Strong-strong beam-beam simulation using a Green function approach

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In this paper we present a new approach, based on a shifted Green function, to evaluate the electromagnetic field in a simulation of colliding beams. Unlike a conventional particle-mesh code, we use a method in which the computational mesh covers only the largest of the two colliding beams. This allows us to study long-range parasitic collisions accurately and efficiently. We have implemented this algorithm in a new parallel strong-strong beam-beam simulation code. As an application, we present a study of a beam sweeping scheme for the LBNL luminosity monitor of the Large Hadron Collider.

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I. INTRODUCTION

The beam-beam interaction puts a strong limit on the performance of most colliders. At the interaction points (IPs), the electromagnetic fields generated by one beam focus or defocus the beam moving in the opposite direction. This can cause beam blowup and a reduction of luminosity. An accurate simulation of the beam-beam interaction will help to optimize the luminosity in high energy colliders. Macroparticle tracking provides an invaluable tool for the study of beam-beam interactions. In this approach, a number of simulation particles are generated with the same charge-to-mass ratio as the real particles. Outside the interaction region, each particle is transported using transfer maps associated with external elements, radiation damping, and quantum excitation. At the interaction point, the electromagnetic fields from the colliding beams are calculated and applied to the opposite beam. To calculate the electromagnetic field requires the solution of the Poisson equation after each turn. The soft Gaussian approximation is usually used to obtain the electromagnetic fields quickly [1–8]. To take into account the effects of the beam distribution self-consistently, one has to solve the Poisson equation numerically. Particle-mesh methods have been used to solve the Poisson equation on a numerical grid [9–13]. Using these methods, the computational cost for the Poisson solver can be on the order of $N_g^2 \log(N_g)$, where N_g is the number of grid points in one direction. Since these methods normally require using a mesh covering both beams, the straightforward application of such an approach would have strong disadvantages for two beams with large separation as in the long-range interaction [14]. Given that the electromagnetic fields in the empty space between the two beams are not needed, such an approach would be a waste of computational power and would furthermore lead to poor numerical resolution on the grid for widely spaced beams.

A hybrid fast multipole method has been proposed to calculate the electromagnetic field [14]. In this paper, we propose a particle-mesh method using a shifted Green function. Using such an approach, the computational domain covers only the size of the largest beam. This avoids the potential loss of numerical resolution and leads to high computational efficiency since the fields between the bunches are not calculated.

The organization of this paper is as follows: The physical model and computational method are described in Sec. II. Applications to the study of coherent beam-beam oscillations and beam sweeping in the Large Hadron Collider (LHC) are given in Sec. III. The conclusions are drawn in Sec. IV.

II. PHYSICAL MODEL AND COMPUTATIONAL METHOD

In our beam-beam simulation, each charged particle has a position in phase space with coordinates $(x, x', y, y', \Delta z/\sigma_z, \Delta p_z/\sigma_{p_z})$. Here, a superscript prime denotes $\partial/\partial s$, where s is the arc length. The motion of a particle will be subject to the influence of external fields, which provide transverse and longitudinal focusing of the beam. A particle will also lose energy through synchrotron radiation, i.e., through radiation damping and quantum excitation. The Coulomb interaction among charged particles within a bunch is negligible due to the cancellation of electric and magnetic forces at high energy. However, for the opposite-moving particles, the electric and magnetic forces will add up. This can significantly affect the motion of the particles in the other beam.

The effects of the external field can be represented, in the small-amplitude approximation, by a one-turn linear map. For our model, the map governing the horizontal motion is given by [3]

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{n+1} = \begin{pmatrix} \cos(2\pi\nu_{0x}) + \alpha_x \sin(2\pi\nu_{0x}) & \beta_x \sin(2\pi\nu_{0x}) \\ -\gamma_x \sin(2\pi\nu_{0x}) & \cos(2\pi\nu_{0x}) - \alpha_x \sin(2\pi\nu_{0x}) \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix}_n, \quad (1)$$

where α_x , β_x , and γ_x are lattice functions at the interaction point, ν_{0x} is the horizontal lattice tune, and n is the turn

number. A similar map applies to the vertical phase space y and y' by replacing $x \rightarrow y$ in the above equation. For the longitudinal phase space, the one-turn map is defined by

$$\begin{pmatrix} \Delta z/\sigma_z \\ \Delta p_z/\sigma_{p_z} \end{pmatrix}_{n+1} = \begin{pmatrix} \cos(2\pi\nu_s) & \sin(2\pi\nu_s) \\ -\sin(2\pi\nu_s) & \cos(2\pi\nu_s) \end{pmatrix} \begin{pmatrix} \Delta z/\sigma_z \\ \Delta p_z/\sigma_{p_z} \end{pmatrix}_n, \quad (2)$$

where ν_s is the synchrotron tune.

The effects of radiation damping and quantum excitation can be represented using a localized stochastic map. For $\alpha_x = \alpha_y = 0$, the map consists of the following transformations [3]:

$$x_{n+1} = \lambda_x x_n + r_1 \sigma_x \sqrt{1 - \lambda_x^2}, \quad (3)$$

$$x'_{n+1} = \lambda_{x'} x'_n + r_2 \sigma_{x'} \sqrt{1 - \lambda_{x'}^2}, \quad (4)$$

$$y_{n+1} = \lambda_y y_n + r_3 \sigma_y \sqrt{1 - \lambda_y^2}, \quad (5)$$

$$y'_{n+1} = \lambda_{y'} y'_n + r_4 \sigma_{y'} \sqrt{1 - \lambda_{y'}^2}, \quad (6)$$

$$\Delta z_{n+1} = \lambda_z \Delta z_n + r_5 \sigma_z \sqrt{1 - \lambda_z^2}, \quad (7)$$

$$\Delta p_{z_{n+1}} = \lambda_{p_z} \Delta p_{z_n} + r_6 \sigma_{p_z} \sqrt{1 - \lambda_{p_z}^2}, \quad (8)$$

where the σ 's are the nominal rms equilibrium beam sizes in each dimension, the λ 's are given in terms of the damping time τ (measured in units of turns) by $\lambda_i = \exp(-1/\tau_i)$ where i denotes x , y , or z , and the r 's are independent random numbers satisfying

$$\langle r_i \rangle = 0, \quad (9)$$

$$\langle r_i r_j \rangle = \delta_{ij}. \quad (10)$$

The first term in the above transformation represents the radiation damping, and the second term represents the quantum excitation.

After applying the previous maps, the slopes of the particles are updated,

$$x'_{\text{new}} = x' + \Delta x', \quad (11)$$

$$y'_{\text{new}} = y' + \Delta y', \quad (12)$$

due to the beam-beam electromagnetic forces at the collision point. Here, the kick on a particle in beam 1 due to the influence of beam 2 is given by

$$\Delta x'_1 = \frac{2q_1 q_2 N_2}{\gamma_1 4\pi\epsilon_0 m_1 c^2} E_{x_2}, \quad (13)$$

$$\Delta y'_1 = \frac{2q_1 q_2 N_2}{\gamma_1 4\pi\epsilon_0 m_1 c^2} E_{y_2}, \quad (14)$$

where γ is the relativistic factor, c is the speed of light, ϵ_0

is the vacuum permittivity, q is the charge of a particle, m is the rest mass of a particle, N is the number of particles in a bunch, and E_x and E_y are the transverse electric fields generated by the opposing beam. The electric fields can be obtained from the solution of the two-dimensional Poisson equation:

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} = -2\pi\rho(x, y), \quad (15)$$

$$\mathbf{E} = -\nabla\phi, \quad (16)$$

where ϕ is the two-dimensional electric potential and ρ is the transverse particle density. The corresponding expression for the influence of beam 1 on particles in beam 2 is obtained by exchanging the subscripts 1 and 2.

The general solution of Poisson's equation can be written as

$$\phi(x, y) = \int G(x, \bar{x}, y, \bar{y}) \rho(\bar{x}, \bar{y}) d\bar{x} d\bar{y}, \quad (17)$$

where G is Green's function. For the case of transverse open boundary conditions, Green's function is given by

$$G(x, \bar{x}, y, \bar{y}) = -\frac{1}{2} \ln[(x - \bar{x})^2 + (y - \bar{y})^2]. \quad (18)$$

Now consider a simulation of an open system where the computational domain containing the particles has a range of $(0, L_x)$ and $(0, L_y)$, and where each dimension has been discretized using N_x and N_y points. From Eq. (17), the electric potentials on the grid can be approximated as

$$\phi(x_i, y_j) = h_x h_y \sum_{i'=1}^{N_x} \sum_{j'=1}^{N_y} G(x_i - x_{i'}, y_j - y_{j'}) \rho(x_{i'}, y_{j'}), \quad (19)$$

where $x_i = (i-1)h_x$ and $y_j = (j-1)h_y$. This convolution can be replaced by a cyclic convolution expression in a double-gridded computational domain [15,16]:

$$\phi_c(x_i, y_j) = h_x h_y \sum_{i=1}^{2N_x} \sum_{j=1}^{2N_y} G_c(x_i - x_{i'}, y_j - y_{j'}) \rho_c(x_{i'}, y_{j'}), \quad (20)$$

where $i = 1, \dots, 2N_x$, $j = 1, \dots, 2N_y$, and

$$\rho_c(x_i, y_j) = \begin{cases} \rho(x_i, y_j): & 1 \leq i \leq N_x, 1 \leq j \leq N_y, \\ 0: & N_x < i \leq 2N_x \text{ or } N_y < j \leq 2N_y, \end{cases} \quad (21)$$

$$G_c(x_i, y_j) = \begin{cases} G(x_i, y_j): & 1 \leq i \leq N_x + 1, 1 \leq j \leq N_y + 1, \\ G(x_{2N_x-i+2}, y_j): & N_x + 1 < i \leq 2N_x, 1 \leq j \leq N_y + 1, \\ G(x_i, y_{2N_y-j+2}): & 1 \leq i \leq N_x + 1, N_y + 1 < j \leq 2N_y, \\ G(x_{2N_x-i+2}, y_{2N_y-j+2}): & N_x + 1 < i \leq 2N_x, N_y + 1 < j \leq 2N_y, \end{cases} \quad (22)$$

$$\rho_c(x_i, y_j) = \rho_c[x_i + 2(L_x + h_x), y_j + 2(L_y + h_y)], \quad (23)$$

$$G_c(x_i, y_j) = G_c[x_i + 2(L_x + h_x), y_j + 2(L_y + h_y)]. \quad (24)$$

These equations make use of the symmetry of the Green function in Eq. (18). From the above definition, one can show that the cyclic convolution will give the same electric potential as the convolution Eq. (19) within the original domain, i.e.,

$$\phi(x_i, y_j) = \phi_c(x_i, y_j), \quad \text{for } i = 1, N_x; j = 1, N_y. \quad (25)$$

The potential outside the original domain is incorrect but is irrelevant to the physical domain. Since both G_c and ρ_c are periodic functions, the convolution for ϕ_c in Eq. (20) can be computed efficiently using an fast Fourier transform (FFT) as described by Hockney *et al.* [15].

In the above FFT-based algorithm, the particle domain and the electric field domain are contained in the same computational domain. Here, the particle domain is the configuration space containing the charged particles, and the field domain is the space where the electric field is generated by the charged particles in the particle domain. In the beam-beam interaction, two opposite-moving beams might not overlap with each other. For example, in the long-range interaction, the two colliding beams could be separated more than several σ 's, where σ is the rms size of the beam. The field domain where the electric field is generated by one beam can be different from the particle domain containing the beam. Figure 1 shows a schematic plot of the two separated domains.

In this figure, the particle domain has a range from $-R_0$ to R_0 for x and y , and the field domain has a range

from 0 to $2R_0$ for x and y , where R_0 is the maximum extent of the beam. The origin of the field domain in this figure is $x_c = R_0, y_c = R_0$, where the origin is chosen to be at the beam centroid. In the beam-beam simulation, the origin of the field domain can be at an arbitrary location and varies from turn to turn. To apply Hockney's algorithm directly will require the computational domain to contain both the particle domain and the field domain, i.e., both beams. Since there is a large empty space between two beams, containing both beams in one computational domain will result in a poor spatial resolution of the beams. This is also computationally inefficient because the electric fields in the empty space between two beams are not used. To avoid this problem, we have defined a shifted Green function as

$$G_s(x, \bar{x}, y, \bar{y}) = -\frac{1}{2} \ln[(x_c + x - \bar{x})^2 + (y_c + y - \bar{y})^2], \quad (26)$$

where x_c and y_c are the center coordinates of the field domain. The electric potential in the field domain is written as

$$\phi(x + x_c, y + y_c) = \int G_s(x, \bar{x}, y, \bar{y}) \rho(\bar{x}, \bar{y}) d\bar{x} d\bar{y}. \quad (27)$$

Using the shifted Green function, the center of the field domain is shifted to the center of the particle domain. The ranges of x and y cover both the particle domain and the field domain in one computational domain. The FFT can be used to calculate the cyclic convolution in Eq. (20) using the new Green function. Here, on the doubled grids, the Green function is given as

$$G_c(x_i, y_j) = -\frac{1}{2} \begin{cases} \ln[(x_c + x_i)^2 + (y_c + y_j)^2]: & 1 \leq i \leq N_x, 1 \leq j \leq N_y, \\ \ln[(x_c - x_{2N_x-i+2})^2 + (y_c + y_j)^2]: & N_x < i \leq 2N_x, 1 \leq j \leq N_y, \\ \ln[(x_c + x_i)^2 + (y_c - y_{2N_y-j+2})^2]: & 1 \leq i \leq N_x, N_y < j \leq 2N_y, \\ \ln[(x_c - x_{2N_x-i+2})^2 + (y_c - y_{2N_y-j+2})^2]: & N_x < i \leq 2N_x, N_y < j \leq 2N_y. \end{cases} \quad (28)$$

Using the shifted Green function avoids the requirement that the particle domain and the field domain be contained in one big computational domain. This leads to better numerical resolution for the charge densities and the resulting electric fields than the conventional method, because the empty space between the beams is not included in the calculation. This is also far more efficient, in terms of computational effort and storage, than the traditional approach of gridding the entire problem domain.

As an example of the above FFT-based algorithms, we computed the radial electric field distribution generated

by a round beam with a Gaussian density distribution using the particle domain and the field domain shown in Fig. 1. Figure 2 shows the radial electric field E_r as a function of distance along the diagonal line of the particle domain using the conventional algorithm, i.e., without the shifted Green function, and 128×128 grid. The electric field from the analytical calculation is also given in the same figure for comparison. It is seen that the agreement between the numerical solution and the analytical calculation is excellent. As a test of the shifted

FIG. 1. A schematic plot of the particle domain and the field domain.

FIG. 2. (Color) Radial electric field as a function of distance along the diagonal line of the particle domain.

FIG. 3. (Color) Radial electric field as a function of distance along the diagonal line of the field domain.

Green function approach, we also computed the electric field E_r along the diagonal line of the field domain. The results are shown in Fig. 3 together with the analytical calculation. We see that the two solutions are in excellent agreement.

III. APPLICATIONS

As a first application, we have studied the coherent beam-beam dipole oscillation in the proposed LHC. The nominal beam-beam parameters of the LHC are given in Table I.

In this study, the two proton beams are assumed to have the same physical parameters. The nominal beam-beam parameter ξ is -0.0034 . Here ξ is defined as $-(r_p N \beta_x)/(4\pi\gamma\sigma_x^2)$ and r_p is the classical proton radius. Figure 4 shows the spectra of the sum and the difference of the beam centroids for the nominal LHC parameters. The normalized tune is defined as $(\nu - \nu_{x0})/|\xi|$, where ν_{x0} is the horizontal bare tune, and where ν is the power spectrum associated with the sum or the difference of the centroid motion of the two beams. The simulation was run for 20 000 turns using a single slice model for each beam with 1×10^6 particles and a 128×128 grid. The centroid of one beam is initially displaced by 0.01σ on the horizontal plane and the vertical plane. Only head-on collisions were taken into account in this simulation. It is seen that there exist two oscillation frequencies for the centroid motion, one corresponding to the bare tune without beam-beam interaction (the σ mode) and the other (the π mode) with its frequency shifted downwards in tune by $(1.19 \pm 0.015)|\xi|$ in x and by $(1.19 \pm 0.015)|\xi|$ in y , where the uncertainty 0.015 is due to the finite number of turns used in the simulation. The frequency downshift has also been calculated using a linearized Vlasov analysis which gives 1.21ξ [17]. We see that the simulation result agrees with the theoretical calculation within 2%.

The same model was also employed to simulate the long-range parasitic collisions in the LHC. To reduce the computing time, we lumped all parasitic collisions into a single collision [8]. A static dipole kick from the long-range collision was subtracted following the treatment of Herr *et al.* [14]. The beam-beam kick in the long-range

TABLE I. LHC nominal beam-beam parameters.

Beam energy (TeV)	7
Protons per bunch	1.05×10^{11}
β^* (m)	0.5
rms spot size at the IP (μm)	15.9
Betatron tunes (ν_x, ν_y)	(0.31, 0.32)
rms bunch length (m)	0.077
Synchrotron tune ν_z	0.0021

FIG. 4. (Color) Spectra of the horizontal (left panel) and vertical (right panel) sum and the difference centroid motion for nominal beam-beam collision parameters.

FIG. 5. (Color) Spectra of the horizontal (left panel) and vertical (right panel) sum and difference centroid motion for long-range beam-beam collision with $9\sigma_x$ horizontal separation.

interaction is given by

$$\Delta x'_{2,1} = n_{\text{par}} \frac{2q_1 q_2 N_{1,2}}{\gamma_{2,1} 4\pi\epsilon_0 m_{2,1} c^2} \left\{ E_{x_{1,2}} - D \frac{1}{L_x \sigma_x} \left[1.0 - \exp\left(-\frac{L_x^2}{2}\right) \right] \right\}, \quad (29)$$

$$\Delta y'_{2,1} = n_{\text{par}} \frac{2q_1 q_2 N_{1,2}}{\gamma_{2,1} 4\pi\epsilon_0 m_{2,1} c^2} \left\{ E_{y_{1,2}} - D \frac{1}{L_y \sigma_y} \left[1.0 - \exp\left(-\frac{L_y^2}{2}\right) \right] \right\}, \quad (30)$$

where $L_x \sigma_x$ is the horizontal separation distance of the two beams, $L_y \sigma_y$ is the vertical separation distance, D is a sign function depending on the relative position of the two beams, and n_{par} is the number of parasitic collisions. In the above equations, the static dipole kick is approximated by a constant dipole kick generated by a Gaussian distribution at a distance $x = L_x \sigma_x$ or $y = L_y \sigma_y$. Figure 5 shows the spectra of the sum and the difference of the beam centroids with $9\sigma_x$ horizontal separation. Here, only long-range interactions are included with 16 parasitic collisions. In the horizontal plane, the π mode frequency is shifted upward instead of downward as opposed to the no-separation collision case in Fig. 4. The normalized tune shifts of the π mode are $(0.79 \pm 0.015, -0.78 \pm 0.015)$. The numerical

parameters are the same as those used in the nominal LHC simulation without separation. Since the transverse distance between the two beams at the parasitic collision points is significantly larger than the rms size of the beams, the effects of the beam distribution on the coherent motion might not be important. The beams might be treated as rigid bunches. In this case, the contribution of the long-range beam-beam interaction to the tune shift of the coherent oscillation modes would be twice the incoherent long-range tune shift, which is proportion to $1/L_x^2$. Figure 6 shows the values of the π mode tune shifts at several different horizontal separations, along with a curve having the $1/L_x^2$ dependence. We see that the tune shifts fit the $1/L_x^2$ scale very well.

FIG. 6. (Color) Normalized π mode tune shift as a function of horizontal separation for the case of long-range beam-beam collisions.

In the luminosity monitoring scheme being developed at LBNL for the LHC, one beam is deliberately swept in a circle about the geometrical collision point, where the other beam remains fixed [18,19]. This sweeping can be achieved by an appropriate time-dependent closed orbit bump spanning the interaction point. As a test, we have chosen a sweeping period of 1000 turns and a sweeping radius of $0.6\sigma_0$ for the closed orbit of beam 2, while the closed orbit of beam 1 remains static and is offset by $0.2\sigma_0$ from the nominal interaction point at 45° relative to the horizontal axis. Figure 7 shows the luminosity per collision as a function of turns during the process of sweeping. It is seen that the luminosity oscillates due to the off-center collisions with a period of 1000 turns. In practice, this is the signal that could be used to optimize the luminosity. Figure 8 shows the normalized rms emittances as a function of turns during the sweeping process. There is no significant emittance growth after 90 000 turns except for a slightly initial increase that can be attributed to particle redistribution. However, we have

FIG. 7. (Color) The luminosity per collision as a function of turns during the sweeping process.

FIG. 8. (Color) The rms emittance as a function of turns during the sweeping process.

found that, when the number of charged particles per bunch is increased by a factor of 10, 2%–4% emittance growth is observed after 90 000 turns. Figure 9 shows the beam centroid spectra of two sweeping beams. Comparing with the nominal case in Fig. 4, we see that the tune shifts of the π modes are smaller during the sweeping operation owing to the lower effective beam-beam parameter. The difference spectra also show sidebands of the π modes separated by 0.001, corresponding to the sweeping tune.

IV. CONCLUSIONS

In this paper, we have presented an FFT-based method to evaluate the electromagnetic field in the study of the strong-strong beam-beam interaction. Using such a method, we can handle not only the on-axis head-on beam-beam collision but also the long-range beam-beam collision with arbitrary separation without losing computational efficiency. A study of the coherent tune shift for the beam-beam interaction shows good agreement with the theoretical prediction. As an application,

FIG. 9. (Color) Spectra of the sum and difference centroid motion during the sweeping process.

we have also studied a luminosity monitoring scheme for LHC based on beam sweeping. In our simulations, there is no significant beam quality degradation after 90 000 turns for the nominal parameter values.

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