Kapitza-Dirac Blockade: A Universal Tool for the Deterministic Preparation of Non-Gaussian Oscillator States

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Harmonic oscillators count among the most fundamental quantum systems with important applications in molecular physics, nanoparticle trapping, and quantum information processing. Their equidistant energy level spacing is often a desired feature, but at the same time a challenge if the goal is to deterministically populate specific eigenstates. Here, we show how interference in the transition amplitudes in a bichromatic laser field can suppress the sequential climbing of harmonic oscillator states (Kapitza-Dirac blockade) and achieve selective excitation of energy eigenstates, cat states, and other non-Gaussian states. This technique can transform the harmonic oscillator into a coherent two-level system or be used to build a large-momentum-transfer beam splitter for matter waves. To illustrate the universality of the concept, we discuss feasible experiments that cover many orders of magnitude in mass, from single electrons over large molecules to dielectric nanoparticles.

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The harmonic oscillator is a paradigmatic textbook example of fundamental quantum physics and it has remained at the heart of modern research. Quantum harmonic oscillators have been realized with single electrons [1,2], single ions [3], ultracold quantum gases [4], and dielectric nanoparticles [5,6]. For all these systems, cooling to the oscillator ground state has been successfully demonstrated. Our present proposal is motivated by the challenge to prepare highly nonclassical states, mesoscopic cat states, and large-momentum-transfer beam splitters, independent of detailed oscillator properties.

Throughout the last two decades, macroscopic quantum superposition was realized in widely different systems [7]. Neutrons were delocalized over 10 cm [8]. Atoms were put in superpositions on the half-meter scale [9] or in momentum states separated by more than $1000\hbar k$ [10], and molecules in excess of 25 000 Da were delocalized over a hundred times their size [11]. Lately, it has been proposed to prepare dielectric nanoparticles in distinct position states [7,12] to test the nature of quantum collapse [13], quantum decoherence [14–16], or even the quantum nature of gravity [17,18].

Here we propose to exploit the Kapitza-Dirac blockade as a universal tool for preparing cat states or non-Gaussian

states in general, with single electrons, molecules, and nanoparticles, differing in mass by more than 9 orders of magnitude.

The *inelastic* Kapitza-Dirac (KD) effect was first discussed in [19]. It differs from its elastic counterpart [20–29] not only by the use of laser fields with different frequencies, but also by the presence of a harmonic trap. The latter modifies the conditions for energy and momentum conservation. The inelastic KD effect is similar to stimulated Raman scattering [30–33] but operates without internal states, so that absorption, spontaneous emission, and decoherence in objects with broad resonance lines can be avoided. It can thus be applied to particles that do not exhibit any internal states at all.

In this Letter, we introduce the Kapitza-Dirac blockade as a new feature emerging from the quantum mechanical treatment of the inelastic KD effect. By using judiciously chosen laser frequencies, the Kapitza-Dirac blockade can drive a controlled parametric resonance while blocking undesired transitions almost entirely.

We start for simplicity with a particle traveling through a 1D harmonic trap (see Fig. 1). The scheme preferably starts from the harmonic oscillator ground state, which can be populated with high probability by filling the trap with a tightly collimated particle beam.

The two KD-laser pulses with frequencies $\omega_{1,2}$ ($\omega_1 > \omega_2$) and a $1/e$ pulse duration τ_{KD} are assumed to propagate counter to each other along the x axis. Their polarization should be chosen to avoid wave mixing with the trapping lasers.

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FIG. 1. Proposed realization of the Kapitza-Dirac blockade and quantum state control in a 1D harmonic oscillator. A collimated particle populates the ground state of a 1D harmonic trap. A pair of counterpropagating bichromatic KD-laser fields $E_{1,2}$ interacts with the particle and changes its energy and momentum while it is in the trap.

The oscillator can be parametrically driven through an effective Hamiltonian [34]

$$\hat{H}_{\text{int}} = \mathcal{C} E_1(t) E_2(t) \cos[(k_1 + k_2)x - (\omega_1 - \omega_2)t], \quad (1)$$

where $\mathcal{C}$ is the system specific coupling coefficient, $k_{1,2} = \omega_{1,2}/c$, and $E_{1,2}(t) = E_{1,2} \exp(-t^2/\tau_{\text{KD}}^2)$ is the time envelope of the KD-laser pulse. Resonance occurs when

$$\begin{cases} \omega_1 - \omega_2 = N_m \Omega_0 \\ k_1 + k_2 = (N_m + \delta_p) k_0, \end{cases} \quad (2)$$

where Ω_0 is the harmonic trap frequency, N_m is a positive integer, and $\delta_p > -N_m$. The dimensionless momentum detuning δ_p characterizes the distance of $\hbar(k_1 + k_2)$ to its maximal value $N_m p_0 = N_m \hbar k_0$, where $k_0 = \sqrt{m\Omega_0/2\hbar}$, before the overlap integral between the momentum wave functions decreases (see Fig. 2).

The resonant KD-laser frequencies can be found from Eq. (2) to be $\omega_{1,2} = \omega_{\text{KD}} \pm N_m \Omega_0/2$, where the central KD frequency is

$$\omega_{\text{KD}} \equiv (N_m + \delta_p) c k_0/2. \quad (3)$$

To demonstrate the preparation of non-Gaussian harmonic oscillator states, we choose $N_m = 2$ and evaluate the dimensionless transition amplitude $g_n(\eta) \equiv \langle n+2 | \cos[(k_1 + k_2)x] | n \rangle$ for the transition from an oscillator energy eigenstate $|n\rangle$ to $|n+2\rangle$ [34,38,39],

$$g_n(\eta) = -\sqrt{\frac{n!}{(n+2)!}} \eta^2 L_n^{(2)}(\eta^2) e^{-\eta^2/2}. \quad (4)$$

Here $L_n^{(2)}(y)$ is the generalized Laguerre polynomial. The Lamb-Dicke parameter is defined as $\eta \equiv (k_1 + k_2)x_0$, where $x_0 = \sqrt{\hbar/2m\Omega_0}$.

FIG. 2. Kapitza-Dirac blockade in the harmonic oscillator for the transition between the energy eigenstates $|n=2\rangle \rightarrow |n=4\rangle$. Energy and momentum conservation require an energy change of $\hbar(\omega_1 - \omega_2) = 2\hbar\Omega_0$ and a momentum recoil of $\hbar(k_1 + k_2) = (2 + \delta_p)\hbar k_0$ (inset). When the overlap integral vanishes, i.e., for $g_2(\eta) \propto \int_{-\infty}^{\infty} \psi_4^*(k) \psi_2(k - k_1 - k_2) dk = 0$, this transition is suppressed, even in the presence of resonant laser light. The red-shaded integrand $\psi_4^*(k) \psi_2(k - k_1 - k_2)$ represents the weight of all vertical transition amplitudes starting from different k values. Momentum needs to be tuned for destructive interference to null the overlap integral. The potential is shown with vertical compression for clearer illustration of the wave functions.

The transition amplitude $g_n(\eta)$ has zero crossings [see Fig. 3(a)], which implies that the transition $|n\rangle \rightarrow |n+2\rangle$ can be suppressed at certain values of the momentum detuning δ_p because of destructive interference between transition amplitudes starting from different k values (see Fig. 2). This “Kapitza-Dirac blockade” is a powerful tool, as it can stop the sequential excitation in the energy ladder and allows us to prepare non-Gaussian harmonic oscillator states. The energy-momentum conservation Eq. (2) implies that the Lamb-Dicke parameter $\eta = (N_m + \delta_p)/2$ is independent of any oscillator details. In consequence, the Kapitza-Dirac blockade is independent of the specific oscillator realization.

As a first example, we propose to prepare a single energy eigenstate $|n=2\rangle$, starting from the ground state $|n=0\rangle$. Setting $\delta_p = 0.83$ suppresses the $|n=2\rangle \rightarrow |n=4\rangle$ transition down to $< 0.2\%$ of its maximum value [see Fig. 3(c)]. As a result, transitions starting in $|n=0\rangle$ will end deterministically in $|n=2\rangle$ without populating $|n=4\rangle$ or other excited states in the energy ladder [see Fig. 4(a)]. For a Gaussian-shaped time envelope, complete population inversion occurs when $\Omega_R \tau_{\text{KD}} = \sqrt{2\pi}$, where Ω_R is the system specific Rabi frequency (see Supplemental Material [34]). Note, that τ_{KD} should be sufficiently long to keep the pulse bandwidth below the trap frequency Ω_0 in order to avoid off-resonant excitation. When the pulse duration is doubled, the Rabi cycle is completed to the ground state

FIG. 3. Transition map for the inelastic KD effect. (a) The norm of the transition amplitude $|g_n|$ in Eq. (4) is plotted as a function of the quantum number n and the momentum detuning δ_p . The nodes along the δ_p axis (white circles) can be used to stop sequential excitations (red curved arrows). The width along the n axis does not illustrate the oscillator linewidths but is chosen for clearer illustration of the energy levels. (b) The zero crossing of $g_{12}(\eta)$ at $\delta_p = -0.60$ is used to stop transitions beyond $|n = 12\rangle$. (c) The zero crossing of $g_2(\eta)$ at $\delta_p = 0.83$ is used to prepare an effective two-level system between $|n = 0\rangle$ and $|n = 2\rangle$.

[see Fig. 4(b)]. The Kapitza-Dirac blockade has thus transformed the harmonic oscillator into an effective two-level system—with promising applications in quantum information processing.

The Kapitza-Dirac blockade can also prepare a cat state. We show this with a heuristic example of $\delta_p = -0.60$,

which suppresses the transition $|n = 12\rangle \rightarrow |n = 14\rangle$ to $< 0.1\%$ of its maximum value [see Fig. 3(b)]. The oscillator then undergoes sequential excitation from $|n = 0\rangle$ up to $|n = 12\rangle$ in steps of $\Delta n = 2$, but $|n = 14\rangle$ is not excited. The pulse intensity I_{KD} and duration τ_{KD} are adjusted together to maximize the population distribution at $n_{\text{max}} = 8$ while avoiding off-resonant excitation. The width of the final population distribution is sub-Poissonian [see Fig. 4(c)], due to the Kapitza-Dirac blockade. This leads to an amplitude-squeezed cat state, which is identified by inspecting the Wigner function in Fig. 4(d). The maximum spatial and momentum separation of the cat state, Δx_{cat} and Δp_{cat} , are

$$\frac{\Delta x_{\text{cat}}}{x_0} = \frac{\Delta p_{\text{cat}}}{\hbar k_0} \approx 4\sqrt{n_{\text{max}}}. \quad (5)$$

Substituting Eq. (2) to Eq. (5) with $N_m = 2$, the number of photon recoils is

$$N_{\text{ph}} = \frac{\Delta p_{\text{cat}}}{\hbar(k_1 + k_2)} \approx \frac{4\sqrt{n_{\text{max}}}}{2 + \delta_p}, \quad (6)$$

FIG. 4. Kapitza-Dirac blockade as a tool to prepare energy eigenstates and cat states of a harmonic oscillator. (a) Deterministic population transfer to the $|n = 2\rangle$ eigenstate can be achieved with a pair of KD-laser pulses. Note that the $|n = 4\rangle$ eigenstate (dashed line) is not populated as a result of the Kapitza-Dirac blockade. The small ripples on the probability trace are due to nonresonant excitation at $4\Omega_0$. (b) When the pulse duration in (a) is doubled, the population is coherently returned to the ground state. (c) The population distribution of a cat state has a sub-Poissonian width $\Delta n < 2\sigma_p$, where $\sigma_p = \sqrt{n_{\text{max}}}$. (d) The Wigner function of the cat state indicates amplitude squeezing.

which is again independent of the oscillator properties. If we take $n_{\text{max}} = 650$ and $\delta_p = -1.8$, the maximum momentum separation is $\Delta p_{\text{cat}} \approx 1000\hbar k_{532}$, where $k_{532} \equiv 2\pi/532 \text{ nm}$.

The Kapitza-Dirac blockade is therefore a promising tool for realizing an all-optical large-momentum-transfer (LMT) beam splitter [9,10,40,41]. Moreover, the amplitude-squeezed cat state leaves the divided beam rather well collimated. A Kapitza-Dirac-LMT beam splitter used in conjunction with an optical Bragg grating [22,27,42] could

FIG. 5. A three-component cat state. (a) A population distribution for a three-component cat state can be prepared by taking $N_m = 3$ and $\delta_p = -1.57$ in Eq. (2). (b) The Wigner function of the three-component cat state shows interference fringes between each pair and among all three components.

facilitate large-area matter-wave interferometry, in the future.

Also, multicomponent cat states [43–45] can be prepared by properly choosing N_m and δ_p [34]. An example is given in Fig. 5 where a three-component cat state is prepared. The KD-laser frequencies $\omega_{1,2}$ in this case are determined by taking $N_m = 3$ and $\delta_p = -1.57$, which suppresses the transition $|n = 18\rangle \rightarrow |n = 21\rangle$.

Similarly, Gaussian states such as a vacuum-squeezed state ($N_m = 2$) or coherent state ($N_m = 1$) can be prepared with appropriate I_{KD} and δ_p .

The Kapitza-Dirac blockade holds universally. Here we start the experimental discussion with the example of an electron beam 1D trapped by the ponderomotive potential of a standing light wave [46]. Close to the potential minimum, the potential can be approximated as a harmonic trap $U_p(x) \approx (q_e^2 I_S / 2\epsilon_0 c^3 m_e) x^2$, where m_e and q_e are the electron mass and charge, and I_S is the standing wave intensity. The ponderomotive trap frequency is

$$\Omega_0 = \sqrt{\frac{q_e^2 I_S}{\epsilon_0 c^3 m_e^2}}. \quad (7)$$

The intensity of each trapping laser that makes the standing wave is $I_{TL} = I_S/4$. The trap ground state can be populated by a well-collimated electron beam with transverse kinetic energy $mv_x^2/2 \ll \hbar\Omega_0/2$.

If we consider the LMT beam splitter example above, using parameters as in Table I, and if we tune the dynamics such that the electron reaches maximum momentum separation when it leaves the trap (see Fig. 1), it will leave in two distinct wave packets separated by $171 \mu\text{m}$ in real space, already 1 mm behind the trap [47–49].

All aspects discussed above can equally be realized with neutral massive particles with the experimental schemes similar to that of Fig. 1: in Table I we discuss as the second example a porphyrin derivative (TPPF84) with high mass and high vapor pressure [50]. The third example is a silicon

TABLE I. Proposed parameters for preparing large-amplitude-squeezed cat states. The trapping laser for electrons has a $1/e$ pulse duration of $\tau_{TL} = 0.75 \text{ ns}$ and a repetition rate of 10 Hz . The trapping lasers for molecules and nanoparticles are continuous waves. The momentum detunings for electron, molecule (TPPF84), and nanoparticle (SiO_2) are $\delta_p = -1.8, -1.93$, and -1.93 , respectively. $\langle P \rangle$ is the average laser power.

Parameters	Electron	TPPF84	SiO_2 nanoparticle
$m \text{ (u)}$	5.49×10^{-4}	2.81×10^3	10^6
$\alpha \text{ (Cm}^2/\text{V)}$	$\dots$	2.22×10^{-38}	8.18×10^{-36}
$\Omega_0 \text{ (rad/s)}$	3.22×10^{12}	3.13×10^4	1.75×10^3
$\lambda_{TL} \text{ (}\mu\text{m)}$	1.064	10.5	5
$W_{y-TL} \text{ (}\mu\text{m)}$	100	20	20
$W_{z-TL} \text{ (mm)}$	1.6	5	9
$\langle P_{TL} \rangle \text{ (W)}$	37.6	30	0.037
$I_S \text{ (W/m}^2\text{)}$	8×10^{16}	15×10^8	10.4×10^5
$v_z \text{ (m/s)}$	6×10^6	0.1	0.02
$\lambda_{KD} \text{ (nm)}$	533	6819	1530
$W_{y-KD} \text{ (}\mu\text{m)}$	100	10	100
$W_{z-KD} \text{ (mm)}$	1.2	3	8
$\langle P_{KD} \rangle \text{ (mW)}$	400	280	1.5
$I_{KD} \text{ (W/m}^2\text{)}$	2.6×10^{15}	1.2×10^7	2.3×10^3
$\tau_{KD} \text{ (s)}$	8×10^{-11}	1×10^{-2}	1.4×10^{-1}
n_{sca}	3.9×10^{-3}	8.8×10^{-5}	7.3×10^{-1}

dioxide (SiO_2) nanoparticle with low absorption of infrared trapping light. For molecules and nanoparticles, a harmonic trap can be realized by the dipole potential of a standing wave. With λ_{TL} as the trapping laser wavelength, the dipole trap frequency is

$$\Omega_0 = \sqrt{\frac{4\pi^2 \alpha I_S}{\epsilon_0 c m \lambda_{TL}^2}}, \quad (8)$$

where α and m are the particle's polarizability and mass.

There are three criteria for choosing experimental parameters. First, the laser conditions should satisfy $\tau_{TL} \gg W_{z-TL}/2v_z \gg W_{z-KD}/2v_z \gg \tau_{KD} \gg 2\pi/\Omega_0$ [34], where τ is the $1/e$ pulse duration, W_z is the $1/e$ beam diameter along the z axis, and v_z is the particle speed in the z direction. Second, the number of Rayleigh scattered photons should be small, $n_{\text{sca}} < 1$, to avoid decoherence and dephasing. Third, the central KD frequency ω_{KD} should be in the visible or the infrared regime because ultraviolet light would be absorbed in most materials. This implies that lower trap frequencies are preferred for more massive particles. The proposed experimental parameters for large-amplitude-squeezed cat states are listed in Table I, designed according to the empirical formulas

$$n_{\text{bk}} \approx \frac{2}{3} \left(\frac{2\pi}{2 + \delta_p} \right)^2, \quad (9)$$

FIG. 6. Simulated maximum momentum separation of amplitude-squeezed cat states, for parameters as in Table I. (a) Electron, $\Delta p_{\text{cat}} \approx 1000\hbar k_{532}$. (b) Molecule, $\Delta p_{\text{cat}} \approx 650\hbar k_{532}$. (c) Nanoparticle, $\Delta p_{\text{cat}} \approx 2900\hbar k_{532}$. The population distributions for electron, molecule, and nanoparticle peak at $n_{\text{max}} = 648$, 5348, and 5368, respectively.

$$I_{\text{KD}}\tau_{\text{KD}} \approx \frac{D}{\mu_0} \sqrt{\frac{32n_{\text{bk}}\hbar m\Omega_0}{\pi}}, \quad (10)$$

where $|n_{\text{bk}}\rangle$ is the blockade state and the transition $|n_{\text{bk}}\rangle \rightarrow |n_{\text{bk}} + 2\rangle$ is suppressed. The system specific coefficient D is $m_e\omega_{\text{KD}}/q_e^2$ for electrons or $1/\alpha\omega_{\text{KD}}$ for molecules and nanoparticles. The momentum transfer Δp_{cat} is well comparable with the state of the art in matter-wave beam splitting [9,10,27,40,41], but goes beyond it, as the concept can be applied universally to any 1D trapped particle that scatters light coherently. Additionally, the use of the Kapitza-Dirac blockade yields narrow momentum distributions and avoids overlap between the supposedly distinct wave packets.

The simulations were performed on a supercomputer using time-dependent Schrödinger equations [34,51]. The results are shown in Fig. 6. The momentum transfer Δp_{cat} is $1000\hbar k_{532}$ for electrons, $650\hbar k_{532}$ for molecules, and $2900\hbar k_{532}$ for nanoparticles. The highest eigenstate available for excitation is determined by the onset of trap anharmonicity (see Supplemental Material [34]). In the nanoparticle simulation, the maximal spatial separation in the trap is $\Delta x_{\text{cat}} \approx 1.2 \mu\text{m}$. The required beam velocities for molecules and nanoparticles are 2 orders of magnitude lower than the state of the art of free beams. However, cooling of molecules and nanoparticles is a rapidly

advancing field and the proposed parameters are within reach [52–56].

In conclusion, we propose to use the Kapitza-Dirac blockade for manipulating the motional quantum states of single electrons, large molecules, and dielectric nanoparticles. The state preparation scheme is universal, applicable for different particles, and independent of trap details and dimensions.

Our simulations demonstrate the experimental feasibility of preparing various non-Gaussian states and large-amplitude-squeezed cat states. The latter has applications for all-optical LMT beam splitters in matter-wave interferometry. All these results explicitly rely on the coherent but destructive interference in the harmonic oscillator transition amplitudes in the presence of bichromatic light fields: the Kapitza-Dirac blockade.

The proposed control scheme can also be employed for trapped ions and neutral atoms without invoking their internal states. In 2D or 3D harmonic traps one also finds entanglement among different motional degrees of freedom [34]. This can complement existing methods in quantum computing and quantum simulation.

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Correction: The terminology for cat states has been made consistent throughout the Letter.