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2 **CoFe/MgO/CoFe tunnel junctions**

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15
16 **Abstract**

17 Energy-filtered transmission electron microscopy and electron holography were
18 used to study changes in the MgO tunnel barrier of CoFe/MgO/CoFe magnetic
19 tunnel junctions as a function of annealing and in-situ applied electrical bias.
20 Annealing was found to increase the homogeneity and crystallinity of the MgO
21 tunnel barrier. Cobalt, oxygen and trace amounts of iron diffused into the MgO
22 upon annealing. Annealing also resulted in a reduction of the tunneling barrier
23 height, and decreased the resistance of the annealed MTJ relative to that of the as-
24 grown sample. In-situ off-axis electron holography was employed to image the
25 barrier potential profile of an MTJ directly, with the specimen under electrical bias.
26 Varying the bias voltage from -1.5 V to $+1.5$ V was found to change the
27 asymmetry of the barrier potential and decrease the effective barrier width as a
28 result of charge accumulation at the MgO-CoFe interface.

29
30 **Introduction**

31 Metal-oxide interfaces are the subject of extensive experimental and theoretical
32 research for next generation nano-scale spintronic devices that exploit spin as a
33 degree of freedom for charged electrons.¹ They play a key role in metal-oxide
34 based science and engineering, with applications including magnetic tunnel
35 junctions (MTJs)² and other heterogeneous structures such as resistance switching
36 oxides³ with uses or potentials uses in low-power non-volatile memories. In its
37 simplest form, the MTJ is a trilayer structure consisting of two ferromagnetic (FM)
38 electrode layers separated by an ultra-thin dielectric layer. The electrical resistance
39 across the insulating tunnel barrier is dependent upon the relative orientation of the
40 magnetizations of the two ferromagnetic electrodes. In most cases, the electrical
41 resistance is lower when the magnetization of the two ferromagnetic layers is

42 parallel and higher when the magnetization is anti-parallel.⁴ This difference in
43 resistance between the two magnetization configurations is quantified by the
44 tunneling magnetoresistance (TMR). MTJs have attracted the attention of
45 experimental and theoretical scientists for their application as magnetic field
46 sensors in hard disk drives and as the memory element in non-volatile magnetic
47 random access memories (MRAM).⁵ MTJs with crystalline tunnel barriers such as
48 MgO are of particular interest as they have been theoretically predicted⁶ and
49 experimentally verified^{7, 8} to exhibit extremely high values of TMR, which is
50 required for device applications, as a result of an enhanced tunneling spin
51 polarization from the spin-filter effect.

52

53 It is well known that the barrier layer plays a critical role in the transport behavior
54 of MTJs. For example, MTJs in which single crystal MgO is substituted for
55 amorphous AlO_x in the tunnel barrier showed greatly enhanced TMR,⁶⁻⁸ as a result
56 of the coherent tunneling of electrons through the barrier. The barrier shape is
57 sensitive to many factors. An asymmetric barrier can be induced by effects such as
58 differences in the crystal structure of the two ferromagnetic layers on either side of
59 it⁹, the degree of oxidation of the tunnel barrier,¹⁰ and intermixing of elements at
60 the barrier interfaces after annealing.¹¹ The most straightforward method of tuning
61 the barrier asymmetry is by applying a bias voltage.¹² The conductance of the MTJ
62 is another important parameter that must be considered for its use in hard drive
63 disk read head applications, and careful processing of MgO-based MTJs has led to
64 resistance-area products as low as $0.4 \text{ } \Omega \cdot \mu\text{m}^2$ with 50% TMR¹³.

65

66 In general, the transport properties of MTJs depend on many factors such as band
67 structure effects¹⁴ and spin scattering¹⁵. Microstructural changes have been used to
68 explain the transport behavior of MTJs after various post-deposition treatments.¹⁶⁻
69 ¹⁸ In particular, vacuum annealing has been observed to increase the TMR of MTJs
70 with crystalline MgO barrier layers.^{19, 20} This increase in TMR can be associated
71 with an increased tunneling spin polarization (SP),⁸ a more uniform barrier layer,²¹
72 and/or lower roughness and less interdiffusion at the interfaces between the tunnel
73 barrier and the ferromagnetic electrodes.²²⁻²⁴ It has been reported that the
74 conductance of the parallel magnetization configuration increases after annealing.²⁵
75 On the other hand, there are also reports that the resistance-area product does not
76 change during post-deposition annealing²⁶ or increases with annealing
77 temperature.²⁷ The asymmetry in the shape of the barrier potential has been probed
78 by using photoconductance¹⁰ and off-axis electron holography^{23, 28} which allowed
79 the asymmetric voltage dependence of the electron transport behavior to be
80 observed.

81

82 Transmission electron microscopy (TEM) is a powerful tool in the study of the
83 microstructure and chemical distribution of materials at the sub-nanometer scale,
84 and has proven to be particularly useful in the study of MTJs.^{23, 29-32} High

85 resolution TEM (HREM) has been applied to study the Fe/MgO interface in
86 epitaxial MTJs³¹ and microstructure evolution in MTJs following annealing.³³ The
87 interfacial roughness of Fe/MgO/Fe MTJs³⁴ and the segregation of B and O at the
88 CoFeB/MgO interfaces in polycrystalline Mg-B-O³⁵ have been measured by a
89 combination of electron energy loss spectroscopy (EELS) and scanning TEM
90 (STEM) on the atomic scale. Recently, in-situ, site-specific electrical biasing TEM
91 experiments were introduced³⁶ allowing direct correlation between the
92 microstructure and transport behavior.^{37,38} The chemical composition of the tunnel
93 barrier and its interfaces with the electrodes are controlling factors in the spin-
94 dependent tunneling effect needed for high TMR. However, the exact evolution of
95 the barrier shape as a function of changes to the barrier composition and structure
96 during annealing is still not well understood, and the way in which barrier potential
97 symmetry and effective width vary as a function of an applied electrical bias is also
98 not fully understood.

99

100 We have used HREM, energy-filtered TEM (EFTEM) and in-situ electron
101 holography under an applied bias to study the MgO tunnel barrier of a
102 CoFe/MgO/CoFe MTJ with the goal of understanding the barrier shape evolution
103 as a function of annealing and electrical bias. HREM was used to reveal the
104 crystalline quality of the MgO and its interfaces with CoFe before and after
105 annealing. The tunneling behavior of the as-grown and annealed samples was
106 determined by site-specific measurements of the current density-voltage (J - V)
107 characteristics. The evolution of the elemental distributions of Co, Fe and O upon
108 annealing was also studied in detail by EFTEM. Finally, in-situ off-axis electron
109 holography was used to probe the potential barrier shape, asymmetry, and effective
110 width in both unbiased and biased conditions.

111

112 **Experimental Details**

113 The multilayer MTJ structure was deposited on a high-conductivity Si(100)
114 substrate ($\rho < 0.001 \text{ } \Omega\text{-cm}$) after removing the native oxide with HF etching with
115 the following stack sequence:

116 Si/TaN(10)/Ta(5)/IrMn(25)/Co₄₉Fe₂₁B₃₀(0.3)/Co₇₀Fe₃₀(3.5)/MgO(3.6)/Co₇₀Fe₃₀(2)/
117 Co₄₉Fe₂₁B₃₀(10)/Ta(7.5)/TaN(7.5)/Cr(60), where the numbers in parentheses
118 denote the layer thickness in nanometers. The metallic layers were deposited by dc
119 magnetron sputtering in 3 mTorr Ar. The MgO barrier layer was deposited by
120 reactive deposition of a metallic Mg target in Ar-O₂ mixture. One piece of the Si
121 wafer was annealed at 300 °C in high vacuum for 30 minutes. The samples for
122 standard cross-sectional TEM imaging were prepared by a focused-ion beam (FIB)
123 lift-out technique.³⁹ Samples for in-situ electron holography experiments were
124 prepared as described elsewhere.³⁶ Off-axis electron holography requires that the
125 area of interest (in this case, the MgO barrier) must be close to the vacuum edge of
126 the sample (several tens of nanometers, maximum). In order to meet this
127 requirement, the sample was imaged (lightly etched) with a 5 kV Ga⁺ ion beam in

128 the FIB until the MgO was very near the exposed surface. The 5 kV Ga⁺ damage at
129 the surface may also introduce some additional contact resistance, which is
130 expected to be small in comparison to the resistance of the relatively thick MgO
131 tunnel barrier layer in these particular samples, and will therefore be neglected.^{36, 37}
132 All analytical TEM experiments were carried on an FEI Tecnai F20 TEM. Site
133 specific current-voltage (*I-V*) transport characteristics were measured in an
134 electrical biasing stage inside of the TEM with the bottom electrodes grounded, as
135 described in detail elsewhere.³⁶ A pseudo four-point probe dc method in voltage
136 sourcing mode was used. A gold tip (50 nm end radius) was positioned to touch the
137 specimen surface using piezoelectric motors, which can be controlled in three-
138 dimensions with nanometer accuracy.³⁶ The gold probe tip was in constant contact
139 with the specimen during data collection (i.e. the contact area between the Au
140 probe and sample was constant throughout each *I-V* curve measurement), and the
141 tip morphology was carefully preserved throughout the series of experiments in
142 order to minimize the variations in the contact resistance. The in-situ applied bias
143 voltage was set manually in increments of 0.25 V from -1.5 V to +1.5 V. In order
144 to obtain good statistics and smooth the *I-V* curves, the applied voltage was held
145 for 5 seconds at every step and each reported data point for a given voltage is the
146 average of approximate 100 individual current measurements recorded during the
147 5s interval. Note that all electrical measurements were made in the parallel
148 magnetization configuration of the two ferromagnetic electrodes (low resistance
149 state). The tunneling current measured from the *I-V* characteristic was normalized
150 to the electrode contact area to yield the current density (*J*), which is used as the
151 fitting parameter for data analysis. The local effective barrier height and width
152 values were extracted from the experimental transport data by fitting to the
153 Brinkman-Dynes-Rowell (BDR) model⁴⁰ for tunneling through the insulating
154 barrier. The three-window background subtraction⁴¹ method for EFTEM was
155 applied to obtain the elemental distributions of Co, Fe and O. A biprism biased at
156 +160 V was used for the off-axis electron holography. The reconstructed phase
157 shift profile was used to measure the electrostatic potential of the tunnel barrier and
158 thus directly probe the barrier shape and determine the effective barrier layer width.
159 Here, the phase shift of the electron beam can be simply written as⁴²
160 $\varphi = C_E \phi t$ (1),
161 where $C_E = 7.3 \times 10^{-3} \text{ radV}^{-1} \text{ nm}^{-1}$ for 200 kV electrons, ϕ is the electrostatic potential,
162 and $\phi = V_0$ (V_0 is the mean inner potential) when there is no external electric field
163 applied. This equation can also be applied to map the chemical homogeneity if the
164 sample thickness (*t*) is constant²³ within the area of interest. The holography data
165 were processed by reconstruction with reference images using the Holoworks⁴³
166 data processing software for Digital Micrograph™. The electron phase shift is
167 plotted with a 200 pixel-wide line scan from the phase shift image. The phase shift
168 curves were fitted using Gaussian functions at the CoFe/MgO interfaces and a
169 linear fit that connected the two Gaussians across the barrier. The effective barrier

170 width was measured as the sum of half the width of the Gaussian at each interface
171 plus the distance between the peaks of the Gaussians.

172

173

174 **Results and Discussion I: Effects of Annealing**

175

176 A low-magnification bright-field TEM image of the as-grown sample is shown in
177 Fig 1 (a). The growth direction is from the bottom to the top of the image. Mass-
178 thickness contrast in the image clearly highlights the multilayer structure of the
179 sample. The MgO layer shows the brightest contrast because of its low average
180 atomic number. It is difficult to distinguish the ultra-thin 0.3 nm thick CoFeB
181 layer from the 3.5 nm thick CoFe layer below the MgO barrier layer. The top
182 CoFeB layer can, however, be distinguished from the CoFe layer just above the
183 barrier due to its amorphous nature arising from its high B content. HREM images
184 near the MgO barrier of the as-grown and annealed specimens are shown in Fig.
185 1(b) and Fig. 1(c), respectively. In the as-grown sample the top electrode is
186 amorphous and the CoFe-on-MgO interface is rougher than the MgO-on-CoFe
187 interface. An area in the rough interface where the MgO protrudes into the top
188 CoFe layer is marked with the white arrow in Fig. 1(b). There are some crystalline
189 lattice fringes observed in the MgO, which means the MgO was at least partially
190 crystalline in the as-grown sample. After annealing, the two CoFe ferromagnetic
191 layers show a highly oriented [100] out-of-plane texture as does the MgO barrier
192 layer, as seen in Fig. 1(c). Following annealing the amorphous CoFeB layers in the
193 as-grown sample were crystallized by exclusion of B to form CoFe, which is
194 consistent with previous research.^{44, 45} By comparing the as-grown and annealed
195 structures in Figs. 1 (b), (c) and the digital Fourier transforms (FTs) of the MgO
196 layer (insets), it can be seen that the crystallinity of both the MgO and CoFe layers
197 has greatly improved after annealing. Sampling from a larger cross-sectional area
198 also showed that the CoFe-on-MgO interfacial roughness has reduced after
199 annealing. To quantify this effect, the RMS interfacial roughness of the MgO-on-
200 CoFe and CoFe-on-MgO interfaces, shown in Table I, were measured directly via
201 three dimensional electron tomography.⁴⁶ As can be seen from the values in the
202 Table I, the measured roughness of the MgO-on-CoFe interface is smaller than that
203 of the CoFe-on-MgO interface in the as-grown sample. The roughness of the
204 CoFe-on-MgO interface was reduced from 0.20 ± 0.02 nm to 0.12 ± 0.01 nm by
205 annealing. In contrast, the roughness of the bottom MgO interface was unchanged
206 after annealing.

207

208 The sample for in-situ site-specific I - V experiments was patterned using the FIB to
209 form many horizontal pillars varying in size from 500 nm to 1 μ m in width, as seen
210 in Fig. 2 (a). Figure 2 (b) shows a representative image of the Au probe contacted
211 to the top of a one-micrometer diameter pillar. Note, that the sample is thin (< 100
212 nm thickness) in the direction of the electron beam in order to enable TEM

213 observation. Annealing resulted in a major change in the transport behavior of the
214 tunnel junction. The resulting experimental J - V curves are shown in Figure 3,
215 where J is the current density in A/cm^2 , and V is the bias voltage in volts. Both
216 samples show tunneling J - V characteristics but with some obvious differences.
217 Most significantly, the current density of the annealed sample ($22 \text{ A}/\text{cm}^2$) under an
218 applied bias voltage of 0.75 V is much higher than that of the as-grown sample (12
219 A/cm^2) at the same bias. Least squares regression fitting of the J - V curves to the
220 BDR model⁴⁰ through an asymmetric tunnel barrier yielded a decrease in the
221 estimated barrier height from 1.14 eV to 0.50 eV , while the estimated barrier width
222 increased from 1.9 nm as-grown to 2.6 nm annealed as shown in Table II.

223

224 Figure 4 (a) shows the standard reconstructed electron wave phase-shift image of
225 the as-grown sample as measured by off-axis electron holography. The dark areas
226 correspond to the top and bottom CoFe electrodes. The bright area across the
227 center of the image is the MgO barrier layer. From the large difference in contrast
228 between the MgO and the CoFe electrodes, we can conclude that there is a
229 significant difference in the phase shift of the electron beam in these areas. A line
230 scan of the electron phase shift across the tunnel junction is in Fig. 4 (b). The phase
231 shift is normalized to the sample thickness in the region of the FM electrodes in
232 order to plot the relative phase shift in the MgO barrier layer of both samples. The
233 phase shift of the barrier layer in the annealed sample is lower than that of the as-
234 grown sample. The measured barrier layer width (t_{phase}) in the annealed sample is
235 $3.2 \pm 0.1 \text{ nm}$, which is larger than the $2.8 \pm 0.1 \text{ nm}$ barrier width of the as-grown
236 sample. This implies that after annealing, the effective barrier layer width has
237 expanded slightly. We note that the small phase shift oscillations across the MgO
238 barrier area most likely arise from a combination of lattice fringes, hologram
239 interference fringes, and Fresnel fringes at the edges of the biprism.⁴⁷

240

241 EFTEM imaging was used to map the elemental distribution of Co, Fe and O in the
242 MTJ, in an attempt to explain the higher current density and the smaller phase shift
243 in the annealed sample as observed in the J - V measurements (Fig. 3) and the
244 electron holography data [Fig. 4 (b)]. Figure 5 (a) shows superimposed the color-
245 coded Co (red), Fe (green), and O (blue) elemental distributions of the annealed
246 sample. The MgO barrier area tracks with the presence of oxygen and therefore is
247 predominantly blue. In order to display the elemental distribution more clearly, 50-
248 pixel wide line scans [perpendicular to the barrier, as the white profile marked in
249 Fig. 5 (a)] of the elemental intensities are plotted in Fig. 5(b), (c) and (d) for Co, Fe
250 and O respectively. The counts (arbitrary units) of the Co and Fe distribution
251 profiles are normalized to that of the bottom CoFe electrode in the as-grown and
252 annealed samples. A larger number of counts (higher intensity) indicates a higher
253 relative elemental concentration. In order to compare the elemental distributions
254 quantitatively, the average barrier width $\langle t_{\text{element}} \rangle$ was determined from the Co, Fe
255 and O elemental distributions by averaging t_{element}^* from the line profiles shown in

256 Fig. 5 (b, c, d) at five different locations. $\langle t_{element} \rangle$ for the annealed and as-grown
257 samples, for both the Co and the Fe distributions, agree within experimental
258 uncertainty. However, by comparing the data points in the center of the MgO layer
259 between the two vertical dash lines in both Figs. 5 (b) and (c), it can be seen that a
260 significant amount of Co appears to have diffused into the tunnel barrier during
261 annealing, along with a small amount of Fe, as indicated by the higher normalized
262 counts in annealed sample. While it is not possible to measure accurately the
263 absolute concentration of Co within the barrier from these data,⁴⁸ it is possible to
264 make a comparison between the as-grown and annealed samples, and thus to see
265 that there is an increase of approx. 7% in Co concentration in the barrier area after
266 annealing. This was determined by normalizing the Co counts to the nominal Co
267 content in the lower FM electrode. In terms of the oxygen distribution before
268 annealing, small peaks are present on either side of the main oxygen peak in the as-
269 grown sample, indicated by dashed arrows in Fig. 5 (d), which correspond to a
270 higher O content at the MgO/CoFe interfaces. These small peaks disappear after
271 annealing. In addition, $\langle t_O \rangle$ increases from 2.9 ± 0.1 nm to 3.4 ± 0.1 nm upon
272 annealing. Previous work using X-ray Photoelectron Spectroscopy (XPS) showed
273 that annealing results in substantial Fe and Co diffusion into the MgO barrier in a
274 simple Ta/CoFe/MgO/Ru stack.⁴⁹ Here, EFTEM revealed Co, Fe and O
275 interdiffusion between the barrier and electrodes after annealing, which induces the
276 apparent increase in barrier width. The fact that more Co diffused into the MgO
277 than Fe is attributed to the much higher Co concentration of the FM layers in the
278 as-deposited sample.

279

280 Although the composition profiles can be used to estimate the width of the tunnel
281 barrier, the barrier width estimated from t_{phase} is the easiest to understand in that it
282 differentiates between regions of low average atomic number, namely oxide that
283 might be expected to form part of the tunnel barrier, and the metal electrodes on
284 either side. The increase in barrier width after annealing as measured by electron
285 holography (t_{phase}) agrees qualitatively with the BDR model fitting results.
286 However, the barrier width obtained by BDR fitting to the $J-V$ data is smaller than
287 that measured from the phase images for both the as-grown and annealed samples.
288 This is not surprising. Firstly, the BDR model is based on a free-electron mass and
289 free-electron dispersion relation, while a crystalline CoFe/MgO/CoFe MTJ has a
290 different dispersion relation (and effective mass) in the majority band (Δ_1). This
291 would lead to a difference in barrier width compared with the simple BDR model,
292 even for perfect crystalline junctions. For the MTJs analyzed in this study, the
293 crystallinity is not perfect, Co and Fe have diffused into the barrier and the barrier
294 width is not uniform. The parameters extracted from the BDR model are known to
295 be sensitive to defects⁵⁰ and non-ideal (e.g. rough) barrier/electrode interfaces.
296 Additionally, tunneling occurs preferentially through the thinnest parts of the
297 barrier, even if it is localized to the small area under the probe tip. All these effects

298 would induce the smaller barrier width obtained by BDR fitting to the in-situ J - V
299 measurements.

300

301 From the in-situ J - V measurements it is clear that the MTJ resistance decreases
302 during annealing. The annealing time and annealing temperature are both critical to
303 the conductance and TMR of MTJs. The EFTEM data presented here show that
304 annealing leads to chemical reduction of the oxidized CoFe electrode regions at the
305 CoFe/MgO interfaces in the as-grown sample, and to oxygen being driven into the
306 barrier layer.^{51, 52} Diffusion of O into the MgO would reduce the density of O
307 vacancies within the barrier and probably results in a more stoichiometric MgO
308 composition. In contrast, Co and Fe are impurities in the MgO tunnel barrier which
309 act as tunneling mediators⁵³ and may even form conducting channels⁵⁴ that reduce
310 the effective barrier height, resulting in lower barrier resistance. Even if the Co and
311 Fe atoms are oxidized within the MgO layer, since the band gaps of CoO (3 eV)⁵⁵,
312 ⁵⁶ and FeO (2.5 eV)^{56, 57} are much smaller than the band gap of MgO (7.7 eV),^{58, 59}
313 the formation of mixed oxide phases will again reduce the barrier height. Finally,
314 the crystallinity of the top and bottom CoFe electrodes was improved by annealing.
315 Thus, the lower parallel resistance after annealing may in part be attributed to
316 better crystallinity and lattice matching of the electrodes with the MgO barrier
317 layer.^{25, 60}

318

319 **Results and Discussion II: Biasing Effects**

320

321 The results presented above have shown that annealing changes the barrier shape
322 by reducing the effective barrier height and increasing the effective barrier width.
323 It is, however, also interesting to consider the effects of the biasing voltage itself
324 on the tunnel barrier potential shape. To do this, the as-grown MTJ was biased in-
325 situ in the TEM and characterized by a combination of I - V measurement and
326 electron holography in the area marked “A” in Fig. 6 (a). The holograms were
327 taken with the sample under differing bias voltages. The bottom ferromagnetic
328 electrode was grounded during the measurements. Under the biased condition, the
329 electrostatic potential $\phi(x)$ in equation (1) can be rewritten as:

$$330 \quad \phi(x) = V_0 - E \cdot x \quad (2),$$

331 where E is the simplified equivalent electric-field strength in the tunnel barrier area
332 when the barrier layer is biased and the MTJ acts as a capacitor.⁶¹ V_0 (mean inner
333 potential) is a constant and x is the distance across the barrier as shown in Figure 6
334 (c). The sample bias was kept below $|1.5|$ V to avoid dielectric breakdown.

335

336 Phase shift plots were obtained for three bias voltages (-1.5 V, 0 V, and +1.5 V)
337 and are shown in Fig. 6 (b). The linear fit to the phase-shift plots inside the barrier
338 area recorded at bias values of -1.5 V, 0 V, and 1.5 V, yields slopes of approx. 0
339 nm⁻¹, -0.04 nm⁻¹ and -0.09 nm⁻¹, respectively, as shown in Table III. Each value is
340 the average of three measurements made in the region in which the probe contacts

341 with the surface of the sample and the experimental uncertainty is $\pm 0.01 \text{ nm}^{-1}$. Note
342 that the shape of the barrier in the unbiased condition is still a trapezoid, indicating
343 that the MgO barrier itself is asymmetric even prior to electrical biasing. The mean
344 inner potential (V_0) contribution to the phase shift is constant under the different
345 biased conditions. Therefore the difference observed in the slope of the three phase
346 shift plots is a direct result of the applied electrical bias, which can be explained
347 using equation (2) by considering the change in electric field direction as the
348 voltage is changed from positive to negative. The average barrier width changes by
349 approx. 0.2 nm between the +1.5 V bias case and the unbiased case, and between
350 the unbiased case and a bias of -1.5 V .

351

352 The potential landscape in the barrier layer of an MTJ is important in helping to
353 understand the transport properties.^{40, 62} The barrier potential asymmetry can be
354 strongly modified by the presence of an inhomogeneous or composite barrier
355 layer^{23, 63} and/or an electric field, for example as a result of dissimilar work
356 functions if the two electrodes are composed of different materials.⁶⁴ The tuning of
357 the barrier asymmetry as a function of applied voltage is illustrated schematically
358 in Fig. 6 (c), (d) and (e). Here the left electrode, which corresponds to the bottom
359 electrode in the sample growth direction, is grounded. When negative bias voltage
360 is applied (Fig. 6 (c)), the right side of the barrier is pushed up to form a trapezoid-
361 shaped barrier potential. In contrast, when a positive bias voltage was applied (Fig.
362 6 (e)), the trapezoid shape is reversed compared to the negative bias voltage of Fig.
363 6 (c). In general, under a biased condition charge will build up at the interfaces
364 between the metal-insulator-metal junction and lead to the well-known electron
365 screening effect, causing electric field penetration into the metal.^{65, 66} In our
366 experiments, a negative bias voltage will increase the barrier height at the top
367 interface as shown in Fig. 6 (c) and will cause more electrons to accumulate near
368 the interface, thus increasing the effective barrier width. However, when the bias
369 voltage is positive, the barrier height will be lowered at the top interface and for
370 the case when the applied voltage is greater than the unbiased barrier height, the
371 effective barrier width will be decreased.¹² The holography data suggest that the
372 bias voltage not only regulates the barrier symmetry but also affects the effective
373 barrier width. The dependence of effective barrier width on the bias voltage needs
374 further theoretical study.

375

376 The slopes of the phase shift near the interfaces of the barrier layer obtained during
377 the in-situ biasing experiment [Fig. 6 (b)] are not as sharp as they are in Fig. 4 (b),
378 which was obtained from a standard cross-section sample. In addition, t_{phase} for the
379 unbiased case ($3.03 \pm 0.02 \text{ nm}$), measured on the in-situ biasing sample, is larger
380 than that of the as-grown standard cross-section sample ($2.80 \pm 0.09 \text{ nm}$). Firstly it
381 should be remembered that these are measurements made on two different samples,
382 as standard cross-section samples are not suitable for in-situ biasing experiments.
383 Secondly, and more significantly, this is due to the practical tilt limitations of the

384 in-situ biasing holder. For the standard cross-section sample [Fig. 4(b)] a double-
385 tilt holder was used which allowed the interfaces to be aligned parallel with the
386 electron beam. The in-situ TEM holder, in contrast, has internal tilt mechanism
387 that only allows the sample to be tilted within 1-2 degrees of a zone axis reliably
388 and reproducibly. Thus the interfaces in the in-situ sample were not as close to the
389 parallel imaging configuration as for the standard cross-section sample, resulting in
390 the layers partially overlapping in projection, which would reduce the apparent
391 projected interface sharpness in the phase shift curves and broaden the measured
392 width.

393

394 In order to assess the lateral current spreading in the FM electrodes, the same in-
395 situ biasing electron holography experiment was performed at the position marked
396 “B” in Fig. 6 (a), which is about 150 nm away from the probe-sample contact
397 position. The phase shift results using the same data process method as Fig. 6 (b)
398 are shown in Fig. 7. In each case the values shown are the average of seven
399 measurements made at points away from the contact to the sample. The averaged
400 values of the slopes close to and away from the contact are shown in Table III. In
401 contrast to the measurements carried out under the probe contact, the
402 measurements carried out away from the contacts show no change in either slope
403 or effective barrier width with respect to applied bias, within experimental
404 uncertainty. This implies that the effect of the biasing potential is localized to
405 within less than 150 nm on either side of the probe contact area and further
406 confirms that the J - V curve measured in the pseudo 4-point in-situ holder is site-
407 specific. This is believed to occur because of a very thin oxide layer at the top
408 surface of the specimen which leads to ballistic transport across the tunnel
409 barrier.³⁶ Similar localization effects were observed by Wulfhekel et al.⁶⁷ as lateral
410 variations in the conductance in Fe/MgO/Fe MTJs using atom force microscopy
411 (AFM) in contact mode.

412

413 **Conclusions:**

414 In summary, the tunneling barrier evolution in an MgO-based magnetic tunnel
415 junction as a function of annealing and externally applied bias was studied using
416 EFTEM and in-situ electron holography. Co and Fe diffusion into the MgO layer
417 after annealing led to a reduction in tunnel barrier height. In addition, the oxidized
418 CoFe/MgO interfaces were reduced by annealing and the O was absorbed by the
419 MgO layer. Improved crystallinity of the MgO barrier and crystallization of the
420 CoFeB layers were also observed after annealing. The change in effective MgO
421 tunnel barrier width and asymmetry as a function of annealing and applied bias
422 were probed using in-situ electron holography. The change in barrier width under
423 applied bias can be explained charge accumulation at the interface between the
424 MgO tunnel barrier and CoFe ferromagnetic electrodes. The localization of the
425 electric transport measurements in our in-situ experiments is confirmed by electron
426 holography.

427

428

429 **Acknowledgements:**

430

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434 Argonne National Laboratory Electron Microscopy Center for Materials Research.

435

436 ‡Email: yuziliu@anl.gov

437 * $t_{element}$ was determined as the distance between the points where the counts have reached 50% of
438 the difference between the minimum and maximum values on either side of the barrier.

439

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573 Figure captions:

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575 FIG. 1 (a) Low-magnification TEM image of the MgO-based MTJ device
576 multilayer structure. HREM images close to the barrier area of (b) as-grown and (c)
577 annealed samples. The FTs of MgO layer are shown as insets in (b) and (c).

578

579 FIG. 2 (a) TEM image of an in-situ sample with five separate pillars. (b) TEM
580 image showing the morphology of one pillar in contact with the Au probe during I-
581 V measurement.

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583

584 FIG. 3 Plots of current density versus voltage for the as-grown and annealed
585 samples.

586

587 FIG. 4 (a) Phase shift image of the as-grown MTJ reconstructed from the hologram.
588 (b) Line scan of the phase shift profile averaged over 200 pixels parallel to the
589 barrier layer. Profile corresponds to boxed region in (a).

590

591 FIG. 5 (Color online) (a) Composite elemental of O (blue), Fe (green) and Co (red)
592 obtained from EFTEM data; normalized intensity profiles for (b) Co, (c) Fe and (d)
593 O .

594

595

596 FIG. 6 (a) Low-magnification TEM image of the areas used for in-situ biased
597 electron holography experiments. (b) Line scan of the phase shift profile averaged
598 over 200 pixels parallel to the barrier layer for different applied bias voltages of
599 -1.5 V, 0 V and $+1.5$ V taken from region A. The lower panel shows a schematic
600 illustrating the barrier potential shape under (c) negative bias, (d) zero bias, and (e)
601 positive bias.

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604 FIG. 7 The averaged phase shift profile under different biased voltages of -1.5 V, 0
605 V and $+1.5$ V taken from region B of Fig. 6(a).

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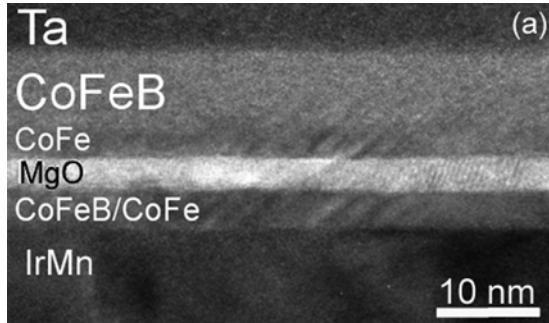
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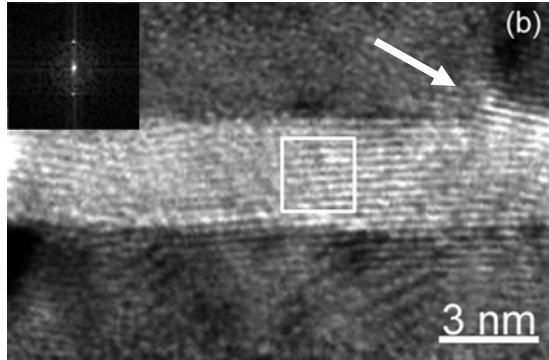
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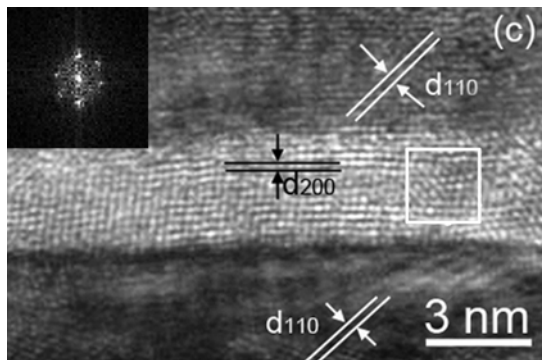


FIG. 1

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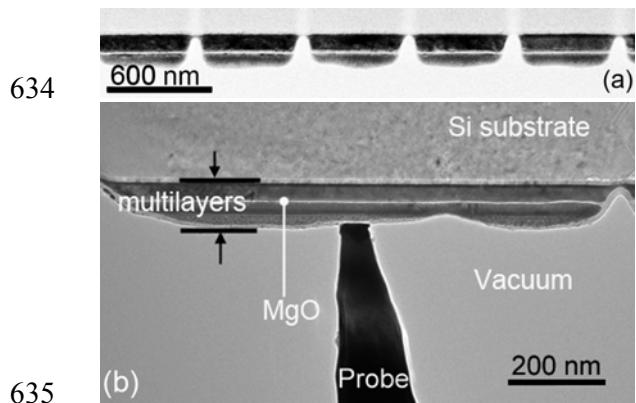
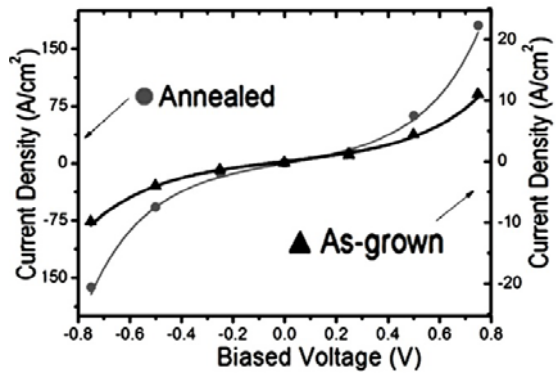
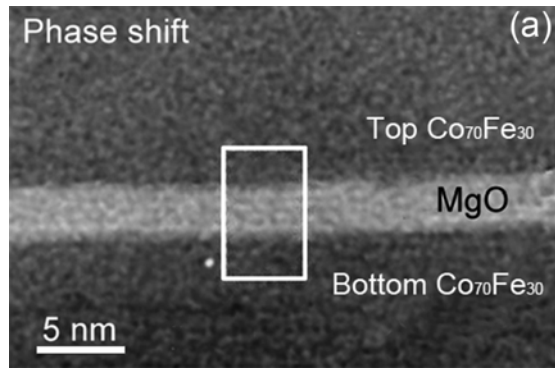


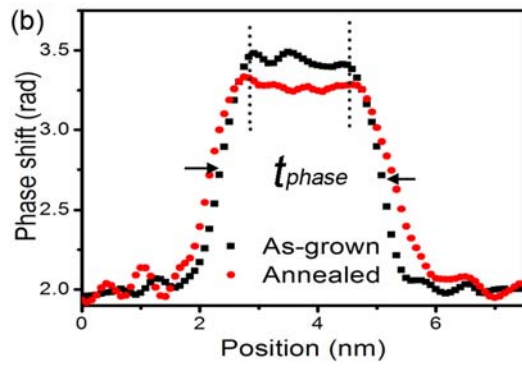
FIG. 2



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641 FIG. 3
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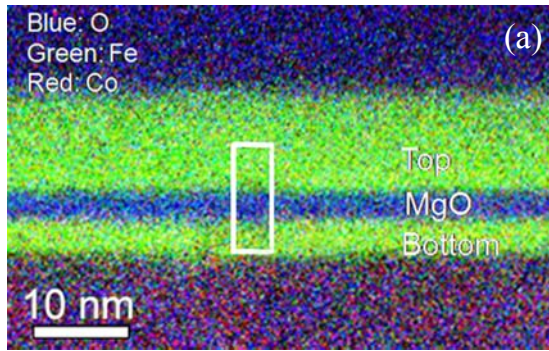


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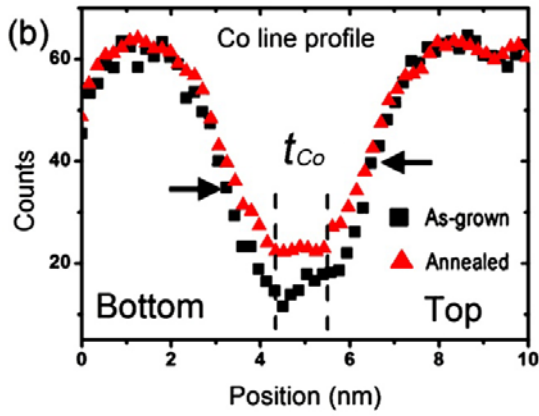
645 Fig. 4

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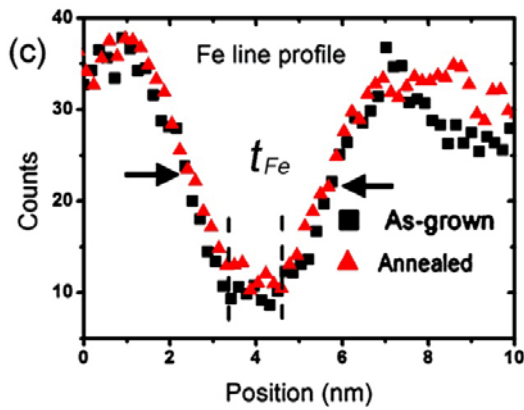
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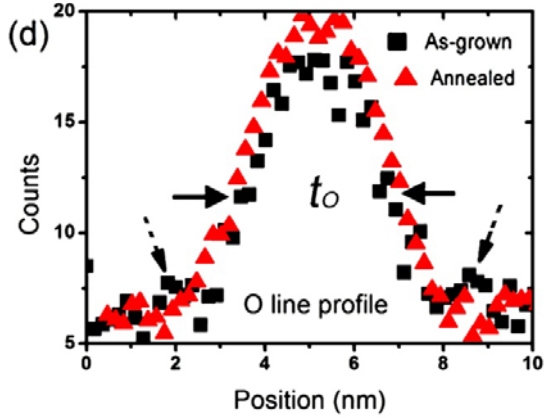
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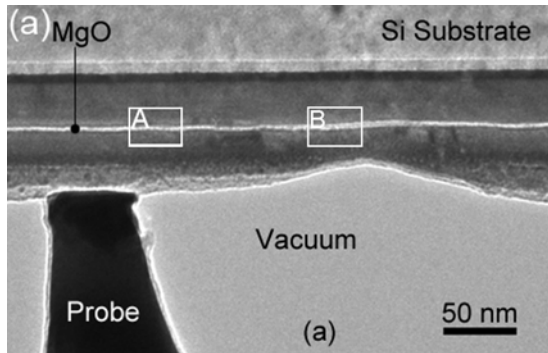
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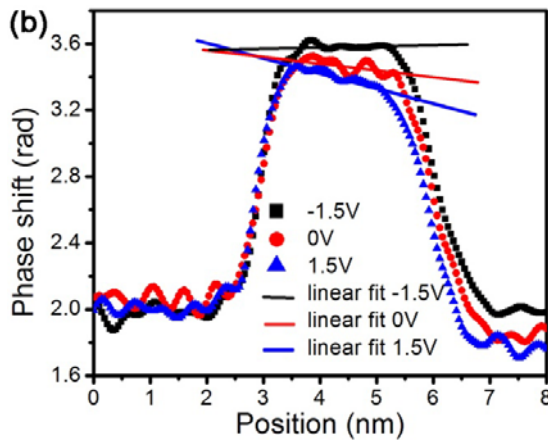
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FIG. 5

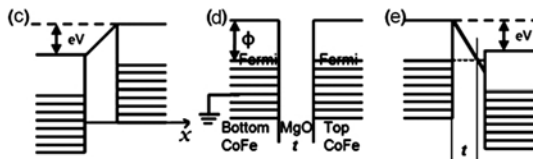
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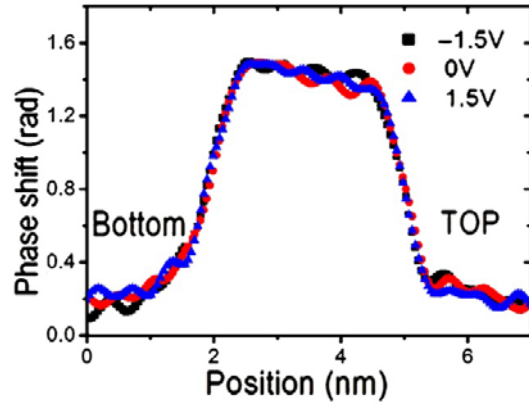


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FIG.6



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666 FIG. 7
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668 Table I: The interface roughness extracted from STEM-tomography

	CoFe-on-MgO (nm)	MgO-on-CoFe (nm)
As-grown	0.20±0.02	0.138±0.004
Annealed	0.12±0.01	0.135±0.007

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672 Table II Barrier parameters from BDR fitting and phase shift

		As-grown	Annealed
BDR fitting	Height (eV)	1.14	0.5
	Width(nm)	1.9	2.6
Phase shift	Phase shift height (rad)	3.43± 0.03	3.27± 0.036
	Width (nm)	2.8 ± 0.1	3.2 ± 0.1677

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679

680 Table III: The slopes of the electron phase shift from phase shift curves.

	Under contact (nm ⁻¹)	Away from contact (nm ⁻¹)
-1.5V	~0	-0.03
0V	-0.04	-0.04
1.5V	-0.09	-0.04

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