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# High-fidelity imaging of a band insulator in a three-dimensional optical lattice clock

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We report on the observation of a high-density, band insulating state in a three-dimensional optical lattice clock. Filled with a nuclear-spin polarized degenerate Fermi gas of  $^{87}\text{Sr}$ , the 3D lattice has one atom per site in the ground motional state, thus guarding against frequency shifts due to contact interactions. **At this high density where the average distance between atoms is comparable to the probe wavelength, conventional imaging techniques at saturation intensity suffer from large systematic errors.** To spatially probe frequency shifts in the clock and measure thermodynamic properties of this system, accurate imaging techniques at high optical depths are required. Using a combination of highly saturated fluorescence and absorption imaging, we confirm the density distribution in our 3D optical lattice in agreement with a single spin band insulating state. Combining our clock platform with this high filling fraction opens the door to studying new classes of long-lived, many-body states arising from dipolar interactions.

Optical lattice clocks integrate quantum many-body physics and precision metrology to achieve state-of-the-art measurement precision [1–5]. To advance clock performance, one wishes to probe as many atoms as feasible for the longest possible coherence time. Improvements in both precision and accuracy of optical lattice clocks, with increased atom numbers, have been enabled by the development of high-fidelity, microscopic imaging of the atomic cloud to spatially resolve clock shifts [6, 7]. The combination of high density and long coherence time will allow characterization of novel systematic effects such as that arising from dipolar interactions between atoms on neighboring lattice sites [8–11]. Lattice thermometry [12] and studies of novel physics such as  $SU(N)$  magnetism [13, 14] will also benefit from accurate imaging at high density where these phenomena emerge.

To optimize atom number while minimizing interaction-related dephasing, a clock platform based on a 3D lattice geometry and Fermi-degenerate matter has been developed [7, 15]. Following nuclear spin polarization [16, 17], the Pauli exclusion principle mandates there is at most one atom per lattice site in the ground motional state. To ensure this ground state motional occupation during lattice loading we operate with  $k_B T < k_B T_F < \hbar\omega_{bg}$ , where  $T$ ,  $T_F$ ,  $\hbar\omega_{bg}$  refers to the atomic temperature, Fermi temperature and lattice bandgap respectively [18]. At the highest density affordable with one fermion per lattice site, this system realizes an insulating state of matter where tunnelling is suppressed [15, 19]. Combining this high-density system with spin-orbit coupling generated from clock addressing will enable exploring cluster state generation and tunable spin models [20, 21].

Differential frequency shifts across the optical lattice encoding potential systematic effects can be spatially resolved by combining *in situ* imaging and narrow-line clock spectroscopy [6]. To extract these frequency shifts, two subsequent images of the ground and excited state density distributions are required. Thus for our clock platform, accurate *in situ* imaging at high density is imperative. In our lattice where the average distance between atoms (407 nm) is comparable to the probe wavelength (461 nm), imaging with a weak, resonant probe is strongly perturbed. Both collective effects mediated by dipolar interactions [22] and systematic defects such

as lensing of the probe beam [23, 24] introduce errors to the reconstructed density distribution at high density.

To mitigate these systematic effects, different techniques can be used to reduce the absorption cross section and make the cloud "optically thin". These techniques can be broadly divided into two categories: dispersive imaging at large detuning from resonance [25–27] and saturated imaging at high intensity [28–31]. For dispersive imaging extracting information about the atomic density often requires spatially filtering the scattered and unscattered light in the Fourier plane of the imaging system, demanding precise fabrication and alignment of custom optics. Additionally, careful studies of dispersive imaging show that residual systematic effects at finite detuning are non-negligible and can be addressed using differential measurement schemes at opposite detuning [32]. To address these imaging errors in this work, we use both highly saturated fluorescence and absorption imaging.

In this Letter, we report on the observation of a band insulating state in our 3D optical lattice clock. Using highly saturated imaging to mitigate imaging errors, with a saturation parameter far greater than the optical depth, we accurately confirm the density distribution in our 3D optical lattice in good agreement with thermodynamic calculation. We extend previous work using high intensity fluorescence imaging [28], confirming the accuracy of this imaging technique in a new high density regime with a degenerate Fermi gas of  $^{87}\text{Sr}$  [16, 33]. With atomic densities as high as  $6 \times 10^{14}$  atoms/cm<sup>3</sup>, we observe systematic agreement with atom counts obtained via time-of-flight absorption imaging and identify the range where the extracted atomic density distribution is not blurred by our imaging pulse.

Our high intensity imaging scheme is outlined in Fig. 1. The combination of atomic level structure and relatively large mass of  $^{87}\text{Sr}$  is particularly well suited for our imaging technique, providing a cycling transition with a large scattering rate while avoiding significant motional effects from the imaging pulse. The transition from  $^1S_0$  to  $^1P_1$  with linewidth  $\Gamma = 2\pi \times 30.5$  MHz provides a large photon scattering rate with minimal depumping to dark states during the imaging time [34]. During a 1  $\mu\text{s}$  pulse at full saturation about 100 photons per atom are scattered and the atoms accelerate at

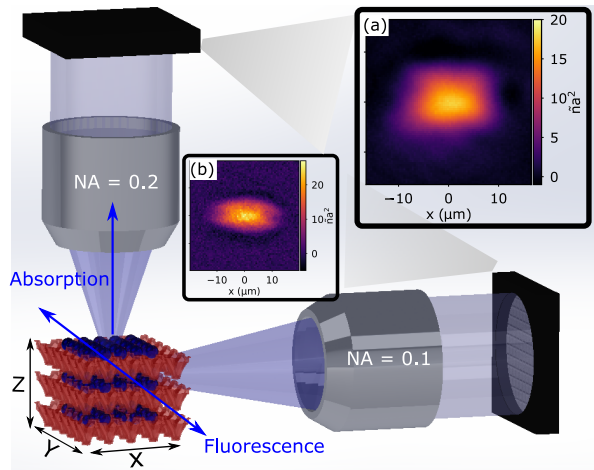


FIG. 1. Schematic of our clock platform. Vertical and horizontal imaging systems with numerical apertures of 0.2 and 0.1 respectively provide measurements of the 2D density distribution  $\tilde{n}$ . Accounting for the lattice spacing  $a = 407$  nm,  $\tilde{n}a^2$  is determined from highly saturated absorption imaging. To mitigate imaging errors, the atoms are highly saturated and each scatters photons with a maximum rate of  $\Gamma/2$ . Measurements from our high resolution imaging system integrated along gravity are presented in panel (a), where the density distribution is extracted for thermodynamic modeling. Images from the horizontal imaging system in panel (b) are just used to determine our atom cloud aspect ratio for our inverse Abel transform.

$a = \frac{\hbar k \Gamma}{2m}$  where  $k$  is the imaging light wavenumber and  $m$  is the atomic mass. The net momentum transfer amounts to a Doppler shift of  $k a \tau = 2.8$  MHz which is much less than the transition linewidth  $\Gamma/2\pi$ . Finally, the linear displacement for a  $1 \mu\text{s}$  pulse at full saturation is just  $\frac{a \tau^2}{2} = 0.6 \mu\text{m}$ . This linear displacement and corresponding Doppler shift can be largely cancelled in fluorescence imaging by retroreflecting the incident beam. The spread in transverse position due to random momentum transfer from spontaneous emission is  $\frac{\hbar k}{6m} t^{3/2} \sqrt{\Gamma/2} < 0.1 \mu\text{m}$  over a  $1 \mu\text{s}$  pulse duration and small compared to our  $1.3 \mu\text{m}$  imaging resolution [35]. Using highly saturated absorption imaging, we measure the column density distribution  $\tilde{n}$  in our optical lattice in Fig. 1(a). Accounting for the lattice spacing  $a = 407$  nm corresponding to the  $^{87}\text{Sr}$  magic wavelength at 813 nm, the scaled column density  $\tilde{n}a^2$  is plotted.

Saturated absorption and fluorescence imaging are beneficial in comparison to standard imaging techniques in a number of ways. In this highly saturated regime the scattering rate is largely immune to beam intensity, frequency, and pointing fluctuations. Given the saturation intensity  $I_{\text{sat}} = 40 \text{ mW/cm}^2$  for the imaging transition, a Gaussian probe beam with 20 mW of optical power and a  $100 \mu\text{m}$  waist corresponds to a peak intensity of  $I \approx 3000 I_{\text{sat}}$ , within the typical constraints of a standard imaging laser system. Given that the probe beam is attenuated through the atom cloud, a saturation parameter  $I/I_{\text{sat}}$  much greater than the optical depth is required to fully saturate the imaging transition. We note parallels between flu-

orescence and absorption imaging at high saturation. In both cases, the extracted atom number is determined by a single variable. For fluorescence imaging, this corresponds to the number of collected photons per atom and for saturated absorption imaging the number of missing photons per atom in the probe beam. Thus, both fluorescence and saturated absorption imaging can be calibrated via a single absolute atom number measurement. For images in our 3D lattice, we determine our atom number via clock excitation fraction fluctuations arising from quantum projection noise (QPN) [36].

For fluorescence imaging, only a single image of collected fluorescence in an arbitrary direction is required, minimizing fringing and simplifying image processing substantially. Fluorescence imaging also avoids limited dynamic range issues suffered from high intensity absorption imaging. Strategies such as multiple measurements at varying intensity to determine the atomic density in different regions of the cloud may be taken to confront this issue [30, 31]. The primary disadvantage of fluorescence imaging in comparison to absorption imaging is that the signal-to-noise is generally worse. To optimize signal-to-noise ratio (SNR) in fluorescence imaging, the photon collection efficiency and therefore the numerical aperture (NA) of the imaging system, must be maximized. In our experiment, the vertical and horizontal imaging systems have numerical apertures of 0.2 and 0.1, corresponding to collection efficiencies of approximately 1% and 0.25%. Alternatively, if spatial resolution is not required then the pulse duration can be extended enhancing the number of detected photons.

To initially benchmark our saturated imaging techniques used for Fig. 2, we probe an uncharacterized Fermi gas via extremely-high intensity fluorescence to guarantee that complete saturation is achieved and collective effects are negligible. In this extreme regime, the absorption imaging signal-to-noise is very poor. For later measurements to achieve optimal signal-to-noise, we employ a more reasonable intensity for absorption imaging, although still under the operating condition of  $I/I_{\text{sat}} \gg OD$ . Absorption imaging at  $I \approx I_{\text{sat}}$  and high intensity fluorescence imaging are presented side-by-side for comparison. To study these systematic errors at high density, we prepare a sample with optical depth  $> 200$  by producing a degenerate Fermi gas with 10 nuclear spin components,  $\approx 2 \times 10^5$  atoms and a  $T/T_F$  of approximately 0.1 in a crossed dipole trap. The errors associated with low intensity absorption imaging can be seen twofold. First, the reconstructed optical depth from absorption detection in Fig. 2(a) is far too low, two orders of magnitude less than the expected value of  $\approx 200$ . This erroneously low optical depth is attributed to effects such as enhanced forward emission and lensing of probe light [23]. Secondly, the reconstructed optical depth in Fig. 2(b) increases after a  $500 \mu\text{s}$  time-of-flight expansion conclusively demonstrating the density dependence of these observed systematic errors.

In comparison, saturated fluorescence imaging yields a far larger reconstructed optical depth and diffuses following expansion as expected. We compare this reconstructed 2D den-

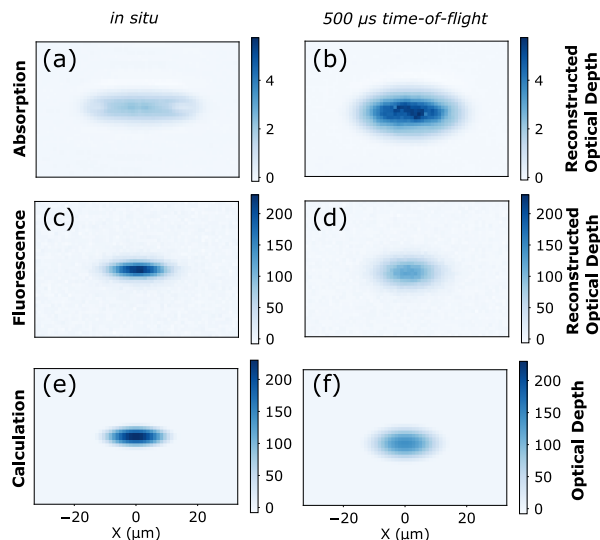


FIG. 2. A comparison of high intensity fluorescence and standard absorption imaging ( $I \approx I_{sat}$ ) at optical depths exceeding 200 in our highly degenerate Fermi gas is shown. *In situ* absorption imaging at low intensity yields strikingly erroneous measurements at high density. The calculated 2D Fermi gas distribution according to our experimental parameters is shared for comparison in qualitative agreement.

sity distribution with the expected distribution corresponding to a Fermi gas. Using independently measured experimental values, we calculate this distribution with no free parameters [37]. The total atom number and reduced temperature  $T/T_F$  are determined from time-of-flight absorption imaging at low density with an optical density  $\approx 1$ . The trapping frequencies are extracted from parametric confinement modulation. Using these parameters, we calculate both an *in situ* and 500  $\mu\text{s}$  time-of-flight Fermi gas profile for comparison with our measurements. We observe qualitative agreement between measurement and calculation in Fig. 2(c) and Fig. 2(e) at these extremely high optical depths.

Intrigued by the measurements presented in Fig. 2, we undertake a quantitative study on the fidelity of our saturated imaging technique. We present a calibration method for fluorescence detection, using the total number of collected fluorescence photons for comparison with an accurate atom number reference. Absorption imaging at low density following time-of-flight expansion serves as an appropriate calibration. Following expansion for 7 ms, the optical depth is  $\approx 1$  and systematic imaging errors can be safely ignored. To independently calibrate the atom number in our 10 spin Fermi gas, we prepare a thermal sample and use measured density fluctuations to determine the effective absorption cross section [38–40]. In Fig. 3(a) we ensure this calibration shows agreement with a simple linear model for atom numbers ranging from  $0.5 \times 10^5$  to  $4 \times 10^5$ , varied by controlling our final evaporation trap depth. For the 3  $\mu\text{s}$  pulse duration used, the fitted calibration is in reasonable agreement with independent cal-

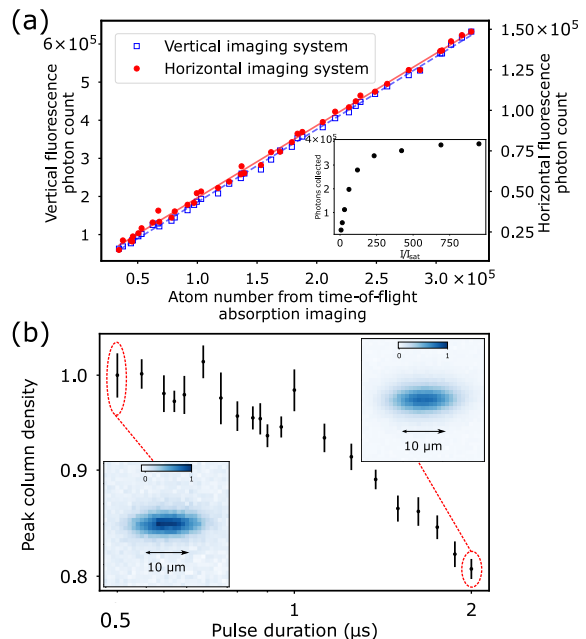


FIG. 3. (a) Calibration method for *in situ* fluorescence detection using atom counts from time-of-flight absorption imaging. Collected photon counts from both the vertical and horizontal imaging systems are plotted, with solid and dashed lines representing fits to the horizontal and vertical measurements respectively. Inset: Collected photon count with vertical imaging system as a function of  $I/I_{sat}$  at 1  $\mu\text{s}$  pulse duration. (b) Peak column density as a function of fluorescence pulse duration. Measurements are normalized by  $1.9 \times 10^{11}$  atoms/cm<sup>2</sup>, the column density at the shortest pulse duration of 500 ns. Images at 500 ns and 2  $\mu\text{s}$  in inset are plotted for comparison. The error bars denote the standard error of the mean.

ulation using the measured quantum efficiency and imaging system numerical aperture. To ensure that the imaging transition is fully saturated, the laser intensity at 1  $\mu\text{s}$  pulse duration is increased until the collected photon number plateaus, as seen in the figure inset.

To perform accurate spatially resolved measurements, we must also determine the blurring induced by our imaging pulse. Just as collective effects introduce errors to the reconstructed density distribution, any systematic changes to  $\tilde{n}$  introduced by our imaging pulse must be determined. To calibrate this blurring in Fig. 3(b), we extend the fluorescence pulse duration and examine the peak column density as atoms diffuse. The inset shows averaged images from 500 ns and 2  $\mu\text{s}$  pulse durations. We note that we observe no atom loss or molecular formation over the full 2  $\mu\text{s}$  range, confirmed by the detected photon count increasing linearly with pulse duration. To minimize blurring, we carefully retroreflect our probe beam by optimizing the backcoupled light through the probe optical fiber. At pulse durations up to 1  $\mu\text{s}$ , we confirm that the peak column density decreases by  $< 5\%$ .

Motivated by the calibration reported in Fig. 3, we directly determine the 3D density distribution in a deep optical lattice via saturated *in situ* absorption imaging. We form a cubic lat-



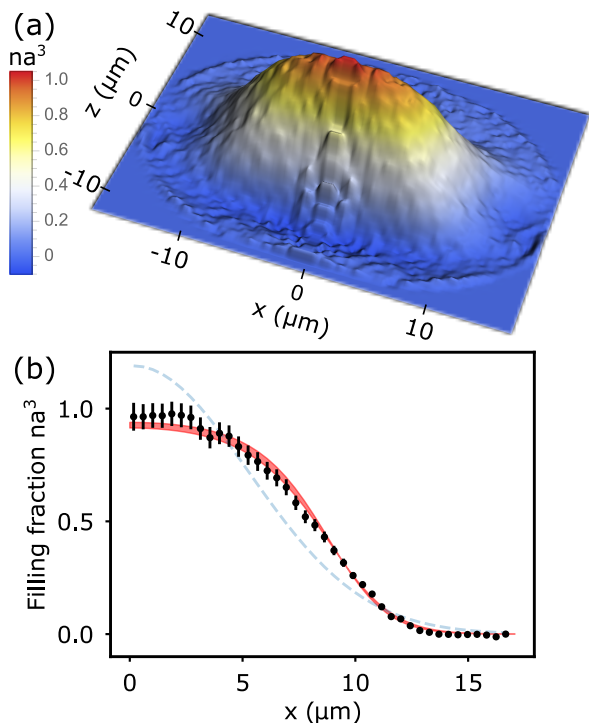


FIG. 4. (a) The three-dimensional density distribution and the corresponding lattice filling fraction are determined from *in situ* absorption image in Fig. 1(a) and the use of an inverse Abel transformation. (b) A linecut along  $z = 0$  and  $y = 0$  provides the data points in circles. Errorbars are both the statistical uncertainty of the Abel transformation and atom number uncertainty added in quadrature. We start with a prediction based on thermodynamic calculation, using independently measured values for the entropy-per-particle, atom number, and harmonic confinement. The best fit to the data results in a 10% reduction of the measured aspect ratio  $\omega_y/\omega_x$  and 5% reduction of the predicted entropy-per-particle. The red line captures this fit, with entropy-per-particle uncertainty in the shaded band. The blue dashed line is a fit to Gaussian in qualitative disagreement with  $na^3$ .

tice with trap depths of approximately 60, 70, and 50  $E_r$  in three orthogonal directions, where  $E_r$  is the lattice photon recoil energy  $\approx h \times 3.5$  kHz. Following forced evaporation with 10 nuclear spin states we spin polarize using a focused beam detuned from the  $^3P_1$  intercombination line to form a state-dependent potential, removing nearly all but the  $m_F = -9/2$  atoms [16, 17]. Clock spectroscopy confirms  $\approx 90\%$  spin purity. An additional step of spin purification is applied by coherently driving the  $m_F = -9/2$  atoms into the excited clock state and removing any residual spins with a resonant imaging pulse. Absorption imaging directly provides us with the column density distribution  $\tilde{n}$ , integrated through the vertical axis along gravity as depicted in Fig. 1(a). Based on our Fig. 3(b) analysis, we choose a pulse duration of 1  $\mu s$  to minimize blurring and a saturation intensity of 54(4), substantially larger than peak optical density of  $\approx 15$ . To spatially probe the band insulator plateau we use an imaging magnification of 38.8 to achieve an effective pixel size of 412 nm, roughly equal to the

lattice constant  $a = 407$  nm. We note that our effective pixel size is smaller than our optical resolution of 1.3  $\mu m$ , thus our imaging system is optically oversampled. To extract the 3D density distribution, we use an inverse Abel transform [41]. Given our vertical imaging is not along an axis of cylindrical symmetry,  $n$  must be appropriately scaled by the aspect ratio of the spatial density distribution. The aspect ratio is independently calibrated using the absorption imaging measurement in Fig. 1(b).

At this high magnification, the SNR in fluorescence imaging for a 1  $\mu s$  pulse duration is limited by a combination of read noise and photon shot noise. We found that even after extensive averaging the extracted 3D density distribution using an inverse Abel transform was sensitive to small fluctuations in  $\tilde{n}$ . Thus, saturated absorption imaging with a superior SNR provides a more robust technique to characterize the 3D density distribution. This extracted 3D density distribution is plotted in Fig. 4(a).

To judge the fidelity of our measured 3D density distribution, we compare the line cut at both  $z = 0$  and  $y = 0$  with calculation in Fig. 4(b). To estimate the density distribution, we use a thermodynamic calculation in the local density approximation. [12, 14]. The ingredients of this calculation include values for the entropy-per-particle, harmonic confinement, and total atom number. Given the density distribution only depends on the ratio of the respective harmonic confinements, the measured aspect ratios from Fig. 1 are used for our thermodynamic calculation. The total atom number  $N$  is determined from quantum projection noise measurements. To estimate the entropy-per-particle including heating from lattice loading, we perform a “round-trip” measurement where we load the atoms from our optical dipole trap into the three-dimensional optical lattice and then reverse the sequence to load them back into the dipole trap [12, 42]. Measuring a reduced temperature in time-of-flight of  $T/T_F = 0.151(4)$  and  $0.179(7)$  before and after lattice loading respectively, we determine an entropy-per-particle increase of  $0.25(6) k_B$ . Inferring half of that entropy increase in the actual lattice loading, we estimate an entropy-per-particle  $s/k_B = 1.56(6)$  in the lattice. From the data in Fig. 4(b), we fit  $s/k_B = 1.49$  in good agreement with prediction. Although we did not perform a cross-dimensional thermalization measurement to directly verify thermal equilibrium, the uncertainty in our predicted entropy-per-particle is included in the shaded band of the thermodynamic calculation in Fig. 4(b) [43, 44]. We note that the extended plateau region is larger than our 1.3  $\mu m$  imaging resolution. We compare  $na^3$  to a Gaussian fit and observe strong disagreement near the center of the cloud owing to the fermionic nature of the atoms in our optical lattice.

In conclusion, we report on the observation of a spin-polarized, band insulating state in our 3D optical lattice clock. This has been enabled by characterizing saturated *in situ* imaging techniques to accurately determine our density distribution. Broadly, the saturated imaging techniques in this work will be applicable for studies of  $SU(N)$  magnetism and thermodynamics in the Mott-insulating regime [42, 45]. With

the high filling fraction demonstrated in this work, many-body states arising from dipolar interactions can be generated between atoms on neighboring lattice sites [8, 9].

### ACKNOWLEDGEMENT

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### APPENDIX A: DENSITY DIFFUSION

Here we provide supplemental analysis to the data presented in Fig. 3(b). In panel (a) of Fig. A1, we plot the integrated counts along the  $x$  axis of each image. We see an asymmetry emerge along the direction of the probe beam as the pulse duration is extended. This asymmetry suggests that the observed density diffusion may arise from inhomogeneity between the incident and retroreflected beams. While the power is certainly mismatched, this could also be due to either imperfect spatial alignment or mode mismatch given the divergence of the probe beam.

We also plot the total counts in each image as a function of pulse duration in panel (b). The linear character of the counts over the full pulse duration range suggests that we do not observe appreciable atom loss or pumping to dark states. The counts at each pulse duration are normalized to the counts at 500 ns. The inset shows the Gaussian RMS width of the cloud as a function of pulse duration.

### APPENDIX B: SIGNAL-TO-NOISE COMPARISON

In the main text of the paper we refer to both saturated absorption and fluorescence imaging. We provide a quantitative comparison of the signal-to-noise ratio (SNR) between the two techniques here. We express our signal-to-noise for a detection pixel in terms of the normalized variance  $\mathbb{V}(N)/N$ , where  $N$  denotes the number of atoms within the respective detection region. For fluorescence imaging the SNR is simply determined by the shot noise associated with the number of detected photons. To calculate the total atom number, we first convert the fluorescence counts detected on our camera to the number of collected photons. Then, using the collection efficiency of our imaging system and scattering rate of our atomic transition we determine the conversion of detected photons per atom. On our CCD camera, we measure  $n_a$  counts in a given pixel. Using the quantum efficiency  $q$  of the imaging

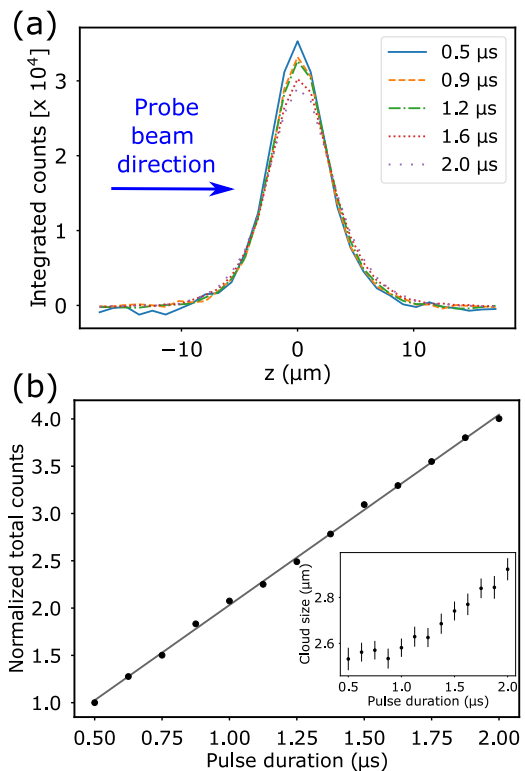


FIG. A1. Panel (a) shows the integrated counts from the images in Fig. 3(b) of the main text along the  $x$  axis as a function of pulse duration. The total counts at each pulse duration is plotted in panel (b), normalized by the counts at 500 ns. Given the detected photon count increases linearly with pulse duration, we observe minimal atom loss or molecular formation over the full 2  $\mu\text{s}$  range. The inset shows the Gaussian RMS width of the cloud as a function of pulse duration.

system, and the camera conversion gain  $g$  in units of counts per photo electron, we infer  $\frac{n_a}{qg}$  photons. At full saturation, the atomic scattering rate is  $\frac{\Gamma}{2}$  and the number of photons scattered per atom is  $P_{sc} = \frac{\Gamma}{2} \times \tau$ , where  $\tau$  is the pulse duration. Finally, we denote the collection efficiency as  $Y$ , determined by the numerical aperture of our imaging system and by radiation pattern anisotropies. Combining terms, the total atom number is  $N = \frac{n_a}{gqYP_{sc}}$ . Using error propagation, we determine the variance  $\mathbb{V}_{Fl}(N)$ .

$$\mathbb{V}_{Fl}(N) = \left( \frac{\partial N}{\partial n_a} \right)^2 \mathbb{V}(n_a) = \left( \frac{1}{gqYP_{sc}} \right)^2 gn_a \quad (1)$$

Here, we have used the fact that the distribution of generated photo electrons  $n_e$  is Poissonian. Thus,  $\mathbb{V}(n_a) = \mathbb{V}(g \times n_e) = g^2 \mathbb{V}(n_e) = g^2 n_e = gn_a$ . Combining terms:

$$\mathbb{V}_{Fl}(N)/N = \frac{1}{qYP_{sc}} \quad (2)$$

The SNR associated with absorption imaging is more complicated given the formula for the atom number in Eq. 3 has both logarithmic and linear terms and involves two images  $n_a$

and  $n_b$  with and without atoms present. Here,  $A$  and  $\sigma_0$  refer to the effective pixel size accounting for the imaging system magnification and effective atomic absorption cross section, respectively. Similar to fluorescence imaging, an appropriate error propagation of the  $n_a$  and  $n_b$  terms determines Eq. 4 and Eq. 5. We summarize the formulas here and point a reader to reference [46] for a full derivation.

$$N = \frac{A}{\sigma_0} \log\left(\frac{n_b}{n_a}\right) + \frac{2}{\Gamma\tau qg}(n_b - n_a) \quad (3)$$

$$\mathbb{V}_{Abs}(N) = g\tilde{A}^2\left(\frac{1}{n_a} + \frac{1}{n_b}\right) + g\tilde{B}^2(n_a + n_b) + 4g\tilde{A}\tilde{B} \quad (4)$$

$$\tilde{A} = \frac{A}{\sigma_0}, \tilde{B} = \frac{2}{qg\tau\Gamma} \quad (5)$$

We compare the different techniques in Fig. A2 using the experimentally relevant parameters for our imaging system. In both cases, a  $1 \mu\text{s}$  resonant pulse is used with a numerical aperture of 0.2 and a quantum efficiency of 85%. For the fluorescence SNR in blue, the transition is assumed to be fully saturated and scatters photons with a rate of  $\Gamma/2$ . For the  $I/I_{sat} \approx 55$  we use for our inverse Abel measurements, the SNR in absorption imaging is superior to fluorescence imaging in regions where the column density is higher than 2 atoms/ $a^2$ . Particularly given our peak density of  $\tilde{n}a^2 \approx 20$  in Fig. 1(a), absorption imaging provides a better SNR in the regions of high density where we extract our peak filling fraction. At a critical OD of 0.17, fluorescence detection under our experimental parameters provides a superior SNR at all imaging intensities. We note these calculations neglect technical noise, in particular camera readout noise, which can be accounted for by offsetting  $\mathbb{V}(n_a)$  accordingly. This contribution will disproportionately reduce the SNR of fluorescence imaging, as the fluorescence counts are substantially lower than the absorption counts.

To probe fine spatial details in our atomic cloud, an imaging resolution smaller than the length scale of these spatial features is required. To achieve this condition, a sufficiently large numerical aperture imaging system must be utilized and aberrations must be minimized. In this case, the imaging resolution is fundamentally limited by diffraction. We verified the diffraction-limited performance of our NA = 0.2 objective lens by propagating a point source at 461 nm through a test setup (including all imaging path optics and vacuum viewports) and measuring the point-spread function.

While absorption and fluorescence imaging rely on the same light scattering process (they only collect different parts of the scattered EM field [23]), the signal amplitudes for these two methods scale differently with the NA. When collecting fluorescence, the solid angle coverage of the imaging system proportionally affects the signal down to the lowest spatial frequencies. This is not the case for absorption imaging,

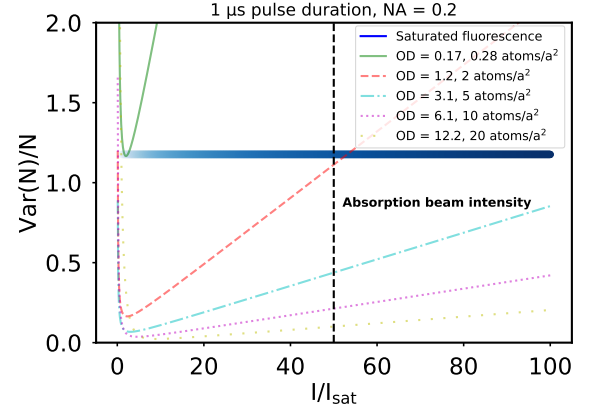


FIG. A2. SNR comparison between absorption and fluorescence imaging. The relevant imaging parameters from the main figures of the paper are used for this calculation. For absorption imaging the atom count variance scales inversely proportional with intensity in the non-saturated limit  $I \ll I_{sat}$ , and proportional with intensity in the high saturation limit. The variance is for both imaging methods proportional to  $1/\tau$ . In the fully saturated regime (and assuming no technical noise) the normalized variance for fluorescence imaging is independent of atomic column density. To avoid imaging defects at the high densities used in clock operation, an  $I/I_{sat} > 50$  was used in all imaging measurements. The black dashed line indicates the intensity used for our inverse Abel measurements.

where the amplitude of spatial frequency components below the NA-dependent bandwidth is constant as the NA is further increased (assuming the lens fully covers the probe beam). In other words, for fluorescence imaging, most of the signal light gets collected in the outer ring fraction of the lens aperture, which renders it particularly susceptible to lens imperfections.

### APPENDIX C: DENSITY DISTRIBUTION CALCULATION

To accurately model the density distribution in our 3D lattice, we use a thermodynamic calculation in the local density approximation. The general Hamiltonian for SU(N) symmetric fermions in a 3D lattice in the atomic limit takes the following form:

$$H_{AL} = \frac{U}{2} \sum_{i,\sigma \neq \sigma'} \hat{n}_{i,\sigma} \hat{n}_{i,\sigma'} + \sum_{i,\sigma} V_i \hat{n}_{i,\sigma} \quad (6)$$

Here for a fermion with number operator  $\hat{n}$  and spin index  $\sigma$  on a lattice site  $i$ , there are just two competing energy scales: an interaction energy  $U$  between particles and a position dependent energy offset  $V_i$  according to the harmonic confinement. By using the local density approximation  $\mu = \mu_0 - V(x, y, z)$ , where  $V(x, y, z) = \frac{1}{2}m(\omega_x^2 x^2 + \omega_y^2 y^2 + \omega_z^2 z^2)$  and  $\mu_0$  corresponds to the peak chemical potential in the lattice. For the spin-polarized system in this work,  $U = 0$  and  $\sigma = 0$  so the calculations are substantially simplified.

Ultimately, we want to express the density distribution  $n(\mu, T)$  in terms of the chemical potential, atomic temperature, and position in the lattice. On a lattice site  $i$ , we express the Grand partition function  $\mathcal{Z}$  and Grand potential  $\Omega$  :

$$\mathcal{Z}(\mu, T) = 1 + e^{\beta\mu} \quad (7)$$

$$\Omega = -k_B T \ln(\mathcal{Z})$$

From here, we determine the entropy and occupancy per lattice site  $i$ :

$$s(\mu, T) = -\frac{\partial\Omega}{\partial T} = k_B \ln(\mathcal{Z}) + \Delta s$$

$$\Delta s = -\frac{k_B}{\mathcal{Z}} \beta \mu e^{\beta\mu} \quad (8)$$

$$n(\mu, T) = -\frac{\partial\Omega}{\partial\mu} = \frac{1}{\mathcal{Z}} e^{\beta\mu} \quad (9)$$

We accurately determine the total atom number  $N_{lat}$  from in situ absorption imaging and total entropy  $S_{lat}$  via time-of-flight fitting to a non-interacting Fermi-Dirac profile. From these two quantities we determine the predicted entropy-per-particle  $s/k_B$ . Similarly, we express the entropy  $s$  and occupation  $n$  on a given lattice site using Eq. 8 and Eq. 9 expressed in terms of  $T$  and  $\mu$ . Given the density distribution only depends on the ratio of the respective harmonic confinements, the measured aspect ratios from Fig. 1 are used for our thermodynamic calculation. We then determine global parameters  $T$  and  $\mu_0$  to ensure the integrated entropy and occupancy over all lattice sites equals our experimentally measured values of  $S_{lat}$  and  $N_{lat}$ . A linecut of  $n(\mu, T)$  at  $z = 0$  and  $y = 0$  is plotted in Fig. 4(b).

#### APPENDIX D: INVERSE ABEL TRANSFORM

A generic inverse Abel transform assumes cylindrical symmetry and uses a 2D projection to reconstruct the 3D distribution. Limited to this analysis, constraints are placed on both the geometry of our trapping potential and the choice of imaging axis to ensure cylindrical symmetry. Borrowed from similar alkaline-earth experiments realizing highly efficient evaporation, we use a crossed dipole trapping geometry to provide a strong vertical confinement [47, 48]. Imaging along this axis of vertical confinement is preferable as the corresponding optical depth is the smallest and the imaging resolution is enhanced by the vertical imaging system.

We outline our reconstruction procedure here using measurements of the atomic cloud aspect ratios and an inverse

Abel transform. A similar trapping geometry and reconstruction procedure was used in prior work [12]. To understand our density reconstruction along an axis without cylindrical symmetry, we treat our system as an ellipsoid with radii  $r_x, r_y, r_z$ . With  $N$  total atoms, the volume of this system is  $V_{lat} = \frac{4}{3}\pi r_x r_y r_z$  and thus the density is  $n_{lat} = N/V_{lat}$ . We extract the inverse Abel transform using the data in Fig. 1(a) imaged along the vertical direction. We take the  $y$  axis as a symmetry axis, given the largest Band insulator plateau will occur along the  $x$  axis with the weakest harmonic confinement. The modification to our reconstruction procedure occurs here, where the density distribution must be appropriately rescaled to reflect the actual volume and therefore density of our atomic sample. The inverse Abel transform taking the  $y$  axis as a symmetry axis produces a density distribution corresponding to volume of  $V_{Abel} = \frac{4}{3}\pi r_x r_x r_y$  and density  $n_{Abel} = N/V_{Abel}$ . This density distribution  $n_{Abel}$  must then be rescaled by  $V_{Abel}/V_{lat}$  to reflect the actual volume  $V_{lat}$ . Here,  $V_{Abel}/V_{lat} = r_x/r_z$ . We fit the Fig. 1(b) image from our horizontal imaging system to a 2D Gaussian function and determine  $r_x/r_z = 2.11$ . Given excess noise around the origin, the  $x = 0$  point is interpolated with the neighboring point in Fig. 4(a). This reconstruction procedure was cross-checked with simulated density distributions to ensure its fidelity. The three-point Abel transform method was used for this work, which has been independently studied to verify its fidelity [49].

#### APPENDIX E: QPN CALCULATION

To calibrate our atom number, we analyze quantum projection fluctuations using the narrow-linewidth clock transition between the  $^1S_0$  and  $^3P_0$  states in  $^{87}\text{Sr}$ . Using a clock laser stabilized to our 8 mHz linewidth silicon reference cavity, rotation noise due to laser instability can be neglected in these measurements [50]. Additionally, fluctuations in total counts are  $< 2\%$  and not a limiting systematic for determining the atom number calibration. Referenced in many texts [36], by preparing atoms in a superposition of  $^1S_0$  to  $^3P_0$  the variance  $\mathbb{V}$  of the measured excitation fraction is related to the mean atom number  $\bar{N}$  and mean excitation  $\bar{p}_e$  by:

$$\mathbb{V}_{QPN} = \frac{\bar{p}_e(1 - \bar{p}_e)}{\bar{N}} \quad (10)$$

To determine this variance, we do many subsequent measurements of  $p_e$  under identical operating conditions. For a measurement  $i$  to determine  $p_e^i$ , two fluorescence counts  $\tilde{C}_g^i$  and  $\tilde{C}_e^i$  are read off a region of interest of our camera including our atoms. These counts are subtracted by two averaged dark frames  $\tilde{B}_g$  and  $\tilde{B}_e$  to yield  $C_g^i = \tilde{C}_g^i - \tilde{B}_g$ ,  $C_e^i = \tilde{C}_e^i - \tilde{B}_e$ . We would like to determine the coefficient  $a$  that satisfies  $N_e^i = aC_e^i/\tau$ ,  $N_g^i = aC_g^i/\tau$ . We can immediately see that the excitation fraction has no dependence on this coefficient:



$$p_e^i = \frac{\phi C_e^i}{\phi C_e^i + \phi C_g^i} \quad (11)$$

However, the total atom number  $N^i = a(C_e^i + C_g^i)/\tau = a\bar{C}_t^i/\tau$  does. Rewriting Eq. 10, we see a measurement of the variance  $\mathbb{V}_{QPN}$ , the mean excitation  $\bar{p}_e$ , and the mean total counts  $\bar{C}_t$  can determine  $a$ .

$$\mathbb{V}_{QPN} = \frac{\bar{p}_e(1 - \bar{p}_e)}{a\bar{C}_t/\tau} \quad (12)$$

The coefficient  $a$  can be interpreted as the "atoms per count per pulse duration". In principle, with knowledge of the quantum efficiency, gain, scattering rate, numerical aperture, and radiation pattern one could calculate this value. Practically, assumptions about the radiation pattern based on the quantization axis and probe light polarization make this calculation more difficult. In practice, it is much more straightforward to directly measure  $a$  than to individually measure each of these values with high accuracy.

The observed variance of the excitation fraction  $\mathbb{V}_{p_e}$  has contributions from quantum projection noise (QPN), photon shot noise (PSN), and camera readout noise (RN):

$$\mathbb{V}_{p_e} = \mathbb{V}_{QPN} + \mathbb{V}_{PSN} + \mathbb{V}_{RN} \quad (13)$$

Here  $g$  is the detector gain in units of counts per electron.

$$\mathbb{V}_{PSN} = \frac{\bar{p}_e(1 - \bar{p}_e)}{\bar{C}_t} \times g \quad (14)$$

$$\mathbb{V}_{RN} = \frac{\mathcal{R}^2}{\bar{C}_t^2} (2\bar{p}_e^2 - 2\bar{p}_e + 1) \quad (15)$$

$\mathbb{V}_{PSN}$  can be understood intuitively considering the ratio  $\mathbb{V}_{QPN}/\mathbb{V}_{PSN}$ . The number of signal electrons (equivalently the number of collected photons multiplied by the camera quantum efficiency) per atom determines the relative scaling of  $\mathbb{V}_{QPN}$  and  $\mathbb{V}_{PSN}$ .

$$\frac{\mathbb{V}_{QPN}}{\mathbb{V}_{PSN}} = \frac{1}{g \times a} \quad (16)$$

To determine  $a$  we need to accurately calibrate  $\mathbb{V}_{RN}$  and  $\mathbb{V}_{PSN}$ . We see at  $p_e = 1$ ,  $\mathbb{V}_{PSN}, \mathbb{V}_{QPN} = 0$ . Thus, measuring  $\mathbb{V}_{p_e}$  at  $p_e = 1$  will independently determine  $\mathbb{V}_{RN}$ .

We wish to fit  $\mathcal{R}$  and ensure it is consistent with the cameras specified readout noise. To extract this value, we use 4 pulse durations between 5 and 20  $\mu\text{s}$  to vary  $C_t$ . This is illustrated in Fig. A3. In practice, we fit

$$\mathbb{V}_{p_e} = \frac{\mathcal{R}^2}{\bar{C}_t^2} + C \quad (17)$$

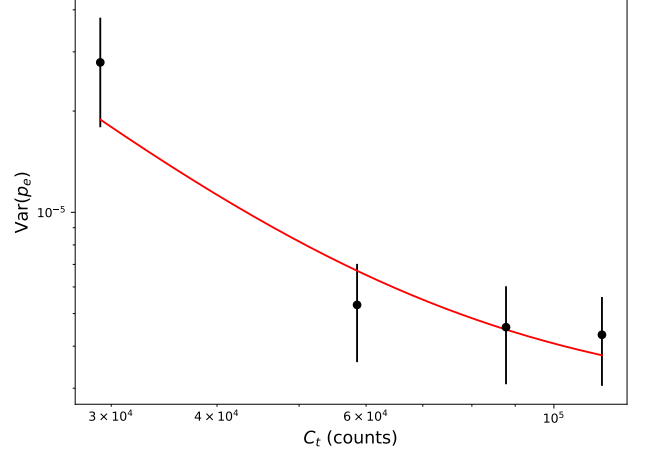


FIG. A3. Readout noise calibration. A  $\pi$  pulse on our optical clock transition is used so  $p_e \approx 1$  and  $\mathbb{V}_{p_e} = \frac{\mathcal{R}^2}{\bar{C}_t^2} + C$ . We use 4 pulse durations between 5 and 20  $\mu\text{s}$  to vary  $C_t$ . We fit  $\mathcal{R} = 100.2 \pm 24.6$  and  $C = 2.73 \times 10^{-6} \pm 1.02 \times 10^{-6}$ .

We fit  $\mathcal{R} = 100.2 \pm 24.6$  and  $C = 2.73 \times 10^{-6} \pm 1.02 \times 10^{-6}$ . For our circular ROI there are  $X = 889$  pixels in the masked radius. For the calibrated gain  $g = 1.59$  counts/e- and readout noise  $r = 2.4$  e- respectively,  $\mathcal{R}_{calc} = \sqrt{Xgr} = 94.7$  in agreement with  $\mathcal{R} = 100.2 \pm 24.6$ . We note that the gain and readout noise of the camera are close to specification. Dark counts over our 30 ms exposure are  $< .1$  e- and considered negligible.

Next, we wish to determine  $a_{QPN}$ . To do so, we perform a second measurement at  $p_e = 0.5$ . The variance of this dataset contains contributions from  $\mathbb{V}_{QPN}$ ,  $\mathbb{V}_{PSN}$ , and  $\mathbb{V}_{RN}$ . Using the measured  $\mathcal{R}$  value, we subtract the  $\mathbb{V}_{RN}$  contribution. Next, we fit the data in Fig. A4 to:

$$\mathbb{V}_{p_e} = \frac{0.5(1 - 0.5)}{a\bar{C}_t/\tau} + \frac{0.5(1 - 0.5)}{\bar{C}_t} \times g \quad (18)$$

We fit  $a_{QPN} = 1.72 \pm 0.16$ . This is in reasonable agreement with the calculated value of 1.43 assuming  $\Gamma/2$  scattering into 4  $\pi$  while also accounting for the measured quantum efficiency.

## APPENDIX F: READOUT NOISE

Here, we derive the readout noise term used in our variance measurements. The expressions used are somewhat different than other literature, given that we use averaged dark frames  $\bar{B}_e$  and  $\bar{B}_g$ . Recall,  $p_e = \frac{C_e}{C_e + C_g}$ . To determine the readnoise contribution to the excitation fraction, we perform standard error propagation:

$$\mathbb{V}_{RN} = \left(\frac{\partial p_e}{\partial C_e}\right)^2 \mathbb{V}(C_e) + \left(\frac{\partial p_e}{\partial C_g}\right)^2 \mathbb{V}(C_g) \quad (19)$$

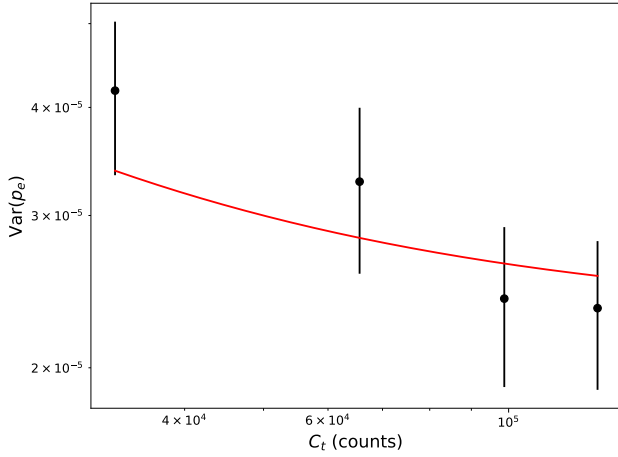


FIG. A4.  $a_{QPN}$  calibration. The atoms in our optical lattice are placed in a superposition of the ground and clock states with a  $\pi/2$  pulse so  $p_e \approx 0.5$  for these measurements and  $\mathbb{V}_{p_e}$  is fit to Eq. 18. We determine  $a_{QPN} = 1.72 \pm 0.16$ .

Here,

$$\frac{\partial p_e}{\partial C_g} = \frac{C_e}{(C_e + C_g)^2} = \frac{p_e}{(C_e + C_g)} \quad (20)$$

$$\frac{\partial p_e}{\partial C_e} = \frac{C_g}{(C_e + C_g)^2} = \frac{1 - p_e}{(C_e + C_g)} \quad (21)$$

To determine  $\mathbb{V}(C_e)$  consider an  $X$  pixel region-of-interest for which we extract  $C_g, C_e$  in two separate measurements. Each pixel contains  $r$  read noise in electrons. The single pixel read noise in units of counts is thus  $g \times r_i$ . The total noise in this region of interest is summed in quadrature pixel-by-pixel  $\mathbb{V}(C_g), \mathbb{V}(C_e) = \sum_X (r_i \times g)^2 = Xr^2g^2 = \mathcal{R}^2$ . Plugging terms in Eq. 19:

$$\mathbb{V}_{RN} = \frac{\mathcal{R}^2}{\bar{C}_t^2} (2\bar{p}_e^2 - 2\bar{p}_e + 1) \quad (22)$$

#### APPENDIX G: IMAGING SYSTEM PARAMETERS FOR Fig. 3(a)

In Table 1 is a summary of the imaging parameters for the measurements in Fig. 3(a). For Fig. 1 and Fig. 4, a  $1 \mu s$  pulse duration was used. In Fig. 3(b), we vary the pulse length between 500 ns and  $2 \mu s$ . Atom number fluctuations in time-of-flight absorption imaging for these measurements have a standard deviation less than 2 %.

Table 1	
Vertical imaging system	
Numerical aperture	0.23
Pulse duration	$3 \mu s$
Total photons scattered per atom at full saturation	287
Collection efficiency	1.3 %
Camera quantum efficiency	0.85
Imaging system quantum efficiency	0.65
Calculated photon count per atom	2.06
Measured photon count per atom	1.91(1)
Horizontal imaging system	
Numerical aperture	0.10
Pulse duration	$3 \mu s$
Total photons scattered per atom at full saturation	287
Collection efficiency	0.25 %
Camera quantum efficiency	0.78
Imaging system quantum efficiency	0.72
Calculated photon count per atom	0.402
Measured photon count per atom	0.445(3)

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