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# Phase structure of mass- and spin-imbalanced unitary Fermi gases

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We study the phase diagram of mass- and spin-imbalanced unitary Fermi gases, in search for the emergence of spatially inhomogeneous phases. To account for fluctuation effects beyond the mean-field approximation, we employ renormalization group techniques. We thus obtain estimates for critical values of the temperature, mass and spin imbalance, above which the system is in the normal phase. In the unpolarized, equal-mass limit, our result for the critical temperature is in accordance with state-of-the-art Monte Carlo calculations. In addition, we estimate the location of regions in the phase diagram where inhomogeneous phases are likely to exist. We show that an intriguing relation exists between the general structure of the many-body phase diagram and the binding energies of the underlying two-body bound-state problem, which further supports our findings. Our results suggest that inhomogeneous condensates form for mass imbalances  $m_{\downarrow}/m_{\uparrow} \gtrsim 3$ . The extent of the inhomogeneous phase in parameter space increases with increasing mass imbalance.

# I. INTRODUCTION

The past 15 years have witnessed tremendous advances in the experimental control and exploration of ultracold atomic Fermi gases. Since the first realization of a Bose-Einstein-condensate of paired fermions [1], experimental techniques have been further developed and now allow for detailed studies of many-body phenomena, controlled variations in temperature and polarization [2], studies of Bose-Fermi mixtures [3], optical lattices [4], as well as precise determinations of the equation of state [5, 6], see Refs. [7–9] for reviews. It has thus become possible to test theoretical descriptions of long-known effects such as Bardeen-Cooper-Schrieffer (BCS) superfluidity or Bose-Einstein-condensation (BEC) with high precision. Moreover, many new experimental studies of many-body phenomena with mixtures of a variety of different fermion species (such as  ${}^{6}\text{Li}$ ,  ${}^{40}\text{K}$ ,  ${}^{161}\text{Dy}$ ,  ${}^{163}\text{Dy}$ , and  ${}^{167}\text{Er}$ ) are within reach in the near future (see e.g. Ref. [10–12]), giving us an unprecedented opportunity to better our understanding of mass imbalances in strongly coupled Fermi gases and push our understanding of more exotic phenomena such as the emergence of inhomogeneous phases [13, 14] to a whole new level.

In experiments, the particle density n and s-wave scattering length  $a_s$  are control parameters. In a sufficiently dilute gas, they represent the only scales of the systems, since the effective range  $r_e$  of the interaction can safely be neglected. Experimentally,  $a_s$  can be tuned at will by means of so-called magnetic Feshbach resonances. This opens up the possibility to explore many-body phenomena over a wide range of interaction strengths. Of particular interest is the strongly coupled "unitary" limit, where  $a_s \to \infty$ . In this regime, a non-perturbative treatment is inevitable due to the absence of a small expansion parameter [15].

Systems with equal populations and particle masses for the different fermion species, i.e. spin- and mass-*balanced* unitary Fermi gases, are well under control by now from both an experimental and theoretical point of view. For example, lattice Monte Carlo studies of the equation of state and the critical temperature have reached a quantitative level [16] and show good agreement with experimental data [17, 18]. In fact, the agreement between the most accurate numerical results presently available and the experimental data is excellent, see Refs. [6, 19]. For spin- and mass-imbalanced Fermi gases, which are at the heart of this work, less is known beyond the mean-field approximation, although great efforts have been made in recent years to study mass-imbalanced (see, e.g., Refs. [20–26]) as well as spin-imbalanced (see, e.g. Refs. [27–34]) unitary Fermi gases (see, e.g., Refs. [35, 36] for reviews). The difficulties encountered in studies of imbalanced systems beyond the mean-field limit are many. For example, *ab initio* Monte Carlo studies are severely hampered by a so-called sign problem if spin and/or mass imbalances are introduced [37, 38]. Techniques to surmount this problem have been developed [39], but have so far focused on the zero-temperature equation of state of mass-imbalanced unitary Fermi gases [40], and their use is very recent.

The reasons for the interest in imbalanced systems are manifold as well. For example, the Fermi surfaces of the different species are mismatched in this case, possibly giving rise to exotic phenomena such as inhomogeneous phases of the Fulde-Ferrell-Larkin-Ovchinnikov (FFLO) type [13, 14] or Sarma [41] phases. For one-dimensional spin-imbalanced Fermi gases, where inhomogeneous pairing is expected to exist for a wide range in parameter space [42], observation of an FFLO phase has indeed been claimed [43]. In three dimensions, however, the inhomogeneous phase is expected to occupy only a thin layer of parameter space between the homogeneous superfluid and the normal fluid in parameter space, if at all [44–46]. This renders the experimental detection of such phases quite challenging. The utilization of massimbalanced mixtures is expected to alleviate this situation somewhat due to the larger parameter space for inhomogeneous pairing in this case [47].

Most of the studies, especially of FFLO phases, have

so far relied on the mean-field approximation. However, even in three dimensions, these studies yield at best qualitative insights into the phase structure of the system. In fact, even in the balanced case it is known that the critical temperature (measured in units of the chemical potential) is overestimated by a factor  $\sim 1.6$  in mean-field studies. This can be traced back to the omission of order-parameter fluctuations. However, the fate of FFLO-type phases upon inclusion of such fluctuation effects remains largely an open question.

In this work, we analyze the phase structure of a unitary Fermi gas with spin and mass imbalance at finite temperature. For this purpose, we employ functional renormalization group (fRG) techniques, which allow us to include order-parameter fluctuations. Results from previous studies for the superfluid critical temperature of the mass- and spin-imbalanced case are in good agreement with experimental data and *ab initio* MC studies [34]. Here, we extend the theoretical framework developed in earlier RG works [34, 48–51] in order to investigate mass- and spin-imbalanced systems. Although our setup does not yet allow us to explicitly resolve inhomogeneous phases, strong hints of their existence beyond the mean-field approximation can already be detected and we discuss them here.

The rest of the paper is organized as follows. In Sec. II we introduce the microscopic model and define the scales and dimensionless parameters. Since the identification of inhomogeneous phases is challenging, we begin our discussion of the phase structure of mass- and spin-imbalanced Fermi gases by revisiting the two-body bound-state problem in Sec. III. In a study of onedimensional gases [42], it was found that the two-body problem in the presence of Fermi spheres provides useful information about the many-body problem. In fact, it was then found that the phase structure is in approximate quantitative agreement with the associated manybody study in the mean-field approximation. In Sec. IV we present the mean-field phase diagram. Corrections beyond the mean-field approximation are discussed in Sect. V and the phase diagram resulting from those corrections is shown.

# II. MICROSCOPIC MODEL

Microscopically, the two-component spin- and massimbalanced Fermi gas in the vicinity of a broad Feshbach resonance is described by the following action:

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$$S_{\rm F}[\{\psi_{\sigma}\}] = \int_{\tau,\vec{x}} \left| \sum_{\sigma=\uparrow,\downarrow} \psi_{\sigma}^* \left( \partial_{\tau} - \frac{\nabla^2}{2m_{\sigma}} - \mu_{\sigma} \right) \psi_{\sigma} + g \psi_{\uparrow}^* \psi_{\uparrow} \psi_{\downarrow}^* \psi_{\downarrow} \right|.$$
(1)

Here,  $\int_{\tau,\vec{x}} = \int d\tau \int d^3x$ . The two fermion species are represented by the Grassmann-valued fields  $\psi_{\sigma}$  that depend

on spatial coordinates  $\vec{x}$  and compact Euclidean time  $\tau$ . A contact interaction of strength g couples both species. Its strength can be tuned through its dependence on the s-wave scattering length  $a_s$ :

$$g = \frac{4\pi\Lambda}{a_s^{-1} - c_{\rm reg}\Lambda},\tag{2}$$

where  $\Lambda$  is the ultraviolet cutoff and  $c_{\text{reg}}$  is a constant that depends on the regularization scheme.

It is convenient to trade in the two fermion masses for an imbalance parameter  $\bar{m}$  using the following definitions:

$$m_{+} \equiv \frac{4m_{\uparrow}m_{\downarrow}}{m_{\uparrow} + m_{\downarrow}}, \quad m_{-} \equiv \frac{4m_{\uparrow}m_{\downarrow}}{m_{\downarrow} - m_{\uparrow}}, \quad \bar{m} \equiv \frac{m_{-}}{m_{+}}.$$
(3)

In this work we set  $m_+ = 1$  which corresponds to the choice  $m_{\uparrow,\downarrow} = 1/2$  in the mass-balanced case. Note that the mass imbalance parameter  $\bar{m}$  is thus normalized such that  $0 \leq \bar{m} < 1$ . The chemical potentials of the fermion species can be expressed in terms of an average chemical potential  $\mu$  and the (normalized) spin imbalance parameter or so-called Zeeman field  $\bar{h}$  via

$$\mu = \frac{\mu_{\uparrow} + \mu_{\downarrow}}{2}, \quad h = \frac{\mu_{\uparrow} - \mu_{\downarrow}}{2}, \quad \bar{h} \equiv \frac{h}{\mu}.$$
 (4)

It is also convenient to define a dimensionless temperature parameter

$$\bar{T} \equiv \frac{T}{\mu}.$$
(5)

Finally, we choose units such that  $\hbar = k_{\rm B} = 1$ .

The action  $S_{\rm F}$  defined above features a global U(1) symmetry associated with particle number conservation. This symmetry is spontaneously broken by a nonvanishing field expectation value  $\langle \psi_{\uparrow}\psi_{\downarrow}\rangle \neq 0$  in the superfluid phase. The main goal of the present work is to identify the region of parameter space where  $\langle \psi_{\uparrow}\psi_{\downarrow}\rangle$ , as a function of  $(\bar{h}, \bar{m}, \bar{T})$ , becomes nonzero and possibly position-dependent, indicating the breakdown of translational invariance.

# **III. TWO-BODY BOUND STATES**

It is particularly challenging to identify those regimes in the phase diagram where the U(1) symmetry and translational invariance are broken simultaneously. For example, it may be reasonable to assume that the order parameter  $\langle \psi_{\uparrow}\psi_{\downarrow}\rangle(\vec{x})$  is a periodic function. However, neither its precise functional form nor the length scale associated with its period (i.e. the characteristic momentum  $\vec{Q}$  associated with the inhomogeneity in Fourier space) are known *a priori*. Therefore, it is worthwhile to perform preparatory analyses to help identify domains in parameter space where U(1) symmetry breaking may appear in the full many-body problem and, in particular, where such breaking is accompanied by a spontaneous breakdown of translation invariance.

The physical interpretation of a finite order parameter  $\langle \psi_{\uparrow}\psi_{\downarrow}\rangle \neq 0$  is a condensate of paired fermions. Naturally, those (bosonic) pairs have to be formed in the first place in order to condense. It thus seems reasonable to assume that the characteristics of the energetically most favorable pairing state (for a given parameter set  $(\bar{h}, \bar{m}, \bar{T})$ ) will strongly influence the properties of a (potential) condensate in the many-body problem. A quantum mechanical bound-state calculation in Ref. [42] has indeed shown good qualitative and even semi-quantitative agreement with the many-body mean-field analysis in one dimension. Here, we perform a similar calculation for the three-dimensional case.

Following Ref. [42], the Schrödinger equation for the wave function  $\Psi$  of two distinct fermions in the presence of their respective Fermi surfaces is given by

$$\left[\sum_{\sigma=\uparrow,\downarrow} \epsilon_{\sigma}(\partial_{x_{\sigma}}) - g\delta(x_{\uparrow} - x_{\downarrow}) + E_B\right] \Psi(x_{\uparrow}, x_{\downarrow}) = 0,$$
(6)

where  $E_B = \epsilon_{\mathrm{F},\uparrow} + \epsilon_{\mathrm{F},\downarrow} - E$  and the delta-shaped potential is the two-body equivalent of the contact interaction in Eq. (1). Thus, the relation between the coupling strength g and the s-wave scattering length is given by Eq. (2). The kinetic energy is measured with respect to the Fermi surfaces:  $\epsilon_{\sigma}(\partial_{x_{\sigma}}) = |-(2m_{\sigma})^{-1}\partial_{x_{\sigma}}^2 - \epsilon_{\mathrm{F},\sigma}|$ , where  $\epsilon_{\mathrm{F},\sigma}$ corresponds to the Fermi energies of the two species, respectively. Note that the general setup is well known and has been previously used to determine the properties of a single Cooper pair in the context of balanced BCS theory, see e.g. Refs. [52, 53].

In momentum space, Eq. (6) can be recast into a (renormalized) integral equation for the binding energy  $E_B$ :

$$\int_{\vec{p}} \left[ \frac{1}{\epsilon_{\uparrow} \left( \frac{\vec{p}}{2} + \vec{p} \right) + \epsilon_{\downarrow} \left( \frac{\vec{P}}{2} - \vec{p} \right) + E_B(|\vec{P}|)} - \frac{1}{2} \right] = 0,$$
(7)

where  $\int_{\vec{p}} = \int d^3p/(2\pi)^3$ . The vectors  $\vec{p}$  and  $\vec{P}$  denote the relative and center-of-mass momenta, respectively. Since the energy of the two-particle state  $\Psi$  depends on the magnitude of the center-of-mass momentum  $\vec{P}$ , the ground-state solution of Eq. (7) determines whether a bound state exists for a specific set of parameters and, crucially for this work, provides information about the energetically favored center-of-mass momentum.

In Fig. 1 we show the results for the ground-state binding energies. We find that for small  $\bar{m}$ , and around the line of equal Fermi momenta  $k_{\mathrm{F},\uparrow} = k_{\mathrm{F},\downarrow}$  at  $\bar{h} = \bar{m}$ , the bound-state formation with zero center-of-mass momentum is favored (light-gray shaded area). Due to the increasing mismatch of Fermi surfaces away from the  $\bar{h} = \bar{m}$  line, the (dimensionless) binding energy  $\bar{E}_B = E_B/\mu$  decreases monotonically until it reaches



Figure 1. (color online) Dimensionless two-particle binding energies  $\bar{E}_B = E_B/\mu$  as obtained from Eq. (7). Domains in parameter space where bound states are found ( $\bar{E}_B > 0$ ) are (gray) shaded. For red/dark shading, bound-state formation with a finite center-of-mass momentum  $\bar{P} = |\vec{P}|/\sqrt{\mu}$  is favored. The line  $\bar{h} = \bar{m}$  corresponds to the case of equal Fermi momenta for the two species. The two-body states found along this line are found to be the overall most deeply bound states.

zero for high mass imbalances and negative spin imbalances. If, on the other hand,  $\bar{m} \gtrsim 0.46$ , pairing with finite  $\bar{P} = P/\sqrt{\mu}$  is favored for sufficiently small spin imbalances (dark/red-shaded area). Note that this seems to stabilize the binding energy away from equal Fermi momenta for very large mass imbalances, manifested by a slight back-bending of the  $\bar{E}_B$  isolines. Similar behavior was observed in the one-dimensional case [42], where it was found to have strong influence on the structure of the many-body phase diagram.

Loosely speaking, a condensate of pairs with finite center-of-mass momentum would break translational invariance. Our observation of the existence of a region of parameter space associated with two-body bound states with a finite center-of-mass momentum suggest that a region governed by an inhomogeneous ground state may exist in the many-body phase diagram.

We close this section with a word of caution as to the relevance of our two-body analysis for the actual many-body problem: The existence of bound states in the two-body problem in the presence of Fermi surfaces does not necessarily entail spontaneous symmetry breaking in the associated many-body problem. The latter requires, additionally, Bose-Einstein condensation of said bound states. Furthermore, the consideration of inert Fermi surfaces in our two-body study is questionable in the strongly coupled unitary regime.<sup>1</sup> For example, the

<sup>&</sup>lt;sup>1</sup> Strictly speaking, the assumption of inert Fermi surfaces is only justified if the Fermi momenta/energies of the non-interacting

so-called Fermi-polaron problem [20, 27–33], which constitutes the limiting case of a single spin-up impurity in a sea of indistinguishable spin-down fermions, is known to have a negative chemical potential. This implies that energy is gained when a spin-up impurity is added to a system of non-interacting spin-down fermions. As discussed in Ref. [27], this energy gain determines the value of the Zeeman field h above which a mixed-phase may emerge in experimental studies. Moreover, this value of  $\bar{h}$  can be viewed as a strict lower bound for the critical value of h above which the ground state of the system is superfluid. Note that the interaction of the impurity with all the spin-down fermions is taken into account in these studies. Our study of the two-body problem in the presence of Fermi spheres cannot reproduce the results of the above-mentioned Fermi-polaron problem, as we only allow for an interaction between one spin-up and one spin-down fermion.<sup>2</sup> Our approach should therefore be viewed as complementary to the Fermi-polaron problem. In fact, our analysis gives direct access to the center-ofmass momentum of the bound state and therefore enables us to estimate the regions in the many-body phase diagram where inhomogeneous phases are likely to exist. In any case, all results so far are by construction valid only at strictly zero temperature. Therefore, a proper manybody treatment is mandatory in order to obtain the actual phase diagram. However, as we will see below, our predictions resulting from Eq. (6) for the position of inhomogeneous phases turn out to be astonishingly good, which emphasizes the importance of few-body physics for our understanding of complex many-body phenomena.

# IV. MEAN-FIELD ANALYSIS

# A. Formalism and Fulde-Ferrell Ansatz

For this work, the properties of the order parameter  $\langle \psi_{\uparrow}\psi_{\downarrow}\rangle$  are essential. We therefore formulate the problem of spontaneous symmetry breaking in terms of the associated order-parameter field. This can be achieved by means of a judiciously chosen Hubbard-Stratonovich transformation [54], upon which we obtain the following microscopic action

$$S_{\rm B}[\{\psi_{\sigma}\},\bar{\varphi}] = \int_{\tau,\vec{x}} \Big[ \sum_{\sigma=\uparrow,\downarrow} \psi_{\sigma}^* \Big( \partial_{\tau} - \frac{\nabla^2}{2m_{\sigma}} - \mu_{\sigma} \Big) \psi_{\sigma} + \bar{m}_{\varphi}^2 \bar{\varphi}^* \bar{\varphi} - \bar{h}_{\varphi} \left( \bar{\varphi}^* \psi_{\uparrow} \psi_{\downarrow} - \bar{\varphi} \psi_{\uparrow}^* \psi_{\downarrow}^* \right) \Big],$$
(8)

where the parameter  $\bar{m}_{\varphi}$  and the Yukawa-type coupling  $\bar{h}_{\varphi}$  are related to the original four-fermion coupling g in Eq. (1):  $g = -\bar{h}_{\varphi}^2/\bar{m}_{\varphi}^2$ . The action (8) is indeed equivalent to the purely fermionic action  $S_{\rm F}$  in Eq. (1) and is the basis for all the calculations in this work. The boson field  $\bar{\varphi} \sim \psi_{\uparrow}\psi_{\downarrow}$  can be viewed as mediating the fermionic self-interaction  $g\psi_{\uparrow}^*\psi_{\uparrow}\psi_{\downarrow}^*\psi_{\downarrow} \sim \bar{h}_{\varphi}\bar{\varphi}^*\psi_{\uparrow}\psi_{\downarrow}$ . Note that no kinetic term for the order-parameter field appears in the classical action  $S_{\rm B}$ . Such a term is generated dynamically when fermion fluctuations are integrated out, due to the presence of the Yukawa-type interaction, as we will see in more detail below.

From a field theoretical point of view, the field  $\bar{\varphi}$  is nothing but an auxiliary field, introduced by the Hubbard-Stratonovich transformation to facilitate the computations by removing the quartic fermion term in favor of a Yukawa-type interaction. From a phenomenological point of view, however,  $\bar{\varphi}$  may be interpreted as a collective state of two fermions, corresponding to the closed channel of the Feshbach resonance, see, e.g., Refs. [48, 55].

In our partially bosonized formulation, the order parameter for U(1) symmetry breaking can now be identified with  $\bar{\varphi}_0 \sim \langle \psi_{\uparrow} \psi_{\downarrow} \rangle$ . In the ground state, these field expectation values can be obtained by minimizing the quantum effective action  $\Gamma \sim -\ln \mathcal{Z}$  with respect to  $\bar{\varphi} \sim \psi_{\uparrow} \psi_{\downarrow}$ , where

$$\mathcal{Z} = \int \mathcal{D}\psi_{\uparrow} \mathcal{D}\psi_{\downarrow} \mathcal{D}\bar{\varphi} e^{-S_{\mathrm{B}}[\psi_{\sigma},\bar{\varphi}]} = \int \mathcal{D}\bar{\varphi} \det_{\psi}[\bar{\varphi}] \qquad (9)$$

is the partition function in the path-integral representation. Note that the determinant on the right-hand side can in principle be used to define a purely bosonic action  $S_{\rm PB}[\bar{\varphi}] = -\ln \det_{\psi}[\bar{\varphi}]$ , which is the starting point for lattice MC calculations [16, 37, 56] (see Ref. [38] for a review). While the effective action  $\Gamma$  shares the symmetries of the microscopic action  $S_{\rm B}$  by construction,<sup>3</sup> it includes the effects of all thermal and quantum fluctuations. Thus, it is composed of renormalized fields and couplings which are in general momentum dependent. Since the fermion determinant  $\det_{\psi}$  involves a generally complicated dependence on  $\bar{\varphi}$ , an exact computation of  $\Gamma$  is highly non-trivial. Therefore, systematic approximation schemes are needed to gain insight into the physical content of the theory.

In this sense, the widely used mean-field approximation can be considered as a lowest-order approximation to the effective action. It is obtained by shifting the field  $\bar{\varphi} \rightarrow \bar{\varphi} + \delta \bar{\varphi}$ , where  $\delta \bar{\varphi}$  now represents the fluctuation field, and performing a saddle-point approximation of the path integral about  $\bar{\varphi}$ . This renders the analytic computation of the fermion determinant more feasible. However, it is still non-trivial to carry out if one allows for a general space-dependent "background" field  $\bar{\varphi}(\vec{x})$ ,

system entering our two-body study are of the order of the Fermi momenta/energies attributed to the fully interacting many-body problem.

<sup>&</sup>lt;sup>2</sup> Note also that the non-interacting Fermi spheres enter our computation and that the associated Fermi momentum  $k_{\mathrm{F},\uparrow}$   $(k_{\mathrm{F},\downarrow})$ becomes complex for  $\bar{h} < -1$   $(\bar{h} > 1)$ .

<sup>&</sup>lt;sup>3</sup> The path integral measure respects the symmetries of the theory.

which is needed to enable the detection of inhomogeneous phases. In the following, we employ an analytically feasible Fulde-Ferrell ansatz (FF) [13],

$$\bar{\varphi}(\vec{x}) = \bar{\varphi}e^{i2\vec{Q}\cdot\vec{x}} \,. \tag{10}$$

Since  $\bar{\varphi}$  is chosen to be a constant amplitude, we are left with the standard mean-field ansatz in the limit of vanishing momentum  $\vec{Q}$ . Note that the ansatz (10) may be regarded as the first term of a Fourier expansion of a more general  $\bar{\varphi}(\vec{x})$ . It is hence considered to be a particularly good approximation to the full solution in the vicinity of a second order phase transition to the normal phase, where higher order contributions are expected to be small (see e.g. Refs. [42, 57–59]).

Using the ansatz (10), the order-parameter potential U becomes

$$U(\bar{\Delta}, Q) = \int_{\vec{q}} \left\{ E_{\bar{\Delta}=0} - E_{\bar{\Delta}} + \frac{\Delta^2}{2q^2} - \sum_{\sigma=\pm 1} T \log \left( 1 + e^{-\frac{1}{T}E_{\bar{\Delta}} - \frac{\sigma}{T} \left[ (q^2 + Q^2)\bar{m} - 2\vec{q} \cdot \vec{Q} - h \right]} \right) \right\}$$
(11)

where

$$E_{\bar{\Delta}} = \sqrt{(q^2 + Q^2 - 2\bar{m}\vec{q}\cdot\vec{Q} - \mu)^2 + \bar{\Delta}^2}, \qquad (12)$$

and  $Q = |\vec{Q}|$  as well as  $q = |\vec{q}|$ . The quantity  $\bar{\Delta}^2 = \bar{h}_{\varphi}^2 \bar{\varphi}^* \bar{\varphi}$  evaluated at the (global) minimum  $\bar{\varphi}_0$  of U is nothing but the fermion gap. Note that the minimum of U in  $\vec{Q}$ -direction is not necessarily an extremum. A global (numerical) minimization of the potential is therefore required to find the ground state of the theory.

# B. Results

In Fig. 2 we show the phase diagram as obtained from a direct minimization of  $U(\Delta, Q)$  in Eq. (11). Here, we mainly discuss aspects related to the emergence of inhomogeneous phases. For detailed discussions on the extent and the properties of the homogeneous phases at zero and finite temperature, we refer the reader to earlier work, e.g. Refs. [21, 24, 60, 61].

We begin by briefly discussing some characteristic features of the phase diagram. The region of homogeneous symmetry breaking is roughly centered around the line of equal Fermi momenta,  $\bar{h} = \bar{m}$ , as suggested by the analysis of the two-body problem of Sec. III. At  $\bar{T} = 0$ , the transition from the homogeneous superfluid to the normal phase is always of first order for the parameter space considered in the present work. At sufficiently high temperatures, on the other hand, the transition from the superfluid to the normal phase changes into a second-order transition. The surfaces in parameter space associated with these two types of transitions meet at a critical line denoted by  $\bar{T}_{\rm cp}$ . Note that we limit ourselves to spin



Figure 2. (color online) Finite-temperature mean-field phase diagram in the plane spanned by  $\bar{h}$  and  $\bar{m}$  with  $\bar{T}$ -isolines. The (light) gray-shaded region corresponds to a homogeneous condensate, i.e.  $\Delta_0 > 0$  and  $Q_0 = 0$  (SF); the dark/red-shaded area depicts a Fulde-Ferrell regime, i.e.  $\Delta_0 > 0$  and  $Q_0 > 0$  (FF). The (blue) dotted lines correspond to lines of multicritical points  $\bar{T}_{cp}$ , where either a surface associated with first-order transitions from the homogeneous to the inhomogeneous phase meets a surface associated with second-order transitions from the homogeneous to the normal fluid (NF) phase as well as a surface from the inhomogeneous to the normal fluid phase (NF), or where a surface associated with second-order transitions from the homogeneous to the NF phase meets a surface associated with first-order transitions from the homogeneous to the NF phase.

imbalances  $\bar{h} \in [-1, 1]$ . Contrary to the case of the twobody analysis in Sec. III, this constraint is not imposed by the method itself. In fact, the domain of high mass imbalance,  $\bar{m} \gtrsim 0.8$  for  $\bar{h} > 1$  is of interest for an investigation of the physics of *Sarma* phases [41]. In particular, it was found in mean-field calculations that a second order transition occurs in this regime even at T = 0 [21].<sup>4</sup> We leave a detailed discussion of Sarma phases for future work.

We now turn to a discussion of the inhomogeneous phase depicted by the dark/red-shaded area in Fig. 2. Studies similar to ours have been performed for specific values for  $\bar{m}$  (e.g., Li-K mixture [26]) as well as arbitrary values of  $\bar{m}$  employing a *T*-matrix approach [47]. While our mean-field results agree well with those of Ref. [26], we do not find an inhomogeneous phase for mass imbalances down to  $\bar{m} = 0$  as in Ref. [47]. There is, in fact, disagreement in the literature on this point (see e.g., Refs. [44, 45]), which suggests that the appearance of an

<sup>&</sup>lt;sup>4</sup> By employing the functional RG scheme discussed in Sec. VA, we do indeed find strong hints that a zero-temperature Sarma phase in the regime of large  $\bar{m}$  and  $\bar{h} > 1$  exists even beyond the mean-field approximation. For a discussion of the existence of Sarma phases in the phase diagram of spin-imbalanced but massbalanced Fermi gases beyond the mean-field limit see Ref. [62].



Figure 3. (color online) Zero-temperature mean-field phase diagram with a focus on the regime in parameter space where inhomogeneous phases (FF) are found. The black/solid line represents the transition line between the homogeneous (SF) and inhomogeneous or normal phases (NF), respectively. The (orange) dashed line denotes the phase boundary between the inhomogeneous and the normal phase. Finally, the (red) dot-dot-dashed line is the lower bound for pairing with  $\bar{P} > 0$  as obtained from our two-body analysis, see Fig. 1.

inhomogeneous phase is very sensitive to the details of the approximation scheme as well as the specific ansatz for the inhomogeneity. This strengthens our motivation to consider alternative sources of information on pairing behavior such as our few-body analysis of Sec. III and RG methods to account fluctuation effects (Sec. V below).

Fig. 3 displays an enlarged view of the region with inhomogeneous superfluidity, focusing on the domain of parameter space governed by inhomogeneous pairing at  $\overline{T} = 0$ . As evident from the figure, the inhomogeneous phase occupies only a thin shell in parameter space close the phase with a homogeneous condensate. It is separated from the normal phase by a second-order transition and from the homogeneous phase by a first-order transition.<sup>5</sup> Note that, for finite negative scattering lengths  $a_s$ , a similar behavior has been found previously also for the mass-balanced case [45].

Within numerical errors, the position of the first-order transition line from the homogeneous to the inhomogeneous phase coincides almost perfectly with the transition line from the homogeneous superfluid to the normal phase, which is found if one does not allow for a space-dependent order parameter.<sup>6</sup> The observed first-order nature of the homogeneous-inhomogeneous transition may be an artifact of the FF-ansatz in our study. In fact, studies of analytically solvable relativistic models in 1D found that the corresponding transition line is of second order rather than first order [57, 58]. The position of the associated transition line was found to be reasonably well described when the space dependence of the condensate is approximated by the first term of the Fourier expansion [59], as done by the FF ansatz. However, the order of the transition is spuriously predicted to be of first oder.<sup>7</sup>

The very small extent of the inhomogeneous phase in  $\bar{h}$ -direction for fixed  $\bar{m}$  close to the zero-temperature critical endpoint  $CP^0$  renders the precise determination of its coordinates very difficult from a numerical point of view. Following our line of arguments from Sec. III, the quick disappearance of the inhomogeneous phase close to this point is not unexpected: The two-body binding energy away from its maximum at  $\bar{h} = \bar{m}$  is "stabilized" for finite pair-momentum only at very large mass imbalances, as indicated by the characteristic back-bending of the lines of constant binding energy in Fig. 1. Since deeply bound pairs should be less sensitive to (quantum) fluctuations that tend to destroy pairing correlations, the formation of a condensate in the many-body problem may be expected to be favored in this regime as well. Thus, the inhomogeneous phase is expected to widen towards smaller honly at large  $\bar{m}$ , whereas it is expected to narrow down when  $\bar{m}$  is decreased. This is indeed what we find in Fig. 3.

In the same figure, we also show the lower bound (dotdot-dashed line) for pair formation with a finite centerof-mass momentum  $\overline{P} > 0$ , see also Fig. 1. We find that there is an obvious discrepancy between this line (which we argued above is important for the occurrence of inhomogeneous condensates) and the actual many-body phase boundary associated with a transition from a homogeneous to an inhomogeneous phase.

The critical endpoint  $CP^0$  of the inhomogeneous phase appears to coincide quite well with the intersection point of the lower bound for finite-momentum pair formation (dot-dot-dashed line) and the phase boundary of the phase with a homogeneous condensate (black solid line). A high precision determination of the position of  $CP^0$ is beyond our reach at the moment due to the numerical complications mentioned above; we therefore refrain from drawing rigorous conclusions about this stunning

<sup>&</sup>lt;sup>5</sup> Both the phase with a homogeneous condensate and the one with an inhomogeneous condensate are associated with spontaneous U(1) symmetry breaking. The boundary between these two phases is solely associated with translation symmetry breaking where the value of  $Q = |\vec{Q}|$  of the condensate acts as an order parameter. On the other hand, U(1) symmetry is restored in the normal phase. The boundary between the normal phase and the phase with a (in)homogeneous condensate is therefore associated with U(1) symmetry breaking where  $\bar{\varphi}_0$  plays the role of the order parameter.

<sup>&</sup>lt;sup>6</sup> For fixed  $\bar{m}$ , we find that the extent of the homogeneous phase which has been superseded by an inhomogeneous phase is as narrow as  $\delta \bar{h} \approx 0.006$  for the largest considered  $\bar{m} = 0.95$  and decreases rapidly towards the zero-temperature endpoint of the critical line at  $CP^0 \approx (0.09, 0.65)$ .

<sup>&</sup>lt;sup>7</sup> Note that, in addition to a first-order transition measured by the parameter Q, we observe a discontinuity in the U(1) orderparameter  $\bar{\varphi}_0$  as well.

coincidence. For larger values of 
$$\bar{m}$$
 and  $\bar{h}$  in the domain  
between the (black) solid and (red) dot-dot-dashed line,  
we find that a homogeneous condensate is energetically  
favored over an inhomogeneous condensate (see Fig. 3),  
even though bound-state formation with finite center-of-  
mass momentum should still be preferred. However, as  
discussed above, the simple FF ansatz (10) for  $\bar{\varphi}(x)$  is not  
expected to yield precise results away from the transition  
to the normal phase. While our two-body calculation is  
not hampered by assumptions of this kind, it *is* limited  
by the assumption of inert Fermi surfaces. In contrast,  
our mean-field study of the full many-body problem takes  
into account interactions between all spin-up and spin-  
down fermions. We shall come back to this issue below  
when we discuss the results of our RG study.

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In summary, the need to investigate the dynamics of the many-body problem beyond the mean-field limit seems inevitable. Furthermore, the above analysis indicates that one must include more sophisticated ansätze for the condensate  $\bar{\varphi}(x)$  to gain reliable insights into the problem of inhomogeneous superfluidity. Our fRG analysis, as detailed next, aims to provide a systematic way to approach this goal.

### V. BEYOND THE MEAN-FIELD APPROXIMATION

### **Functional Renormalization Group: Formalism** Α.

To understand spontaneous symmetry breaking in quantum systems, it is essential to account for quantum fluctuations of the order-parameter. In order to accomplish this in a systematic way, we employ a fRG approach. More specifically, the Wetterich equation [63]

$$\partial_k \Gamma_k = \frac{1}{2} \operatorname{STr} \left[ \frac{\partial_k R_k}{\Gamma_k^{(2)} + R_k} \right]$$
(13)

will be central to our analysis. Here, k is the RG scale introduced by the regulator function  $R_k$ . The latter specifies the details of the Wilsonian momentum-shell integration. In particular, it provides for a suitable infrared (IR) and ultraviolet (UV) regularization, see App. B for details. The Wetterich equation determines the change of the effective average action  $\Gamma_k$  under a change of the scale k and therefore allows to interpolate between the microscopic action S at a given UV cutoff scale  $\Lambda$  and the full quantum effective action  $\Gamma \equiv \Gamma_{k \to 0}$  in the long-range (i.e. IR) limit:

$$S = \lim_{k \to \Lambda \to \infty} \Gamma_k, \quad \Gamma = \lim_{k \to 0} \Gamma_k.$$
(14)

For reviews and introductions to this approach with respect to an application to ultracold Fermi gases, see Refs. [49–51, 64]

While Eq. (13) is exact, in general one must consider an ansatz for  $\Gamma_k$  (i.e. a truncation of the full  $\Gamma_k$ ) in order

$$\Gamma_{k}[\{\psi_{\sigma}\},\varphi] = \int_{\tau,\vec{x}} \left[ \sum_{\sigma=\uparrow,\downarrow} \psi_{\sigma}^{*} \left( \partial_{\tau} - \frac{\nabla^{2}}{2m_{\sigma}} - \mu_{\sigma} \right) \psi_{\sigma} \right. \\ \left. + \varphi^{*} \left( \frac{Z_{\varphi,k}}{A_{\varphi,k}} \partial_{\tau} - \frac{1 - \bar{m}^{2}}{2} \nabla^{2} \right) \varphi \right. \\ \left. + U_{k}(\rho) - h_{\varphi} \left( \varphi^{*} \psi_{\uparrow} \psi_{\downarrow} - \varphi \psi_{\uparrow}^{*} \psi_{\downarrow}^{*} \right) \right]$$

$$\left. (15)$$

with renormalized quantities

$$\varphi = A_{\varphi,k}^{\frac{1}{2}} \bar{\varphi}, \quad h_{\varphi} = A_{\varphi,k}^{-\frac{1}{2}} \bar{h}_{\varphi}.$$
(16)

This ansatz is an extension of a class of ansätze which has been successfully applied to spin-balanced (see, e.g., Refs. [48–51, 65, 66]) and spin-imbalanced (see, e.g., Refs. [33, 34, 62, 67]) systems across the whole BEC-BCS crossover.

In addition to an ansatz for  $\Gamma_k$ , the solution of the RG equation (13) requires an initial condition  $\Gamma_{k=\Lambda}$ . In our case this implies choosing initial conditions for the socalled wavefunction renormalizations  $Z_{\varphi,k}$  and  $A_{\varphi,k}$ , the Yukawa coupling  $h_{\varphi}$ , and the effective order-parameter potential  $U_k$ . The latter depends only on the U(1) invariant  $\rho = \varphi^* \varphi$ . At the UV scale  $\Lambda$ , it is fixed by the two-body problem in the vacuum and is therefore simply given by [48]

$$U_{\Lambda}(\rho) = m_{\varphi,\Lambda}^2 \rho \,, \tag{17}$$

where

$$n_{\varphi,\Lambda}^2 = \nu_{\Lambda} + \frac{h_{\varphi,\Lambda}^2}{6\pi^2}\Lambda. \qquad (18)$$

1

$$h_{\varphi,\Lambda} = \sqrt{6\pi^2 \Lambda} \,. \tag{19}$$

Here, the initial value of the Yukawa coupling  $h_{\varphi}$  has been chosen such that the system is set to be close to a broad Feshbach resonance [48]. The parameter  $\nu_{\Lambda} \sim (B - B_0)$ measures the detuning of the system with respect to the resonance.<sup>8</sup> Since we are only interested in the unitary limit (i.e. the system at resonance), we set  $\nu_{\Lambda} \equiv 0$  for the remainder of this work. Higher order terms  $\sim \rho^n$  in the potential  $U_k$  are generated during the RG flow due to quantum fluctuations and are taken into account in our analysis.

At this point we are left with the determination of the initial conditions for the wavefunction renormalizations. The momentum and frequency dependence of the boson-field propagator effectively allows to resolve at least part of the momentum and frequency dependence of the fermionic self-interactions (see, e.g., Ref. [64] for a more general introduction). Per our ansatz (15), the

<sup>&</sup>lt;sup>8</sup> Here, B denotes the external magnetic field.

$$\bar{P}_{\varphi}(q_0, \vec{q}^{\,2}) = \left( i Z_{\varphi,k} q_0 + \frac{1 - \bar{m}^2}{2} A_{\varphi,k} \vec{q}^{\,2} + \frac{\partial^2 U}{\partial \bar{\varphi} \partial \bar{\varphi}^*} \Big|_{\bar{\varphi}_0} \right), \quad (20)$$

where  $\bar{\varphi}_0$  denotes the k-dependent value of the position of the ground-state of the potential  $U_k$ . The wavefunction renormalizations  $Z_{\varphi,k}$  and  $A_{\varphi,k}$  are assumed to be independent of  $q_0$  and  $\vec{q}$ . Here, we only take into account the scale dependence of the wavefunction renormalizations, which is minimally required to detect the emergence of an inhomogeneous ground state within our present setup (see our discussion below). The structure of this propagator can be understood as arising from a derivative expansion of the effective action that has been truncated at the lowest nontrivial order.

The RG flow of the wavefunction renormalizations  $Z_{\varphi,k}$ and  $A_{\varphi,k}$  is conveniently parameterized with the aid of the associated anomalous dimensions  $\eta_{Z,k}$  and  $\eta_{A,k}$ , respectively. For example,

$$\eta_{A,k} = -k\partial_k \ln A_{\varphi,k} \,. \tag{21}$$

In the present work, we keep the ratio  $Z_{\varphi,k}/A_{\varphi,k} = 1$  fixed in the RG flow and compute only the flow of  $A_{\varphi,k}$ , see also Ref. [34]. The initial condition for  $A_{\varphi,k}$  is given by

$$\lim_{k \to \Lambda \to \infty} A_{\varphi,k} = 0.$$
 (22)

From a phenomenological point of view, this implies that the boson field is not dynamical at the UV scale  $\Lambda$ .<sup>10</sup> Its dynamics as "measured" by the wavefunction renormalization  $A_{\varphi,k}$  is solely generated by quantum fluctuations. Our choice for the initial conditions ensures that our ansatz for the effective average action  $\Gamma_k$  is identical to the well-known partially bosonized action (8) at the UV scale  $\Lambda$ , as it should be.

By applying the Wetterich equation (13) to the ansatz (15), the RG flow equations for the various couplings can now be derived. Details as well as our choices for the regulator functions can be found in App. A and B. In the following, we only discuss the general properties of these equations.

The general form of the flow equation for the Yukawa coupling reads

$$k\partial_k h_{\varphi} = \frac{1}{2}\eta_{A,k}h_{\varphi} \,. \tag{23}$$

Note that we have dropped terms on the right-hand side which are only non-zero in the regime with broken U(1)symmetry but vanish identically otherwise. Since we are primarily aiming at a determination of the phase boundaries, but not at a quantitative prediction of a particular observable (e.g. the *Bertsch* parameter) for which these terms may become important, we expect this approximation to be justified. The flow of the Yukawa coupling is then purely driven by the anomalous dimension of the boson field, which be can be split into two distinct contributions:

$$\eta_{A,k} = \eta^{\psi}_{A,k} + \eta^{\varphi}_{A,k} \,. \tag{24}$$

The contribution  $\eta_{A,k}^{\psi}$  is built up by one-particle irreducible (1PI) diagrams with no internal boson lines but only fermion lines. On the other hand,  $\eta_{A,k}^{\varphi}$  receives contributions from 1PI diagrams with at least one internal boson line and, in our present truncation, it is found to be non-zero only in the regime with broken U(1) symmetry.

Finally, the flow of the renormalized effective orderparameter potential can be conveniently split up into three terms:

$$k\partial_k U_k = \eta_{A,k}\rho U'_k + \left[k\partial_k U_k\right]^{\psi} + \left[k\partial_k U_k\right]^{\varphi} . \tag{25}$$

The first term accounts for the renormalization of the field  $\bar{\varphi}$ , the second term is simply a pure fermion loop, and the third term is nothing but a pure boson loop. The last two are both dressed with suitable regulator insertions (see also Appendix B).

Using the initial conditions detailed above and integrating the coupled system of flow equations given in Eqs. (23)-(25), we can extract values of physical observables such as the *Bertsch* parameter, the fermion gap, or the critical temperature. As in the mean-field case, a nontrivial global minimum at  $U_{k=0}(\rho_0)$  signals spontaneous U(1)-symmetry breaking associated with a finite order parameter  $\rho_0 = \varphi_0^* \varphi_0$  or, equivalently, a finite fermion gap  $\Delta_0^2 = h_{\varphi}^2 \rho_0$ .

Due to the highly nonlinear, coupled structure of the system of flow equations, the solutions need to be found numerically. As discussed in Sec. IV B above, a mean-field analysis suggests the existence of first-order phase transitions. Therefore, we choose to discretize the k-dependent effective potential on a grid in field space (see also Ref. [34] for details on our numerical implementation).

Before discussing the numerical results from our RG flow equations, we briefly discuss more precisely in what sense Eqs. (23)-(25) represent an extension of the conventional mean-field approximation of Sec. IV. Diagrammatically, Eq. (13) has a simple one-loop structure, where the internal lines of Feynman diagrams are given by the socalled *full* propagators  $\sim (\Gamma_k^{(2)} + R_k)^{-1}$ . The mean-field approximation, on the other hand, takes into account Feynman diagrams only with internal fermion lines, but with no internal boson lines. In our RG approach, this

<sup>&</sup>lt;sup>9</sup> For convenience, we do not show contributions to the propagator stemming from the insertion of the regulator function into the path integral.

<sup>&</sup>lt;sup>10</sup> In our numerical studies, we have chosen a finite value for  $\Lambda = 1000\sqrt{\mu}$  and therefore a finite value for the initial condition  $A_{\varphi,k=\Lambda} = 1$  such that it is consistent with Eq. (22).

implies that the flow equation for the wavefunction renormalization and for the effective potential simplify considerably:

$$\eta_{A,k} = \eta_{A,k}^{\psi} \,, \tag{26}$$

0.9

0.8

0.7

ιε<sup>0.5</sup> 0.4 0.3

0.2

0.1

 $A_{\varphi,k}$ 

 $k_{\text{break}}$ 

-0.5

and

$$k\partial_k U_k = \eta_{A,k}\rho U'_k + \left[k\partial_k U_k\right]^{\psi} , \qquad (27)$$

where, diagrammatically,

$$[\partial_k U_k]^{\psi} \sim \sum_{n=0}^{\infty} \sum_{n=0}^{n} \cdots (\varphi^* \varphi)^n .$$
 (28)

Moreover, we observe that the flow equation for the effective potential reduces to

$$k\partial_k U_k(\bar{\varphi}) = \left[k\partial_k U_k(\bar{\varphi})\right]^{\psi} , \qquad (29)$$

if we rewrite it in terms of the unrenormalized anologue of  $\rho$ , namely  $\bar{\rho} = \rho/A_{\varphi,k}$ . For the unrenormalized Yukawa coupling, we find  $\partial_k \bar{h}_k = 0$ . Thus, in this limit the RG flow of the effective order-parameter potential  $U_k$  and wavefunction renormalization  $A_{\varphi,k}$  are no longer coupled, and so the flow of the Yukawa coupling is trivial. This implies that the flow equations of  $U_k$  and  $A_{\varphi,k}$  can be solved independently. Diagrammatically, we find that a fermion loop with two external bosonic lines attached to it contributes not only to the flow of  $U_k$  (see Eq. (28)), but also to the flow of  $A_{\varphi,k}$ , i.e. to  $\eta_{A,k}$ .<sup>11</sup> The consequences of this observation will be discussed in detail below. At this point, we note that we do indeed recover the well-known mean-field solution for the effective potential at Q = 0 from integrating Eq. (29) with respect to the RG scale k, as one should. Here, the restriction on the case Q = 0 comes from the need to project onto constant  $\varphi$  in order to obtain explicit expressions for the flow equations (24) and (25). Thus, at the level of our present approximations, our RG approach is unable to resolve inhomogeneous phases explicitly. However, our present setup is not "blind" to the fact that inhomogeneous condensates may emerge and govern the groundstate dynamics, as we discuss next.

# B. Results

## 1. Flow of the fermion loop: mean-field and beyond

As already mentioned above, integrating Eq. (28) (or rather Eq. (B2)) and minimizing  $U_{k=0}(\Delta^2)$  yields the well-known mean-field phase diagram of mass- and spinimbalanced Fermi gases for the case where the possibility



 $A_{\varphi,k} \to 0$  .....

0.5

 $\bar{P} = 0$  (two-body) -----1<sup>st</sup> order SF-FF/NF -----

Figure 4. (color online) Mean-field phase diagram at T = 0showing the region of vanishing  $A_{\varphi,k_{\text{break}}}$  (red/dark-shaded area) bounded by the green/dotted line. For large  $\bar{m} \gtrsim 0.6$ , this line associated with inhomogeneous pairing (see main text) agrees very well with the (red) dot-dot-dashed line depicting the lower bound for bound-state formation with  $\bar{P} > 0$ as obtained from our two-body analysis, see Sec. III and Fig. 1. The orange/dashed line depicts the transition line from the inhomogeneous phase to the normal phase as obtained with a FF ansatz, see also Figs. 2 and 3.

0

 $\bar{h}$ 

of inhomogeneous phases was not taken into account explicitly (see e.g. Refs. [21, 24, 60, 61]). However, as mentioned above, a fermion loop with two external bosonic legs contributes not only to the flow of  $U_k$ , but also to  $\eta_{A,k}$ , see Eq. (24). Recall that the flow equations for  $U_k$  and  $\eta_{A,k}$  in the mean-field approximation are decoupled, implying that the fermion gap  $\Delta_0^2 = h_{\varphi}^2 \rho_0 = \bar{h}_{\varphi}^2 \bar{\rho}_0$  is independent of  $A_{\varphi,k}$ . Although  $\eta_{A,k}$  has therefore no direct impact on the value of  $\Delta_0^2$ , the flow of  $\eta_{A,k}$  still contains important information about ground-state properties.

Indeed, solving the flow equation for  $A_{\varphi,k}$  alongside with the one for  $U_k$ , it turns out that the long-range limit  $(k \to 0)$  cannot always be reached due to a peculiar behavior of the RG flow. This is seen in Fig. 4, where we show a red/dark-shaded region bounded by a green/dotted line. Within that region,  $A_{\varphi,k}$  becomes zero for a finite value  $k = k_{\text{break}}$ , (see inset of Fig. 4 for illustration). Strictly speaking, the RG flow breaks down at this point. Had we only considered the flow of  $U_k$ , we would have recovered the well-known mean-field phase diagram and easily overlooked this instability, which occurs at the same level of truncation in terms of Feynman diagrams. On the other hand, this instability can be expected to be an artifact of our ansatz (15), which yields Eq. (20) for the boson propagator. The latter requires  $A_{\varphi,k} > 0$  in order to be physically meaningful. If the boson propagator evaluated at  $\varphi_0$  (or, equivalently,  $\rho_0$ ) turns out to be not positive (semi-)definite, then the configuration  $\varphi_0$  extracted from the computation of  $U_k$ cannot be the true ground-state of the theory. Of course, this instability does not really come as a surprise. In

<sup>&</sup>lt;sup>11</sup> Note that  $A_{\varphi,k}$  directly contributes to the inverse boson propagator being the second functional derivative of the effective action with respect to the boson fields.



Figure 5. (color online) Sketches of possible functional forms of the inverse bosonic propagator in terms of spatial momentum. The green/solid line corresponds to a homogeneous phase, whereas the red/dashed and blue/dotted lines sketch two cases with a nontrivial minimum at  $q_{\min} \sim \mathcal{O}(Q) > 0$  favoring the dominance of modes associated with bound states with a finite center-of-mass momentum. In case of the red/dashed curve, this is linked to a negative  $A_{\varphi,k}$ .

Sec. IV, we have already shown that, for a simple FFansatz, a regime governed by an inhomogeneous condensate exists in the phase diagram. Within this regime, a homogeneous condensate does not represent the true ground state, but rather an "excited" state in the spectrum of the theory.

In our present RG study, we do not employ a specific ansatz to parametrize the spatial dependence of the condensate, such as an FF ansatz. Rather, we study the stability of the RG flow under the assumption that the condensate does not exhibit spatial dependence. The momentum structure in Eq. (20) can be seen as the first nontrivial term in a derivative expansion of the exact propagator about zero momentum. The fundamental assumption for this ansatz to be valid is that bosonic modes with spatial momentum  $|\vec{q}| \approx 0$  contribute predominantly to the flow. For an inhomogeneous phase this is obviously not the case, as modes with momenta around  $|\vec{Q}|$ are rather expected to dominate the dynamics. The inverse boson propagator close to  $k = k_{\text{break}}$  is therefore expected to be shaped as the (red) dashed line in Fig. 5 instead of the green (solid) curve which corresponds to Eq. (20) with  $A_{\varphi,k} > 0$  (see also Ref. [68]). It might therefore be tempting to associate the existence of a finite scale  $k_{\text{break}}$  with the formation of an inhomogeneous condensate directly. For the following reasons, however, a sign change of  $A_{\varphi,k}$  should only be considered as a "hint" for the emergence of an inhomogeneous condensate:

• Only for  $k \to 0$  (long-range limit), strictly speaking, results extracted from the RG flow are physically observable. Here, we find  $A_{\varphi,k} \to 0$  at  $k_{\text{break}} \gtrsim \sqrt{\mu}$ . Now it may be the case that  $A_{\varphi,k} \to 0$  is negative only for a finite k-range below  $k_{\text{break}}$  and then becomes positive again in the limit  $k \to 0$ . This behavior of  $A_{\varphi,k}$  may be considered as in-

10

dicating the existence of an "inhomogeneous precondensation" phenomenon, in analogy to the wellknown precondensation effect at finite temperature [69, 70] and finite spin imbalance [34]. As discussed in Ref. [68], it is necessary to employ a modified ansatz for the momentum dependence of the inverse boson propagator for scales  $k \leq k_{\text{break}}$ in order to reach the long-range limit,  $k \to 0$ . Otherwise, it is a priori unclear whether we indeed have  $A_{\varphi,k} < 0$  for all  $k < k_{\text{break}}$ , i.e. if the dominance of modes favoring an inhomogeneous ground state persists in the deep IR. An investigation of this issue is beyond the scope of the present work.

- The agreement between the boundaries derived from our two-body study on the one hand, and the criterion associated with the appearance of a zero in the flow of  $A_{\varphi,k}$  on the other, is stunningly good for  $\bar{m} \gtrsim 0.6$  (see Fig. 4). It can already be deduced from Fig. 1 that inhomogeneous pairing is particularly preferred for such highly mass-imbalanced systems. In fact, our conventional mean-field study with a FF ansatz already suggested the existence of an inhomogeneous phase in this regime (see the domain enclosed by the orange/dashed line and the black line in Fig. 4). However, we also observe that bound states with finite center-of-mass momenta as well as the regime with  $k_{\text{break}} > 0$  are found to exist well beyond this FF-type phase. This discrepancy may be traced back to the fact that a simple FF ansatz may be insufficient in some parts of the phase diagram. However, this can also be viewed as a manifestation of the existence of bosonic bound states (or the dominance of finitemomentum bosonic modes), which does not necessarily imply condensate formation, even at T = 0.
- For  $\bar{h} \lesssim 0$ , the domain bounded by the criterion of  $A_{\varphi,k} \to 0$  extends down to  $\bar{m} \approx 0.22$  for  $\bar{h} = -1$ , whereas no bound states with finite centerof-mass momentum are found below  $\bar{m} = 0.46$  and  $\bar{h} = -0.86$ , see Figs. 1 and 4. The discrepancy between these two lines should not be too surprising for small  $\bar{h}$ . In fact, the behavior of  $A_{\varphi,k}$  is only indirectly linked to the appearance of bound states. It is reasonable to expect that the momentum dependence, i.e. the functional form, of the propagator is dominated by the existence of deeply bound two-body states as they are present for  $\bar{m} \gtrsim 0.6$ , where the two lines are in very good agreement. In this regime, condensation of these states may indeed be energetically favored and the emergence of an instability in the RG flow associated with the observation  $A_{\varphi,k} \to 0$  may then be viewed as a strong indication that the formation of an inhomogeneous condensate is most favorable. However, once the binding energy becomes smaller, the functional form of the propagator becomes progressively more dominated by modes with momenta signif-

icantly different from the center-of-mass momentum of the lowest lying two-body bound state for given values of  $\bar{h}$  and  $\bar{m}$ . Even if there is no bound state at all in our analysis of the two-body problem, there is no reason why bosonic fluctuations in general, and those with finite momentum in particular, should become irrelevant. Consequently, in domains with only shallowly bound two-body states, as it is the case for decreasing  $\bar{h}$ , we may expect at best qualitative agreement between our predictions from the two-body problem and the study of the RG flow of  $A_{\varphi,k}$ .

In summary,  $A_{\varphi,k} \to 0$  signals the dominance of finitemomentum bosonic fluctuations at least for a certain range of values of the RG scale  $k \leq k_{\text{break}}$ . Strictly speaking, however, even positive values of  $A_{\varphi,k\to 0}$  do not imply that a low-order derivative expansion of the effective action captures the correct dynamics with respect to inhomogeneous phases. In fact, the true inverse propagator may, e.g., be shaped as depicted by the blue/dotted line in Fig. 5. In any case, it is also clear that it is not possible to discriminate irrevocably between normal, homogeneous and inhomogeneous phases only with the aid of the criterion  $A_{\varphi,k} \to 0$ . If, on the other hand, an existing homogeneous condensate (as found in a study of the RG flow of the potential  $U_k$ ) overlaps with a domain where  $A_{\varphi,k} \to 0$  is also observed in the flow, this is a strong indication of an inhomogeneous phase in that same domain. This point will be detailed further in the next section, where we present results from the RG flow including bosonic fluctuations.

# 2. Full Flow Equations: Impact of Bosonic Fluctuations

Let us now study the effect of the bosonic contributions  $\eta^{\varphi}_{A,k}$  and  $[\partial_k U_k]^{\varphi}$  in our set of flow equations. Due to the derivatives of  $U_k$  with respect to  $\rho$  in the flow equations for  $A_{\varphi,k}$  and  $U_k$ , and the nontrivial dependence of these equations on  $h_{\varphi}$  (see Eqs. (B3) and (B11)), the flow equations (23)-(25) are now coupled in a highly nontrivial way. As discussed in Sec. VA, we solve this set of flow equations numerically. However, it does not appear feasible to either start the integration at  $k = \Lambda \rightarrow \infty$ , nor to reach the IR limit  $k \to 0$  exactly. In the IR regime, we have stopped the RG flow at  $k_{\rm IR} \approx 10^{-3} \sqrt{\mu}$  in those domains of parameter space that are close to a second-order phase transition. The remaining uncertainty in the critical temperature, as measured by the fermion gap  $\Delta_k$ , turned out to be well below the percent level. In domains close to a first-order transition, the introduction of a finite IR cutoff  $k_{\rm IR}$  is even less severe as the order parameter  $\Delta_k$ is found to jump typically on scales  $k \sim \mathcal{O}(\sqrt{\overline{\mu}}/10)$  (see also Ref. [34] for a detailed discussion of the numerical implementation in the mass-balanced limit).

The phase diagram from our RG study including orderparameter fluctuations is shown in Fig. 6. We first note that the qualitative features of the phase diagram are



Figure 6. (color online) Phase diagram obtained from the full set of flow equations (23)-(25) including bosonic fluctuations. The red/dark-shaded area marks the domain where an inhomogeneous phase is likely to exist, see main text for a detailed discussion.

similar to the mean-field phase diagram discussed above. However, quantitative corrections are found to be sizable. For  $\bar{m} = \bar{h} = 0$ , for example, we find that the critical temperature  $\bar{T}$  is significantly changed from  $\bar{T}_c \approx 0.66$  in the mean-field approximation to  $\bar{T}_c \approx 0.40$ , in good agreement with recent experiments [17], Monte Carlo studies [16], as well as with recent RG studies [34, 66].

Lowering  $\bar{h}$ , starting from  $\bar{h} = \bar{m}$  for fixed  $\bar{m} \leq 0.53$ , the order of the phase transition changes from second to first along a critical line  $\overline{T}_{c}(\bar{h}, \bar{m})$ . In this  $\bar{m}$ -regime, we find  $T_{\rm cp}(\bar{h},\bar{m}) \approx 0.19...0.20$  for the critical point where the nature of the transition changes from second to first order. For temperatures below  $\overline{T}_{cp}(\bar{h},\bar{m})$  and given  $\bar{m}$ , the superfluid phase is enlarged in the  $\bar{h}$ -direction compared to the mean-field result, as also found in the mass-balanced case [34]. For  $\overline{T} \ll T_c$  the integration of Eqs. (23)-(25) becomes numerically more and more involved, as the right-hand sides become discontinuous at  $\overline{T} = 0$  (see also Eqs. (B2) and (B6)). Contrary to the mean-field case, we therefore do not present results for the strict zero-temperature limit here but restrict ourselves to temperatures  $\overline{T} \geq 0.1$ . However, we expect the position of the phase transition lines in the zerotemperature limit to still be in reasonable agreement with the ones obtained for  $\overline{T} = 0.1$ .

Lowering  $\bar{h}$ , starting from  $\bar{h} = \bar{m}$  for fixed  $\bar{m} \gtrsim 0.53$ and  $\bar{T} < T_{\rm cp}^{\rm I}(\bar{m})$  with  $T_{\rm cp}^{\rm I}(\bar{m}) \approx 0.19...0.21$ , we find that a critical value  $\bar{h}_{\rm break}(\bar{m},\bar{T})$  exists at which  $A_{\varphi,k}$ tends to zero, potentially indicating a transition from a homogeneous superfluid phase to an inhomogeneous phase. In fact, we find that  $A_{\varphi,k}$  tends to zero at a *finite* RG scale  $k_{\rm break}$  for all  $\bar{h} \in [-1, \bar{h}_{\rm break}(\bar{m}, \bar{T})]$ . As discussed in Sec. V B 1, this does not necessarily imply that there exists an inhomogeneous phase for the whole domain  $\bar{h} \in [-1, \bar{h}_{\rm break}(\bar{m}, \bar{T})]$  for a given  $\bar{m}$  and  $\bar{T}$ . Therefore the red/dark-shaded area in Fig. 6 only represents a domain where an inhomogeneous phase is most likely to be found. Our mean-field study supports this interpretation: In Fig. 4, we find reasonable agreement for large  $\bar{m}$ between the onset of inhomogeneous condensation and the line defined by the largest value of  $\bar{h}$  for which  $A_{\omega,k}$ still tends to zero at a finite scale  $k_{\text{break}}$ , for a given value of  $\overline{m}$ . For this reason, we consider our  $A_{\varphi,k}$ -criterion to provide a reasonable estimate for the boundary separating the phase with a homogeneous condensate from the inhomogeneous phase, even beyond the mean-field limit. However, within our present setting, it is not possible to detect the transition from the inhomogeneous phase to the normal phase. The associated phase-transition line is therefore not shown in Fig. 6. Instead, we indicate the presumed existence of this phase-transition line by a fading out of the red/dark-shaded domain.<sup>12</sup>

In our mean-field studies, conventional and RG, we have considered the zero-temperature limit explicitly. This allowed us to compare directly the lower bound for pairing with finite center-of-mass momentum and the bound from our  $A_{\varphi,k}$ -criterion. Since the strict zerotemperature limit is difficult to reach from a numerical point of view when bosonic fluctuations are taken into account, we have restricted ourselves to temperatures  $T \geq 0.1$ . However, this renders a direct comparison with the results from our two-body analysis impossible. Moreover, taking into account bosonic fluctuations, our  $A_{\varphi,k}$ -criterion used to detect the onset of inhomogeneous phases has to be taken with some care: In Fig. 7, the fermion gap  $\Delta_0$  is depicted as a function of  $\bar{h}$  for two different temperatures and fixed  $\bar{m} = 0.74$  corresponding to a mixture of <sup>6</sup>Li and <sup>40</sup>K. For  $\overline{T} = 0.225$ , the green/dot-dashed line exhibits the typical behavior expected for a second order transition to the normal phase. Only slightly below this temperature, at  $\overline{T} = 0.20$ , the behavior of the condensate is now found to be quite different. Already before reaching the regime defined by  $\bar{h} \leq \bar{h}_{\text{break}}$ , where  $A_{\varphi,k}$  tends to zero in the RG flow (indicated by the red/dark-shaded area), the gap  $\Delta_0$  is found to increase rather than decrease. Such a behavior is not seen in the mean-field approximation. In fact, for any finite  $\overline{T}$  and fixed  $\overline{m}$ , we find that  $\Delta_0$  is a strictly concave function. One may speculate that the observed increase in the gap is due, e.g., to numerical artifacts. Indeed, the value of  $\Delta_0$  is more sensitive to numerical inaccuracies in this region than anywhere else in the phase diagram and should therefore be taken with some care. However, we have checked that the general observation of an increasing gap cannot be traced back to numerical instabilities.

The inset of Fig. 7 hints at the true reason for the unexpected behavior of the gap as a function of  $\bar{h}$  for



Figure 7. (color online) Fermion gap for two different values of  $\overline{T}$  and fixed  $\overline{m} = 0.74$  (corresponding to a Li-K mixture) as a function of  $\overline{h}$ . The red/dark-shaded area indicates the regime where  $A_{\varphi,k} \to 0$  for  $\overline{T} = 0.20$ . The inset shows the *k*-evolution of  $A_{\varphi,k}$  and  $\Delta_k$  for  $(\overline{T}, \overline{h}) = (0.20, 0.51)$ .

given  $\overline{m}$  at low temperatures: It shows the k-evolution of  $A_{\varphi,k}$  (red/solid line) and  $\Delta_k$  (blue/dotted line) for a point in a region of the phase diagram where the gap increases again but  $A_{\varphi,k}$  is still positive on all scales k. In this region (as exemplified by the inset), we observe that  $A_{\varphi,k}$  undergoes a sharp decrease. From the dependence of the RG flow of the Yukawa coupling on  $A_{\varphi,k}$ via the anomalous dimension  $\eta_{A,k}$  (see Eq. (23)), it is clear that  $h_{\varphi}$  experiences a sharp increase when  $A_{\varphi,k}$ decreases. The sharp increase of the Yukawa coupling also increases the gap up to values larger than those in which no (strong) decrease of  $A_{\varphi,k}$  is present at all (e.g. deep in the homogeneous superfluid phase). In the inset of Fig. 7, we also observe that the increase of the gap induced by the decrease of  $A_{\varphi,k}$  can potentially counterbalance the decrease of  $A_{\varphi,k}$ . This effect is intimately related to the presence of bosonic fluctuations. In fact, the strong increase of the gap leads to a suppression of Feynman diagrams with internal fermion lines. In particular, purely fermionic diagrams present in mean-field studies are parametrically suppressed by a (strong) increase of the gap. Thus, once a gap is generated, the RG flows of the potential, the Yukawa coupling, and the wavefunction renormalization  $A_{\omega,k}$  are mainly driven by purely bosonic diagrams (without any internal fermion lines). As (purely) bosonic and fermionic contributions come in general with opposite signs, bosonic fluctuations tend to counterbalance the decrease of  $A_{\varphi,k}$  as well as the increase of the gap, which is induced by purely fermionic diagrams (see also Appendix B for the RG flow equations of the various quantities). Since the class of purely fermionic diagrams is the only one present in the meanfield approximation, this explains why such a counterbalancing effect is not observed in our mean-field study.

The observed decrease in  $A_{\varphi,k}$  can at least partially be traced back to the fact that a change of the spin im-

<sup>&</sup>lt;sup>12</sup> Of course, a priori, it is unclear whether a transition from an inhomogeneous to a normal phase exists after all. However, our mean-field study in Sec. IV, our analysis of the two-body problem in Sec. III, and studies of the Fermi polaron [20, 27–33] suggest that such a transition indeed exists.

balance parameter  $\bar{h}$  induces a mismatch in the Fermi momenta and Fermi energies associated with the spinup and spin-down fermions. Within our RG framework this implies (loosely speaking) that the two spin components contribute to the RG flow over different ranges of scales k. In any case, the decrease in  $A_{\varphi,k}$  actually becomes stronger when we decrease  $\bar{h}$  for a given  $\bar{m}$ starting from the line of equal Fermi momenta  $\bar{h} = \bar{m}$ . Eventually, we observe that the mismatch between the Fermi momenta becomes so large that the associated decrease of  $A_{\varphi,k}$  can no longer be compensated by the presence of bosonic fluctuations, resulting in  $A_{\varphi,k} \to 0$ for  $\bar{h} \leq \bar{h}_{\text{break}}(\bar{m}, \bar{T})$  at a given finite RG scale  $k_{\text{break}}$ , at least within our present truncation.

We close this section with a word of caution regarding the increase of the gap towards smaller values of  $\bar{h}$ in some domains of the phase diagram (see Fig. 7). At present, it is unclear whether this behavior is a physical effect and traces of it should be expected in future experimental studies, or whether it is "cured" when considering a suitable extension of our present truncation. For example, a resolution of the full momentum dependence of the inverse boson propagator  $P_{\varphi}$  might be required to resolve this issue since, in the relevant domain,  $P_{\varphi}$  may assume a form as exemplified by the blue/dotted line in Fig. 5. However, a detailed analysis of this issue is left to future work. Here, we restrict ourselves to conclude that our RG study already suggests that bosonic fluctuation effects are of great importance in studies of mass- and spin-imbalanced unitary Fermi gases. We have found that the extent of the various phases in parameter space (normal, homogeneous superfluid, inhomogeneous superfluid) can change significantly relative to mean-field studies. In particular, our RG analvsis indicates that the size of the inhomogeneous phase is extended to smaller values of  $\bar{m}$ . More specifically, our mean-field studies suggest that  $\bar{m} \gtrsim 0.65 \ (m_{\downarrow}/m_{\uparrow} \gtrsim 4.7)$ is required to form an inhomogeneous condensate. Recall that  $\bar{m} \approx 0.74 \ (m_{\downarrow}/m_{\uparrow} \gtrsim 6.7)$  for a Li-K mixture. Taking bosonic fluctuations into account, we find that inhomogeneous condensates may already appear for mass imbalances  $\bar{m} \gtrsim 0.53 \ (m_{\downarrow}/m_{\uparrow} \gtrsim 3.2).$ 

# VI. SUMMARY

We have studied the phase diagram of mass- and spinimbalanced unitary Fermi gases in three dimensions, with an emphasis on the detection of inhomogeneous condensates. To this end, we have employed various approaches. Since the formation of two-body bound states can be viewed as a necessary condition for condensation, we have analyzed the two-body problem in the presence of (inert) Fermi spheres associated with the two spin components, and computed the binding energy as well as the center-ofmass momentum of the lowest lying bound state. This helped us guide and analyze our studies of the manybody phase diagram. Indeed, we have found that our two-body bound-state problem allows us to understand many features of the many-body phase diagram, such as the emergence of a domain in parameter space governed by a homogeneous condensate. Moreover, our study of two-body bound states suggests the existence of a region in the many-body phase diagram where an inhomogeneous condensate is formed, see Fig. 1.

Our explicit studies of the many-body problem indeed confirm the qualitative picture of the phase diagram suggested by the two-body problem. In particular, we have only found indications of inhomogeneous phases in those regimes of the many-body phase diagram in which also the center-of-mass momentum of the lowest-lying twobody bound state is finite. The agreement of the phase boundary of the homogeneous superfluid and inhomogeneous phases with the lower bound for pairing with finite center-of-mass momentum is only qualitative when we consider a Fulde-Ferrell ansatz for the inhomogeneity in our mean-field study. On the other hand, the agreement of this lower bound with the instability line<sup>13</sup> associated with the homogeneous-inhomogeneous transition is stunning for large  $\bar{m} \gtrsim 0.5$  in our RG mean-field study, which does not rely on an explicit ansatz for the inhomogeneity. Taking bosonic fluctuations into account in our RG study, the latter two lines are still in accordance.<sup>14</sup> For mass imbalances  $\bar{m} \lesssim 0.5$ , the analysis of the two-body problem still suggests the existence of a regime with bound states with finite center-of-mass momentum. Our many-body studies, however, suggest that inhomogeneous condensates are unlikely to be found in this regime. This can be traced back to the fact that only shallowly bound twobody states exist there. Moreover, it also indicates that macroscopic condensation of such bound states is not energetically favored.

For large  $\bar{m} \gtrsim 0.5$ , on the other hand, a regime with deeply bound two-body states with finite center-of-mass momentum can be identified, suggesting that the formation of an inhomogeneous condensate is energetically favored. Indeed, we find that this regime overlaps with the regime where homogeneous condensation is found in our many-body analysis whenever inhomogeneous pairing is not taken into account. Therefore, the instability line found in our RG study including bosonic fluctuations may indeed be identified with the transition line from a superfluid phase with a homogeneous condensate to one with an inhomogeneous condensate, at least for  $\bar{m} \gtrsim 0.5$ . Finally, for small mass imbalances  $\bar{m} \lesssim 0.25$  we do not find any indication of inhomogeneous phases, neither in

<sup>&</sup>lt;sup>13</sup> Recall that, for a fixed temperature, this line is defined by the largest value of  $\bar{h}$  for which  $A_{\varphi,k}$  still tends to zero at a finite scale  $k_{\text{break}}$  for a given value of  $\bar{m}$ .

<sup>&</sup>lt;sup>14</sup> Note that a direct comparison of both lines is not possible at this stage since we restricted ourselves to temperature  $\bar{T} \ge 0.1$  in our RG study including bosonic fluctuations. As discussed above, a study of even lower temperatures is numerically challenging and costly and is therefore left to future work.

our analysis of the two-body problem nor in our manybody studies.

In summary, our RG study beyond the mean-field limit suggests significant modifications to the mean-field extent of the various phases in parameter space. For example, for the mass- and spin-balanced Fermi gas, we find that the critical temperature  $\bar{T}_c$  agrees well with state-of-the-art Monte Carlo studies, as already found in recent RG studies [34, 66]. For small mass imbalances, moreover, we find that the phase with a homogeneous condensate is extended to larger spin imbalances  $|\bar{h}|$  in agreement with a previous RG study of the mass-balanced case [34]. For large mass imbalances, however, we observe that the extent of the phase with a homogeneous condensate shrinks in the  $\bar{h}$  direction. Moreover, we find strong indications for the emergence of an inhomogeneous phase in this regime. The extent of the latter phase in the h-direction actually increases for increasing mass imbalance. Our estimate for a lower bound on  $\bar{m}$  for the occurrence of an inhomogeneous condensate is  $\bar{m} \approx 0.53$ . This value of  $\bar{m}$  corresponds to a mass ratio  $m_{\perp}/m_{\uparrow} \approx 3.2$  which is considerably lower than the mass ratio associated with a Li-K mixture  $(m_{\downarrow}/m_{\uparrow} \approx 6.7)$ . Since three-body effects are expected to be significant for mass ratios associated with the Li-K mixture and beyond (see, e.g., Refs. [71– 73), future experimental searches for, e.g., signals of inhomogeneous condensation in the regime  $m_{\perp}/m_{\uparrow} \gtrsim 6.7$ are highly challenging. In this respect, our estimate for the lower  $\bar{m}$ -bound for the occurrence of inhomogeneous phases may help to guide future experiments. Our results suggest the existence of a much larger regime in parameter space where inhomogeneous condensation may be detected with a new variety of mixtures of fermion species other than Li-K, but without suffering from three-body effects.

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# **Appendix A: Regulator Functions**

Apart from the very defining properties of a regulator function  $R_k$  in the fRG formalism (see Ref. [63]), there is considerable freedom in the choice concerning the precise shape of  $R_k$  which even allows to optimize the RG flows, see, e.g., Refs. [74, 75]. Guided by these powerful principles of optimization, we employ the following set of functions for the fermion and boson fields, respectively:

$$R_k^{\psi}(z) = k^2 \left( \text{sign}(z) - z \right) \theta \left( 1 - |z| \right), \ z = \frac{\vec{q}^2 - \mu}{k^2}, \ (A1)$$

and

$$R_k^{\varphi}(y) = A_{\varphi,k}k^2(1-y)\theta(1-y), \ y = \frac{\vec{q}^2}{2k^2}\left(1-\bar{m}^2\right).$$
(A2)

Note that in both cases only spatial momenta are regularized which allows us to perform the Matusbara sums in the loop integrals analytically.

The bosonic regulator function  $R_k^{\varphi}$  is the same as in previous studies [48]. Only the argument has been modified by a factor  $(1 - \bar{m}^2)$  to accommodate for the generalized dispersion relation in the mass-imbalanced case.

Intuitively, the two fermion species should be regulated separately, since their dispersion relations differ by construction in the imbalanced case. This appears to be particularly important since the presence of Fermi seas in general requires fluctuations below and above the respective Fermi levels to be treated differently. However, the analytic computation of the frequency sum reveals that in the critical cases, only an "averaged" Fermion dispersion appears in the RG flow equations. Thus, the "averaged" regulator (A1) is sufficient to render the integration over spatial momenta finite. The structure of the flow equations is thereby greatly simplified. In contrast to the mass-balanced but spin-imbalanced case [34], to our knowledge, an analytical computation of the momentum integrals is not possible. We add that IR observables from a RG flow controlled by the regulator function (A1) on the one hand and by separately regulated fermion propagators on the other hand has found to agree extremely well in the mass-balanced case, see Ref. [34]. We therefore expect that our choice (A1) can safely be employed in the mass-imbalanced case as well.

# **Appendix B: Flow Equations**

In this appendix we provide explicit expressions for the flow equations of the effective potential and the boson anomalous dimension  $\eta_{A,k}$ . Since they can be derived largely along the lines of the balanced or spin-imbalanced cases, we focus mainly on the peculiarities of the mass-imbalanced case. For further details, we refer the reader to earlier work, see, e.g., Refs. [34, 48–51].

# 1. Flow of the effective potential

The flow equation of the order-parameter potential  $U_k(\rho)$  is obtained from

$$\partial_k U_k = \frac{1}{2} \operatorname{STr} \left[ (\partial_k R_k) \cdot (\Gamma_k^{(2)} + R_k)^{-1} \right]_{\psi^* = \psi = \varphi_2 = 0, \varphi_1 = \sqrt{2\rho}}.$$

Note that, for convenience, we have written the complex boson field  $\varphi$  as a sum of its real and imaginary parts,  $\varphi(\tau, \vec{x}) = \frac{1}{\sqrt{2}} [\varphi_1(\tau, \vec{x}) + i\varphi_2(\tau, \vec{x})]$ . Moreover, we add that, due to the fact that  $U_k$  only depends on  $\rho = \varphi^* \varphi$ , the projection on  $\varphi_1 = 2\sqrt{\rho}$  and  $\varphi_2 = 0$  can be applied without loss of generality. Eventually,

this yields

$$\partial_k U_k = \frac{1}{k} \eta_{A,k} \rho U'_k + [\partial_k U_k]^{\psi} + [\partial_k U_k]^{\varphi} \qquad (B1)$$

with

$$\left[\partial_k U_k\right]^{\psi} = \frac{k^4}{2\pi^2} \int_{\max[-\tilde{\mu}, -1]}^1 d\tilde{z} \frac{\sqrt{\tilde{z} + \tilde{\mu}}}{\sqrt{1 + w_3}} \sum_{\sigma = \pm 1} \sigma N_{\rm F} \left(\bar{m}(\tilde{z} + \tilde{\mu}) - \tilde{h} + \sigma\sqrt{1 + w_3}\right),\tag{B2}$$

$$\partial_k U_k]^{\varphi} = \frac{\sqrt{2}k^4}{3\pi^2} \frac{1}{\left(1 - \bar{m}^2\right)^{\frac{3}{2}}} \left(1 - \frac{\eta_A}{5}\right) \left[\sqrt{\frac{1 + w_1}{1 + w_2}} + \sqrt{\frac{1 + w_2}{1 + w_1}}\right] \left[\frac{1}{2} + N_{\rm B}\left(\sqrt{1 + w_1}\sqrt{1 + w_2}\right)\right]. \tag{B3}$$

Here,  $N_{\rm F}$  and  $N_{\rm B}$  correspond to the Fermi and Bose-Einstein distribution functions, respectively:

 $N_{\rm F}(x) = \frac{1}{e^{x/\tilde{T}} + 1}, \quad N_{\rm B} = \frac{1}{e^{x/\tilde{T}} - 1}.$  (B4)

Furthermore, the following quantities have been introduced:

$$w_1 = \frac{U'_k}{k^2}, \quad w_2 = \frac{U'_k + 2\rho U''_k}{k^2}, \quad w_3 = \frac{h_{\varphi}^2 \rho}{k^4}.$$
 (B5)

Quantities  $\mathcal{Q}$  divided by a factor  $k^2$  are written as  $\tilde{\mathcal{Q}}$ , e.g.  $\tilde{\mu} = \mu/k^2$ .

Since  $\Delta_k = h_{\varphi}^2 \rho = k^4 w_3$ , Eq. (B2) does not depend solely on  $\rho$ . This allows us to identify the solution from standard mean field theory with the solution of the purely fermionic flow of  $U_k$  in the limit  $k \to 0$ , as discussed at the end of sect. V A. Furthermore, we note that

$$\lim_{T \to 0} N_{\rm F}(x) = \theta(-x) \,. \tag{B6}$$

Thus, the right-hand side of Eq. (B2) becomes nonanalytic in the zero-temperature limit. While the flow of  $U_k$  in principle exists for arbitrarily small but finite T, the "steepness" of the Fermi function makes the numerical treatment increasingly challenging as T is lowered.

# 2. Boson anomalous dimension

In order to extract the running of the wavefunction renormalization parameter  $A_{\varphi,k}$  via the boson anomalous dimension  $\eta_{A,k} = -k\partial_k \ln A_{\varphi,k}$ , the following projection rule is employed:

$$\eta_{A,k} = -\frac{k}{A_{\varphi,k}} \frac{2}{1 - \bar{m}^2} \frac{\partial}{\partial \vec{q}^2} \partial_k \left[ \left( \bar{P}_{\varphi} \right)_{12} \left( 0, \vec{q} \right) \right]_{\vec{q}=0} \quad (B7)$$
$$\equiv \eta_{A,k}^{\psi,1} + \eta_{A,k}^{\psi,2} + \eta_{A,k}^{\varphi} ,$$

where

$$\left. \begin{array}{c} \left(\bar{P}_{\varphi}\right)_{12}(q_{0},\vec{q})\delta^{(4)}(p-q) \\ = \frac{\overrightarrow{\delta}}{\delta\bar{\varphi}_{1}(-p)}\Gamma_{k}\frac{\overleftarrow{\delta}}{\delta\bar{\varphi}_{2}(q)} \right|_{\psi^{*}=\psi=\varphi_{2}=0,\varphi_{1}=\sqrt{2\rho_{0,k}}}. \tag{B8}$$

Note that we do not consider a  $\rho$ -dependent  $\eta_{A,k}$ . Instead,  $\eta_{A,k}$  is projected onto the k-dependent minimum  $\rho_{0,k}$  of the effective potential. The explicit expressions for the different contributions to  $\eta_{A,k}$  are given by:

$$\eta_{A,k}^{\psi,1} = \frac{1}{1 - \bar{m}^2} \frac{h_{\varphi}^2}{6\pi^2 k (1 + w_3)^{\frac{3}{2}}} \sum_{\sigma,\kappa=\pm 1} (\tilde{\mu} + \kappa)^{\frac{3}{2}} \theta \left(\tilde{\mu} + \kappa\right) \\ \left[ \sigma N_{\rm F} \left( \bar{m} (\tilde{\mu} + \kappa) - \tilde{h} - \sigma \sqrt{1 + w_3} \right) + \sqrt{1 + w_3} N_{\rm F}' \left( \bar{m} (\tilde{\mu} + \kappa) - \tilde{h} - \sigma \sqrt{1 + w_3} \right) \right], \tag{B9}$$

$$\eta_{A,k}^{\psi,2} = -\frac{h_{\varphi}^2 \bar{m}^2}{6\pi^2 k (1-\bar{m}^2)} \int_{\max[-\tilde{\mu},-1]}^1 \mathrm{d}\tilde{z} \frac{(\tilde{z}+\tilde{\mu})^{\frac{3}{2}}}{(1+w_3)^{\frac{5}{2}}} \sum_{\sigma=\pm 1} \left[ 3\sigma N_\mathrm{F} \left( \bar{m}(\tilde{z}+\tilde{\mu}) - \tilde{h} + \sigma\sqrt{1+w_3} \right) - 3\sqrt{1+w_3} N_\mathrm{F}' \left( \bar{m}(\tilde{z}+\tilde{\mu}) - \tilde{h} - \sigma\sqrt{1+w_3} \right) - \sigma(1+w_3) N_\mathrm{F}'' \left( \bar{m}(\tilde{z}+\tilde{\mu}) - \tilde{h} - \sigma\sqrt{1+w_3} \right) \right],$$
(B10)

$$\eta_{A,k}^{\varphi} = \frac{\rho_0 U_k^{\prime\prime 2}}{(1-\bar{m}^2)^{\frac{3}{2}}} \frac{\sqrt{2}}{3\pi^2 k} \frac{1}{\left[(1+w_1)(1+w_2)\right]^{\frac{3}{2}}} \left[1+2N_{\rm B}\left(\sqrt{1+w_1}\sqrt{1+w_2}\right) - 2N_{\rm B}^{\prime}\left(\sqrt{1+w_1}\sqrt{1+w_2}\right)\right]. \tag{B11}$$

Here, the primes denote derivatives of the thermal distribution functions with respect to their arguments,  $N'_{\rm F/B}(x) \equiv \partial N_{\rm F/B}(x)/\partial x$ , and the  $w_i$ 's are understood to be evaluated at  $\rho = \rho_{0,k}$ .

The contributions in Eqs. (B9) and (B11) represent straightforward extensions of their mass-balanced analogues. The situation is different for  $\eta_{A,k}^{\psi,2}$  since it is not even present in the mass-balanced case. It becomes important only at relatively large mass imbalances since it is proportional to  $\bar{m}^2/(1-\bar{m}^2)$ .

Taking a closer look at the bosonic contribution  $\eta_{A,k}^{\varphi}$ , it becomes clear why a finite  $\rho_{0,k}$  may have such an enor-

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16

mous influence on the RG flow of the theory (see also Fig. 7):  $\eta_{A,k}^{\varphi}$  vanishes identically in the U(1)-symmetric regime, i.e. for  $\rho_{0,k} = 0$ .

It is not too surprising that bosonic fluctuations are generally amplified by mass imbalance. This becomes apparent by the fact that both  $\eta^{\varphi}_{A,k}$  and  $[\partial_k U_k]^{\varphi}$  are proportional to  $(1 - \bar{m}^2)^{-\frac{3}{2}}$ . A detailed analysis how this affects the properties and the extent of inhomogeneous phases for large  $\bar{m}$  is left to future work.

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