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Origin and Limit of the Recovery of Damaged Information by Time Reversal

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Recently it was found that scrambled information can be partially recovered by a time-reversed evolution, even after being damaged by an intruder. We reconsider the origin of the information recovery, and argue that the presence of classical chaos does not preclude it and only leads to a quantitative reduction of the recovery ratio. We also show how decoherence (i.e. entanglement with the intruder) limits the recovery, by proving an upper bound on the recovery ratio in terms of the entangling power of the intruder's action.

Introduction.— Quantum dynamics scrambles local information by entangling many degrees of freedom. Although the scrambled information is no longer directly accessible, it is preserved in long-range correlations and can be recovered by applying the time-reversed unitary. In this sense, a scrambling unitary and its inverse can serve as an encoder-decoder. An intruder who attempts to access the encoded information by making a local measurement will not succeed to extract any useful information, but will create a perturbation which would be expected to disrupt the decoding process. It was shown recently [1], however, that a finite amount of the encoded information can still be recovered after time-reversal.

The physical origin of this finite recovery was presented in Ref. [1] as a consequence of the absence of classical chaos in quantum systems. The butterfly effect would indeed preclude any form of recovery due to the exponential amplification of the perturbation caused by the intruder during backwards time evolution. However, this interpretation leaves open the question of recovery in systems combining (semi-)classical and quantum degrees of freedom. In the first part of this work, we study the precise relation between recovery and chaos, and show in particular that recovery is still possible for a system combining quantum degrees of freedom with classical ones which exhibit classical chaos. We therefore propose that it is the finite dimensional Hilbert space of the target qudit hosting the initial information, rather than the absence of chaos, that is the physical origin of recovery.

Another natural yet unaddressed question is how recovery is limited by the nature and strength of the perturbation performed by the intruder. Based on entanglement monogamy [2, 3] and the fact that the scrambled information is stored nonlocally, one would expect recovery to get worse for perturbations which create more entanglement between the target qudit and the intruder's apparatus. In the second part of this work, we quantify this effect by deriving an upper bound on recovery in terms of the entangling power of the intruder's action [4].

Our analysis is based on the process shown in Fig. 1 (our setup is slightly more general than [1]). Alice, the encoder-decoder, prepares the **qudit** in a pure state $\rho_i = |\psi_i\rangle\langle\psi_i|$, and a **bath** in an arbitrary state ρ_B (e.g., it can be the maximally mixed state); they are initially dis-

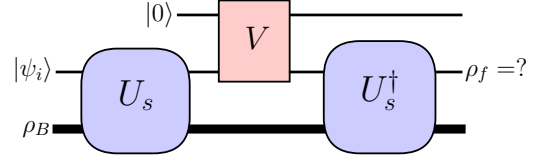


FIG. 1. The information recovery process under consideration. The information ψ_i stored in a qudit (middle) is encoded by a scrambler U_s which couples the qudit to a bath (bottom). The information can be decoded by applying the time-reversed evolution, U_s^\dagger . What is the effect of an eavesdropping action (i.e. coupling with an ancilla qubit (top) by unitary operator V) ?

entangled. We assume that the bath has a large Hilbert space. She then applies a scrambling unitary U_s on the qudit-bath system, encoding the information carried by ρ_i . Bob, the intruder, introduces an **ancilla** in a reference state $|0\rangle$ and couples it to the qudit with an eavesdropping unitary V . Then, Alice decodes the (damaged) information by the time-reversal U_s^{-1} . We define the ratio of information recovery as

$$r := \frac{\text{Tr}[\rho_f \rho_i] - d^{-1}}{1 - d^{-1}}. \quad (1)$$

where ρ_f is the final reduced density matrix of the qudit, and d is the dimension of the qudit Hilbert space. $r = 1$ corresponds to a perfect recovery $\rho_f = \rho_i$, and $r = 0$ if $\rho_f = \mathbb{I}/d$ is the maximally mixed state with no information recovered.

Origin of Recovery. From the description of the protocol, it follows immediately that the overlap $\text{Tr}[\rho_f \rho_i]$ which determines the recovery ratio is given by an out-of-time order correlator (OTOC) [5, 6]

$$\text{Tr}[\rho_i \rho_f] = \text{Tr}[\rho_i V(t)(\rho_i \otimes \rho_B)V(t)^\dagger], \quad (2)$$

where $V(t) = U_s^{-1} V U_s$, and the trace on the right hand side is over the total Hilbert space. Eq. (2) is an example of “fidelity OTOCs” [7], which arise naturally in processes involving a time-reversal (see [8] and references therein for the relation with the Loschmidt echo). In general, the recovery ratio depends on ρ_i , ρ_B , U_s , V , and can be only calculated numerically. Often, $U_s = e^{-itH}$

is generated by some Hamiltonian and one is interested in the time-dependence of the OTOC. Nevertheless, we can make analytical progress in two regimes where the OTOC is time independent:

1. the fully quantum scrambled regime, which is reached in the long time limit $t \rightarrow \infty$ for a generic (non-integrable) quantum Hamiltonian. We shall also take the limit of a large bath, but only after the long time limit.
2. A chaotic classical bath regime, where the bath admits a semiclassical description, and exhibits classical chaos. As we shall see below, This is a transient regime.

In both cases, U_s can be approximated by a suitable random unitary. The (averaged) recovery ratio is then independent of the initial states, and is a function of V . We shall show that

$$r_q = \frac{f}{d^2}, \quad r_c = \frac{f-1}{d^2-1}, \quad (3)$$

where f is defined as follows:

$$f := f[V] = \sum_{i,j=1}^d \sum_{a=1}^{d_A} \langle j, 0 | V^\dagger | j, a \rangle \langle i, a | V | i, 0 \rangle. \quad (4)$$

Here $\{|a\rangle, a = 1, \dots, d_A\}$ is a basis of the ancilla Hilbert space, and $\{|i\rangle, i = 1, \dots, d\}$, that of the qudit; $|i, a\rangle := |i\rangle_{\text{qudit}} |a\rangle_{\text{ancilla}}$. Although f is defined using a basis, one can check that it is basis independent. We can view it as an average fidelity, that measures how much V preserves the input states [9].

The result (3), which we will derive below, allows us to clarify the origin of the nonzero recovery. For concreteness let us consider the case where the intruder performs a strong measurement of the basis $|i\rangle$. That corresponds to the following control gate:

$$V|i\rangle|0\rangle = |i\rangle|a_i\rangle, \quad (5)$$

where $|a_1\rangle, \dots, |a_d\rangle$ are orthonormal ancilla states. The general result (3) then implies

$$f = d, \quad r_q = 1/d, \quad r_c = 1/(d+1). \quad (6)$$

The quantum result, in the qubit ($d = 2$) case, was found in [1]. Putting it in a more general context, we see that the nonzero recovery ratio is not related to the absence of classical chaos, but simply due to the finite dimension of the qudit Hilbert space. The classical butterfly effect intuition mentioned above would apply if (and only if) the qudit itself becomes a classical degree of freedom itself, with $d \rightarrow \infty$; then we predict a vanishing recovery ratio, as expected. If the qudit remains quantum while the bath is classical and chaotic, the recovery ratio is still nonzero, albeit quantitatively lower.

We now derive the results, first in the full quantum scrambling regime. There, U_s will resemble a random

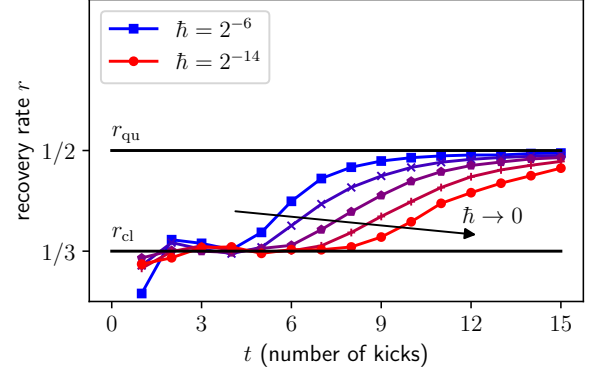


FIG. 2. Recovery ratio in a model of a qubit ($d = 2$) coupled to a kicked rotor (11) as a function of time, with $\hbar = 2^{-6}, 2^{-8}, \dots, 2^{-14}$ (from left to right). V is a strong measurement (5). In the semiclassical regime, we observe a crossover from the classical plateau $r = r_{cl} = 1/3$ to the quantum plateau $r = r_{cl} = 1/2$, at the Ehrenfest time scale $t_h \propto \ln(1/\hbar)$. Other parameters are given by $K = 5, J = \hbar = 1$. The initial state of the qudit is random on the Bloch sphere; that of the bath is $\psi(p) \propto e^{-p^2/4}$.

unitary, and a good approximation of the OTOC is obtained by averaging over $U(D)$ (with respect to the Haar measure), where D is the dimension of the qudit-bath Hilbert space. This can be evaluated using the following formula [10]:

$$\begin{aligned} & \overline{U_{i_1 j_1} U_{k_1 \ell_1}^* U_{i_2 j_2} U_{k_2 \ell_2}^*} \\ &= \frac{1}{D^2 - 1} (\delta_{i_1 k_1} \delta_{i_2 k_2} \delta_{j_1 \ell_1} \delta_{j_2 \ell_2} + \delta_{i_1 k_2} \delta_{i_2 k_1} \delta_{j_1 \ell_2} \delta_{j_2 \ell_1}) \\ & \quad - \frac{1}{(D^2 - 1)D} (\delta_{i_1 k_1} \delta_{i_2 k_2} \delta_{j_1 \ell_2} \delta_{j_2 \ell_1} + \delta_{i_1 k_2} \delta_{i_2 k_1} \delta_{j_1 \ell_1} \delta_{j_2 \ell_2}) \end{aligned} \quad (7)$$

In the limit $D \rightarrow \infty$, the result is

$$\text{Tr}[\rho_i \rho_f] = \frac{f}{d^2} \left(1 - \frac{1}{d}\right) + \frac{1}{d} + \mathcal{O}\left(\frac{1}{D}\right), \quad (8)$$

where f is defined in (4). By (1), this is equivalent to $r_q = f/d^2$ announced above (3).

The other saturating regime is when the qudit is coupled to a chaotic classical bath. Before deriving the recovery ratio (3), let us illustrate the situation by a simple concrete example. Let the bath be a (quantum) kicked rotor [11] (see [12, 13] on scrambling in this system), which is a single particle on a ring with a periodic coordinate $q \in [0, 2\pi)$, subject to the following Hamiltonian

$$H_B(t) = \frac{\hat{p}^2}{2} + K \sum_{n \in \mathbb{Z}} \delta(t - n) \sin(\hat{q}) \quad (9)$$

where \hat{q} and $\hat{p} = -i\hbar \partial_q$ are position and momentum operators, respectively, and K is the kicking strength. Classically, the phase space is completely chaotic when $K \gtrsim 1$. We now couple it to a qubit ($d = 2$) by

$$H_{SB}(t) = \hbar S_z + J \sum_{n \in \mathbb{Z}} \delta(t - n) S_x \sin(\hat{q}), \quad (10)$$

where $\hbar, J \neq 0$, and $S_\alpha = \frac{\hbar}{2}\sigma_\alpha$ are spin-half operators. The total Hamiltonian is thus

$$H(t) = H_B(t) + H_{SB}(t), \quad (11)$$

and we consider the resulting scrambling unitary $U_s(t) = \text{T exp} \left(-\frac{i}{\hbar} \int_0^t H(s) ds \right)$ at after $t = 1, 2, 3, \dots$ kicks. We calculated the recovery ratio numerically as a function of t , see Fig. 2. In the semiclassical ($\hbar \ll 1$) regime, we observe two distinct and well-established plateaus, connected by a crossover at the Erhenfest time $t_h \sim \ln(1/\hbar)$. In semiclassical systems, t_h is the time after which an initial semiclassical wavepacket becomes too “delocalized” in the phase space due to classical chaos and loses its semiclassical description. Therefore, when $t \gg t_h$, the classical nature of the bath becomes irrelevant and $r(t) \rightarrow r_q$ approaches the full quantum scrambling value. On the other hand, the intermediate plateau $1 \lesssim t \ll t_h$ emerges within the time interval where bath admits a classical description and extends infinitely in the classical $\hbar \rightarrow 0$ limit. Like the quantum one, the classical plateau is robust: its value agrees with the analytical prediction (3), regardless of the parameters in the Hamiltonians and the initial state of the bath.

To understand quantitatively the classical plateau, we observe that the $H_{SB} \propto \hbar$, which, in the semiclassical regime, is much smaller than the bath Hamiltonian H_B . Thus, effectively, the bath is subject only to its own chaotic classical dynamics, while the qubit evolves with an effective single-body Hamiltonian

$$H_S^{\text{(eff)}}(t) = \hbar S_z + J \sum_{n \in \mathbb{Z}} \delta(t - n) S_x \sin(q(t)) \quad (12)$$

where $q(t) = \langle \hat{q}(t) \rangle$ is a c-number provided by the classical evolution of the bath. Due to classical chaos, $q(t)$ is pseudo-random. Then, the time evolution operator generated by $H_S(t)$ becomes indistinguishable from a random unitary, but in $U(d)$. The analytical prediction of r_c (3) results from an average over $U(d)$. **Curiously, we observed the classical plateau without classical chaos, e.g., in the Lipkin-Meshkov-Glick [14] model, if the bath is initially maximally mixed [15]**

To summarize, a chaotic classical bath effectively replaces the many-body quantum scrambling by a single-body “scrambling”. This reduces the recovery ratio, but does not make it vanish. We note that $r = r_c$ results from the bath being completely classical. Otherwise, we expect $r_c \leq r \leq r_q$, as observed during the crossover in the above example. Note also that the qudit and the semiclassical bath are *not* disentangled during the classical plateau; to the contrary, we observed numerically near-maximum entanglement (von Neumann entropy $\sim \ln d$), but the reduced density matrix of the bath still describes a well-localized classical configuration. This is possible because, for finite d , d quantum states can correspond to a vanishing phase space volume $2\pi d\hbar \rightarrow 0$ in the semiclassical limit.

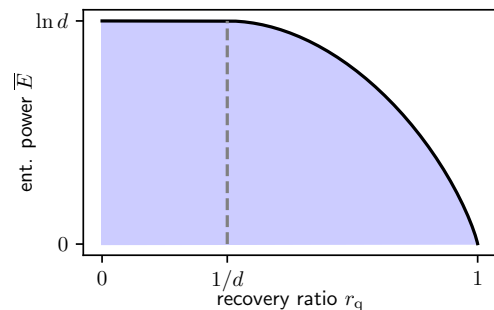


FIG. 3. The bound (14) constraining the average entangling power \bar{E} of the eavesdropping gate coupling the qudit and the ancilla, and the recovery ratio r_q in the full quantum scrambling regime. Only the shaded region is allowed. Entanglement with the eavesdropping ancilla (decoherence) limits the information recovery; with maximal entanglement ($\ln d$ entropy), the recovery ratio is $1/d$ at best.

Limit of Recovery. We now turn to a discussion of how the recovery ratio is limited by the nature and strength of the eavesdropping action. According to the above result (3), this is entirely encoded in the quantity f . It is a trace, over the doubled Hilbert space $\mathbb{C}^d \otimes \mathbb{C}^d$ of the qudit, of the quantum channel induced by V (upon tracing out the ancilla). As such, f is not directly related to the entangling power of V between the qudit and the ancilla. Nevertheless, as a second contribution of this Letter, we show that a large value $f \geq d$ or $r_q = f/d^2 \geq 1/d$, implies a nontrivial bound on the entangling power [4], defined as the ancilla-qudit von Neumann entanglement entropy \mathcal{S} after applying V on a disentangled state $|k\rangle|0\rangle$, averaged over any basis $\{|k\rangle\}_{k=1}^d$:

$$\bar{E} := \frac{1}{d} \sum_{k=1}^d \mathcal{S}[V|k, 0\rangle]. \quad (13)$$

We then claim that \bar{E} and r_q are constrained by the following bound (See Fig. 3 for a plot of the admissible region)

$$\bar{E} \leq -r_q \ln r_q - (1 - r_q) \ln \frac{1 - r_q}{d - 1}, \quad r_q \geq 1/d. \quad (14)$$

This bound quantifies the intuition that decoherence (caused by eavesdropping) limits the retrieval of scrambled information: a high recovery ratio guarantees the absence of decoherence.

We now prove (14). Throughout the proof, we shall fix an arbitrary basis $\{|i\rangle : i = 1, \dots, d\}$ for the qudit and $\{|a\rangle\}$ for the ancilla, and denote the matrix elements of V in this basis as

$$V_{ai,j} := \langle a, i | V | j, 0 \rangle, \quad (15)$$

where we recall that $|0\rangle$ is the reference ancilla state.

The first step of the proof is a simple bound on f :

$$\begin{aligned} f &= \sum_{a,i,j} V_{ai,i} V_{aj,j}^* \leq \sum_{a,i,j} \frac{1}{2} (|V_{ai,i}|^2 + |V_{aj,j}|^2) \\ &= d \sum_{i,a} |V_{ai,i}|^2. \end{aligned} \quad (16)$$

By introducing

$$\delta_i := \sum_a |V_{ai,i}|^2, \quad (17)$$

and a shorthand for average over the basis:

$$\mathbb{E}_i[\dots] := \frac{1}{d} \sum_{i=1}^d [\dots], \quad (18)$$

we rewrite (16) as follows (recall also that $r_q = f/d^2$):

$$r_q \leq \mathbb{E}_i \delta_i. \quad (19)$$

Most of the remainder of the proof consists in relating δ_i with the entanglement entropy of the state

$$|Vi\rangle := V|i, 0\rangle,$$

for each i . It is not hard to show that δ_i is a diagonal matrix element of the reduced density matrix of the qudit:

$$\rho_i := \text{Tr}_{\text{ancilla}} |Vi\rangle\langle Vi| \Rightarrow \langle i|\rho_i|i\rangle = \delta_i. \quad (20)$$

Therefore the largest eigenvalue value of ρ_i , denoted $s_{i,1}$, is at least δ_i :

$$s_{i,1} \geq \delta_i. \quad (21)$$

Note that $s_{i,1}$ is the largest Schmidt value contributing to the entanglement entropy. When $\delta_i \geq 1/d$, the inequality (21) is nontrivial, and implies that the von Neumann entropy \mathcal{S} cannot be larger than that of the Schmidt values:

$$\delta_i, \underbrace{\frac{1-\delta_i}{d-1}, \dots, \frac{1-\delta_i}{d-1}}_{(d-1) \text{ times}}.$$

When $\delta_i < 1/d$, (21) is trivial, but we have still the general bound $\mathcal{S} \leq \ln d$. Combining the two cases, we obtain

$$\mathcal{S}[|Vi\rangle] \leq g(\delta_i), \quad (22)$$

where the function g is defined as

$$g(x \geq 1/d) := -x \ln x - (1-x) \ln \frac{1-x}{d-1}, \quad (23)$$

and $g(x < 1/d) := \ln d$. One can check that g is decreasing and concave, $g'(x) \leq 0$, $g''(x) \leq 0$ almost everywhere. Then we have

$$\overline{E} = \mathbb{E}_i \mathcal{S}[|Vi\rangle] \leq \mathbb{E}_i g(\delta_i) \leq g(\mathbb{E}_i \delta_i) \leq g(r_q) \quad (24)$$

where we used in turn the definition of \overline{E} (13), (22), Jensen's inequality, and (19). This completes the proof as (24) is equivalent to (14) announced above.

A few remarks are in order. First, it is straightforward to adapt the above argument to other entanglement measures. It suffices to modify the function g accordingly. For example, for the average n -th Renyi purity, we have

$$\mathbb{E}_i \text{Tr}[\rho_i^n] \geq g_2(r_q), \quad g_2(x \geq 1/d) := x^n + \frac{(1-x)^n}{(d-1)^{n-1}},$$

and $g_2(x < 1/d) = 1/d$. Second, the basis dependence of the average might seem unappealing, but since the inequality holds for any basis, we can further average over all bases and obtain the same bound on the Hilbert sphere average. Finally, the above bound is tight, and is saturated by a family of “weak measurement” unitaries interpolating between a strong measurement (5) and no action. They are parametrized by $\epsilon \in [0, 1]$ (measurement strength), and defined by

$$V|i\rangle|0\rangle = |i\rangle|a_i\rangle \quad (25)$$

where $|a_i\rangle, i = 1, \dots, d$ are non-orthogonal ancilla states satisfying

$$\langle a_i|a_j\rangle = (1-\epsilon) + \epsilon \delta_{ij}. \quad (26)$$

It follows that the recovery ratio is

$$r_q = \frac{1}{d^2} \sum_{ij} \langle a_i|a_j\rangle = (1-\epsilon) + \frac{\epsilon}{d}. \quad (27)$$

Now, the states $V|i\rangle|0\rangle$ are disentangled, but let us consider another basis of states:

$$|k_X\rangle = \frac{1}{\sqrt{d}} \sum_i \omega_{(ik)} |i\rangle, \quad \omega_m := e^{2\pi i m/d}. \quad (28)$$

An explicit calculation shows that the reduced density matrix of $V|k_X\rangle|0\rangle$ to the qudit is

$$\rho_{k_X} = (1-\epsilon)|k\rangle\langle k| + \epsilon \mathbb{I}/d, \quad (29)$$

and that the averaged entanglement entropy over the basis (28) saturates the bound (14).

Discussion— This work addressed two questions on the recovery of damaged information by a time-reversal protocol [1]. We showed that recovery is not incompatible with classical chaos, and we proved a bound relating recovery and decoherence.

We proved an upper bound on the recovery ratio. Is there a lower bound? The naive answer is no, since f can vanish even without ancilla entanglement (it suffices to choose $V \in U(d)$ with $\text{Tr}[V] = 0$). Nevertheless, we can remove this trivial effect by adding a single-site gate v acting on the qudit (without changing the entangling power) and by considering whether $\bar{f} = \max_{v \in U(d)} f[vV]$ has a nontrivial lower bound as a function of the entangling power. Numerical studies in small Hilbert spaces

indicate that this is the case, but proving a lower bound seems non-trivial and is left for future work.

Our analysis relied on approximating quantum scrambling by a single random unitary, reducing the calculation to a few-body one. However, this is only valid if the scrambling-rewind is perfectly carried out. A natural extension of this work would be to consider the effect of imperfect time evolution, e.g. due to gate errors or decoherence, modeled by a “hybrid” quantum circuit (containing unitary and non-unitary gates), with forward and backward evolution. Such a setup would give rise to a double-folded Keldysh contour, which is necessary to detect the distinct entanglement phases that were recently found in hybrid quantum dynamics [16–19]. How these phases affect information recovery is an interesting question that we leave for future work.

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