3^1\Sigma_g^+$ (GK), and $4^1\Sigma_g^+$ ($H\bar{H}$) states.

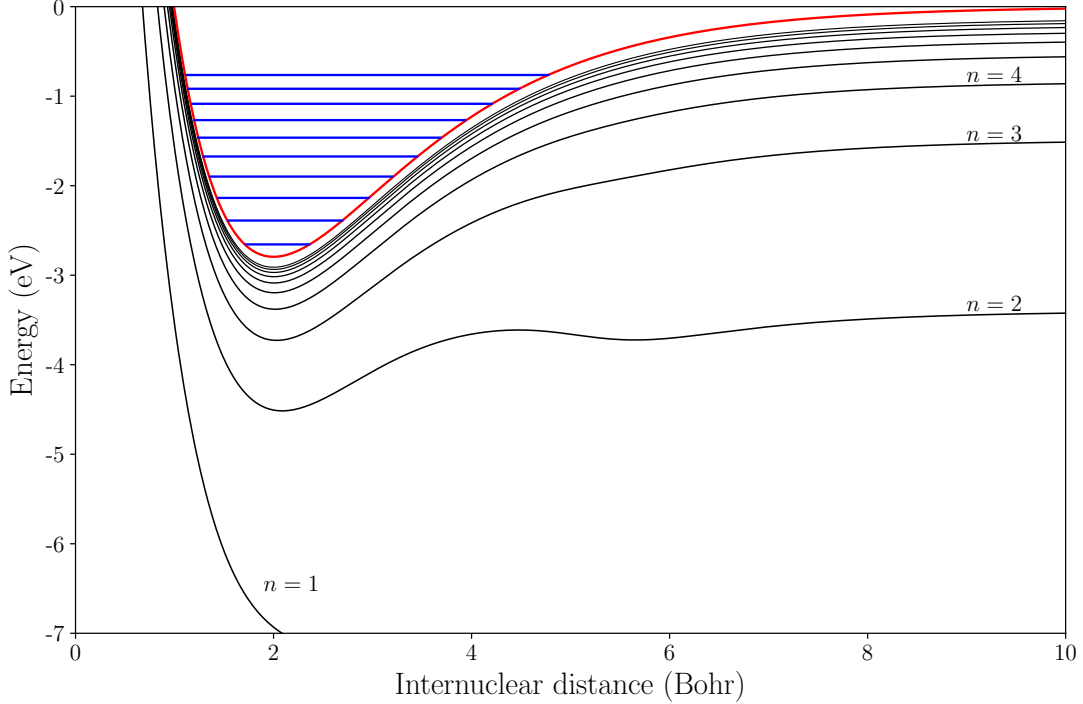


FIG. 2. (Color online) Born-Oppenheimer potential energy curves of the H_2^+ model. The curve of $\text{H} + \text{H}^+$ (the potential $V_0(R)$ in the 2D model) is shown by a red line, while the neutral excited states of $\text{H} + \text{H}(n)$ are displayed with the black lines. Blue lines display vibrational energy levels of the cation.

While the direct pathway in the $^1\Sigma_g$ symmetry gives the correct order of magnitude for the cross section, it cannot describe by itself the oscillations seen in experimental cross section data below 1 eV collision energy [27–29]. These oscillations, created by primarily destructive interference of the direct and indirect mechanisms, underscore the importance of the indirect process mediated by the higher Rydberg states attached to the excited rovibrational states of the system.

The situation is somewhat different for the ungerade $^1\Sigma_u$ states because the lowest doubly promoted $(4f\sigma)^2$ state providing a direct mechanism is placed well outside of the Franck-Condon region accessible at low collision energies [26, 30, 31]. Therefore, dissociative recombination in $^1\Sigma_u$ channels is dominated by the indirect process. However, the importance of the $^1\Sigma_u$ pathway in the low-energy DR, to our knowledge, has not been discussed quantitatively in the literature. Hence, the aim of the present study is not only to provide numerical evidence for the validity and accuracy of the frame transformation technique but also to give a quantitative estimate of the strength of the $^1\Sigma_u$ channels in the H_2^+ dissociative recombination.

There are only 3 elements of the 2D model that need to be set up in order to tailor it to the H_2^+ dissociative recombination in $^1\Sigma_u$ symmetry. The cation potential energy curve (7) and the partial wave $l = 1$ in the electronic Hamiltonian (6) are the straightforward choices. The last quantity, the electronic potential $V(R, r)$ defined in Eq. (8), calls for more detailed explanation. It has been chosen approximately describe the Born-Oppenheimer $^1\Sigma_u$ Rydberg states. These ($1s\sigma_g np\sigma$) states of the united atom dissociate with the principal quantum numbers lowered by one unit, into $n - 1$ (often referred to as the Mulliken orbital promotion) [30]. Hence, the effective potential $V(R, r) - 1/r + 1/r^2$, shown in Fig. 1, becomes more attractive for larger R -values. The attraction flattens out once the internuclear distance R reaches values above 6 Bohr radii.

In order to judge the realistic nature of the model one can compare the resulting Born-Oppenheimer potential curves shown in Fig. 2 with the $^1\Sigma_u$ curves of the H_2 molecule. Equivalently,

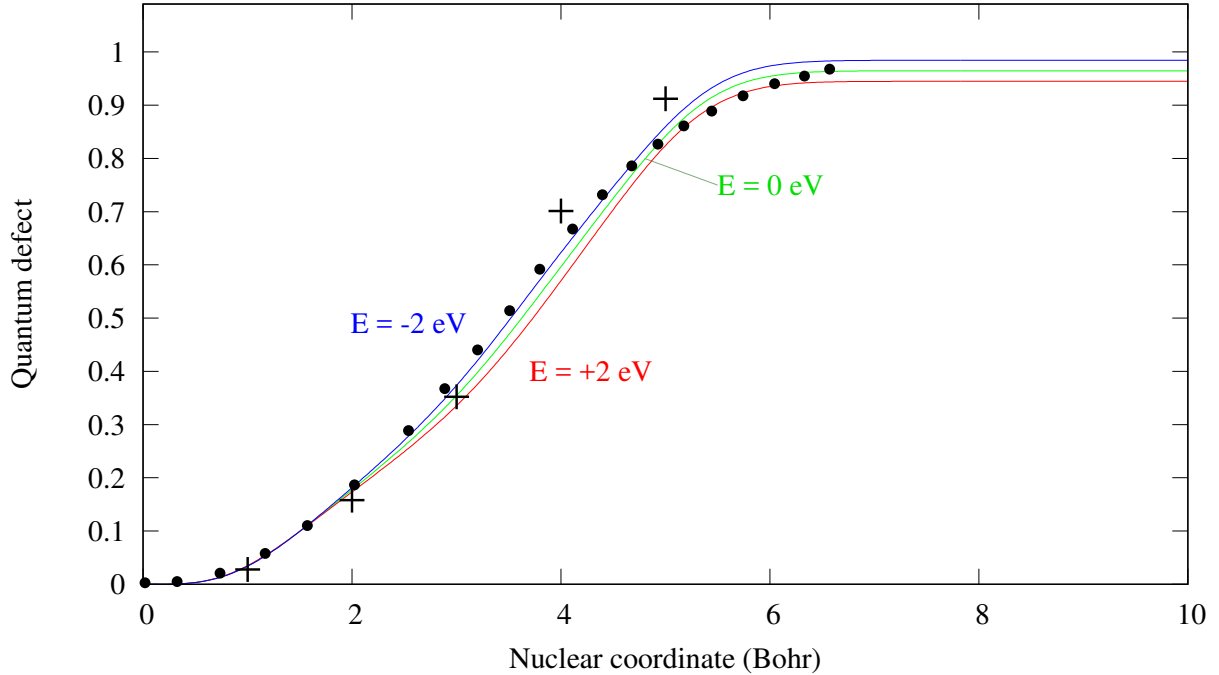


FIG. 3. (Color online) Quantum defect $\mu(E, R)$ of the present model shown for three different energies, $E = -2$ eV, 0 eV, $+2$ eV. The black circles are zero-energy quantum defects of $^1\Sigma_u$ states of H_2 computed by Jungen and Atabek (1977) [30]. Crosses represent quantum defects for $5p\sigma_u$ state of H_2 computed by the variational R -matrix method [32].

one can compare the negative-energy quantum defects $\mu(E_n, R)$ extracted from the discrete set of Born-Oppenheimer bound-state energies $E_n(R)$ by use of the Rydberg formula

$$E_n = V_0(R) - \frac{1}{2[n - \mu(E_n, R)]^2}, n > 1. \quad (23)$$

The resulting R -dependence of the quantum defect $\mu(E, R)$ is shown in Fig. 3 for three selected energies E . The positive-energy quantum defect was obtained with a one-dimensional R -matrix method applied to the electronic part of the Born-Oppenheimer Hamiltonian $H_e(R) + V_0(R) + V(R, r)$ which is parametrically dependent on R . Comparison of the quantum defects in Fig. 3 suggests that the present Born-Oppenheimer potential curves shown in Fig. 2 represent reasonably well the $(np\sigma_u)$ states of H_2 for $n > 1$. For $n = 1$ the model potential gives an unphysical p -wave state with the asymptotic energy of -37.5 eV ($n = 1$ curve in Fig. 2). It will become clear below that the DR probability into this unphysical state can be neglected, because it is strongly Franck-Condon suppressed.

C. Numerical implementation

The actual solution of Eq. (12) was obtained by using a combination of the finite-element method (FEM), the discrete variable representation (DVR), and the exterior complex scaling (ECS) method [17]. The FEM-DVR method serves to discretize the continuous variables (electronic and nuclear coordinates) by dividing the assumed region into several finite elements (FEM) and then creating a basis function set on each element (DVR). Specifically, the DVR basis is made up of Lagrange interpolation polynomials through Gauss-Lobatto quadrature points on each finite element (additionally altered by certain boundary conditions). This basis can then be used to approximate any function (with some degree of accuracy) on the aforementioned assumed region.

Lastly, the exterior complex scaling (ECS) is a method of bending a coordinate (let us say R) into the complex plane at some point R_0 . This point should be far beyond the interaction region. So the new coordinate R' satisfies

$$R'(R) = \begin{cases} R, & R < R_0, \\ R_0 + (R - R_0)e^{i\theta}, & R \geq R_0, \end{cases} \quad (24)$$

where θ is a bending angle. The main advantage of the ECS approach is that it unifies the asymptotic boundary conditions for bound and outgoing continuum states. In the present two-dimensional model we employ the ECS method for both the electronic r and nuclear R coordinates.

The final values of parameters of the FEM-DVR grids for the $e^- + \text{H}_2^+$ DR model are presented in Table I. They were settled on after extensive tests of convergence. Noticeably large endpoints of complex grids are needed to obtain converged results near the Rydberg thresholds. During the tests procedures we focused mainly on the energy regions where the new DR channels open. Problems with convergence near vibrational excitation thresholds are discussed below. We should note that

the bending angle θ for the nuclear coordinate R in the present model must be less than $\pi/8$ and for the electronic coordinate r less than $\pi/4$ to avoid divergence of $V(R, r)$ for large R and r , respectively. The spherical Coulomb functions are evaluated using COULCC routines [33].

| Electronic coordinate parametrization, $n_q = 6$, $\theta = 20^\circ$ | | | | | | | |
|--|--------|--------|--------|--------|--------|--------|----------|
| Real part | | | | | | | |
| Endpoints | 1.0 | 4.0 | 20.0 | 100.0 | 1300.0 | - | |
| No. of elements | 8 | 12 | 8 | 16 | 120 | - | |
| Complex scaled part | | | | | | | |
| Endpoints | 1350.0 | 1400.0 | 1500.0 | 1700.0 | 2000.0 | 3000.0 | 100000.0 |
| No. of elements | 5 | 2 | 1 | 1 | 1 | 1 | 5 |
| Nuclear coordinate parametrization, $n_q = 6$, $\theta = 20^\circ$ | | | | | | | |
| Real part | | | | | | | |
| Endpoints | 1.0 | 3.0 | 4.0 | 12.0 | - | | |
| No. of elements | 12 | 24 | 12 | 120 | - | | |
| Complex scaled part | | | | | | | |
| Endpoints | 12.5 | 14.0 | 18.0 | 58.0 | 200.0 | 1000.0 | 10000.0 |
| No. of elements | 8 | 6 | 2 | 4 | 3 | 3 | 3 |

TABLE I. The final values of parameters of the FEM-DVR grids used in calculations. Both grids start at 0.0. The number of elements under each endpoint is the number of finite elements on the interval between the previous and the respective endpoint. For example, the first real-part interval $[0.0, 1.0]$ is split into 8 elements. All the listed "Endpoints" values are in atomic units. The value n_q is the Gauss-Lobatto quadrature order and θ is the ECS bending angle.

The strength of the present approach lies in the fact that it makes no *physical* approximations. The only approximations are of a numerical nature (e.g. discretizing continuous variables). There is however one drawback (aside from the calculations being time consuming) stemming from the chosen numerical approach. Ideally, when plotting the energy dependence of the cross section $\sigma_{j' \rightarrow n}^{\text{DR}}(E)$, there would be an infinite number of closed-channel resonances accumulating just below each vibrational threshold corresponding to an infinite number of Rydberg states. Any numerical implementation, however, works with a finite range of the electronic grid, giving only a finite number of these Rydberg states. Therefore, there will always exist a particular energy window, just below every vibrational excitation threshold, where the computed cross sections are incorrect and not converged. The cross section in such a window is dominated by vibrational Feshbach resonances describing a neutral state in which the molecule is vibrationally excited (to a vibrational state

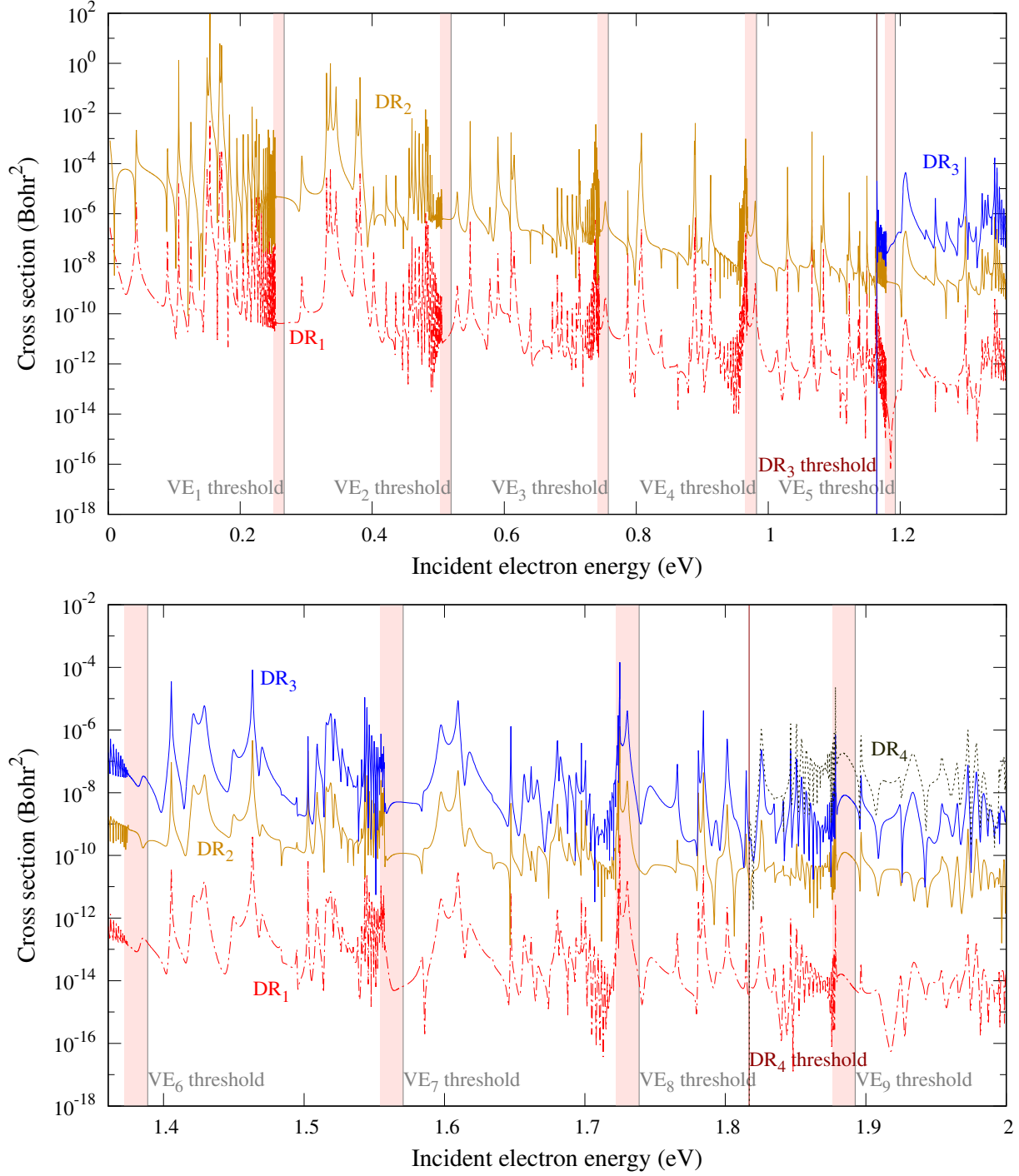


FIG. 4. (Color online) The dissociative recombination cross sections of the first four Rydberg channels. The light pink regions show where the calculated values are not converged (see the text). DR_{*n*} labels the DR cross section $\sigma_{j' \rightarrow n}^{\text{DR}}(E)$ for *n*-th Rydberg channel and initial vibrational state $j' = 0$.

corresponding to the threshold) and the colliding electron becomes bound in a high-*n* Rydberg state. One can shrink these regions by enhancing the maximum electronic grid distance, but it is

impossible to remove these energy windows completely. These shortcomings are demonstrated as light pink energy windows in Fig. 4 displaying the computed DR cross section as a function of the collision energy up to 2 eV. The number of Rydberg states which are well represented on the final grid given in Table I is about 20. Figs. 2 and 4 show that at zero collision energy, channels with $n = 1, 2$ are open. In the examined collision energy range 0–2 eV another 2 Rydberg channels, $n = 3$ and $n = 4$, become open and they are labeled by DR₃ and DR₄, respectively.

III. FRAME TRANSFORMATION

Having computed the DR cross sections (Fig. 4) of the model Hamiltonian (4) with no physical approximation assumed, we can now proceed to an approximate approach employing the frame transformation (FT). We start with the two-dimensional Schrödinger equation with the model Hamiltonian (4)

$$\left[-\frac{1}{2} \frac{\partial^2}{\partial r^2} + \frac{l(l+1)}{2r^2} - \frac{1}{r} - E + H_N(R) + V(R, r) \right] h(R, r) = 0. \quad (25)$$

Following the standard FT approach [2], this equation can be solved inside a sphere $r \leq r_0$ that confines the electronic coordinate near the molecule. Within such confinement the internuclear distance R is a good quantum number and the Born-Oppenheimer approximation holds. Since we assume that the interaction is characterized by a pure Coulomb potential outside the sphere ($V(R, r) = 0$ for $r \geq r_0$), the Schrödinger equation (25) becomes separable (in the electronic and nuclear coordinates) for $r \geq r_0$. Consequently, the j' -th independent solution of (25) can be written as a linear combination of the two separable solutions $\chi_j(R)f_j^-(r)$, $\chi_j(R)f_j^+(r)$ as

$$h_{j'}(R, r) \xrightarrow{r \geq r_0} \sum_j \chi_j(R) \left[f_j^-(r) \delta_{jj'} - f_j^+(r) S_{jj'} \right], \quad (26)$$

where the asymptotic wave functions $f_j^-(r)$ and $f_j^+(r)$ are incoming- and outgoing-wave Coulomb functions, respectively. The nuclear functions $\chi_j(R)$ are the eigenstates of the nuclear Hamiltonian H_N , and the matrix elements $S_{jj'}$ are results of the standard frame-transformation integral [2]

$$S_{jj'} = \int dR \chi_j^*(R) e^{2\pi i \mu(R)} \chi_{j'}(R), \quad (27)$$

where $\mu(R)$ is quantum defect and $\chi_j(R)$ satisfy simple orthonormality relations

$$\int dR \chi_j^*(R) \chi_{j'}(R) = \delta_{jj'}. \quad (28)$$

A. Frame transformation into Siegert pseudostates

In the Appendix A we demonstrate, that it is possible to solve the Schrödinger equation (25) via expansion of its j' -th independent solution into a presumably complete subset of N Siegert pseudostates $\phi_j(R)$

$$h_{j'}(R, r) = \sum_{j=1}^N \phi_j(R) g_{jj'}(r). \quad (29)$$

This expansion allows us to solve the two-dimensional equation (25) in the form of a coupled set of N one-dimensional equations (in the coordinate r)

$$\left[-\frac{1}{2} \frac{d^2}{dr^2} + \frac{l(l+1)}{2r^2} - \frac{1}{r} - (E - \epsilon_j) \right] g_{jj'}(r) + \sum_{m=1}^N V_{jm}(r) g_{mj'}(r) = 0, \quad (30)$$

where the exact form of the coupling potential $V_{jm}(R)$ can be found in the Appendix. Note, that the channel thresholds ϵ_j and the channel-coupling elements V_{jm} are complex for the nuclear basis formed from Siegert pseudostates.

Our next step concerns the frame transformation of the S matrix into the basis of the Siegert pseudostates. The behavior of the interaction coupling matrix $V_{jm}(r)$ at short distances makes the numerical solution of (30) very challenging. The nuclear asymptotic channel functions $\phi_j(R)$ become strongly coupled when the scattered electronic coordinate r approaches the molecular target, say for $r \leq r_0$. In this regime the Born-Oppenheimer quantization defined by the fixed R gives a better description than the nuclear channel functions $\phi_j(R)$ for real molecular applications, as mentioned above.

The remaining derivation follows the concept for the energy-dependent frame transformation of Gao and Greene [34]. The j' -th independent Born-Oppenheimer solution $h_{j'}(R, r)$ of the full Hamiltonian (4) inside the sphere can be written at its boundary $r = r_0$ as a product of the electronic solution at fixed R and the nuclear wave function $\phi_{j'}(R)$:

$$h_{j'}(R, r_0) = \phi_{j'}(R) \left[f_{j'}^-(r_0) - f_{j'}^+(r_0) e^{2\pi i \mu(E_{j'}, R)} \right], \quad (31)$$

where $f_{j'}^-(r)$ and $f_{j'}^+(r)$ are the incoming- and outgoing-wave Coulomb functions evaluated with the body-frame momentum $k_{j'} = \sqrt{2E_{j'}} = \sqrt{2(E - \epsilon_{j'})}$.

In the outer region, for $r \geq r_0$, the solution of (25) is expressed in terms of two separable solutions $\phi_j(R) f_j^-(r)$ and $\phi_j(R) f_j^+(r)$. Since there is no special guide to match the two independent solutions of the inner and outer regions (each uses a different quantization scheme), one needs to

construct a general linear combination of the outer solutions. Therefore, the matching equation has the following form:

$$\phi_{j'}(R) \left[f_{j'}^-(r_0) - f_{j'}^+(r_0) e^{2\pi i \mu(E_{j'}, R)} \right] = \sum_m \phi_m(R) \left[f_m^-(r_0) A_{mj'} - f_m^+(r_0) B_{mj'} \right] \quad (32)$$

$$\downarrow F_j[\cdot]$$

$$f_{j'}^-(r_0) \delta_{jj'} - f_{j'}^+(r_0) S_{jj'} = f_j^-(r_0) A_{jj'} - f_j^+(r_0) B_{jj'} , \quad (33)$$

where

$$S_{jj'} = \int_0^a dR \phi_j(R) e^{2\pi i \mu(E_{j'}, R)} \phi_{j'}(R) + i \phi_j(a) \left[\left(K_j - i \frac{d}{dR} \right)^{-1} e^{2\pi i \mu(E_{j'}, R)} \phi_{j'}(R) \right]_{R=a} . \quad (34)$$

The form of the functional $F_j[\cdot]$, acting on the variable R on both sides of Eq. (32), is presented in the Appendix. The coefficients $A_{jj'}$ and $B_{jj'}$ can be obtained by using the facts that the Wronskians of f_j^\pm are independent of r ,

$$\begin{aligned} B_{jj'} &= \frac{-1}{[f_j^+, f_j^-]} \left\{ [f_{j'}^-, f_j^-] \delta_{jj'} - [f_{j'}^+, f_j^-] S_{jj'} \right\} \xrightarrow{\text{energy-independent}}^{f^+, f^-} S_{jj'} \\ A_{jj'} &= \frac{1}{[f_j^-, f_j^+]} \left\{ [f_{j'}^-, f_j^+] \delta_{jj'} - [f_{j'}^+, f_j^+] S_{jj'} \right\} \xrightarrow{\text{energy-independent}}^{f^+, f^-} \delta_{jj'} . \end{aligned} \quad (35)$$

where $[f, g] = fg' - gf'$ denotes the Wronskian of two functions.

Therefore, if we neglect the energy dependence of the Coulomb functions at the point r_0 , i.e. $f_j^\pm = f_{j'}^\pm$, the LAB-frame j' -th independent solution of (25) can be written, for $r \geq r_0$, in terms of the body-frame quantum defect $\mu(E_{j'}, R)$ as

$$h_{j'}(R, r) = \sum_j \phi_j(R) \left[f_j^-(r) \delta_{jj'} - f_j^+(r) S_{jj'} \right] . \quad (36)$$

Finally, in the nuclear asymptotic region, where the quantum defect $\mu(E_{j'}, R)$ converges to an R -independent atomic quantum defect, Eq. (34) can be simplified to

$$S_{jj'} = \int_0^a dR \phi_j(R) e^{2\pi i \mu(E_{j'}, R)} \phi_{j'}(R) + i \frac{\phi_j(a) e^{2\pi i \mu(E_{j'}, a)} \phi_{j'}(a)}{K_j + K_{j'}} , \quad (37)$$

which is the *ad hoc* formula utilized in some of the previous DR studies [3–8, 11]. Therefore, it is clear that the formula (37) generates mathematically correct coefficients in expansion (36) for $r > r_0$.

In order to carry out the vibrational frame transformation (37) one needs to know the short-range quantum defect $\mu(E, R)$ obtained from the fixed-nuclei version of the Schrödinger equation (25). Apart from any regime of narrow resonances, the quantum defect $\mu(E, R)$ is usually a

weak function of energy, owing to the presence of the strong Coulomb field. This weak energy dependence of $\mu(E, R)$ was already demonstrated in Fig. 3. Consequently, the energy dependence of the quantum defect μ is neglected in the present study and all the presented results are derived from an energy-independent frame transformation of the zero-energy quantum defect $\mu(0, R)$.

The energy-independent FT presented here consists of two important steps. In the first step the energy dependence of the asymptotic Coulomb functions f_j^\pm is neglected in Eq. (35). In the second step the energy dependence of the phase shift or quantum defect $\mu(E, R)$ shown in Fig. 3 is neglected. It is important to note that DR is dominated by the quantum defect values from the Franck-Condon region of the initial vibrational state. In case of the initial ground vibrational state, centered around 2 Bohrs, we observe a very weak energy dependence of $\mu(E, R)$. However, for higher initial vibrational states that reach out to larger values of R , Fig. 3 suggests that the energy dependence of μ may become more important.

A final note is made concerning the third step that should be considered for the energy-independent FT. Formally, the left side of Eq. (32) should be multiplied by a normalization function $N(E_{j'}, R)$ [34, 35] because the Born-Oppenheimer solution inside the electronic sphere $r \leq r_0$ must be normalized independently of R . However, the normalization factor $N(E_{j'}, R)$ explodes to infinity in the unphysical limit in which the energy dependence of f_j^\pm and $\mu(E, R)$ are neglected simultaneously, as in the present case. Thus no simple limit for the energy-independent FT exists here. Fortunately, it has been shown previously [35] that in this case the normalization factor N will drop out if the vibrational basis is complete. Therefore, since the present derivation was focused on the introduction of the Siegert pseudostates into the FT theory, we decided to simplify the equations by omitting the normalization factor from the beginning.

B. Cross section

It is important to emphasize here that the complex coefficients (34) in Eq. (36) are a result of mathematical operations and calling them S -matrix elements in the physical sense may be incorrect. Although the asymptotic expression (36) for $h_{j'}(R, r)$ takes a familiar form, the nuclear states $\phi_j(R)$ are not orthogonal in the conventional sense (28). As a consequence, the frame transformed S -matrix elements $S_{jj'}$ do not preserve the original eigenphases – one of the properties that was criticized in Ref. [10]. We believe, that although $S_{jj'}$ probably should not be called S -matrix elements, the asymptotic form (36) is sufficient to determine the probability flux distribution in different nuclear channels.

As a first step, however, one needs to eliminate the exponentially growing components of the $h_{j'}(R, r)$ function in (36). This is done by the standard MQDT technique called "elimination of the closed channels" [36, 37]. This procedure brings a strong energy dependence into the physical S matrix via (apart from a phase factor)

$$S^{phys}(E) = S_{oo} - S_{oc} \left[S_{cc} - e^{-2i\beta(E)} \right]^{-1} S_{co}, \quad (38)$$

where $\beta(E)$ is a diagonal matrix of effective Rydberg quantum numbers with respect to the closed-channel thresholds ϵ_j

$$\beta(E)_{jj'} = \frac{\pi \delta_{jj'}}{\sqrt{2(\epsilon_j - E)}}, \quad (39)$$

and $S_{oo}, S_{co}, S_{oc}, S_{cc}$ are at present the energy independent sub-matrices of the original energy-independent S matrix

$$S = \begin{pmatrix} S_{oo} & S_{oc} \\ S_{co} & S_{cc} \end{pmatrix}, \quad (40)$$

according to which channels are open and closed at the given energy. The $r \rightarrow \infty$ asymptote of the total wave-function in the electronic open-channel space, with an exponential decay in the closed-channel space, can be written as (apart from a phase factor)

$$\bar{h}_{j'}(R, r) \xrightarrow{r \rightarrow \infty} \frac{1}{2\pi k_{j'}} \left[\phi_{j'}(R) e^{-ik_{j'}r} - \sum_{j=1}^{N_o} \phi_j(R) e^{ik_j r} S_{jj'}^{phys} + \sum_{j=N_o+1}^N \phi_j(R) e^{-k_j r} Z_{jj'} \right], \quad (41)$$

where N_o is the number of open channels. The closed-channel coefficients $Z_{jj'}$ can be found in the literature [37].

Owing to the complex nature of the Siegert pseudostates the physical S matrix S^{phys} becomes subunitary. The subunitarity is predominantly a result of the channel elimination procedure that combines the channel solutions in such a way that only exponentially decreasing parts of the electronic wave function survive in the close nuclear channels represented by the outgoing Siegert pseudostates with a finite lifetime. While the third term on the r.h.s. of (41) clearly represents a portion of the total wave function in which the electron is described by a combination of the exponentially decaying functions with the nuclear components having the outgoing-wave boundary conditions, at present we are not able to recast this term into Eq. (16) in which the electronic energies are discrete (atomic Rydberg states) and the nuclear energies are continuous (nuclear kinetic energy release).

Hamilton and Greene [3] postulated that all of the probability flux lost from the open channels can be associated with a trapped Rydberg electron in closed channels that represent dissociative

states. Thus the subunitarity of S^{phys} is caused by missing dissociation probability following electron impact in the incident channel j'

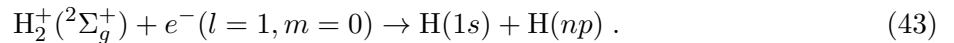
$$\sigma_{j'}(E) = \frac{\pi}{2(E - \epsilon_{j'})} \left[1 - \sum_j S_{jj'}^{phys}(E) S_{j'j}^{phys\dagger}(E) \right]. \quad (42)$$

This cross section does not differentiate between outgoing Rydberg channels and thus it represents the DR cross section summed over all the final Rydberg channels (electronic atomic states).

The goal of the following section is to provide a numerical evidence for the above described physically sound, yet intuitive approach to compute dissociative recombination cross sections.

IV. RESULTS AND DISCUSSION

To test the theory presented in the Section III we compare the frame transformation (FT) results for the two-dimensional model introduced in Section II with exact ones obtained from a direct solution of this model. The results are compared for electron collision energies in the interval from 0 to 2 eV. This energy range spans over nine vibrational thresholds and contains two Rydberg thresholds for $n = 3$ and $n = 4$ states (see Fig. 2) of the neutral $H(n)$ atom after the model dissociation



At first glance at Fig. 5, there is a good correspondence between the FT calculated total DR cross section and the exact results for the 2D model. As described in Section II the pink vertical bars highlight the areas below the vibrational thresholds where the FEM-DVR-ECS results for the 2D model are not converged because the electron is trapped in high- n Rydberg states which do not fit into the chosen electronic grid. Thus to assess validity of the FT approach one should compare the results only outside of these pink rectangles where the data are converged with respect to all the parameters present in the numerical implementations, i.e. with respect to nuclear and electronic grid sizes and corresponding grid densities. In case of the FT method with Siegert pseudostates, these parameters contain the nuclear grid size a and the number of Siegert pseudostates included in the frame transformation, i.e. the size of the S matrix (37).

For a more detailed analysis we present several zoomed graphs. In Figs. 6 and 7 we show that agreement between the FT and exact results is very good. The FT approach together with the hypothesis centered around Eq. (42) seems to count the DR flux correctly and most of the resonant features are accounted for.

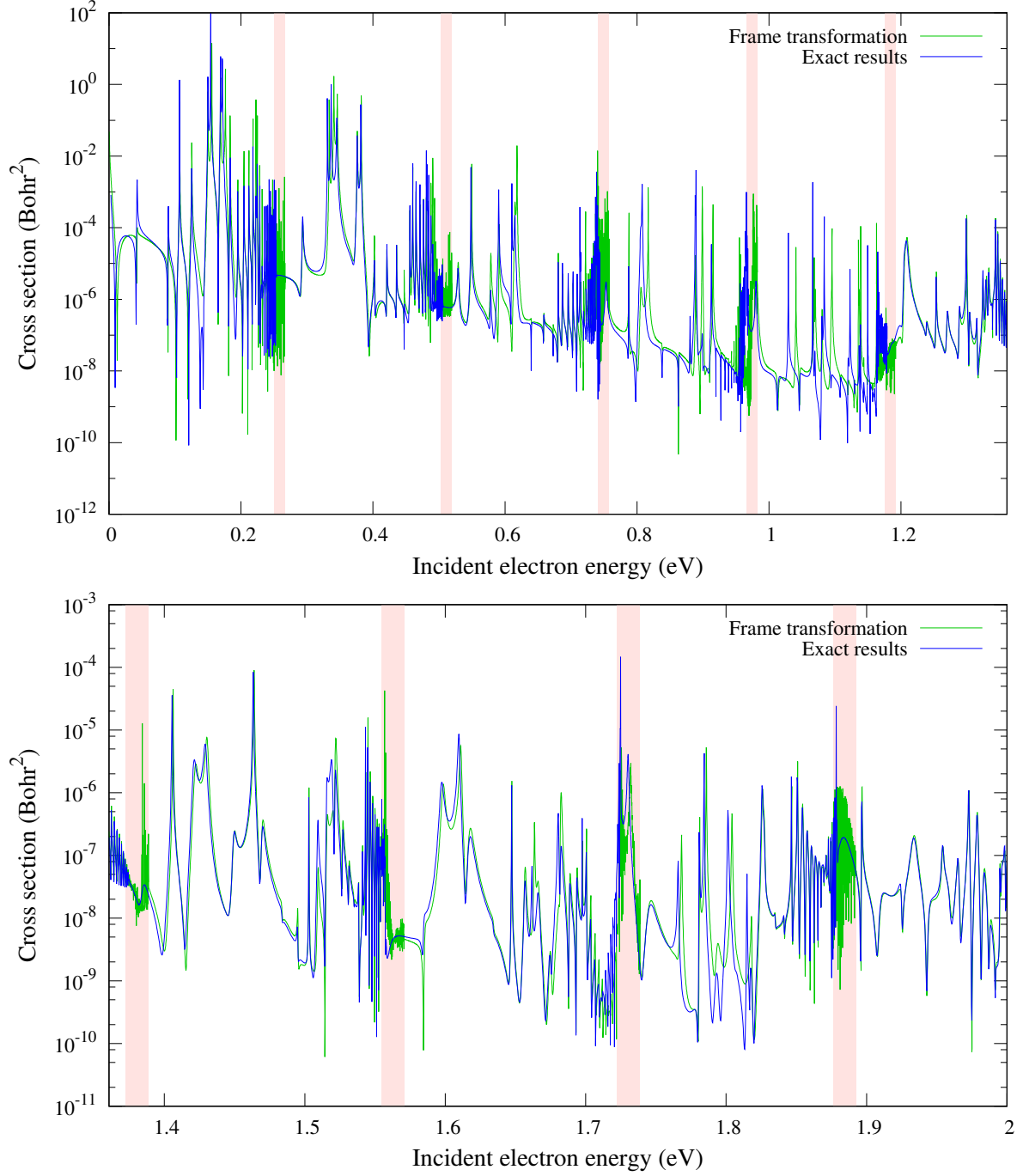


FIG. 5. (Color online) Comparison of the calculated cross sections for the collision energies from 0 eV to 2 eV. The total DR cross section obtained with the frame transformation approach is displayed with the green (light gray) curve. The exact results, shown by the blue (dark gray) line, represent a sum of the DR cross sections over the open electronic (Rydberg) channels computed with the 2D model. Note that the exact results are not fully converged in the pink areas right below vibrational excitation thresholds, see Sec. II C for explanation.

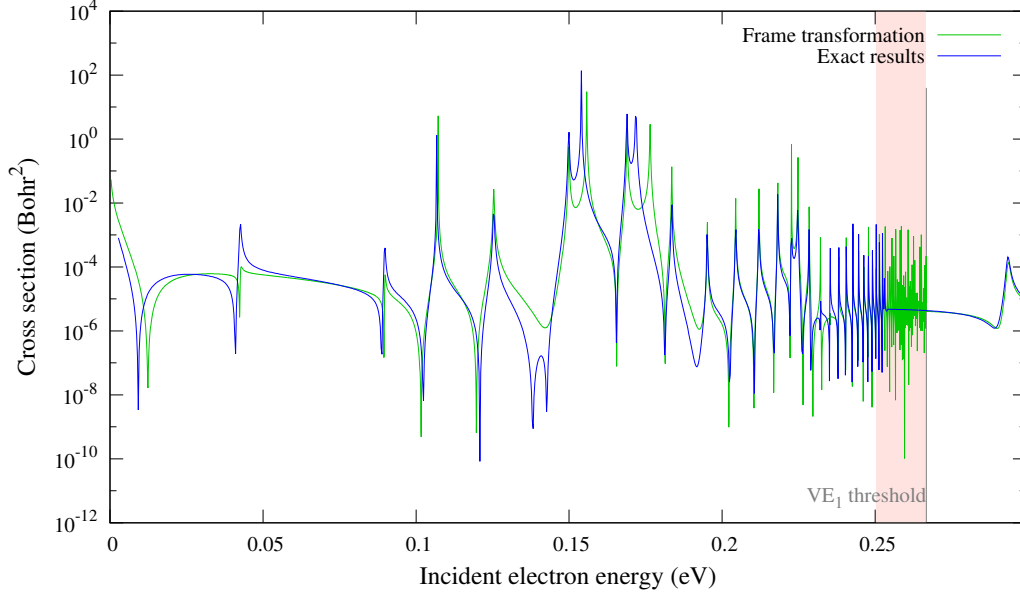


FIG. 6. (Color online) Comparison of the calculated cross sections for the collision energies up to the first vibrational threshold. The colors used are the same as in Fig. 5.

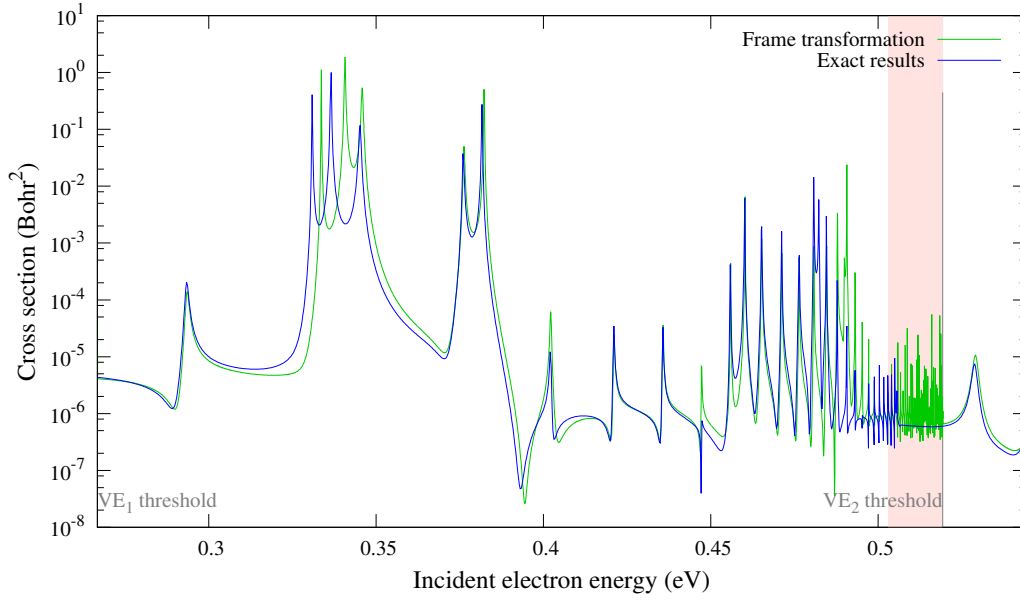


FIG. 7. (Color online) Comparison of the calculated cross sections for the collision energies between the first and second vibrational thresholds. The colors used are the same as in Fig. 5.

The comparison becomes worse for the collision energies just under the opening of the first ($n = 3$) Rydberg threshold. The corresponding energy window between the fourth and fifth vibrational thresholds is displayed in Fig. 8. Upon opening the $n = 3$ Rydberg threshold, the exact DR cross section rises sharply and the highest open Rydberg channel becomes dominant.

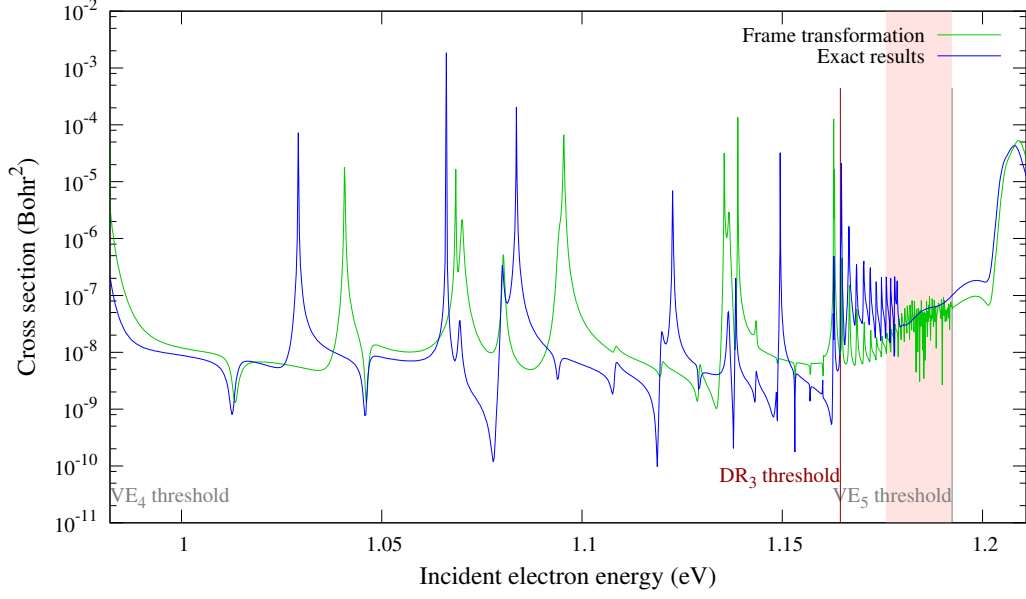


FIG. 8. (Color online) Comparison of the calculated cross sections for the collision energies between the fourth and fifth vibrational thresholds. The colors used are the same as in Fig. 5.

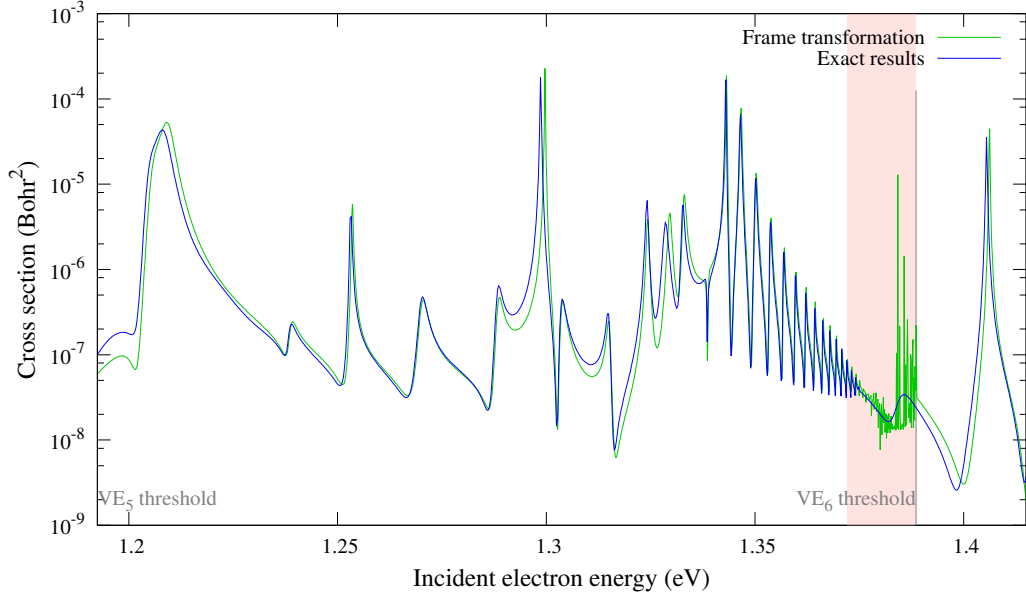


FIG. 9. (Color online) Comparison of the calculated cross sections for the collision energies between the fifth and sixth vibrational thresholds. The colors used are the same as in Fig. 5.

The corresponding FT cross section does not distinguish between different electronic channels as it accounts only for a summed probability via the formula (42). Although the FT data do not raise so sharply above the $n = 3$ threshold, they increase for slightly higher energies giving good agreement with the exact results again. The corresponding comparison continues in Fig. 9.

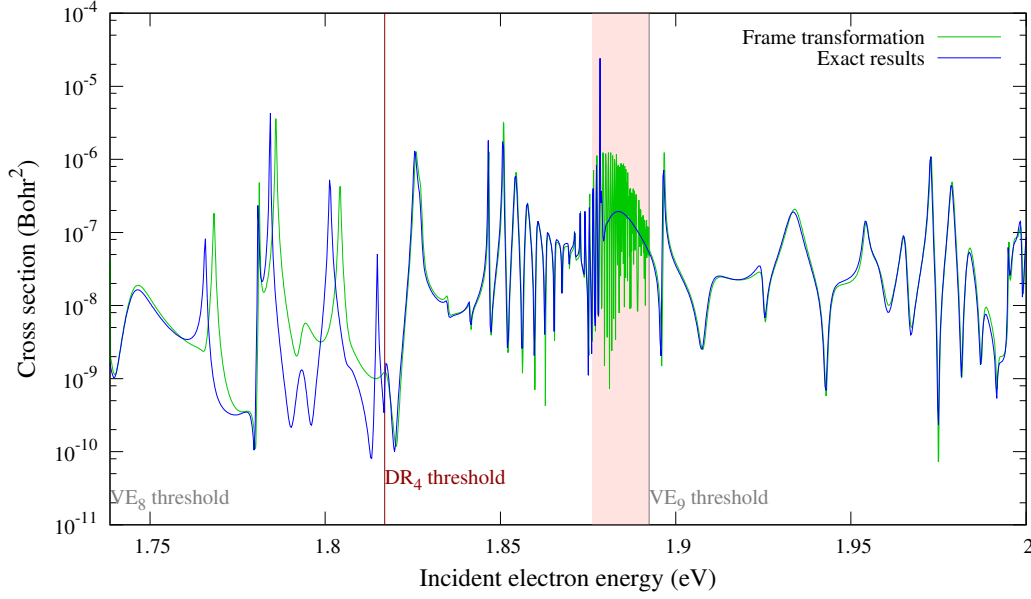


FIG. 10. (Color online) Comparison of the calculated cross sections for the collision energies between the eighths and ninth vibrational thresholds. The colors used are the same as in Fig. 5.

Similar behavior is also observed in the vicinity of the $n = 4$ threshold, displayed in Fig. 10. Below this threshold a visible disagreement between the FT and exact results can be seen, while above the threshold the FT approach works very well again.

In order to assess the origin of the small discrepancies between the employed method we carried a simple computational experiment in which we recalculated the cross section in the first energy window (0–200 meV) with a quantum defect $\mu(R)$ that was artificially increased by 2%. The size and sign of the increase corresponds to typical energy dependence of the quantum defect we observe in the present interval of collision energies as can be seen in Fig. 3. Results of this computational experiment are shown in Fig. 11. Comparison with the exact results shows that the cross section for the artificially increased quantum defect becomes closer to the exact values. Even the double resonant structure at 140 meV (blue line in Fig. 11) is reconstructed by this computational experiment. It is clear that the small deviations of the FT approach from the exact results can be easily explained by the energy dependence of the quantum defect, an effect not included in the present study.

Furthermore, the following Fig. 12 demonstrates that even larger discrepancies between two approaches, seen under the $n = 3$ Rydberg threshold, can also be explained by energy dependence of the quantum defect. In Fig. 12 we show results of a similar experiment in which we again artificially increase the quantum defect to simulate its energy dependence. In this energy range,

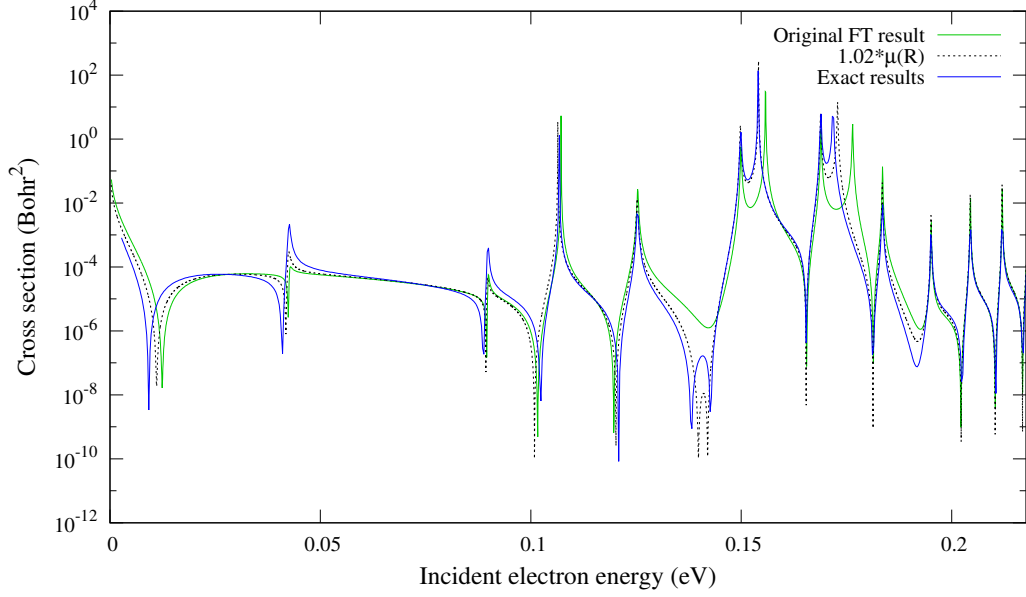


FIG. 11. (Color online) Comparison of the calculated cross sections for the collision energies from 0 to 200 meV. The total DR cross section obtained with the FT approach is shown with the green curve. The corresponding exact results are displayed by the blue curve. The cross section for artificially increased (by 2%) quantum defect is shown by the dotted black curve.

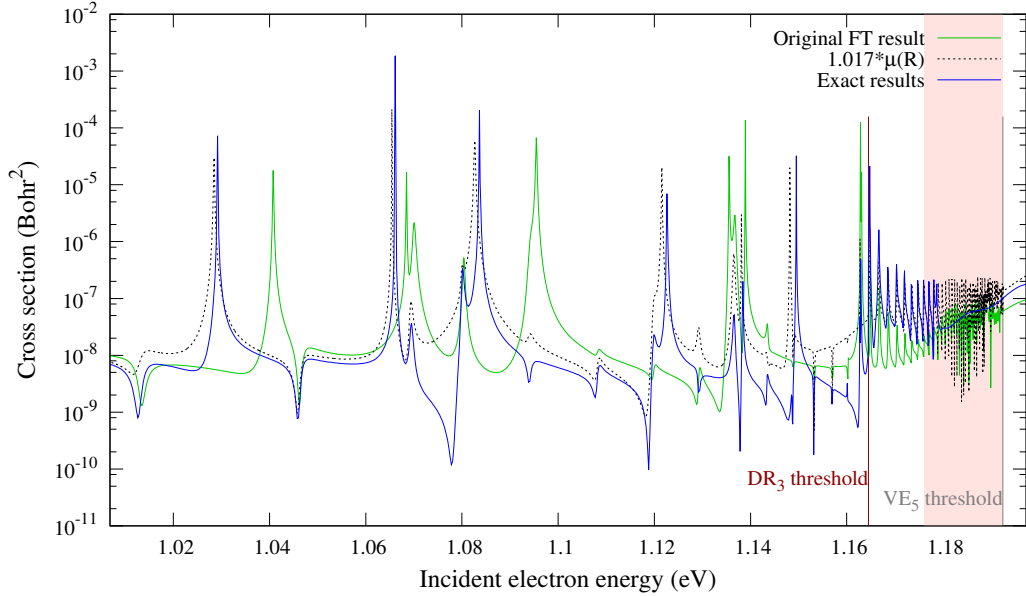


FIG. 12. (Color online) Comparison of the calculated cross sections for the collision energies below the $n = 3$ Rydberg threshold. The total DR cross section obtained with the FT approach is shown with the green (light gray) curve. The corresponding exact results are displayed by the blue (dark gray) curve. The cross section for artificially increased (by 1.7%) quantum defect is shown by the dotted black curve.

under the opening of the $n = 3$ channel, the DR cross section appears to be very sensitive to accuracy of the quantum defect. The artificial results are much closer to the exact values and even the DR₃ threshold behavior of the FT approach becomes almost exact.

V. CONCLUSIONS

The aim of the present study is twofold. Firstly, it is to present modification of the numerically solvable two-dimensional model of electron-molecule collisions for application to dissociative recombination problems. Secondly, it attempts to justify two theoretical steps in the frame transformation approach that uses a basis of Siegert pseudostates.

The 2D model simulates collisions of electrons with H_2^+ ions leading to the computationally most challenging channel, the dissociative recombination. Apart from narrow energy windows right below each vibrational threshold, we were able to obtain accurate and converged results in the collision energy range from 0 eV to 2 eV. The DR process in these narrow energy windows is governed by high- n Rydberg states that do not fit into our limited electronic grid size. Therefore, while in theory these unconverged energy windows can be made arbitrarily small (in expense of CPU time), they cannot be completely removed. Nonetheless, the 2D model presented in this work, has allowed the first DR study that does not take into account any kind of approximation in the electron-nuclear interactions. As such, it serves here (and may serve in the future) as a useful tool for benchmarking various frame transformation methods developed in the past or possible approaches designed in the future.

Frame transformation in combination with Siegert pseudostates was previously applied to a number of molecular targets (for the detailed list see the Sec. I). While some of the theory's footings were intuitive, the studies provided high-quality DR cross sections that reproduced experimental data well. With respect to the frame transformation theory we have demonstrated that under reasonable physical assumptions the frame-transformed S matrix (2) provides coefficients that combine nuclear channels represented by the complex Siegert pseudostates with S -matrix asymptotes in the electronic coordinate. Such channels are not orthogonal in the conventional sense and therefore the resulting DR probabilities were judged by a numerical comparison against the exact results of the 2D model.

The dissociative recombination cross sections resulting from the two approaches are found to be in very good agreement for all the collision energies from 0 to 2 eV, except two energy windows that are placed just below the Rydberg thresholds defining the openings of channels with higher

electronic state n of the final hydrogen atom. Via a simple computational experiment we have shown that the larger discrepancies in these problematic energy windows are most likely explained by an energy dependence of the quantum defect, a feature that has been neglected in the present study. Moreover, the small differences between the FT and exact results over the full studied energy range from 0 to 2 eV can most likely also be explained by this neglected energy dependence.

The second possible origin of the discrepancies may lie in the inaccuracy of the Born-Oppenheimer approximation that is exploited (at the short range) by the present FT theory while the 2D model is free of such approximations. Hence, a natural next step in this project would be to consider the weak energy dependence of the wave functions merged at the FT boundaries and attempt to obtain the DR rates by so-called energy-dependent frame transformation. However, an implementation of the energy-dependent frame transformation for the dissociative recombination processes appears to be a non-trivial problem and we plan to make it subject of a future, separate study.

Finally, the computed results represent a quantitative estimate of the DR cross sections in the $^1\Sigma_u$ channels of H_2 . Our model results indicate that the $^1\Sigma_u$ channels can be probably neglected for most of the collision energies as was done in some of the recent computational studies [19, 20]. However, we observe two low-energy windows (150-180 meV and 330-350 meV) where the size of the cross sections in the $^1\Sigma_u$ channels becomes comparable with the cross sections in the dominant singlet gerade channels.

Appendix A: Expansion into a nuclear basis represented by Siegert pseudostates

We aim to solve the two-dimensional Schrödinger equation

$$\left[-\frac{1}{2} \frac{\partial^2}{\partial r^2} + \frac{l(l+1)}{2r^2} - \frac{1}{r} - E + H_N(R) + V(R, r) \right] h(R, r) = 0, \quad (\text{A1})$$

where $H_N(R)$ is the nuclear Hamiltonian

$$H_N(R) = -\frac{1}{2M} \frac{\partial^2}{\partial R^2} + V_0(R) \quad (\text{A2})$$

and the coupling potential $V(R, r)$ is defined by Eq. (8). Let us now assume that we have already solved the one-dimensional nuclear problem

$$H_N(R)\phi_j(R) = \epsilon_j\phi_j(R), \quad (\text{A3})$$

with the boundary conditions

$$\begin{aligned} \phi_j(0) &= 0, \\ \left(\frac{d}{dR} - iK_j \right) \phi_j(R) \Big|_{R=a} &= 0, \end{aligned} \quad (\text{A4})$$

where $\epsilon_j = \frac{K_j^2}{2M}$ and a is some finite distance. These boundary conditions define a basis of Siegert pseudostates [13]. Their orthogonality relations are

$$\int_0^a \phi_j(R) \phi_{j'}(R) dR + i \frac{\phi_j(a) \phi_{j'}(a)}{K_j + K_{j'}} = \delta_{jj'}. \quad (\text{A5})$$

The orthogonality relations are valid for all the $2 \times N_b$ pseudostates, that are provided by N_b basis set elements. It is clear that the full set of $2 \times N_b$ Siegert pseudostates is overcomplete, in fact it spans the Hilbert space of the original basis set exactly twice [13]. Out of this overcomplete set we select a subset of N Siegert pseudostates that contains all the bound states plus the outgoing-wave continuum states. This subset can be made complete to a sufficient numerical accuracy.

Assuming we have selected the complete subset of N Siegert pseudostates $\phi_j(R)$ satisfying Eqs. (A3) and (A4) the j' -th independent solution $h_{j'}(R, r)$ of (A1) can be expanded as

$$h_{j'}(R, r) = \sum_{j=1}^N \phi_j(R) g_{jj'}(r). \quad (\text{A6})$$

Inversion of this equation and determination of the expansion coefficients $g_{jj'}(r)$ is not straightforward due to the non-trivial orthogonality relations (A5). One needs to construct a well behaved one-index linear functional $F_j[.]$, acting on the space of functions $f(R)$, that satisfies

$$F_j[\phi_{j'}] = \delta_{jj'} \text{ for all } j, j'. \quad (\text{A7})$$

Basically, the functional $F_j[.]$ applied to $\phi_{j'}$ needs to replicate the left side of (A5).

One of the ways to define the functional $F_j[.]$ is

$$F_j[f(R)] = \int_0^a dR \phi_j(R) f(R) + i \phi_j(a) \left[\left(K_j - i \frac{d}{dR} \right)^{-1} f(R) \right]_{R=a}, \quad (\text{A8})$$

which indeed simulates (A5) when applied to $\phi_{j'}(R)$. The inverted operator on the r.h.s. of the equation above needs to be interpreted as a complex function of the nuclear operator $D = -id/dR$. Since the operator D is non-hermitian on the class of functions that are non-zero at $R = a$, one needs to resort to its definition by a Taylor expansion

$$(K_j + D)^{-1} = \frac{1}{K_j} - \frac{1}{K_j^2} D + \frac{1}{K_j^3} D^2 - \dots \quad (\text{A9})$$

Even if we skip the discussion of a convergence of this expansion we still need the Siegert pseudostates $\phi_{j'}(R)$ to satisfy

$$D^p \phi_{j'}(R) \Big|_{R=a} = K_{j'}^p \phi_{j'}(a). \quad (\text{A10})$$

This is trivially satisfied for $p = 1$ by the boundary condition (A4). The second derivative can be obtained from the Schrödinger equation (A3) as

$$D^2\phi_{j'}(R)|_{R=a} = K_{j'}^2\phi_{j'}(a) - 2MV_0(a)\phi_{j'}(a), \quad (\text{A11})$$

where the potential term $-2MV_0(a)$ can be made arbitrarily small by increasing the boundary a . All the higher order derivatives in (A9) can be generated by a combination of the boundary condition (A4) and multiple applications of (A11). The deviations from the sought property (A10) will be proportional to the surface value of the potential $V_0(a)$ and its higher-order derivatives at the boundary a . In the present case of the Morse potential V_0 we were able to obtain the expected properties (A10) and (A7) within a very good numerical accuracy for $a \geq 20$ Bohrs. Realistic target cations involve asymptotically the induced dipole interaction behaving as $\sim -1/R^4$. However, such asymptote leads to decreasing higher-order derivatives at the boundary and the sought property (A10) can be easily satisfied.

Application of $F_j[\cdot]$ then simulates the typical projection $\int dR\phi_j^*(R)\dots$ used for the conventional orthonormality relations. Consequently, the $F_j[\cdot]$ allows to invert Eq. (A6) giving

$$g_{jj'}(r) = F_j[h_{j'}(R, r)] = \int_0^a dR\phi_j(R)h_{j'}(R, r) + i\phi_j(a) \left[\left(K_j - i\frac{d}{dR} \right)^{-1} h_{j'}(R, r) \right]_{R=a}. \quad (\text{A12})$$

Furthermore, application of the functional onto the both sides of the two-dimensional Schrödinger equation (A1) leads to a set of coupled one-dimensional equations via

$$\begin{aligned} & \left[-\frac{1}{2} \frac{d^2}{dr^2} + \frac{l(l+1)}{2r^2} - \frac{1}{r} - E + H_N(R) + V(R, r) \right] h_{j'}(R, r) = 0, \\ & \quad \downarrow \text{Eq. (A6)} \\ & \sum_m \left[-\frac{1}{2} \frac{d^2}{dr^2} + \frac{l(l+1)}{2r^2} - \frac{1}{r} - E + \epsilon_m \right] \phi_m(R)g_{mj'}(r) + \sum_m V(R, r)\phi_m(R)g_{mj'}(r) = 0, \\ & \quad \downarrow F_j[\cdot] \\ & \left[-\frac{1}{2} \frac{d^2}{dr^2} + \frac{l(l+1)}{2r^2} - \frac{1}{r} - (E - \epsilon_j) \right] g_{jj'}(r) + \sum_m V_{jm}(r)g_{mj'}(r) = 0, \end{aligned} \quad (\text{A13})$$

where

$$V_{jm}(r) = \int_0^a dR\phi_j(R)V(R, r)\phi_m(R) + i\phi_j(a) \left[\left(K_j - i\frac{d}{dR} \right)^{-1} V(R, r)\phi_m(R) \right]_{R=a}. \quad (\text{A14})$$

The coupling interaction elements V_{jm} contain an additional surface term which again can be made arbitrarily small in practical applications, by increasing the radius a .

Radial solutions $g_{jj'}(r)$ of the coupled system (A13) form the full two-dimensional solution $h_{j'}(R, r)$ via the expansion (A6) as long as this expansion is complete. This procedure is called

vibrational close-coupling expansion in the literature [38] and its main objective is the numerical solution of the coupled set of equations (A13) from $r = 0$ to $r = r_0$, beyond which $V(R, r) = 0$.

To summarize, we have just demonstrated that the vibrational close-coupling procedure can also employ the non-orthogonal system of complex Siegert pseudostates $\phi_j(R)$ and the two-dimensional solution $h_{j'}(R, r)$ can be reconstructed from its complete subset. The channel-coupling potential elements $V_{jm}(r)$ (A14) have a typical C -norm form – no conjugation on the bra-element with an additional surface term that can be made arbitrarily small.

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