



This is the accepted manuscript made available via CHORUS. The article has been published as:

Secular versus nonsecular Redfield dynamics and Fano coherences in incoherent excitation: An experimental proposal

Amro Dodin, Timur Tscherbul, Robert Alicki, Amar Vutha, and Paul Brumer Phys. Rev. A **97**, 013421 — Published 26 January 2018

DOI: 10.1103/PhysRevA.97.013421

Secular vs. Non-secular Redfield Dynamics and Fano Coherences in Incoherent Excitation: An Experimental Proposal

Amro Dodin^a

Chemical Physics Theory Group, Department of Chemistry, University of Toronto, Toronto, Ontario, M5S 3H6, Canada

Timur Tscherbul

Department of Physics, University of Nevada, Reno, Nevada 89557

Robert Alicki

Faculty of Mathematics, Physics and Informatics,
University of Gdansk, ul. Wita Stwosza 57, 80-952 Gdansk, Poland

Amar Vutha

Department of Physics, University of Toronto, Toronto, Ontario M5S 1A7

Paul Brumer

Chemical Physics Theory Group, Department of Chemistry, and Center for Quantum Information and Quantum Control, University of Toronto, Toronto, Ontario, M5S 3H6, Canada (Dated: January 5, 2018)

Abstract

Two different Master Equation approaches have been formally derived to address the dynamics of open quantum systems interacting with a thermal environment (such as sunlight). They have led to two different physical results: non-secular equations that show noise-induced (Fano) coherences and secular equations that do not. An experimental test for the appearance of non-secular terms is proposed using Ca atoms in magnetic fields excited by broadband incoherent radiation. Significantly different patterns of fluorescence are predicted, allowing for a clear test for the validity of the secular and non-secular approach and for the observation of Fano coherences.

^a Current address: Department of Chemistry, MIT, Cambridge, Massachusetts 02139

I. INTRODUCTION

Quantum Master Equations (ME's) are an essential tool for the study of the dynamics of open quantum systems, i.e. where a system interacts with an unmonitored environment[1]. Formally exact integro-differential Master Equations are known [2, 3], but are often intractable, reflecting the complexity of the full quantum (system + bath) dynamics. As a result, ME's are often simplified via a weak-coupling (Born-Markov) approximation, and resulting in two general classes of equations: secular Master Equations where the system coherences and populations are uncoupled, and non-secular equations where this coupling is manifest. From a mathematical perspective, as discussed below, general arguments exist in favor of both of these treatments, although they can give very different results [4]. Convincing experimental tests to discern which of the two approaches is physically correct are lacking and are sorely needed.

Recent studies have focused on the dynamics of quantum systems excited by natural incoherent light such as thermal noise or sunlight, often as a means of understanding natural processes such as photosynthesis or vision. Such studies [5–14], when using non-secular Master Equations, show significant system coherences, called Fano coherences. Here we propose an experiment that would clearly expose the role of coherences in natural light excitation and, in doing so, provide experimental tests for the validity of either the secular or non-secular treatments of systems excited by natural incoherent radiation in parameter regions where the different Master Equations appear equally valid.

Fano coherences, although not as yet observed, have been suggested as important features in natural light harvesting [12, 13] as well as significant in improving the efficiency of quantum heat engines [6]. Hence, observing Fano coherences also serves as motivation for the proposed experimental study.

A. Secular and Non-secular Master Equations

Most common amongst the approximations used to simplify exact Master Equations is the Born-Markov approximation assuming weak system-bath coupling and vanishing bath memory time [1, 15]. However, improperly applied, these approximations can lead to unphysical behavior, such as negative state populations or sensitivity of the dynamics to a non-interacting spectator system [4, 16]. For example, the non-secular Redfield equations that result from a naïve application of the Born-Markov approximation do not guarantee the preservation of positive populations [4], and such negative populations, as well as diverging populations, have been shown to plague simulations of a suggested coherence-enhanced heat engine (e.g. Supplementary Information of Ref. [16]).

Requiring "complete positivity" provides a rigorous condition for physically meaningful dynamics [4]. In this case, any reduced dynamics with initial uncorrelated system-bath state is completely positive and preserves positivity in the presence of entangled non-interacting spectator systems. The general form of completely positive Markovian MEs has been obtained [17–19] for discrete systems and are often referred to as Lindblad equations, or are said to be of Lindblad form [1]. Unfortunately, a given Lindblad equation may not correctly model the physics of the system. For example, applying the standard secular approximation to the Redfield equation decouples the coherences from the populations, removes rapidly oscillatory terms, and yields the secular Redfield equations, a Lindblad Master Equation [1] with guaranteed positive populations. However, if not judiciously applied, this approximation can neglect significant contributions to the system dynamics. For example, in the case of a system driven by incoherent light (as is of interest in this paper), the secular Redfield equations can miss interference effects that appear in non-secular or partial secular treatments [5–14]. Hence, in essence, non-secular Redfield equations may capture the underlying physics more effectively than their secular form in their domain of applicability, but they can present basic mathematical problems insofar as they may be non-positive. Despite these fundamental issues, Redfield theory continues to be widely used due to the physical intuition provided by the perturbative approach upon which it is based.

These concerns suggest returning to the formal derivations of the Redfield Equations, which include conditions on their validity.

B. Approximations and the Davies limits

In this regard, Davies has provided a rigorous derivation of a secular Redfield Equation [20] in the weak coupling limit that retains the physical intuition of the perturbative approach, guarantees completely positive dynamics, gives rigorous conditions for the Born, Markov and secular approximations, and defines the domain where the resultant equa-

tions apply. Formally, the Davies weak coupling limit is correct in the limit of vanishing system-bath coupling constant λ , but can be applied in practice when the system relaxation, $\tau \propto \lambda^{-2}$, is much slower than any oscillations in the system. Since the oscillation frequencies are determined by the energy spacing of non-degenerate system eigenstates, this implies that $\tau \propto \lambda^{-2} \gg \omega^{-1}$ where $\hbar \omega$ is the smallest non-zero energy difference in the system. Interestingly, this approximation also holds for systems with exactly degenerate eigenstates. If these conditions are not satisfied then the secular approximation fails and the resultant equations fail to model the system dynamics. Under these conditions, some version of non-secular dynamics may be required.

Significantly, Davies generalized the weak coupling limit to treat systems with "nearly degenerate" states where the secular approximation does not apply [21]. This is done by first assuming that the "nearly degenerate" states are degenerate and then applying the original Davies limit to the resulting approximate system. The energy difference between these states is then introduced as a perturbation to remove the degeneracy. The resulting Master Equation retains the non-secular terms and hence non-secular effects between nearby states. However, the perturbative correction that introduces the energy shift is only accurate for small energy splitting between nearly degenerate states. This leads to two "complementary" completely positive approximations, the secular approximation and the non-secular perturbative energy shift: two equations with dramatically different dynamics, particularly in the overlapping region where $\tau \propto \lambda^{-2} \approx \omega^{-1}$. Indeed, the dynamics in the regime might well be non-Markovian [22].

The distinction between secular and non-secular QME's and the formal method for obtaining them has become increasingly relevant in the study of quantum systems driven by incoherent light. In this case the secular treatment neglects interference effects and gives rate-law equations that reproduce Einstein's theory of light-matter interaction. By contrast, the non-secular treatment retains the interference effects and produces markedly different coherent dynamics before approaching the rate-law-predicted steady state [5–14]. Computational examples of the latter include fluorescence in V and Λ systems [8] with associated non-secular-based effects on the time resolved fluorescence of systems with closely spaced states, the prediction [7] of a population-locked state in V-systems with degenerate excited states pumped by a single incoherent field, and a heat engine with enhanced power due to noise-induced coherences [6]. We have recently shown, in theoretical studies, long-lived

quasistationary coherences in V-systems with nearly degenerate excited states [11–14]. In addition, the proper approach of these systems to an incoherent thermodynamic equilibrium state has been discussed in detail [9].

Although significant non-secular effects of this kind have been predicted in theoretical studies of noise-induced coherences [9–14] they have not been verified experimentally. Specifically, these Fano coherences have not been experimentally observed. Furthermore, as noted above, theoretical issues remain unresolved. Here we propose an experiment to address these fundamental theoretical issues by examining the role of non-secular contributions to the dynamics of incoherently excited quantum systems over a large parameter range. In doing so we would determine whether the secular or non-secular QME is the physically appropriate version of the Master Equations derived by Davies. In the proposed experiment the distinction is clear: the secular result gives no contribution from coherences, whereas the non-secular coherence contribution is either constant in time or oscillatory, depending on system parameters. Scanning the experimental parameters allow for the study of a full range of behaviors.

Below, Sect.II describes the Calcium system proposed for experimental study. Section III derives dynamical results for this system in both secular and non-secular descriptions. Predicted experimental signals are shown in Sect. IV.

II. PROPOSED SYSTEM

To examine the role of non-secular contributions to system dynamics we propose a V-system with tunable excited state splitting. In particular we focus on atomic $s \to p$ transitions with only two of the p-state angular momentum m-sublevels excited. This is achieved by irradiating an atom with a beam of incoherent light propagating along the \hat{z} direction that excites the orthogonal p states in the x-y plane. By applying a magnetic field parallel to the incident light beam, a tunable Zeeman shift can be used to study the dynamics as a function of the spacing between the p_{\pm} energy levels. However, due to the orthogonal polarization (circularly polarized σ^{\pm}) of $s \to p_{\pm}$ transitions, an unpolarized incoherent light beam will produce identical dynamics from both the secular and non-secular Master Equations. To distinguish these cases, a beam of spectrally broadened light polarized in the \hat{x} direction can be used. This scenario can be experimentally realized with a beam of Calcium atoms

excited by a polarized spectrally broadened laser in a uniform magnetic field. The resultant V-system generated between the doubly occupied singlet ground state $(4s_2 \, ^1S_0)$ denoted $|g\rangle$ and the excited triplet states $(4s_14p_1 \, ^1P_1; m_j = \pm 1)$ denoted $|e_i\rangle$ is shown schematically in Fig. 1. The incoherent beam is assumed sufficiently weak that the population of doubly excited $4p_2$ states can be neglected.

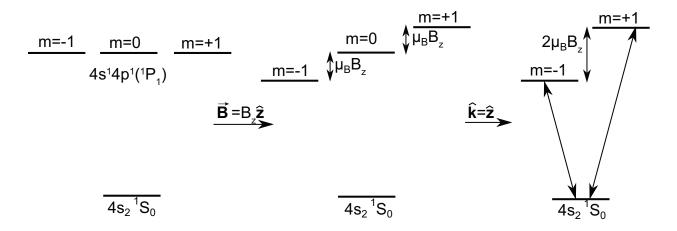


FIG. 1. Sketch of the V-subsystem of Calcium excited in proposed experiment. The magnetic field, \boldsymbol{B} of magnitude B, and wavevector of incident light, \boldsymbol{k} , are parallel along the \hat{z} direction. The excited state (Zeeman) splitting is given by $2\mu_B B$ where μ_B is the magnetic dipole moment of the $4p^{\pm}$ states. The rightmost figure shows the m=-1 and m=+1 levels, denoted $|e_1\rangle$ and $|e_2\rangle$ below.

As shown below, incoherent excitation from the calcium $4s_2^1S_0$ ground state will generate coherences between the excited $m_j = \pm 1$ triplet states $4s_14p_1^{-1}P_1$ if non-secular terms contribute. No such coherences will be generated from secular terms. As such, observing coherences, e.g., by detecting quantum beats in the spontaneous emission from the Ca atoms, proves the presence of non-secular contributions to the Master Equation.

A summary of the experimentally accessible range of parameters is given in Table I, including the excited state linewidth γ and $\tau_{\gamma} = \gamma^{-1} \sim T_{transit} \times 10^{-3}$, where $T_{transit}$ is the time over which the atom encounters the broadband laser beam. Furthermore, the bandwidth of the spectrally broadened laser is $\Delta \nu$, larger than the largest excited-state splitting Δ , i.e., $\Delta \nu > 2 \max \Delta$, justifying the Wigner-Weisskopff approximation used below. The range of accessible Δ values spans both limiting cases of $\Delta \gg \gamma$ and $\Delta \ll \gamma$. Indeed, as one scans from large Δ/γ to small Δ/γ one expects to observe a transition from the Davies weak coupling regime (secular) to the Davies perturbative regime (non-secular). In addition,

Parameter	Magnitude
Linewidth of Excited states (γ)	$2\pi \times 34.6 \text{ MHz}$
Ground-Excited state transition frequency (ω_0)	709.1 THz
Excited State Splitting (Δ)	0 to $2\pi \times 400 \text{ MHz}$
Transition Dipole Moment (μ_{\pm})	$2.85 \ e \ a_0$
Light-Atom Interaction time $(T_{transit})$	$20 \ \mu s$
Broadband Laser Output Power (P_{laser})	20 mW
Laser Spectral Width $(\Delta \nu)$	$2\pi \times 1 \text{ GHz}$

TABLE I. Summary of experimental parameters for Calcium V-system shown in Fig. 1.

this approach probes the intermediate regime ($\Delta \sim \gamma$) where neither of the rigorously derived Master Equations hold.

III. THEORETICAL PREDICTIONS

We provide below a fundamental derivation, directly from the Davies approach, of the ME's associated with this scenario. An alternative derivation, to connect with earlier results [11–14], is presented in the Appendix.

A. Completely positive Master Equation for V-system

Consider first the most general phenomenological Master Equation obtained from the Lindblad form which takes into account only transitions in the V-system and neglects leaking of probability and pure dephasing. We write down the equations for $\rho_{e_ie_i}$, and $\rho_{e_1e_2}$ only and omit the Hamiltonian contribution, originating from the $-i[\hat{H}, \hat{\rho}]$, because it depends on the choice of the excited state basis (we keep the freedom of choosing convenient basis in the corresponding 2-dimensional Hilbert subspace)

$$\dot{\rho}_{e_i e_i} = R_{ii} \rho_{gg} - K_{ii} \rho_{e_i e_i} - \frac{1}{2} K_{12} \rho_{e_1 e_2} - \frac{1}{2} K_{21} \rho_{e_2 e_1} \tag{1}$$

$$\dot{\rho}_{e_1 e_2} = R_{12} \rho_{gg} - \frac{1}{2} (K_{11} + K_{22}) \rho_{e_1 e_2} - \frac{1}{2} K_{12} (\rho_{e_1 e_1} + \rho_{e_2 e_2})$$
(2)

The requirement of complete positivity implies that $[R_{ij}]$ and $[K_{ij}]$ are positive definite, i.e.,

$$R_{ii}, K_{ii} \ge 0, \quad |R_{12}|^2 \le R_{11}R_{22}, \quad |K_{12}|^2 \le K_{11}K_{22},.$$
 (3)

The functional form of R_{ij} and K_{ij} for the Calcium system is provided later below.

B. Master Equations from Davies' Approach

The interaction of a V-system with radiation is given in the dipole approximation by

$$H_{int} = -\mathbf{D} \cdot \mathbf{E}_{req} \tag{4}$$

where **D** is an atomic dipole operator which can be defined in terms of the excited state basis $|e_1\rangle = |4p_x\rangle$ and $|e_2\rangle = |4p_y\rangle$

$$\mathbf{D} = (|g\rangle\langle e_1| + |e_1\rangle\langle g|)\boldsymbol{\mu}_{ae_1} + (|g\rangle\langle e_2| + |e_2\rangle\langle g|)\boldsymbol{\mu}_{ae_2}. \tag{5}$$

Here, μ_{ge_i} is the corresponding transition dipole moment and \mathbf{E}_{reg} is the electric field (ν -polarization)

$$\mathbf{E}_{reg} = i \sum_{\nu=1}^{2} \sum_{|\mathbf{k}| < K} \left(\frac{\hbar c |\mathbf{k}|}{2\epsilon_0 V} \right)^{1/2} \epsilon_{\mathbf{k},\nu} \left\{ a_{\mathbf{k},\nu} - a^{\dagger}_{\mathbf{k},\nu} \right\}, \tag{6}$$

where k is the wavevector, and ν denotes the polarization, $a_{k,\nu}$ and $a_{k,\nu}^{\dagger}$ are the annihilation and creation operators of the mode with wavevector k and polarization ν .

Here the incoherent radiation is assumed to be described by a stationary state with the photon population numbers $n(\mathbf{k}, \nu)$ defined by (for $V \to \infty$)

$$\langle a^{\dagger}_{\mathbf{k},\nu} a_{\mathbf{k}',\nu'} \rangle = n(\mathbf{k},\nu) \delta(\mathbf{k} - \mathbf{k}') \delta_{\nu\nu'}. \tag{7}$$

We consider the two cases of ME resulting from Davies' procedure below.

The derivations of ME using the Davies weak coupling limit combines in a single limiting procedure "Born", "Markovian" and "secular" approximations. The basic ingredient is the transition to the interaction picture followed by the suitable averaging of oscillating terms. The averaging process depends on the relevant time-scales and creates some ambiguity. Consider a system Hamiltonian of the form $H = H_0 + \epsilon V$, where H_0 possesses degeneracies and where V removes at least some of them. Then if ϵ is "small", i.e., the level splitting generated by V is small in comparison with typical relaxation rates, we can switch to the interaction picture, derive the ME with H_0 , and subsequently introduce ϵV as a perturbation. In the opposite case of large splitting we must use the full Hamiltonian H in the interaction picture.

Both regimes lead to different forms of ME, called here non-secular and secular respectively. The crossover regime is non-Markovian and needs special treatment. Similar phenomena are not uncommon in quantum mechanics, with a notable example of LS-coupling versus JJ-coupling in atomic physics, where the intermediate angular momentum region needs to be treated separately [23].

1. Non-secular ME

Consider the case of small Zeeman splitting $\Delta \ll r$, where r is the pumping rate from the ground state to $|e_1\rangle$ and $|e_2\rangle$. Here we apply the interaction picture and the derivation of the Master Equation with

$$H_0 = \hbar\omega_0(|e_1\rangle\langle e_1| + |e_2\rangle\langle e_2|), \quad \omega_0 = \frac{1}{2}(\omega_1 + \omega_2)$$
(8)

and add the splitting term at the end.

Then the standard computation yields

$$K_{ij} = \Gamma_{ij} + R_{ij} \tag{9}$$

$$\Gamma_{ij} = \frac{\pi c}{\hbar \epsilon_0} \sum_{\nu=1}^{2} \int d^3 \mathbf{k} |\mathbf{k}| (\boldsymbol{\mu}_{ge_i} \cdot \boldsymbol{\epsilon}_{\mathbf{k},\nu}) (\boldsymbol{\mu}_{ge_j} \cdot \boldsymbol{\epsilon}_{\mathbf{k},\nu}) \delta(|\mathbf{k}| - \omega_0)$$
(10)

$$R_{ij} = \frac{\pi c}{\hbar \epsilon_0} \sum_{\nu=1}^{2} \int d^3 \mathbf{k} |\mathbf{k}| n(\mathbf{k}, \nu) (\boldsymbol{\mu}_{ge_i} \cdot \boldsymbol{\epsilon}_{\mathbf{k}, \nu}) (\boldsymbol{\mu}_{ge_j} \cdot \boldsymbol{\epsilon}_{\mathbf{k}, \nu}) \delta(|\mathbf{k}| - \omega_0)$$
(11)

with all three matrices positively defined. From the structure of the V-system it follows that $\mu_{ge_1} \perp \mu_{ge_2}$ and $|\mu_{ge_1}| = |\mu_{ge_2}|$, which implies that in the space of $|e_1\rangle$ and $|e_2\rangle$

$$\Gamma = \begin{bmatrix} \gamma & 0 \\ 0 & \gamma \end{bmatrix}, \quad \gamma = \frac{|\boldsymbol{\mu}_{ge_i}|^2 \omega_0^3}{3\pi \epsilon_0 c^3}$$
 (12)

Pumping provided by a spectrally broadened laser beam polarized along x-direction leads to the following form for the matrix R:

$$R = \begin{bmatrix} 2r & 0 \\ 0 & 0 \end{bmatrix}. \tag{13}$$

In the next step we transform the Master Equation into the new basis of excited states which corresponds to eigenvectors of the total Hamiltonian including Zeeman splitting. Namely,

now

$$|e_1\rangle = \frac{1}{\sqrt{2}} (|4p_x\rangle + i|4p_y\rangle), \quad |e_2\rangle = \frac{1}{\sqrt{2}} (|4p_x\rangle - i|4p_y\rangle)$$
 (14)

and in this new basis the matrices Γ and R have form

$$\Gamma = \begin{bmatrix} \gamma & 0 \\ 0 & \gamma \end{bmatrix}, R = \begin{bmatrix} r & r \\ r & r \end{bmatrix}$$
 (15)

Adding the splitting Hamiltonian $V = \frac{1}{2}\hbar\Delta(|e_1\rangle\langle e_1| - |e_2\rangle\langle e_2|)$ one obtains the ME for the relevant matrix elements

$$\dot{\rho}_{e_{i}e_{i}} = r\rho_{gg} - (\gamma + r)\rho_{e_{i}e_{i}} - \frac{r}{2}(\rho_{e_{1}e_{2}} + \rho_{e_{2}e_{1}})$$

$$\dot{\rho}_{e_{1}e_{2}} = r\rho_{gg} - (\gamma + r)\rho_{e_{1}e_{2}} - i\Delta\rho_{e_{1}e_{2}} - \frac{r}{2}(\rho_{e_{1}e_{1}} + \rho_{e_{2}e_{2}})$$
(16)

It is important to note that, in contrast with the Master Equation associated with isotropic radiation (see Appendix and Refs. [11–14]) the coefficient of the last term in these equations for $\dot{\rho}_{e_ie_i}$ and for $\dot{\rho}_{e_1e_2}$ is $-\frac{1}{2}r$, as opposed to $-\frac{1}{2}(r+\gamma)$. This is a consequence of the Ca atom being coupled to two different photon baths, the isotropic radiative environment and the directional excitation beam. It has been previously shown that systems with orthogonal transition dipole moments (p=0) coupled to isotropic fields show no coupling between populations and coherences [11–14]. This is the case for the isotropic vacuum which does not contribute to population-coherence coupling. In contrast, an anisotropic field, such as the polarized radiation field can couple coherences and populations in these systems. As a result, and as shown below, long lived coherences can survive in the non-secular case.

2. Secular ME

Consider the case of large Zeeman splitting $\Delta \gg r$. Then we apply the interaction picture and the derivation of ME with the full Hamiltonian including the splitting term

$$H = H_0 + \frac{1}{2}\hbar\Delta(|e_1\rangle\langle e_1| - |e_2\rangle\langle e_2|). \tag{17}$$

Then, in principle, the diagonal elements of the Γ - matrix in Eq. (12) are different, because they are computed using Eq. (10) at two different frequencies. However, for simplicity we assume that they are equal. This is equivalent to the Wigner-Weisskopf approximation often used in quantum optics [24]. In this case the off-diagonal matrix elements in Eq. (15) dissappear and the ME equation simplifies to

$$\dot{\rho}_{e_{i}e_{i}} = r\rho_{gg} - (\gamma + r)\rho_{e_{i}e_{i}}$$

$$\dot{\rho}_{e_{1}e_{2}} = -(\gamma + r)\rho_{e_{1}e_{2}} - i\Delta\rho_{e_{1}e_{2}}.$$
(18)

C. Solutions

Consider the case of weak incoherent pumping by a beam of uniform intensity and well-defined beam area. An incident beam of ground state Calcium atoms would experience the light as a suddenly turned-on field at t=0, the time that it enters the incoherent beam. For simplicity consider the case where the measurement of atomic polarization is conducted in the interaction region, so that the atom experiences a constant field intensity between t=0 and the time of measurement. Given the normalization condition $\rho_{gg} + \rho_{e_1e_1} + \rho_{e_2e_2} = 1$ and using the notation $\boldsymbol{\rho} = \left[\rho_{e_1e_1}, \rho_{e_2e_2}, \rho_{e_1e_2}^{Re}, \rho_{e_1e_2}^{I}\right]$, where $\rho_{e_1e_2}^{Re}$ and $\rho_{e_1e_2}^{I}$ are the real and imaginary parts of $\rho_{e_1e_2}$. Equations (16) and (18) for the evolution of the V-system can be written in vector form as:

$$\dot{\boldsymbol{\rho}} = A\boldsymbol{\rho} + \boldsymbol{d} \tag{19}$$

where the secular and non-secular equations differ in their coefficient matrices, A and driving vectors \mathbf{d} . In Eq. (19) the dynamics of the excited states are naturally divided into two parts, the pumping from the ground state given by \mathbf{d} and the "internal" dynamics of the excited manifold contained in A. The non-secular evolution Eq. (16) is characterized by

$$A_{\rm NS} = \begin{bmatrix} -\gamma - 2r & -r & -r & 0\\ -r & -\gamma - 2r & -r & 0\\ -\frac{r}{2} & -\frac{r}{2} & -\gamma - r & \Delta\\ 0 & 0 & -\Delta & -\gamma - r \end{bmatrix}$$
(20a)

$$\boldsymbol{d}_{\mathrm{NS}} = \begin{bmatrix} r \\ r \\ r \\ 0 \end{bmatrix} \tag{20b}$$

While the secular evolution [Eq. (18)] is characterized by an absence of population-coherence coupling, with

$$A_{S} = \begin{bmatrix} -\gamma - 2r & -r & 0 & 0\\ -r & -\gamma - 2r & 0 & 0\\ 0 & 0 & -\gamma - r & \Delta\\ 0 & 0 & -\Delta & -\gamma - r \end{bmatrix}$$
(21a)

$$\boldsymbol{d}_{\mathrm{S}} = \begin{bmatrix} r \\ r \\ 0 \\ 0 \end{bmatrix} \tag{21b}$$

Essential differences between the secular and non-secular equations are readily seen in these expressions. That is, the driving vectors [Eqs. (20b) and (21b)] clearly show that in the non-secular dynamics the field will drive ground state Calcium atoms to coherent superpositions of the excited states, whereas the secular equations predict that the system will be driven to an incoherent mixture of excited states [13].

In general, the solution to Eq. (19) is given by [25]

$$\boldsymbol{\rho} = \int_0^t ds e^{A(t-s)} \boldsymbol{d} = \sum_{i=1}^4 \int_0^t ds (\boldsymbol{v_i} \cdot \boldsymbol{d}) e^{\lambda_i (t-s)} \boldsymbol{v_i}$$
 (22)

where λ_i is the i^{th} eigenvalue of the coefficient matrix A and v_i is the corresponding eigenvector. Hence, upon solving for the spectral decomposition of A the evolution equation is reduced to a simple exponential integral.

For the non-secular coefficient matrix Eq. (20a), the characteristic polynomial is given by:

CharPoly(
$$A_{NS}$$
) = $(\lambda + \gamma + r) \left[(\lambda + \gamma + r)^3 + 2r(\lambda + \gamma + r)^2 + (\Delta^2 - r^2)(\lambda + \gamma + r) + 2r\Delta^2 \right]$. (23)

It is seen to be comprised of a term linear in λ giving the total decay mode $\lambda_4 = -(\gamma + r)$, approximated by $\lambda_4 \approx -\gamma$ in the weak pumping limit ($\bar{n} = 2r/\gamma \ll 1$), and a cubic term giving the remaining normal modes.

The contribution of spontaneous emission in Eqs. (23) and (24) is contained entirely in the term $(\lambda + \gamma + r)$. Physically, this implies that the only influence of spontaneous emission is to introduce a uniform decay to all normal modes of the system. To obtain more explicit

expressions for the evolution of the system under the non-secular dynamics we consider two complimentary limits below, those of large and small Zeeman splitting relative to the incoherent pumping rates (i.e., $r \gg \Delta$ and $r \ll \Delta$).

The secular coefficient matrix Eq. (21a) has a simpler polynomial that can be factorized into the following biquadratic form:

$$CharPoly(A_S) = \left[(\lambda + \gamma + r)^2 + \Delta^2 \right] \left[(\lambda + \gamma + r)^2 + r^2 \right]$$
(24)

It will be shown below that these terms correspond to two damped oscillatory modes corresponding to the evolution of the coherences $(\lambda_{3,4}^{(S)} = -(\gamma + r) \pm i\Delta \approx -\gamma \pm i\Delta)$ and two exponential modes corresponding to the population $(\lambda_{1,2}^{(S)} = -(\gamma + r) \pm r \approx -\gamma)$.

One note is in order. Our solutions assume the sudden turn-on of the interaction between Ca atoms and the incoherent radiation. Since slow turn-on relative to system dynamical time scales is known [26, 27] to significantly reduce the magnitude of any induced coherences, we note that sufficiently rapid turn-on, using acousto-optic modulators, is possible in these systems.

1. Large Zeeman Splitting $\Delta/r \gg 1$

In the limit of large Zeeman Splitting the rate at which coherences oscillate is much faster than the rate $r\rho_{e_1e_2}$ of population to coherence coupling. A binomial approximation to lowest order in $r/\Delta \ll 1$ of the roots of the cubic term in Eq. (20a) gives the eigenvalues of $A_{\rm NS}$ in the large splitting limit

$$\lambda_{1,2} \approx -\gamma$$
 (25a)

$$\lambda_{3,4} \approx -\gamma \pm i\Delta$$
 (25b)

Similarly, one can obtain the λ eigenvalues for the secular case, which are found to be the same as the non-secular results. The eigenvalues for the secular and non-secular equations agree since the population to coherence coupling terms in Eq. (20a) are small relative to the other matrix elements. That is, since $r \ll \gamma \ll \Delta$, the dynamics of coherence to population coupling driven by the incoherent field is much slower than both the spontaneous emission and phase oscillation dynamics. Hence, the coherence-population coupling terms do not

contribute significantly to the evolution of the Calcium population dynamics. The secular and non-secular eigenvectors in this limit are also found to coincide and are given by

$$\mathbf{v}_1 = [1, 0, 0, 0]^T \tag{26a}$$

$$\mathbf{v}_2 = [0, 1, 0, 0]^T \tag{26b}$$

$$\mathbf{v}_{3,4} = [0, 0, 1, \pm i]^T \tag{26c}$$

Hence, any difference between the secular and non-secular evolution in this overdamped $\Delta/r \gg 1$ regime can be attributed to the treatment of the incoherent driving, i.e., Eq. (20b) vs. Eq. (21b).

Given these results, the secular dynamics are described by:

$$\rho_{e_i e_i}(t) = \frac{r}{\gamma} \left(1 - e^{-\gamma t} \right) \tag{27a}$$

$$\rho_{e_1 e_2}^{(S)}(t) = 0 \tag{27b}$$

The non-secular population dynamics are the same [Eq. (27a)], but the non-secular results show nontrivial coherence dynamics:

$$\rho_{e_1 e_2}^{(NS)}(t) = \frac{r}{\Delta} \left[e^{-\gamma t} \sin(\Delta t) + i \left(1 - e^{-\gamma t} \cos(\Delta t) \right) \right]$$
 (28)

Hence, the secular dynamics predict that a statistical mixture of excited states will be produced while the non-secular dynamics predict a small but non-zero oscillating coherent component of the mixture as well as stationary imaginary coherences. The coherences for both Master Equations in this regime, calculated exactly, are plotted in Fig. 2, where the distinction between the secular and non-secular evolution is evident. (These exact results deviate slightly from Eq. (28) due to the inclusion of higher order terms).

The observed stationary coherences may at first appear to violate thermodynamics, since a system in equilibrium with a large bath is expected to be an incoherent mixture of energy eigenstates. However, this is not the case for a system interacting with multiple baths. Specifically, due to the anisotropy of the radiation field one can split the interaction into two dissipative baths. The field modes with wavevector $\mathbf{k}||\hat{\mathbf{z}}|$ and polarization $\hat{\mathbf{x}}$ behave as a hot bath, pumping energy into the Calcium V-system, while the remaining (vacuum) modes of the field behave as a cold bath to which energy is dissipated. In this picture the excitation of Calcium by a polarized beam is a transport or heat engine problem between

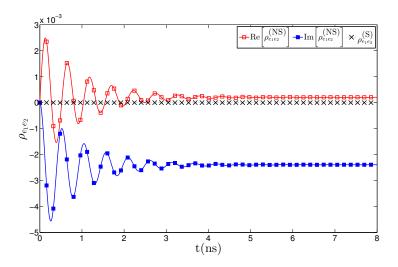


FIG. 2. Coherences of a Calcium atom in a sample large splitting regime $\Delta = 12\gamma$ irradiated by a blackbody at T = 5800K whose average photon occupation number at the transition energies is $\bar{n} = 0.0633$. The non-secular dynamics generate oscillatory coherences from an initially incoherent ground state that survive for a time $\tau_{\gamma} = \gamma^{-1}$ while the secular evolution produces an incoherent mixture at all times.

the hot directional field modes of the beam and the cold isotropic vacuum modes of the field. Stationary coherences in systems interacting with two baths is not atypical, as the system operates out of equilibrium.

2. Small Zeeman Splitting $\Delta/r \ll 1$

In the limit of a very weak magnetic field, and hence small Δ , spontaneous emission will be the dominant influence on the undriven dynamics of the V-system. Accordingly, in both the secular and non-secular Master Equations, the four eigenvalues are approximately degenerate at

$$\lambda = -\gamma \ , \tag{29}$$

and the four-fold degenerate eigenvectors are given by $\mathbf{v}_i = \rho_i$ where $\boldsymbol{\rho} = [\rho_{e_1e_1}, \rho_{e_2e_2}, \rho_{e_1e_2}^{Re}, \rho_{e_1e_2}^{I}]^T$. Substituting this into Eq. (22) gives the following secular dynamics:

$$\rho_{e_i e_i}(t) = \frac{r}{\gamma} \left(1 - e^{-\gamma t} \right) \tag{30a}$$

$$\rho_{e_1 e_2}^{(S)}(t) = 0 \ . \tag{30b}$$

As in the case of large Zeeman splitting the non-secular population dynamics are identical to the secular population dynamics. However, the coherences produced differ significantly; here the non-secular coherences are the same size as the populations, i.e.,

$$\rho_{e_1 e_2}^{(NS)}(t) = \rho_{e_i e_i}(t) . \tag{31}$$

Hence, in the non-secular case, the stationary state is a coherent superposition. As in the large splitting regime considered in Section III C 1, the stationary coherences can be understood as the atom operating between two different baths. Figure 3 shows the coherences obtained from a numerical integration of the secular and non-secular Master Equations (16) and (18).

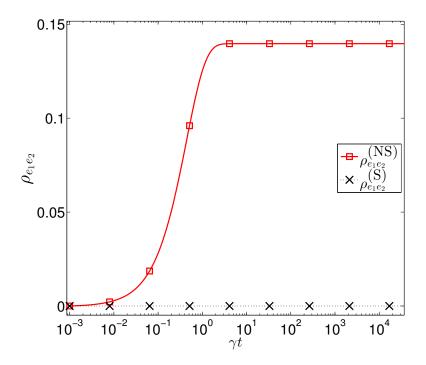


FIG. 3. Coherences of a Calcium atom in the small splitting regime $\Delta = 0.012\gamma$ irradiated by a blackbody at T = 5800K whose average photon occupation number at the transition energies is $\bar{n} = 0.0633$.

In the intermediate coupling regime, an analytical solution can be obtained but will, in general, be very unwieldy. An analytical treatment of this "critically damped" regime can be found in reference [13]. However, for the purpose of this study a numerical solution in the domain of intermediate Zeeman splitting is sufficient and can be seen in Fig. 4. The

secular solution in this region still shows no coherences, while the non-secular solution shows coherences between those of the oscillatory coherences seen in the large splitting regime and the quasistationary coherences seen in the small splitting regime.

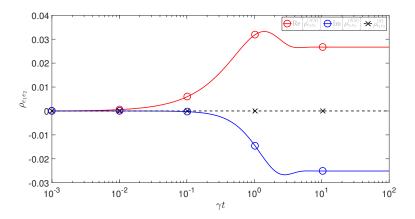


FIG. 4. Coherences of a Calcium atom in the intermediate splitting regime $\Delta = \gamma$ irradiated by a blackbody at T = 5800K whose average photon occupation number at the transition energies is $\bar{n} = 0.0633$.

In summary, and as is evident in Figs. 2 to 4, the secular Master Equations show no coherences while the non-secular equations give nonzero coherences with dramatically different behaviors that are dependent on the value of Δ/r .

IV. DETECTION OF NOISE-INDUCED COHERENCES

It remains to propose a measurement scheme to efficiently detect the coherences. Here we demonstrate that the coherences are evident in emission from the irradiated atom. To do so we compute the power spectrum of emitted radiation by using the relationship between the electric field operators to the matter operators and, in turn, to the density matrix elements. The approach follows the structure in Appendix 10A of Ref. [24].

Consider the field annihilation operator $a_{k\lambda}(t)$ for the field mode with wavevector k and polarization λ , in the Heisenberg picture. For each operator define the slowly varying operator $\tilde{a}_{k\lambda}(t) = e^{i\nu_k t} a_{k\lambda}(t)$ where $a_{k\lambda}(t)$ is the corresponding operator in the Heisenberg picture. Let $\sigma_{ij} = |j\rangle\langle i|$ be the atomic jump operator from state $|i\rangle$ to $|j\rangle$. Correspondingly, define the slowly varying jump operator as $\tilde{\sigma}_{ij}(t) = e^{i\omega_{ij}t}\sigma_{ij}(t)$ where ω_{ij} is the frequency of the $|i\rangle \rightarrow |j\rangle$ transition. Transitions between atomic energy levels are accompanied by

dynamics of the field creation and annihilation operators through the Heisenberg equations of motion. Specifically,

$$d\tilde{a}_{k\lambda}/dt = -i\sum_{i < j} g_{k\lambda}^{(j,i)} \tilde{\sigma}_{ij}(t) e^{-i(\omega_{ij} - \nu_k)t} . \tag{32}$$

Here Equation (32) assumes that the atom lies at the origin, $\tilde{\sigma}_{ij}(t)$ is the Heisenberg picture jump operator from matter state $|j\rangle$ to $|i\rangle$, ω_{ij} is the corresponding transition frequency and ν_k is the frequency of the field mode with wave vector \mathbf{k} . No approximations have been made. Formally integrating Eq. (32) yields

$$\tilde{a}_{k\lambda}(t) = a_{k\lambda}(0) - i \sum_{i < j} g_{k\lambda}^{(ij)} e^{-i(\omega_i j - \nu_k)t} \int_0^t dt' \tilde{\sigma}_{ij}(t') e^{i(\omega_{ij} - \nu_k)(t - t')} . \tag{33}$$

Using the definitions $\mathbf{E}^{(+)}(\mathbf{R},t) = \sum_{\mathbf{k}\lambda} E_{\mathbf{k}} \epsilon_{\mathbf{k}\lambda} a_{\mathbf{k}\lambda}(t) e^{i\mathbf{k}\cdot\mathbf{R}}$ and $I(\mathbf{R},t) = cn_r(\epsilon_0/2)\mathbf{E}^{(+)}(\mathbf{R},t)$. $\mathbf{E}^{(-)}(\mathbf{R},t)$ for the positive frequency electric field and intensity respectively, where n_r is the refractive index of the medium, together with Eq. (33) gives (with \mathbf{R} having components $R, \theta.\phi$):

$$\langle I(\mathbf{R},t)\rangle = \frac{n_r \omega_0^4}{32\pi^2 \epsilon_0 c^3 R^2} \left[\frac{1 + \cos^2 \theta}{2} \left(\rho_{e_1 e_1}(t') + \rho_{e_2 e_2}(t') \right) + \sin^2 \theta \left(\cos 2\phi \rho_{e_1 e_2}^{Re}(t') - \sin 2\phi \rho_{e_1 e_2}^{Re}(t') \right) \right]$$

for the field intensity at position R in the Calcium V-system. Here t' = t + R/c. The intensity distribution can now be integrated to obtain the response of intensity detectors in a variety of configurations. To maximize the detection of coherences consider three different detection set-ups: (a) detecting the light scattered in all directions by the system, with intensity denoted I_z , (b) collecting the light in the two quarter spheres with $\theta \in [0, \pi]$ and $\phi \in [-\pi/4, \pi/4] \cup [3\pi/4, 5\pi/4]$, with intensity denoted I_A ; (c) light collected in the two quarter spheres with $\theta \in [0, \pi]$ and $\phi \in [0, \pi/2] \cup [\pi, 3\pi/2]$ and denoted I_B . These three observables are directly related to the density matrix elements as follows:

$$I_z = \frac{8\pi}{3} I_0(\rho_{e_1e_1} + \rho_{e_2e_2}) \tag{34a}$$

$$I_A = \frac{1}{2}I_z + \frac{8}{3}I_0\rho_{e_1e_2}^{Re} \tag{34b}$$

$$I_B = \frac{1}{2}I_z - \frac{8}{3}I_0\rho_{e_1e_2}^I \tag{34c}$$

where $I_0 = n\omega_0^4/32\pi^2\epsilon_0 c^3 r^2$.

Alternatively, also consider the "complementary wedges" to those used for I_A and I_B and denote these intensities I'_A and I'_B (e.g. I'_A can be obtained from $\theta \in [0, \pi]$ and $\phi \in [\pi/4, 3\pi/4] \cup [5\pi/4, 7\pi/4]$). The coherences can then be directly extracted from these intensities as:

$$I_A - I_A' = \frac{16}{3} I_0 \rho_{e_1 e_2}^{Re} \tag{35a}$$

$$I_B' - I_B = \frac{16}{3} I_0 \rho_{e_1 e_2}^I \tag{35b}$$

The detection schemes outlined in Eqs. (34) and (35) maximize the strength of the detected quantum beat signal as they collect light from all regions where the interference effects are of the same sign. This linear technique is similar in philosophy to previously developed non-linear Quantum Tomography techniques [28–33] These results hold for both Δ/r regions and give signals proportional to the coherences that are dramatically different for the secular and non-secular cases, as shown in Figs. 2 to 4. The intensity of the signals is given by $[2\rho_{e_1e_2}/\pi(\rho_{e_1e_1}+\rho_{e_2e_2})]I_z$ where I_z is the total fluorescence intensity given by Eq. (34).

V. CONCLUSION

We have proposed an experimental procedure for distinguishing between secular and non-secular dynamics in excitation with incoherent light, using Calcium atoms in a stationary magnetic field interacting with a polarized beam of incoherent light. Specifically, angle-resolved fluorescence measurements allow for the detection of coherences between the excited states, displaying significantly different behavior for the non-secular and secular cases. The experimental results will provide deep insights into the fundamental question of the validity of secular vs non-secular Master Equations, afford a direct method for observing Fano coherences along with the opportunity to observe stationary coherences arising from the coupling of the Ca atom to two different photon baths.

Acknowledgements: PB acknowledges research support from the U.S. Air Force of Scientific Research under contract number FA9550-13-1-0005. AV acknowledges support

from a Society in Science Branco Weiss Fellowship.

- [1] H.-P. Breuer and F. Petruccione, <u>The theory of open quantum systems</u> (Oxford University Press, Oxford, 2007).
- [2] S. Nakajima, Prog. Theor. Phys. **20**, 948 (1958).
- [3] R. Zwanzig, The Journal of Chemical Physics 33, 1338 (1960).
- [4] R. Alicki and K. Lendi, Lecture Notes in Physics 286 (2007).
- [5] K. E. Dorfman, D. V. Voronine, S. Mukamel, and M. O. Scully, Proc. Natl. Acad. Sci. USA 110, 2746 (2013).
- [6] M. O. Scully, K. R. Chapin, K. E. Dorfman, M. B. Kim, and A. Svidzinsky, Proc. Natl. Acad. Sci. USA 108, 15097 (2011).
- [7] V. V. Kozlov, Y. Rostovtsev, and M. O. Scully, Phys. Rev. A 74, 063829 (2006).
- [8] G. C. Hegerfeldt and M. B. Plenio, Phys. Rev. A 47, 2186 (1993).
- [9] G. S. Agarwal and S. Menon, Phys. Rev. A 63, 023818 (2001).
- [10] G. S. Agarwal, in <u>Quantum Optics</u>, Springer Tracts in Modern Physics No. 70 (Springer Berlin Heidelberg, 1974) pp. 1–128, dOI: 10.1007/BFb0042382.
- [11] T. V. Tscherbul and P. Brumer, J. Chem. Phys. **142**, 104107 (2015).
- [12] T. V. Tscherbul and P. Brumer, Phys. Rev. Lett. 113, 113601 (2014).
- [13] A. Dodin, T. V. Tscherbul, and P. Brumer, J. Chem. Phys. 144, 244108 (2016).
- [14] A. Dodin, T. V. Tscherbul, and P. Brumer, (2016), (Work in Progress).
- [15] L. Valkunas, D. Abramavicius, and T. Mancal, Molecular Excitation, Dynamics and Relaxation (Wiley-VCH, Weinheim, 2013).
- [16] C. Creatore, M. A. Parker, S. Emmott, and A. W. Chin, Phys. Rev. Lett. 111, 253601 (2013).
- [17] A. Kossakowski, Reports on Mathematical Physics 3, 247 (1972).
- [18] G. Lindblad, Comm. Math. Phys. 48, 119 (1976).
- [19] V. Gorini, A. Kossakowski, and E. C. G. Sudarshan, Journal of Mathematical Physics 17, 821 (1976).
- [20] E. B. Davies, Comm. Math. Phys. **39**, 91 (1974).
- [21] E. B. Davies, Ann. I. H. Poincare A 28, 91 (1978).
- [22] R. Alicki, Phys. Rev. A 40, 4077 (1989).

- [23] W.-K. Li and S. Blinder, arXiv:1409.2032 [physics, physics.chem-ph] (2014), arXiv: 1408.5385.
- [24] M. O. Scully and M. S. Zubairy, Quantum Optics (Cambridge University Press, 1997).
- [25] W. E. Boyce and R. C. DiPrima, <u>Elementary differential equations and boundary value problems</u> (Wiley, Hoboken, NJ, 2009) oCLC: 302295891.
- [26] A. Dodin, T. Tscherbul, and P. Brumer, J. Chem. Phys. 145, 244313 (2016).
- [27] L. Pachon, J. Botero, and P. Brumer, J. Phys. B 50, 184003 (2017).
- [28] C. Leichtle, W. Schleich, I. Averbukh, and M. Shapiro, Phys. Rev. Lett. 80, 1418 (1998).
- [29] T. Humble and J. Cina, J. Phys. Chem. B 110, 18879 (2006).
- [30] T. Humble and J. Cina, Phys. Rev. Lett. **93**, 060402 (2004).
- [31] J. Yuen-Zhou, J. Krich, M. Mohseni, and A. Aspuru-Guzik, Proc. Natl. Acad. Sci. 108, 17615 (2011).
- [32] J. Yuen-Zhou and A. Aspuru-Guzik, J. Chem. Phys. 134, 020102(R) (2016).
- [33] L. Pachón, A. Marcus, and A. Aspuru-Guzik, J. Chem. Phys. 142, 020102(R) (2016).

VI. APPENDIX

Section III of the main text provides a rigorous mathematical derivation of the secular and non-secular ME's using the Davies approach. To highlight the relationship between this mathematical method and the typical Born-Markov and secular approximations used in the derivation of the Bloch-Redfield Equations, we present below an alternative derivation using a method similar to that of previous works that dealt with isotropic radiation[11–14]. This approach allows for a clear appreciation of the difference between excitation with a directed beam vs. that with isotropic radiation.

A. Incident Directed Radiative Beam

Consider the non-secular Bloch-Redfield Master Equations and secular (Pauli Rate Law) Equations for the Calcium system. Here, the 4s to $4p_{\pm}$ transitions have orthogonal polarization with each transition corresponding to one direction of circularly polarized light $(\sigma^+ \perp \sigma^-)$. Furthermore, both p_+ and p_- spontaneously decay to the ground 4s state with the same rate $\gamma_- = \gamma = \gamma_+$. The specific character of the system, and the use of a directed beam of incoherent light results in a different QME than previously obtained for isotropic

excitation [12]. In the isotropic case:

$$\dot{\rho}_{e_{i}e_{i}} = -(r_{i} + \gamma_{i})\rho_{e_{i}e_{i}} + r_{i}\rho_{gg} - p(\sqrt{r_{1}r_{2}} + \sqrt{\gamma_{1}\gamma_{2}})\rho_{e_{1}e_{2}}^{Re}$$

$$\dot{\rho}_{e_{1}e_{2}} = -\frac{1}{2}(r_{1} + r_{2} + \gamma_{1} + \gamma_{2})\rho_{e_{1}e_{2}} - i\rho_{e_{1}e_{2}}\Delta + \frac{p}{2}\sqrt{r_{1}r_{2}}(2\rho_{gg} - \rho_{e_{1}e_{1}} - \rho_{e_{2}e_{2}}) - \frac{p}{2}\sqrt{\gamma_{1}\gamma_{2}}(\rho_{e_{1}e_{1}} + \rho_{e_{2}e_{2}})$$

$$(36b)$$

where here and below atomic ($\hbar = 1$) units are used. Here $\rho_{e_i e_j}$ are the density matrix elements in the $|e_i\rangle$ basis, overdots denote time derivatives, $\rho_{e_1 e_2}^{Re}$ is the real part of $\rho_{e_1 e_2}$ and ρ_{e_1, e_2}^{I} below denotes the imaginary part. In Eq. (36), absorption and stimulated emission processes are parametrized by the incoherent pumping rates of the $|g\rangle \leftrightarrow |e_i\rangle$ transitions $r_i = B_i W(\omega_{ge_i})$, given by the product of the Einstein B-coefficients $B_i = \pi |\mu_{ge_i}|^2/(3\epsilon_0)$ and the intensity of the incident spectrally broad radiation at the corresponding transition frequencies $W(\omega_{ge_i})$. Spontaneous emission processes are governed by the radiative decay widths of the excited states $\gamma_i = \omega_{ge_i}^3 |\mu_{ge_i}|^2/(3\pi\epsilon_0 c^3)$, $\Delta = \omega_{e_1 e_2}$ gives the excited state energy splitting, and $p = \mu_{ge_1} \cdot \mu_{ge_2}/(|\mu_{ge_1}||\mu_{ge_2}|)$ quantifies the alignment of the $|g\rangle \leftrightarrow |e_i\rangle$ transition dipole moments, μ_{ge_i} . The radiative decay widths and incoherent pumping rates are related by the thermal occupation number, \bar{n} of the electromagnetic field such that $r_i = \bar{n}\gamma_i$.

Consider now excitation with a polarized beam of light derived from a spectrally broadened laser source. If the $W(\omega_{ge_i})$ is flat over the two $|g\rangle \to |e_i\rangle$ transitions, then the above equations provide a useful starting point for the case of interest. However, unlike a blackbody source that typically produces unpolarized isotropic radiation with a thermal occupancy that depends only on the magnitude of the wave vector (or equivalently the frequency) $\langle n_{\mathbf{k},\lambda}\rangle = \bar{n}_k$, a polarized beam of spectrally broadened light shows the same frequency dependence in the neighborhood of the transitions of interest, but has a strong dependence on the wavevector direction and polarization mode. Defining the beam propagation direction as \hat{z} and considering \hat{x} polarized light gives:

$$\langle n_{\mathbf{k},\lambda} \rangle = \delta_{\hat{\mathbf{k}},\hat{\mathbf{z}}} \delta_{\hat{\epsilon}_{\hat{\mathbf{k}},\lambda},\hat{\mathbf{x}}} \bar{n}_k \tag{37}$$

where $\hat{\epsilon}_{\hat{k},\lambda}$ is the polarization vector for the field mode with wave vector k and polarization λ . Thus, the incoherent pumping shows directional dependence, whereas the spontaneous emission terms are isotropic and are unchanged from the isotropic case previously considered [11–13]. Using the same perturbative expansion that provided the Master Equations for

the V-system excited by incoherent isotropic radiation [11] gives the light-matter coupling coefficients for the polarized directed field driven transitions, with the following replacement of Eq. (6) in Ref [11]:

$$\sum_{\mathbf{k}\lambda} \sum_{ij} \sum_{lm} g_{\mathbf{k}\lambda}^{(i,j)} g_{\mathbf{k}\lambda}^{(l,m)} \langle a_{\mathbf{k}\lambda}^{\dagger} a_{\mathbf{k}\lambda} \rangle \to \sum_{\mathbf{k}\lambda} \sum_{m,m'=\pm} g_{\mathbf{k}\lambda}^{(g,e_m)} g_{\mathbf{k}\lambda}^{(g,e_{m'})} \langle \bar{n}_{\mathbf{k}\lambda} \rangle \equiv C$$
 (38)

where $g_{\mathbf{k}\lambda}^{(\pm)} = [(h\nu_{\mathbf{k}})/(2\epsilon_0 V)]^{1/2} \boldsymbol{\mu}_{\pm} \cdot \hat{\boldsymbol{\epsilon}}_{\mathbf{k}\lambda}$ is the matter-field coupling coefficient for the $|4s\rangle \leftrightarrow |4p_{\pm}\rangle$ transition and $\boldsymbol{\mu}_{\pm}$ is the transition dipole moment of the corresponding transition. The indices m and m' denote a sum over the magnetic quantum numbers of the 4P states comprising the excited state manifold. For convenience, we have denoted the right side of Eq. (38) as C.

Substituting Eq. (37) into Eq. (38) and evaluating the angular summation over k and the polarization sum over $\lambda = 1, 2$ reduces Eq. (38) to

$$C = \sum_{k} \mu_{g,e_m} \mu_{g,e_{m'}} (\hat{\boldsymbol{\mu}}_{g,e_m} \cdot \hat{\boldsymbol{x}}) (\hat{\boldsymbol{\mu}}_{g,e_{m'}} \cdot \hat{\boldsymbol{x}}) \bar{n}_k$$
(39)

where $\mu_{g,e_m} = |\boldsymbol{\mu}_{g,e_m}|$ is the magnitude of the transition dipole moment and $\hat{\boldsymbol{\mu}}_{g,e_m} = \boldsymbol{\mu}_{g,e_m}/\mu_{g,e_m}$ is the unit vector in the direction of the transition dipole moment. To determine the \hat{x} component of the transition dipole moments recall that $|4p_{m=\pm 1}\rangle = 1/\sqrt{2}(|4p_x\rangle \pm i|4p_y\rangle)$ and that $\langle 4p_x|\mu|4p_y\rangle = 0$ by angular momentum selection rules. Furthermore, $\langle 4s|\mu|4p_x\rangle$ is parallel to \hat{x} and $\langle 4s|\mu|4p_y\rangle$ is parallel to \hat{y} by the symmetry of the p orbitals so that $\hat{\boldsymbol{\mu}}_{g,e_m} \cdot \hat{\boldsymbol{x}} = 1/\sqrt{2}$. Substituting into Eq. (39) allows for the evaluation of the coefficients in the perturbative expansion as

$$C = \sum_{k} \frac{\mu_{g,e_m} \mu_{g,e_{m'}}}{2} \bar{n}. \tag{40}$$

Noting that $\mu_{g,e_1} \perp \mu_{g,e_{-1}}$ we get p = 0 for the spontaneous emission terms. Therefore, the alignment parameters for the isotropic spontaneous emission terms and for the directional pumping terms are different. Further, note that when m = m' in Eq. (40) the incoherent pumping rates are given by

$$r_{\pm 1} = \frac{\gamma_{\pm 1}}{4} \bar{n}_k = \frac{\gamma}{4} \bar{n}_k = r. \tag{41}$$

Here we have used $\gamma_{+1} = \gamma_{-1} = \gamma$ and redefined the pumping rates by analogy to the isotropic case but attenuated by a factor of four since the only radiation available is that parallel to the beam direction. Using these definitions for the case $m \neq m'$ in Eq. (40) gives the coefficients for the non-secular terms that couple the populations and the coherences.

1. Non-secular Master Equation

Combining these properties within the non-secular Master Equations for the isotropic case gives the following completely positive non-secular Master Equations:

$$\dot{\rho}_{e,e_i} = r\rho_{qq} - (\gamma + r)\rho_{e_ie_i} - r\rho_{e_1e_2}^{Re}$$
(42a)

$$\dot{\rho}_{e_1 e_2}^{Re} = r \rho_{gg} - (\gamma + r) \rho_{e_1 e_2}^{Re} + \Delta \rho_{e_1 e_2}^{I} - \frac{r}{2} \left(\rho_{e_1 e_1} + \rho_{e_2 e_2} \right)$$
 (42b)

$$\dot{\rho}_{e_1 e_2}^I = -(\gamma + r)\rho_{e_1 e_2}^I - \Delta \rho_{e_1 e_2}^{Re}$$
(42c)

where $\Delta = \mu_B B$ is the Zeeman shift between the $4p_{\pm}$ states. Here, the states have been labelled as $|e_1\rangle = |4p_-\rangle$, $|e_2\rangle = |4p_+\rangle$ and $|g\rangle = |4s\rangle$. As noted in the text, these equations are the same as those for excitation with isotropic radiation [Eq. (36) with p = 1, $\gamma_1 = \gamma_2 = \gamma$, $\gamma_1 = \gamma_2 = \gamma$] with $\gamma_1 = \gamma_2 = \gamma_1 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_1 = \gamma_1 = \gamma_2 = \gamma_1 = \gamma_1 = \gamma_1 = \gamma_1 = \gamma_2 = \gamma_1 =$

2. Secular Rate-Law Equations

The secular approximation neglects the non-secular terms that couple the populations and coherences in Eq. (42) to give the following secular Master Equations:

$$\dot{\rho}_{e_i e_i} = r \rho_{gg} - (\gamma + r) \rho_{e_i e_i} \tag{43a}$$

$$\dot{\rho}_{e_{1}e_{2}}^{Re} = -(\gamma + r)\rho_{e_{1}e_{2}}^{Re} + \Delta\rho_{e_{1}e_{2}}^{I} \tag{43b}$$

$$\dot{\rho}_{e_1e_2}^I = -(\gamma + r)\rho_{e_1e_2}^I - \Delta\rho_{e_1e_2}^{Re} \tag{43c}$$

As a consequence of the decoupling of the coherences from the populations, a system initially in an incoherent mixture of states will not develop coherences between any of its states and hence will remain an incoherent mixture. This contrasts with Eq. (42) where, for example, a system initially in the ground state will generate coherences between the excited states. In the case of Eq. (42) these coherences would result in the localization of a p orbital along a given axis in the xy plane, with the phase of the coherences defining the axis.